

Exam in quantum mechanics

December 2017

duration of the exam session: 2h

Neither documents nor calculators are allowed.

The grading scale might be changed.

1. Questions about the lectures (6 points)

- a) [3 pts] Let \hat{H} denote the Hamiltonian operator of the hydrogen atom and $\Phi_\alpha(x, y, z) = e^{-\alpha r}$ a trial wavefunction where $r = \sqrt{x^2 + y^2 + z^2}$ and $\alpha > 0$. Give the explicit expression for \hat{H} . Let $E(\alpha) = \frac{\langle \Phi_\alpha | \hat{H} | \Phi_\alpha \rangle}{\langle \Phi_\alpha | \Phi_\alpha \rangle}$. Is there any value of α such that $E(\alpha)$ equals the exact ground-state energy E_0 of the hydrogen atom? Justify your answer. If not, how can we find the value of α such that $E(\alpha)$ is as close as possible to E_0 ?
- b) [3 pts] What is the purpose of both Hartree–Fock and Hückel methods? What is the main advantage of the former over the latter? Is the Hartree–Fock approach in principle exact? Justify your answers.

2. Problem I: the Heisenberg inequality and the harmonic oscillator (12 points)

According to the Heisenberg inequality, the standard deviations $\Delta x = \sqrt{\langle \Psi | \hat{x}^2 | \Psi \rangle - \langle \Psi | \hat{x} | \Psi \rangle^2}$ and $\Delta p_x = \sqrt{\langle \Psi | \hat{p}_x^2 | \Psi \rangle - \langle \Psi | \hat{p}_x | \Psi \rangle^2}$ for the position x and momentum p_x of a particle described by a quantum state $|\Psi\rangle$ are such that

$$\Delta x \Delta p_x \geq \hbar/2. \quad (1)$$

In this exercise, we consider a particle with mass m attached to a spring of constant k moving along the x axis. The corresponding (so-called one-dimensional harmonic oscillator) Hamiltonian reads

$$\hat{H} = \frac{\hat{p}_x^2}{2m} + \frac{1}{2}m\omega^2\hat{x}^2, \quad (2)$$

where $\omega = \sqrt{\frac{k}{m}}$. It can be shown that, by introducing the so-called annihilation operator \hat{a} defined as

follows,

$$\hat{a} = \frac{1}{\sqrt{2}} \left(\sqrt{\frac{m\omega}{\hbar}} \hat{x} + \frac{i}{\sqrt{m\hbar\omega}} \hat{p}_x \right), \quad \text{where } i^2 = -1, \quad (3)$$

and its adjoint \hat{a}^\dagger (referred to as creation operator), the Hamiltonian in Eq. (2) can be rewritten as

$$\hat{H} = \hbar\omega \left(\hat{N} + \frac{1}{2} \right), \quad (4)$$

where $\hat{N} = \hat{a}^\dagger \hat{a}$ is the so-called counting operator. By using the commutation rule

$$[\hat{a}, \hat{a}^\dagger] = \hat{a}\hat{a}^\dagger - \hat{a}^\dagger\hat{a} = 1, \quad (5)$$

it can finally be shown that the eigenvalues n of the counting operator are integers ($n = 0, 1, 2, \dots$) and that the associated orthonormalized eigenvectors $\{|\Psi_n\rangle\}_{n=0,1,2,\dots}$ are connected by the relation

$$\hat{a}^\dagger |\Psi_n\rangle = \sqrt{n+1} |\Psi_{n+1}\rangle. \quad (6)$$

a) [2 pts] Show that

$$\hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a}^\dagger + \hat{a}) \quad \text{and} \quad \hat{p}_x = i\sqrt{\frac{m\hbar\omega}{2}} (\hat{a}^\dagger - \hat{a}). \quad (7)$$

Conclude from Eq. (6) that $\langle \Psi_n | \hat{x} | \Psi_n \rangle = 0 = \langle \Psi_n | \hat{p}_x | \Psi_n \rangle$.

