

# Density-functional approximations

*Emmanuel Fromager*



Institut de Chimie de Strasbourg - Laboratoire de Chimie Quantique -  
Université de Strasbourg /CNRS

**M1 course: Modelling 1**

## Local density-functional approximations

- Any density-functional energy contribution  $S[n]$  can be written as

$$S[n] = \int d\mathbf{r} s[n](\mathbf{r})n(\mathbf{r}),$$

where  $s[n](\mathbf{r})$  is an energy contribution *per particle*.

**Proof:** take  $s[n](\mathbf{r}) = \frac{\delta S[n]}{\delta n(\mathbf{r})} + \frac{S[n] - \int d\mathbf{r} \frac{\delta S[n]}{\delta n(\mathbf{r})} n(\mathbf{r})}{\int d\mathbf{r} n(\mathbf{r})} := \frac{\delta S[n]}{\delta n(\mathbf{r})} + C_{LZ}[n] \quad \leftarrow \text{Levy-Zahariev shift}^*$

- Note that  $s[n](\mathbf{r})$  is in principle a *functional* of the density, *not just a function* of  $n(\mathbf{r})$ .

\* M. Levy and F. Zahariev, Phys. Rev. Lett. 113, 113002 (2014).

## Local density-functional approximations

- The *local density approximation* (LDA) consists in approximating  $s[n](\mathbf{r})$  with a function  $s(n(\mathbf{r}))$  of  $n(\mathbf{r})$ :

$$S[n] \approx \int d\mathbf{r} s(n(\mathbf{r}))n(\mathbf{r})$$

- Simple LDAs to the non-interacting kinetic and exchange energies:

$$T_s[n] \approx T_s^{\text{LDA}}[n] = A \int d\mathbf{r} n^\alpha(\mathbf{r}), \quad E_x[n] \approx E_x^{\text{LDA}}[n] = B \int d\mathbf{r} n^\beta(\mathbf{r})$$

**EXERCISE** (*will be solved during “Modelling 2” exercise sessions*)

Show that, if we want these LDAs to fulfill the exact *scaling relations*, then we should have  $\alpha = \frac{5}{3}$  and  $\beta = \frac{4}{3}$ . With  $A = \frac{3}{10} (3\pi^2)^{2/3}$  and  $B = -\frac{3}{4} \left(\frac{3}{\pi}\right)^{1/3}$  we recover the non-interacting kinetic (so-called Thomas–Fermi) and exchange energies of a *uniform electron gas* with density  $n$ , respectively.

- LDA for the correlation energy:  $E_c[n] \approx E_c^{\text{LDA}}[n] = \int d\mathbf{r} \varepsilon_c(n(\mathbf{r}))n(\mathbf{r})$ .

# Density-functional approximations

## Standard density-functional approximations (DFAs)

### Local and semi-local functionals

$$E_{xc}[n] \approx \int d\mathbf{r} \varepsilon_{xc}(n(\mathbf{r})) \times n(\mathbf{r})$$

**LDA** (uniform electron gas)

S. H. Vosko, L. Wilk and M. Nusair, *Can. J. Phys.* 58 (8): 1200–1211 (1980).

$$E_{xc}[n] \approx \int d\mathbf{r} \varepsilon_{xc}(n(\mathbf{r}), |\nabla n(\mathbf{r})|) \times n(\mathbf{r})$$

Generalized gradient approximations (GGAs):

**LYP, PBE, ...**

⋮

C. Lee, W. Yang, and R. G. Parr, *Phys. Rev. B*, 57:785, (1988).

J. P. Perdew, K. Burke, M. Ernzerhof, *Phys. Rev. Lett.*, 77, 3865 (1996).

### Hybrid functionals

Hartree-Fock-like

exchange energy (evaluated with KS orbitals)

$$E_{xc}[n] \approx \alpha E_x^{\text{HF}}[\Phi] + (1-\alpha)E_x^{\text{DFA}}[n_\Phi] + E_c^{\text{DFA}}[n_\Phi]$$

**B3LYP**

A. D. Becke, *J. Chem. Phys.* 98, 1372 (1993).

$$E_{xc}[n] \approx E_x^{\text{lr, HF}}[\Phi] + E_x^{\text{sr, DFA}}[n_\Phi] + E_c^{\text{DFA}}[n_\Phi]$$

