

Second quantization

EXERCISE: (1) Show that $|I_1 I_2 \dots I_{N-1} I_N\rangle$ is **normalized**.

(2) Let us consider another state $|J_1 J_2 \dots J_{N-1} J_N\rangle$ and assume that at least one of the occupied spin-orbitals (let us denote it φ_{J_k}) is not occupied in $|I_1 I_2 \dots I_{N-1} I_N\rangle$. Show that the two states are **orthogonal**.

(3) The "counting" operator \hat{N} is defined as $\hat{N} = \sum_I \hat{n}_I$ where $\hat{n}_I = \hat{a}_I^\dagger \hat{a}_I$. Show that

$$\begin{aligned} \hat{n}_I |I_1 I_2 \dots I_{N-1} I_N\rangle &= |I_1 I_2 \dots I_{N-1} I_N\rangle & \text{if } I = I_k \quad 1 \leq k \leq N \\ &= 0 & \text{otherwise} \end{aligned}$$

and conclude that $\hat{N} |I_1 I_2 \dots I_{N-1} I_N\rangle = N |I_1 I_2 \dots I_{N-1} I_N\rangle$.

(4) Explain why states corresponding to different numbers of electrons are automatically orthogonal.

(5) Explain why any normalized state $|\Psi\rangle$ fulfills the condition $0 \leq \langle \Psi | \hat{n}_I | \Psi \rangle \leq 1$.

EXERCISE:

(1) At the non-relativistic level, **real algebra** can be used, $\varphi_I(X) = \varphi_{i\sigma}(\mathbf{r}, \tau) = \phi_i(\mathbf{r})\delta_{\sigma\tau}$,

$$\hat{h} \equiv -\frac{1}{2}\nabla_{\mathbf{r}}^2 + v_{\text{ne}}(\mathbf{r}) \times \quad \text{and} \quad \hat{w}_{\text{ee}} \equiv \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} \times .$$

Show that the Hamiltonian, that is here a spin-free operator, can be rewritten in the basis of the molecular orbitals $\{\phi_p(\mathbf{r})\}_p$ as follows

$$\hat{H} = \sum_{p,q} h_{pq} \hat{E}_{pq} + \frac{1}{2} \sum_{p,q,r,s} \langle pr|qs \rangle \left(\hat{E}_{pq} \hat{E}_{rs} - \delta_{qr} \hat{E}_{ps} \right)$$

where $\hat{E}_{pq} = \sum_{\sigma} \hat{a}_{p,\sigma}^{\dagger} \hat{a}_{q,\sigma}$, $h_{pq} = \langle \phi_p | \hat{h} | \phi_q \rangle$ and

$$\langle pr|qs \rangle = \int \int d\mathbf{r}_1 d\mathbf{r}_2 \phi_p(\mathbf{r}_1) \phi_r(\mathbf{r}_2) \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} \phi_q(\mathbf{r}_1) \phi_s(\mathbf{r}_2) = (pq|rs)$$

EXERCISE:

For any normalized N -electron wavefunction Ψ , we define the one-electron (1) and two-electron (2) **reduced density matrices** (RDM) as follows,

$$D_{pq} = \langle \Psi | \hat{E}_{pq} | \Psi \rangle \quad \text{and} \quad D_{pqrs} = \langle \Psi | \hat{E}_{pq} \hat{E}_{rs} - \delta_{qr} \hat{E}_{ps} | \Psi \rangle.$$

(1) Show that the **1RDM is symmetric** and that $\forall p$, the **occupation** $n_p = D_{pp}$ of the orbital p fulfills the inequality $0 \leq n_p \leq 2$. Show that the trace of the 1RDM equals N .

(2) Explain why the expectation value for the **energy** $\langle \Psi | \hat{H} | \Psi \rangle$ can be **determined from the 2RDM**.

Hint: show that $D_{pq} = \frac{1}{N-1} \sum_r D_{pqrr}$.

(3) Let us consider the particular case $|\Psi\rangle \rightarrow |\Phi\rangle = \prod_{i=1}^{N/2} \prod_{\sigma} \hat{a}_{i,\sigma}^{\dagger} |\text{vac}\rangle$. Explain why both density matrices are non-zero only in the occupied-orbital space.