b) [1 pt] Explain why, according to Eq. (4), the energies of the one-dimensional harmonic oscillator are $E_n = \hbar\omega \left(n + \frac{1}{2} \right)$ and the corresponding eigenstates are $|\Psi_n\rangle$ with $n = 0, 1, 2, \dots$

c) [1 pt] Deduce from question 2. b) and Eq. (2) that, for a given eigenstate $|\Psi_n\rangle$, the expectation value of \hat{p}_x^2 is obtained from the one of \hat{x}^2 as follows,

$$\langle \Psi_n | \hat{p}_x^2 | \Psi_n \rangle = m\hbar\omega(2n+1) - m^2\omega^2 \langle \Psi_n | \hat{x}^2 | \Psi_n \rangle. \quad (8)$$

d) [0.5 pt] In order to determine the expectation value of \hat{x}^2 for $|\Psi_n\rangle$, we propose to introduce a real variable λ and the λ -dependent Hamiltonian

$$\hat{H}(\lambda) = \frac{\hat{p}_x^2}{2m} + \frac{\lambda}{2} m\omega^2 \hat{x}^2. \quad (9)$$

Its normalized eigenvectors and associated eigenvalues are denoted $|\Psi_n(\lambda)\rangle$ and $E_n(\lambda)$, respectively. What is the connection between $\hat{H}(\lambda)$ and the problem we are interested in ?

e) [2.5 pts] Explain why $E_n(\lambda) = \langle \Psi_n(\lambda) | \hat{H}(\lambda) | \Psi_n(\lambda) \rangle$. Prove the Hellmann–Feynman theorem,

$$\frac{dE_n(\lambda)}{d\lambda} = \left\langle \Psi_n(\lambda) \left| \frac{\partial \hat{H}(\lambda)}{\partial \lambda} \right| \Psi_n(\lambda) \right\rangle, \quad (10)$$

and conclude that $\langle \Psi_n(\lambda) | \hat{x}^2 | \Psi_n(\lambda) \rangle = \frac{2}{m\omega^2} \frac{dE_n(\lambda)}{d\lambda}$.

f) [1 pt] Explain why, according to Eqs. (2) and (9), $E_n(\lambda) = \sqrt{\lambda} \hbar \omega \left(n + \frac{1}{2} \right)$. **Hint:** introduce the λ -dependent frequency $\omega(\lambda) = \omega \sqrt{\lambda}$, rewrite $\hat{H}(\lambda)$ in terms of $\omega(\lambda)$ and compare the expression with the one in Eq. (2). Conclude from question 2. b).

g) [1 pt] Conclude from questions 2. d), e), and f) that $\langle \Psi_n | \hat{x}^2 | \Psi_n \rangle = \frac{\hbar}{m\omega} \left(n + \frac{1}{2} \right)$.

h) [1 pt] Deduce from questions 2. c) and g) that $\langle \Psi_n | \hat{p}_x^2 | \Psi_n \rangle = m\hbar\omega \left(n + \frac{1}{2} \right)$.

i) [2 pts] Verify from questions 2. a), g) and h) that the solutions to the Schrödinger equation for the one-dimensional harmonic oscillator fulfill the Heisenberg inequality in Eq. (1). What is remarkable about the ground state $|\Psi_0\rangle$?