Range-separated hybrids

**CAM-B3LYP**

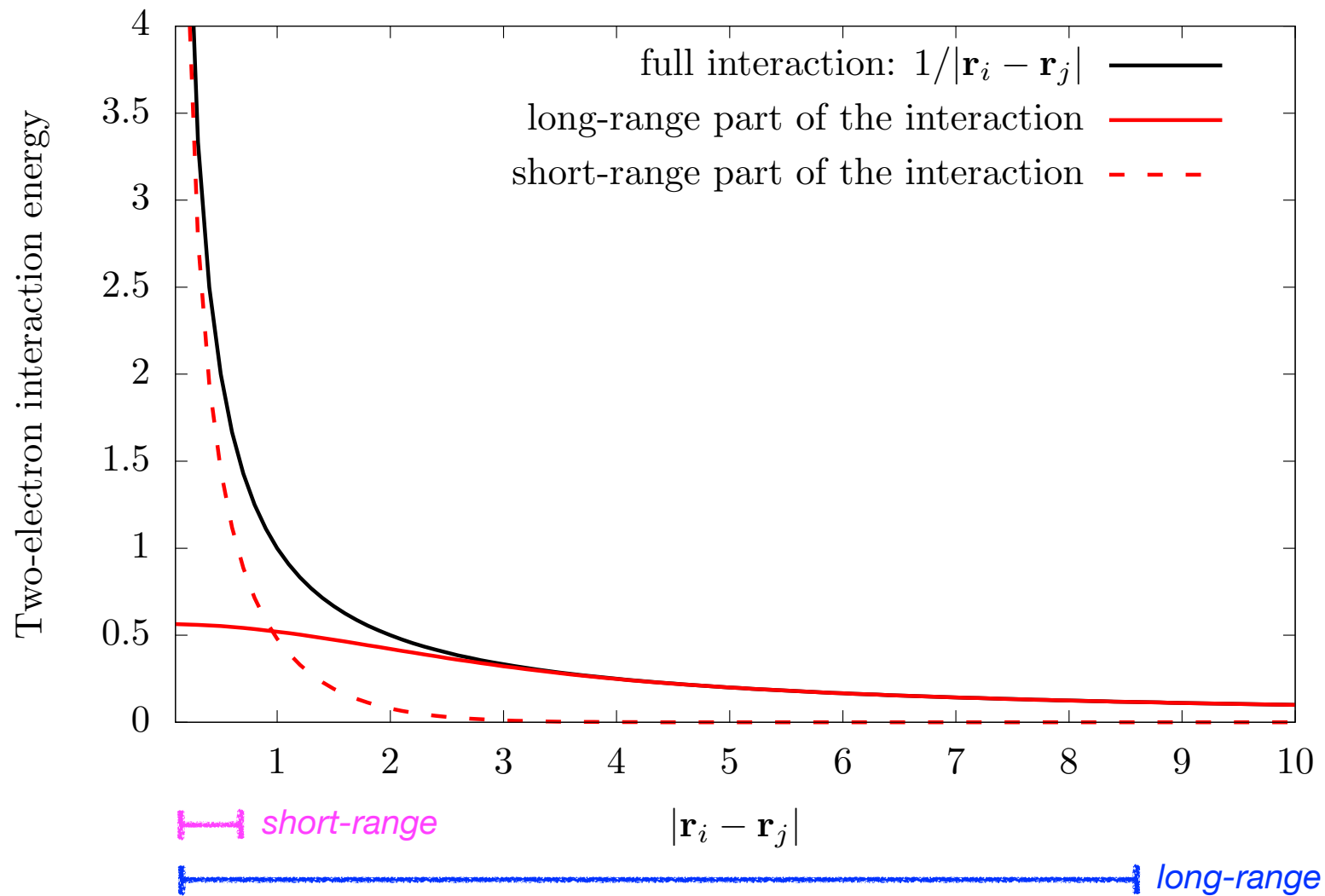
⋮