Show that $D_{ij} = 2\delta_{ij}$ and $D_{ijkl} = 4\delta_{ij}\delta_{kl} - 2\delta_{jk}\delta_{il}$ and ...

... deduce the corresponding energy expression:

$$\langle \Phi | \hat{H} | \Phi \rangle = 2 \sum_{i=1}^{N/2} h_{ii} + \sum_{i,j=1}^{N/2} \left(2 \langle ij | ij \rangle - \langle ij | ji \rangle \right).$$

(4) Let i, j and a, b denote occupied and unoccupied (virtuals) orbitals in Φ , respectively. Explain why \hat{E}_{ai} and $\hat{E}_{ai}\hat{E}_{bj}$ are referred to as **single excitation** and **double excitation operators**, respectively.

Hint: derive simplified expressions for $|\Phi_i^a\rangle = \frac{1}{\sqrt{2}}\hat{E}_{ai}|\Phi\rangle$ and $|\Phi_{ij}^{ab}\rangle = \frac{1}{2}\hat{E}_{ai}\hat{E}_{bj}|\Phi\rangle$ with $i < j, a < b$.

EX: p 11

$$(1) \langle I_1, I_2, \dots, I_N | I_1, I_2, \dots, I_N \rangle = \langle \hat{a}_{I_1}^+ \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ | \text{vac} | \hat{a}_{I_1}^+ \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ | \text{vac} \rangle$$

$$= \langle \text{vac} | \hat{a}_{I_N} \dots \hat{a}_{I_2} \hat{a}_{I_1} \hat{a}_{I_1}^+ \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ | \text{vac} \rangle$$

$$= \langle \text{vac} | \hat{a}_{I_N} \dots \hat{a}_{I_2} \hat{a}_{I_2}^+ \hat{a}_{I_1}^+ \dots \hat{a}_{I_N}^+ | \text{vac} \rangle$$

since $\hat{a}_{I_1} \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ | \text{vac} \rangle = (-1)^{N-1} \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ \hat{a}_{I_1} | \text{vac} \rangle$

Using $\hat{a}_{I_2} \hat{a}_{I_2}^+ = 1 - \hat{a}_{I_2}^+ \hat{a}_{I_2}$ and so on

we finally obtain $\langle I_1, I_2, \dots, I_N | I_1, I_2, \dots, I_N \rangle = \langle \text{vac} | \text{vac} \rangle = 1$

$$(2) \langle J_1, J_2, \dots, J_k, \dots, J_N | I_1, I_2, \dots, I_N \rangle = \langle \text{vac} | \hat{a}_{J_N} \dots \hat{a}_{J_k} \dots \hat{a}_{J_2} \hat{a}_{J_1} \hat{a}_{I_1}^+ \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ | \text{vac} \rangle$$

$$= (-1)^{k-1} \times (-1)^N \langle \text{vac} | \hat{a}_{J_N} \dots \hat{a}_{J_{k+1}} \hat{a}_{J_{k-1}} \dots \hat{a}_{J_2} \hat{a}_{J_1} \hat{a}_{I_1}^+ \hat{a}_{I_2}^+ \dots \hat{a}_{I_N}^+ | \text{vac} \rangle$$

since $J_k \neq I_p$ with $1 \leq p \leq N$

thus leading to $\langle J_1, J_2, \dots, J_k, \dots, J_N | I_1, I_2, \dots, I_N \rangle = 0$

$$(3) \hat{N} = \sum_I \hat{n}_I \quad \text{If } I = I_k \quad 1 \leq k \leq N \quad \text{then} \quad \hat{n}_{I_k} | I_1, I_2, \dots, I_N \rangle = \hat{n}_{I_k} | I_1, I_2, \dots, I_N \rangle$$

$$= \hat{a}_{I_k}^+ \hat{a}_{I_k} \hat{a}_{I_1}^+ \hat{a}_{I_2}^+ \dots \hat{a}_{I_k}^+ \hat{a}_{I_N}^+ | \text{vac} \rangle$$