3. Problem II: one-dimensional harmonic oscillator in the presence of a uniform and static electric field (4 points)

Let us consider a particle with charge q and mass m that is attached to a spring of constant k and that moves along the x axis. In the presence of a uniform and static electric field of intensity \mathcal{E} , the total Hamiltonian operator varies with \mathcal{E} as follows, $\hat{H}(\mathcal{E}) = \hat{H} - q\mathcal{E}\hat{x}$, where the operators \hat{H} and \hat{x} are defined in Eqs. (4) and (7), respectively.

a) [2 pts] Let us introduce the \mathcal{E} -dependent creation and annihilation operators, $\hat{a}^\dagger(\mathcal{E}) = \hat{a}^\dagger - \frac{q\mathcal{E}}{\omega\sqrt{2m\hbar\omega}}$, and $\hat{a}(\mathcal{E}) = \hat{a} - \frac{q\mathcal{E}}{\omega\sqrt{2m\hbar\omega}}$. Show that $[\hat{a}(\mathcal{E}), \hat{a}^\dagger(\mathcal{E})] = 1$ and that the \mathcal{E} -dependent Hamiltonian can be rewritten as $\hat{H}(\mathcal{E}) = \hbar\omega \left(\hat{a}^\dagger(\mathcal{E})\hat{a}(\mathcal{E}) + \frac{1}{2} \right) - \frac{q^2\mathcal{E}^2}{2m\omega^2}$.

b) [1 pt] Conclude from the introduction of Problem I that the *exact* energies of the one-dimensional harmonic oscillator in the presence of the electric field are $E_n(\mathcal{E}) = \hbar\omega \left(n + \frac{1}{2} \right) - \frac{q^2\mathcal{E}^2}{2m\omega^2}$. **Hint:** let $|\Psi_n(\mathcal{E})\rangle$ be an eigenvector of $\hat{N}(\mathcal{E}) = \hat{a}^\dagger(\mathcal{E})\hat{a}(\mathcal{E})$. Explain why, according to question 3. a), the associated eigenvalue is an integer n and conclude.

c) [1 pt] Explain briefly why, for this particular system, perturbation theory through second order is *exact* for the energy.

Problem I

a) $\hat{a} = \frac{1}{\sqrt{2}} \left[\sqrt{\frac{m\omega}{\hbar}} \hat{x} + \frac{i}{\sqrt{m\hbar\omega}} \hat{p}_x \right] \rightarrow \hat{a}^\dagger = \frac{1}{\sqrt{2}} \left[\sqrt{\frac{m\omega}{\hbar}} \hat{x}^\dagger + \left(\frac{i}{\sqrt{m\hbar\omega}} \right)^* \hat{p}_x^\dagger \right]$

$\Rightarrow \hat{a}^\dagger = \frac{1}{\sqrt{2}} \left[\sqrt{\frac{m\omega}{\hbar}} \hat{x} - \frac{i}{\sqrt{m\hbar\omega}} \hat{p}_x \right] \Rightarrow \begin{cases} \hat{a}^\dagger + \hat{a} = \frac{2}{\sqrt{2}} \sqrt{\frac{m\omega}{\hbar}} \hat{x} \\ \hat{a}^\dagger - \hat{a} = -\frac{2i}{\sqrt{2}} \frac{1}{\sqrt{m\hbar\omega}} \hat{p}_x \end{cases}$

thus leading to $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a}^\dagger + \hat{a})$ and $\hat{p}_x = i\sqrt{\frac{m\hbar\omega}{2}} (\hat{a}^\dagger - \hat{a})$

Consequently $\langle \psi_n | \hat{x} | \psi_n \rangle = \sqrt{\frac{\hbar}{2m\omega}} (\langle \psi_n | \hat{a}^\dagger | \psi_n \rangle + \langle \psi_n | \hat{a} | \psi_n \rangle)$ and $\langle \psi_n | \hat{p}_x | \psi_n \rangle = i\sqrt{\frac{m\hbar\omega}{2}} (\langle \psi_n | \hat{a}^\dagger | \psi_n \rangle - \langle \psi_n | \hat{a} | \psi_n \rangle)$

where $\langle \psi_n | \hat{a}^\dagger | \psi_n \rangle = \sqrt{n+1} \langle \psi_n | \psi_{n+1} \rangle = 0$ and $\langle \psi_n | \hat{a} | \psi_n \rangle = \langle \hat{a} \psi_n | \psi_n \rangle^* = \langle \psi_n | \hat{a}^\dagger | \psi_n \rangle^* = 0$