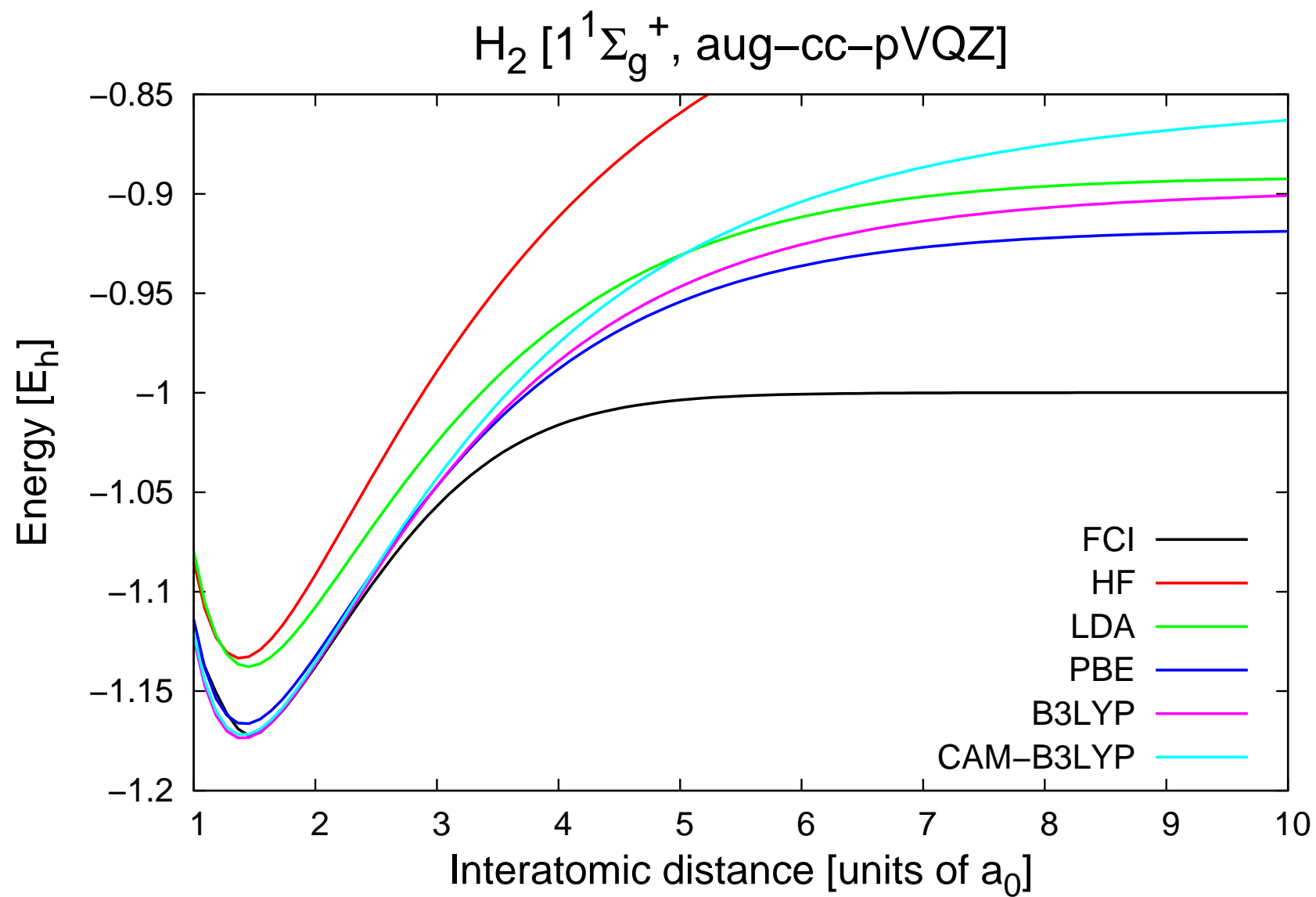
$$\hat{W}_{ee} = \hat{W}_{ee}^{\text{lr}} + \hat{W}_{ee}^{\text{sr}}$$