Let us consider $|\psi^N\rangle$ and $|\psi^M\rangle$ N - and M -electron states, respectively

$$\hat{N}|\psi^N\rangle = N|\psi^N\rangle \quad \text{with } N \neq M$$

$$\hat{N}|\psi^M\rangle = M|\psi^M\rangle$$

so that we have

$$\left. \begin{aligned} \langle \psi^M | \hat{N} | \psi^N \rangle &= N \langle \psi^M | \psi^N \rangle \\ \parallel \\ \langle \hat{N} \psi^M | \psi^N \rangle & \\ \parallel \\ \langle \hat{N} \psi^M | \psi^N \rangle &= M \langle \psi^M | \psi^N \rangle \end{aligned} \right\} \Rightarrow \underbrace{(N-M)}_{\neq 0} \underbrace{\langle \psi^M | \psi^N \rangle}_{= 0} = 0$$

(5) We conclude from question (3) that the eigenvalues of \hat{n}_z are 0 or 1.

General problem: Let \hat{A} be a hermitian operator, A its highest eigenvalue and a its lowest eigenvalue. We consider the basis of eigenvectors $\{ |u_i\rangle \}_i$ with $\hat{A} |u_i\rangle = \alpha_i |u_i\rangle$ and $a \leq \alpha_i \leq A$
normalized eigenvalue

For any state $|\psi\rangle$, $|\psi\rangle = \sum_i c_i |u_i\rangle$ and $\langle \psi | \psi \rangle = 1 = \sum_i |c_i|^2$

$$\text{and } \langle \psi | \hat{A} | \psi \rangle = \sum_i c_i \langle \psi | \hat{A} | u_i \rangle = \sum_i c_i \alpha_i \langle \psi | u_i \rangle = \sum_i |c_i|^2 \alpha_i$$

$$\text{Since } \forall i \quad |c_i|^2 a \leq |c_i|^2 \alpha_i \leq |c_i|^2 A \rightarrow \sum_i a |c_i|^2 \leq \sum_i |c_i|^2 \alpha_i \leq \sum_i |c_i|^2 A$$

$$\Rightarrow \boxed{a \leq \langle \psi | \hat{A} | \psi \rangle \leq A}$$

In our case $\hat{A} \rightarrow \hat{n}_z$
 $a \rightarrow 0$
 $A \rightarrow 1$

$$\Rightarrow \boxed{0 \leq \langle \psi | \hat{n}_z | \psi \rangle \leq 1}$$

$$\begin{aligned}
 (1) \quad \hat{H} &= \sum_{PQ} \langle \varphi_P | \hat{h} | \varphi_Q \rangle \hat{a}_P^\dagger \hat{a}_Q + \frac{1}{2} \sum_{PQRS} \langle \varphi_P \varphi_R | \hat{w} | \varphi_Q \varphi_S \rangle \hat{a}_P^\dagger \hat{a}_R^\dagger \hat{a}_S \hat{a}_Q \\
 &= \sum_{p, q, \sigma, \tau} \langle \varphi_{p\sigma} | \hat{h} | \varphi_{q\tau} \rangle \hat{a}_{p,\sigma}^\dagger \hat{a}_{q,\tau} + \frac{1}{2} \sum_{pqrs} \sum_{\sigma_1 \sigma_2 \tau_1 \tau_2} \langle \varphi_{p\sigma_1} \varphi_{r\sigma_2} | \hat{w} | \varphi_{q\tau_1} \varphi_{s\tau_2} \rangle \hat{a}_{p\sigma_1}^\dagger \hat{a}_{r\sigma_2}^\dagger \hat{a}_{s\tau_2} \hat{a}_{q\tau_1}
 \end{aligned}$$

where $\langle \varphi_{p\sigma} | \hat{h} | \varphi_{q\tau} \rangle = \int dx \varphi_{p\sigma}^*(x) (\hat{h} \varphi_{q\tau})(x) = \int d\vec{r} \sum_{\mu=\alpha, \beta} \varphi_{p\sigma}^*(\vec{r}, \mu) \hat{h} \varphi_{q\tau}(\vec{r}, \mu)$