(orthonormal basis of eigenvectors)

and therefore $\langle \psi_n | \hat{x} | \psi_n \rangle = \langle \psi_n | \hat{p}_x | \psi_n \rangle = 0$

b) $\hat{H} | \psi_n \rangle = \hbar\omega \left(\frac{\hat{N}}{2} | \psi_n \rangle + \frac{1}{2} | \psi_n \rangle \right) = \hbar\omega \left(n + \frac{1}{2} \right) | \psi_n \rangle$

eigenvalue E_n (energy) eigenvector (or eigenstate)

c) Since $\hat{H} | \psi_n \rangle = E_n | \psi_n \rangle \Rightarrow \langle \psi_n | \hat{H} | \psi_n \rangle = E_n \langle \psi_n | \psi_n \rangle$

or, equivalently, $\frac{1}{2m} \langle \psi_n | \hat{p}_x^2 | \psi_n \rangle + \frac{1}{2} m\omega^2 \langle \psi_n | \hat{x}^2 | \psi_n \rangle = E_n$

thus leading to $\langle \psi_n | \hat{p}_x^2 | \psi_n \rangle = 2m \left[\hbar\omega \left(n + \frac{1}{2} \right) - \frac{1}{2} m\omega^2 \langle \psi_n | \hat{x}^2 | \psi_n \rangle \right]$

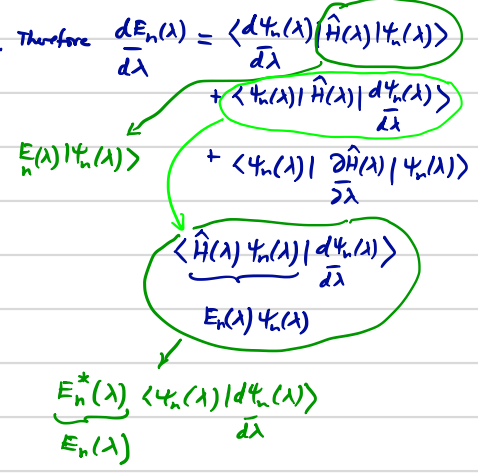
$\Rightarrow \langle \psi_n | \hat{p}_x^2 | \psi_n \rangle = m\hbar\omega(2n+1) - m^2\omega^2 \langle \psi_n | \hat{x}^2 | \psi_n \rangle$

d) $\hat{H}(\lambda=1) = \hat{H}$ ← Hamiltonian we are interested in.

e) $\hat{H}(\lambda) | \psi_n(\lambda) \rangle = E_n(\lambda) | \psi_n(\lambda) \rangle \Rightarrow E_n(\lambda) = \langle \psi_n(\lambda) | \hat{H}(\lambda) | \psi_n(\lambda) \rangle$ since $\langle \psi_n(\lambda) | \psi_n(\lambda) \rangle = 1 \quad \forall \lambda$

thus leading to $\frac{dE_n(\lambda)}{d\lambda} = E_n(\lambda) \times \left[\frac{d\langle \psi_n(\lambda) | \psi_n(\lambda) \rangle}{d\lambda} + \frac{\langle \psi_n(\lambda) | d\hat{H}(\lambda) | \psi_n(\lambda) \rangle}{d\lambda} \right]$

$\frac{d}{d\lambda} [\langle \psi_n(\lambda) | \psi_n(\lambda) \rangle] = \frac{d}{d\lambda} [1] = 0$