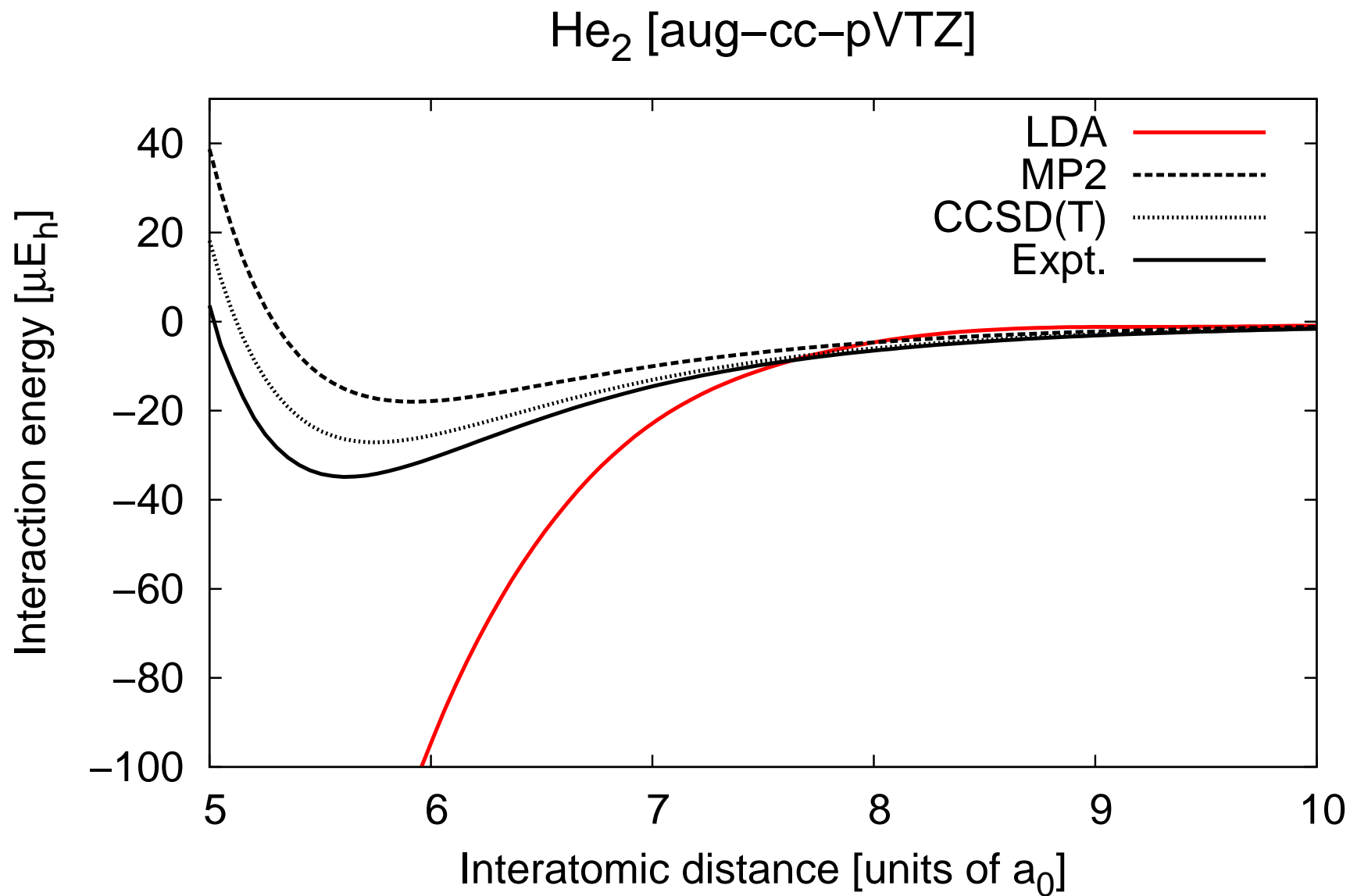
Yanai, T.; Tew, D. P.; Handy, N. C., *Chem. Phys. Lett.*, 393, 51-57 (2004).