\downarrow
 spin-free

$$= \int d\vec{r} \sum_{\mu=\alpha, \beta} \phi_p(\vec{r}) \delta_{\mu\sigma} (\hat{h} \phi_q)(\vec{r}) \delta_{\tau\mu}$$

real algebra!

$$\rightarrow \boxed{\langle \varphi_{p\sigma} | \hat{h} | \varphi_{q\tau} \rangle = \delta_{\sigma\tau} h_{pq}}$$

and $\langle \varphi_{p\sigma_1} \varphi_{r\sigma_2} | \hat{w} | \varphi_{q\tau_1} \varphi_{s\tau_2} \rangle = \int dx_1 \int dx_2 \varphi_{p\sigma_1}^*(x_1) \varphi_{r\sigma_2}^*(x_2) \frac{1}{r_{12}} \varphi_{q\tau_1}(x_1) \varphi_{s\tau_2}(x_2)$ where $r_{12} = |\vec{r}_1 - \vec{r}_2|$

$$= \int d\vec{r}_1 \int d\vec{r}_2 \sum_{\mu=\alpha, \beta} \sum_{\nu=\alpha, \beta} \phi_p(\vec{r}_1) \delta_{\sigma_1\mu} \phi_r(\vec{r}_2) \delta_{\sigma_2\nu} \frac{1}{r_{12}} \phi_q(\vec{r}_1) \delta_{\tau_1\mu} \phi_s(\vec{r}_2) \delta_{\tau_2\nu}$$

$$\boxed{\langle \varphi_{p\sigma_1} \varphi_{r\sigma_2} | \hat{w} | \varphi_{q\tau_1} \varphi_{s\tau_2} \rangle = \delta_{\sigma_1\tau_1} \delta_{\sigma_2\tau_2} \langle pr | qs \rangle}$$

Therefore $\hat{H} = \sum_{pq\sigma\tau} h_{pq} \delta_{\sigma\tau} \hat{a}_{p,\sigma}^\dagger \hat{a}_{q,\tau} + \frac{1}{2} \sum_{pqrs} \sum_{\sigma_1\sigma_2\tau_1\tau_2} \langle pr|qs \rangle \delta_{\sigma_1\tau_1} \delta_{\sigma_2\tau_2} \hat{a}_{p,\sigma_1}^\dagger \hat{a}_{r,\sigma_2}^\dagger \hat{a}_{s,\tau_2} \hat{a}_{q,\tau_1}$ S/SQ

$$= \sum_{pq} h_{pq} \underbrace{\left(\sum_{\sigma} \hat{a}_{p,\sigma}^\dagger \hat{a}_{q,\sigma} \right)}_{\hat{E}_{pq}} + \frac{1}{2} \sum_{pqrs} \langle pr|qs \rangle \sum_{\sigma_1\sigma_2} \underbrace{\hat{a}_{p,\sigma_1}^\dagger \hat{a}_{r,\sigma_2}^\dagger \hat{a}_{s,\sigma_2} \hat{a}_{q,\sigma_1}}_{-\hat{a}_{p,\sigma_1}^\dagger \hat{a}_{r,\sigma_2}^\dagger \hat{a}_{q,\sigma_1} \hat{a}_{s,\sigma_2}}$$

$$\delta_{\sigma_1\sigma_2} \delta_{rq} - \hat{a}_{q,\sigma_1} \hat{a}_{r,\sigma_2}^\dagger$$

$$\rightarrow \hat{H} = \sum_{pq} h_{pq} \hat{E}_{pq} + \frac{1}{2} \sum_{pqrs} \langle pr|qs \rangle \left(\hat{E}_{pq} \hat{E}_{rs} - \delta_{qr} \hat{E}_{ps} \right)$$

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(1) • $D_{pq} = \langle 4 | \hat{E}_{pq} | 4 \rangle \Rightarrow D_{qp} = \langle 4 | \hat{E}_{qp} | 4 \rangle \stackrel{\text{real algebra}}{=} \langle 4 | \hat{E}_{qp} | 4 \rangle^* = \langle \hat{E}_{qp} 4 | 4 \rangle = \langle 4 | \underbrace{\hat{E}_{qp}^\dagger}_{\hat{E}_{pq}} | 4 \rangle = D_{pq}$