Thus we obtain the Hellmann-Feynman theorem

$\frac{dE_n(\lambda)}{d\lambda} = \langle \psi_n(\lambda) | \frac{\partial \hat{H}(\lambda)}{\partial \lambda} | \psi_n(\lambda) \rangle$. Since $\frac{\partial \hat{H}(\lambda)}{\partial \lambda} = \frac{1}{2} m\omega^2 \hat{x}^2$, it comes $\frac{dE_n(\lambda)}{d\lambda} = \langle \psi_n(\lambda) | \frac{1}{2} m\omega^2 \hat{x}^2 | \psi_n(\lambda) \rangle$

or, equivalently, $\langle \psi_n(\lambda) | \hat{x}^2 | \psi_n(\lambda) \rangle = \frac{2}{m\omega^2} \frac{dE_n(\lambda)}{d\lambda}$

f) $\hat{H}(\lambda) = \frac{\hat{p}_x^2}{2m} + \frac{1}{2} m[\omega(\lambda)]^2 \hat{x}^2$ where $\omega(\lambda) = \sqrt{\lambda}\omega$ i.e. $\omega^2(\lambda) = \lambda\omega^2$. It means that $\hat{H}(\lambda)$ is nothing but the Hamiltonian of the harmonic oscillator with frequency $\omega(\lambda)$. As a result, its energies are quantized as follows, $E_n(\lambda) = \hbar\omega(\lambda) \left(n + \frac{1}{2} \right)$ where $n \in \mathbb{N}$ or, equivalently, $E_n(\lambda) = \sqrt{\lambda} \hbar\omega \left(n + \frac{1}{2} \right)$

g) $\frac{dE_n(\lambda)}{d\lambda} = \frac{1}{2} \frac{\hbar\omega}{\sqrt{\lambda}} \rightarrow \frac{dE_n(\lambda)}{d\lambda} \Big|_{\lambda=1} = \frac{\hbar\omega}{2} \left(n + \frac{1}{2} \right) \rightarrow \langle \psi_n(\lambda=1) | \hat{x}^2 | \psi_n(\lambda=1) \rangle = \frac{2}{m\omega^2} \cdot \frac{\hbar\omega}{2} \left(n + \frac{1}{2} \right)$ thus leading to $\langle \psi_n | \hat{x}^2 | \psi_n \rangle = \frac{\hbar}{m\omega} \left(n + \frac{1}{2} \right)$

h) $\langle \psi_n | \hat{p}_x^2 | \psi_n \rangle = m\hbar\omega(2n+1) - m^2\omega^2 \cdot \frac{\hbar}{m\omega} \left(n + \frac{1}{2} \right) = m\hbar\omega(2n+1) - m\hbar\omega(2n+1) = m\hbar\omega \left(n + \frac{1}{2} \right) = \langle \psi_n | \hat{p}_x^2 | \psi_n \rangle$

i) For $| \psi \rangle = | \psi_n \rangle$, $\Delta \hat{x}^2 = \langle \psi_n | \hat{x}^2 | \psi_n \rangle - \langle \psi_n | \hat{x} | \psi_n \rangle^2$ and $\Delta \hat{p}_x^2 = \langle \psi_n | \hat{p}_x^2 | \psi_n \rangle - \langle \psi_n | \hat{p}_x | \psi_n \rangle^2 \Rightarrow \Delta x = \sqrt{\frac{\hbar}{m\omega} \left(n + \frac{1}{2} \right)}$ and $\Delta p_x = \sqrt{m\hbar\omega \left(n + \frac{1}{2} \right)}$

thus leading to $\Delta x \cdot \Delta p_x = \sqrt{\frac{\hbar}{m\omega}} \cdot \sqrt{m\hbar\omega} \left(n + \frac{1}{2} \right) = \hbar \left(n + \frac{1}{2} \right) = \Delta x \Delta p_x \Rightarrow \Delta x \Delta p_x = \hbar \left(n + \frac{1}{2} \right) \geq \frac{\hbar}{2}$

Conclusion: indeed, $| \psi_n \rangle$ fulfills the Heisenberg inequality. Interestingly, the lower bound $\frac{\hbar}{2}$ is reached exactly when $n=0$ i.e. when the particle is in the ground state of the harmonic oscillator.