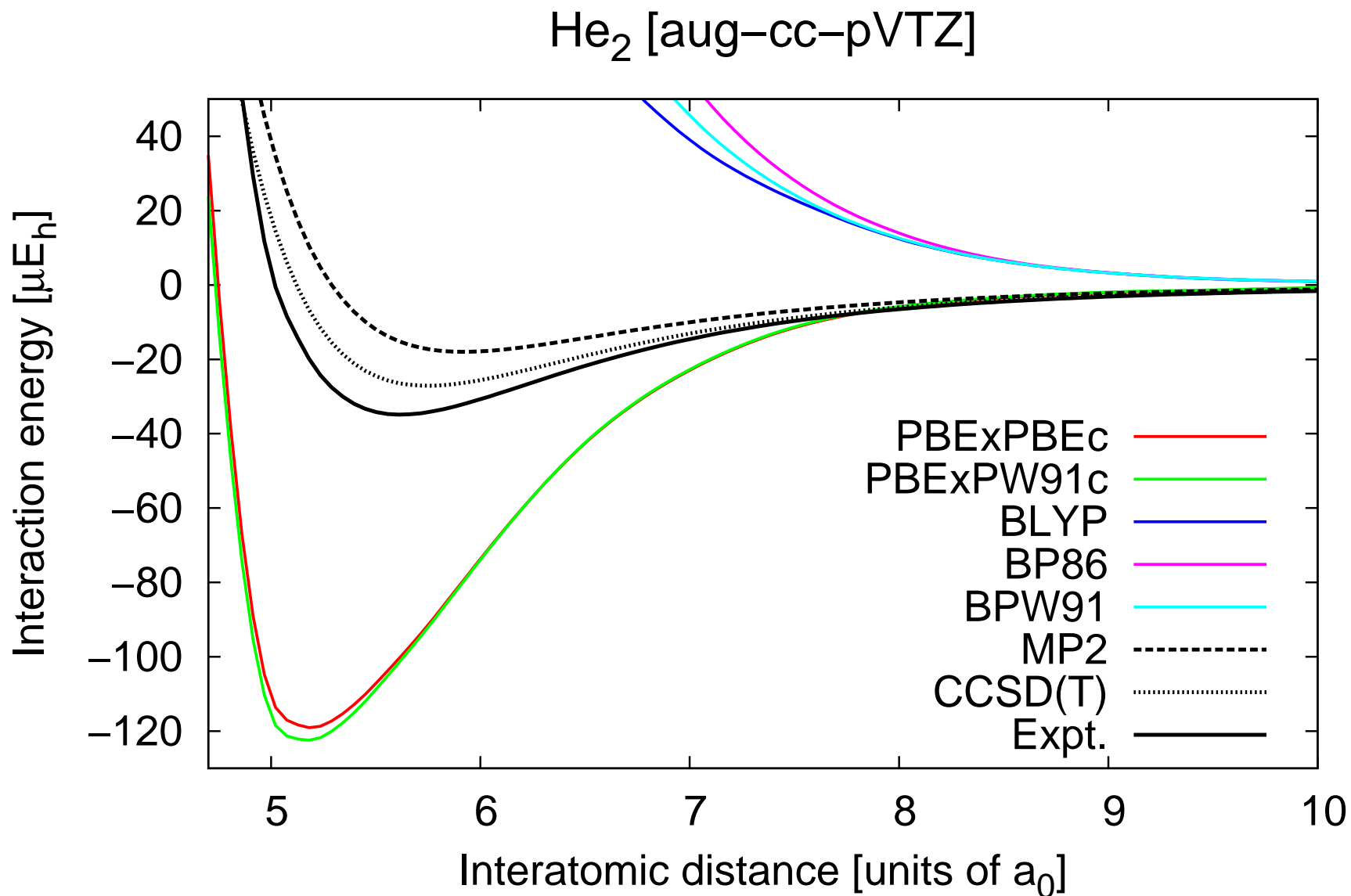
Long-range    short-range

(arbitrary) range separation of the electronic repulsion

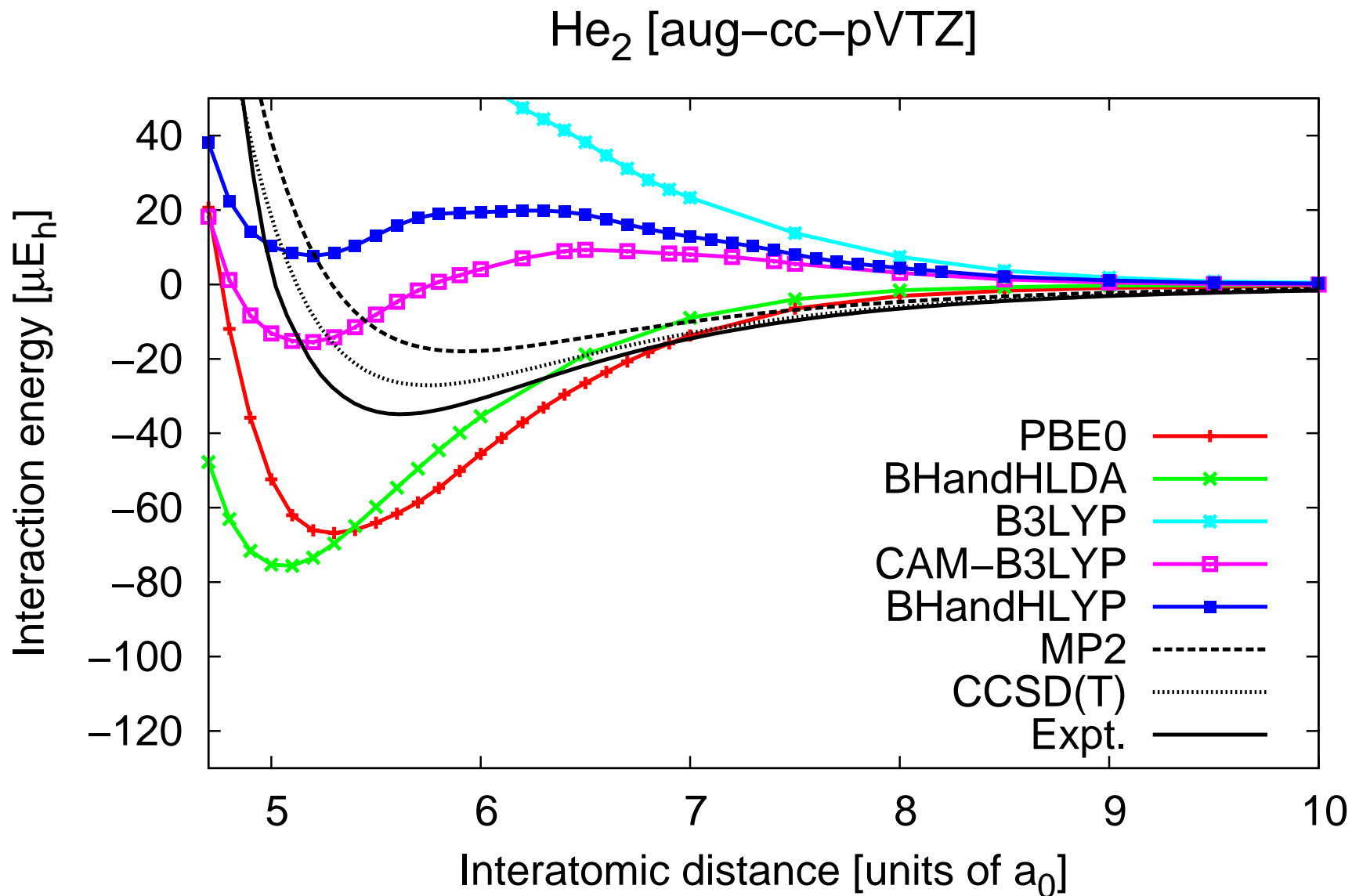












PCCP



PERSPECTIVE

View Article Online

View Journal



Cite this: DOI: 10.1039/d2cp02827a

## DFT exchange: sharing perspectives on the workhorse of quantum chemistry and materials science

Andrew M. Teale, <sup>id</sup>\*<sup>a</sup> Trygve Helgaker, <sup>id</sup>\*<sup>b</sup> Andreas Savin, <sup>id</sup>\*<sup>c</sup> Carlo Adamo, <sup>id</sup><sup>d</sup> Bálint Aradi, <sup>id</sup><sup>e</sup> Alexei V. Arbuznikov, <sup>id</sup><sup>f</sup> Paul W. Ayers, <sup>id</sup><sup>g</sup> Evert Jan Baerends, <sup>id</sup><sup>h</sup> Vincenzo Barone, <sup>id</sup><sup>i</sup> Patrizia Calaminici, <sup>id</sup><sup>j</sup> Eric Cancès, <sup>id</sup><sup>k</sup> Emily A. Carter, <sup>id</sup><sup>l</sup> Pratim Kumar Chattaraj, <sup>id</sup><sup>m</sup> Henry Chermette, <sup>id</sup><sup>n</sup> Ilaria Ciofini, <sup>id</sup><sup>d</sup> T. Daniel Crawford, <sup>id</sup><sup>op</sup> Frank De Proft, <sup>id</sup><sup>q</sup> John F. Dobson, <sup>id</sup><sup>r</sup> Claudia Draxl, <sup>id</sup><sup>st</sup> Thomas Frauenheim, <sup>eu</sup><sup>v</sup> Emmanuel Fromager, <sup>id</sup><sup>w</sup> Patricio Fuentealba, <sup>id</sup><sup>x</sup> Laura Gagliardi, <sup>id</sup><sup>y</sup> Giulia