• $n_p = \langle 4 | \hat{E}_{pp} | 4 \rangle = \sum_{\sigma} \langle 4 | \hat{n}_{p\sigma} | 4 \rangle$

$\sigma \leq 1$ according to question (5) in Ex p 11.

therefore $\sigma \leq n_p \leq 2$

• $\sum_P D_{pp} = \sum_P \sum_{\sigma} \langle 4 | \hat{a}_{p,\sigma}^\dagger \hat{a}_{p,\sigma} | 4 \rangle = \langle 4 | \hat{N} | 4 \rangle = N \underbrace{\langle 4 | 4 \rangle}_1 = N$
 Counting operator

$$(2) \langle \Psi | \hat{H} | \Psi \rangle = \sum_{pq} h_{pq} D_{pq} + \frac{1}{2} \sum_{pqrs} \langle pr | qs \rangle D_{pqrs}$$

Since $\sum_r D_{pqrr} = \sum_r \langle \Psi | \hat{E}_{pq} \hat{E}_{rr} - \delta_{qr} \hat{E}_{pr} | \Psi \rangle = \langle \Psi | \hat{E}_{pq} \underbrace{\sum_r \hat{E}_{rr}}_{\hat{N}} | \Psi \rangle - \sum_r \delta_{qr} \langle \Psi | \hat{E}_{pr} | \Psi \rangle$

$$= N \underbrace{\langle \Psi | \hat{E}_{pq} | \Psi \rangle}_{D_{pq}} - \underbrace{\langle \Psi | \hat{E}_{pq} | \Psi \rangle}_{D_{pq}}$$

$$\sum_r D_{pqrr} = (N-1) D_{pq}$$

it comes

$$\langle \Psi | \hat{H} | \Psi \rangle = \sum_{pq} \sum_r \frac{h_{pq}}{(N-1)} D_{pqrr} + \frac{1}{2} \sum_{pqrs} \langle pr | qs \rangle D_{pqrs}$$

$$(3) \cdot D_{pq} = \langle \Phi | \hat{E}_{pq} | \Phi \rangle \text{ with } |\Phi\rangle = \prod_{i=1}^{N/2} \prod_{\sigma=\alpha,\beta} \hat{a}_{i,\sigma}^+ |vac\rangle$$

let us denote a, b, ... the orbitals that are not occupied in $|\Phi\rangle$.

$$D_{ab} = \langle \Phi | \sum_{\sigma} \hat{a}_{a,\sigma}^+ \hat{a}_{b,\sigma} | \Phi \rangle = 0 = D_{ba}$$

$$D_{ia} = \langle \Phi | \sum_{\sigma} \hat{a}_{i,\sigma}^+ \hat{a}_{a,\sigma} | \Phi \rangle = 0 = D_{ai}$$

$$\cdot D_{ij} = \langle \Phi | \sum_{\sigma} \hat{a}_{i,\sigma}^+ \hat{a}_{j,\sigma} | \Phi \rangle \text{ where } i \text{ and } j \text{ are occupied in } |\Phi\rangle.$$

If $i \neq j$ then $D_{ij} = - \langle \Phi | \sum_{\sigma} \hat{a}_{j,\sigma} \hat{a}_{i,\sigma}^+ | \Phi \rangle = 0$

if $i=j$ then $D_{ii} = 2$ since $\sum_{\sigma} \hat{a}_{i,\sigma}^+ \hat{a}_{i,\sigma} | \Phi \rangle = \sum_{\sigma} \hat{n}_{i,\sigma} | \Phi \rangle = \sum_{\sigma} |\Phi\rangle = 2 |\Phi\rangle$

$$D_{ij} = 2\delta_{ij}$$

In this case the product of two single-excitation operators removes two electrons (one in $\psi_{i\sigma}$ and one in $\psi_{j\tau}$) and creates two electrons (one in $\psi_{a\sigma'}$ and one in $\psi_{b\tau'}$). All in all it looks like two electrons have been excited from $\psi_{i\sigma}$ and $\psi_{j\tau}$ to $\psi_{a\sigma'}$ and $\psi_{b\tau'}$.