$$a) [\hat{a}(\xi), \hat{a}^\dagger(\xi)] = \hat{a}(\xi)\hat{a}^\dagger(\xi) - \hat{a}^\dagger(\xi)\hat{a}(\xi) = \left(\hat{a} - \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{1} \right) \left(\hat{a}^\dagger + \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{1} \right) - \left(\hat{a}^\dagger + \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{1} \right) \left(\hat{a} - \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{1} \right)$$

$$= [\hat{a}, \hat{a}^\dagger]$$

Therefore $[\hat{a}(\xi), \hat{a}^\dagger(\xi)] = 1$ (A)

$$= \hat{a}\hat{a}^\dagger - \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{a} - \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{a}^\dagger + \frac{q^2\xi^2}{2\omega^2 m\hbar} \hat{1} - \left(\hat{a}^\dagger\hat{a} + \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{a}^\dagger + \frac{q\xi}{\omega\sqrt{2m\hbar}} \hat{a} - \frac{q^2\xi^2}{2m\hbar\omega^2} \hat{1} \right)$$

Moreover, $\hbar\omega \left(\hat{a}^\dagger(\xi)\hat{a}(\xi) + \frac{1}{2} \right) = \hbar\omega \left(\hat{a}^\dagger\hat{a} + \frac{1}{2} \right) - \frac{\hbar\omega \cdot q\xi}{\omega\sqrt{2m\hbar}} (\hat{a}^\dagger + \hat{a}) + \frac{\hbar\omega \cdot q^2\xi^2}{2m\hbar\omega^3} = \hat{H} - q\xi\hat{x} + \frac{q^2\xi^2}{2m\omega^2}$

$$= \underbrace{\hat{H} - q\xi\hat{x}}_{\hat{H}(\xi)} + \frac{q^2\xi^2}{2m\omega^2}$$

thus leading to $\hat{H}(\xi) = \hbar\omega \left(\hat{a}^\dagger(\xi)\hat{a}(\xi) + \frac{1}{2} \right) - \frac{q^2\xi^2}{2m\omega^2}$

b) According to Eq. (A), the eigenvalues of $\hat{a}^\dagger(\xi)\hat{a}(\xi) = \hat{N}(\xi)$ are integers n ($n=0,1,2,\dots$). If we denote $|\psi_n(\xi)\rangle$ the associated eigenstates then $\hat{H}(\xi)|\psi_n(\xi)\rangle = \hbar\omega \hat{N}(\xi)|\psi_n(\xi)\rangle + \frac{\hbar\omega}{2}|\psi_n(\xi)\rangle - \frac{q^2\xi^2}{2m\omega^2}|\psi_n(\xi)\rangle = \left(\hbar\omega \left(n + \frac{1}{2} \right) - \frac{q^2\xi^2}{2m\omega^2} \right) |\psi_n(\xi)\rangle = E_n(\xi)$

c) A perturbation expansion of the energy (where the electric field is treated as a perturbation i.e. ξ is "small") would read as follows, $E_n(\xi) = E_n(\xi=0) + \tilde{E}_n^{(1)}\xi + \tilde{E}_n^{(2)}\xi^2 + \tilde{E}_n^{(3)}\xi^3 + \dots$ where $\tilde{E}_n^{(k)} = \frac{1}{k!} \frac{d^k E_n(\xi)}{d\xi^k} \Big|_{\xi=0}$

The latter expression should be compared with the exact expression (which is valid even when the electric field is strong!) $E_n(\xi) = \left(n + \frac{1}{2} \right) \hbar\omega - \frac{q^2\xi^2}{2m\omega^2} \Rightarrow \tilde{E}_n^{(1)} = 0, \tilde{E}_n^{(2)} = -\frac{q^2}{2m\omega^2}$ and $\tilde{E}_n^{(k)} = 0$ for $k > 3$!

Conclusion: in this particular case, the energy obtained through second order is exact.