Galli, <sup>id</sup><sup>z</sup> Jiali Gao, <sup>id</sup><sup>aaab</sup> Paul Geerlings, <sup>id</sup><sup>q</sup> Nikitas Gidopoulos, <sup>id</sup><sup>ac</sup> Peter M. W. Gill, <sup>id</sup><sup>ad</sup> Paola Gori-Giorgi, <sup>id</sup><sup>ae</sup> Andreas Görling, <sup>id</sup><sup>af</sup> Tim Gould, <sup>id</sup><sup>ag</sup> Stefan Grimme, <sup>id</sup><sup>ah</sup> Oleg Gritsenko, <sup>id</sup><sup>ae</sup> Hans Jørgen Aagaard Jensen, <sup>id</sup><sup>ai</sup> Erin R. Johnson, <sup>id</sup><sup>aj</sup> Robert O. Jones, <sup>id</sup><sup>ak</sup> Martin Kaupp, <sup>id</sup><sup>f</sup> Andreas M. Köster, <sup>id</sup><sup>j</sup> Leeor Kronik, <sup>id</sup><sup>al</sup> Anna I. Krylov, <sup>id</sup><sup>am</sup> Simen Kvaal, <sup>id</sup><sup>b</sup> Andre Laestadius, <sup>id</sup><sup>b</sup> Mel Levy, <sup>id</sup><sup>an</sup> Mathieu Lewin, <sup>id</sup><sup>ao</sup> Shubin Liu, <sup>id</sup><sup>apaaq</sup> Pierre-François Loos, <sup>id</sup><sup>ar</sup> Neepa T. Maitra, <sup>id</sup><sup>as</sup> Frank Neese, <sup>id</sup><sup>at</sup> John P. Perdew, <sup>id</sup><sup>au</sup> Katarzyna Pernal, <sup>id</sup><sup>av</sup> Pascal Pernet, <sup>id</sup><sup>aw</sup> Piotr Piecuch, <sup>id</sup><sup>axay</sup> Elisa Rebolini, <sup>id</sup><sup>az</sup> Lucia Reining, <sup>id</sup><sup>babb</sup> Pina Romaniello, <sup>id</sup><sup>bc</sup> Adrienn Ruzsinszky, <sup>id</sup><sup>bd</sup> Dennis R. Salahub, <sup>id</sup><sup>be</sup> Matthias Scheffler, <sup>id</sup><sup>bf</sup> Peter Schwerdtfeger, <sup>id</sup><sup>bg</sup> Viktor N. Staroverov, <sup>id</sup><sup>bh</sup> Jianwei Sun, <sup>id</sup><sup>bi</sup> Erik Tellgren, <sup>id</sup><sup>b</sup> David J. Tozer, <sup>id</sup><sup>bj</sup> Samuel B. Trickey, <sup>id</sup><sup>bk</sup> Carsten A. Ullrich, <sup>id</sup><sup>bl</sup> Alberto Vela, <sup>id</sup><sup>j</sup> Giovanni Vignale, <sup>id</sup><sup>bm</sup> Tomasz A. Wesolowski, <sup>id</sup><sup>bn</sup> Xin Xu, <sup>id</sup><sup>bo</sup> and Weitao Yang <sup>id</sup><sup>bp</sup>

<https://doi.org/10.1039/D2CP02827A>

Received 22nd June 2022,  
Accepted 9th August 2022

DOI: 10.1039/d2cp02827a

rsc.li/pccp

In this paper, the history, present status, and future of density-functional theory (DFT) is informally reviewed and discussed by 70 workers in the field, including molecular scientists, materials scientists, method developers and practitioners. The format of the paper is that of a roundtable discussion, in which the participants express and exchange views on DFT in the form of 302 individual contributions, formulated as responses to a preset list of 26 questions. Supported by a bibliography of 777 entries, the paper represents a broad snapshot of DFT, anno 2022.