

Quantum embedding in electronic structure theory

Part 1: The electronic structure problem in Chemistry

Emmanuel Fromager

*Laboratoire de Chimie Quantique, Institut de Chimie de Strasbourg,
Université de Strasbourg, Strasbourg, France.*

Before we start...

Before we start...

Happy new year!

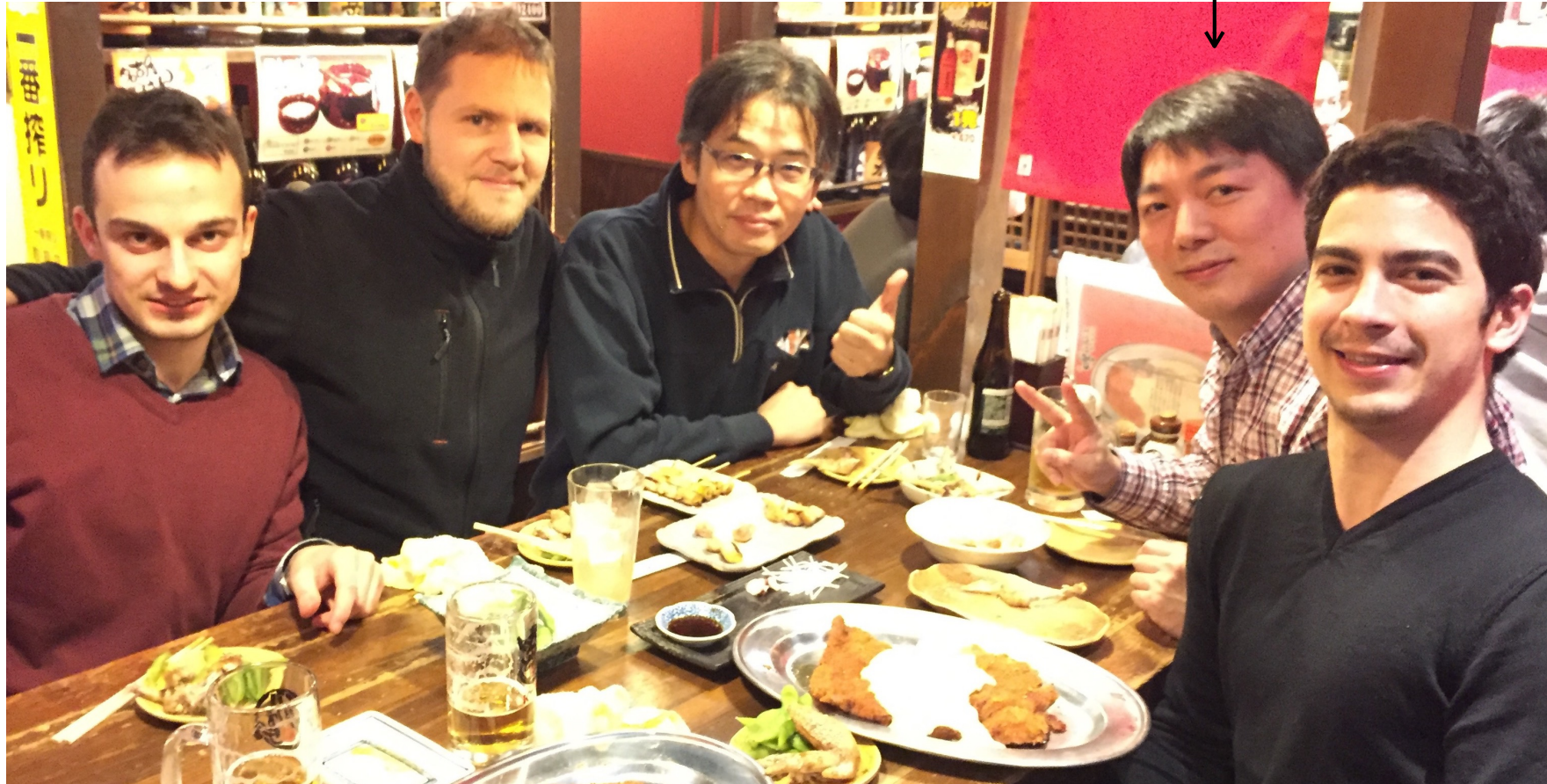
Before we start...

Thanks a lot to Professor Naoki Nakatani for the kind invitation.

Before we start...

Nagoya, February 2017.

Professor Naoki Nakatani



From left to right: **L. Mazouin, E.F., M. Tsuchiizu** (now in Nara), **N.N.**, **B. Senjean** (now in Montpellier, France)

Before we start...

In order to follow the ***first part*** of the course you only need basic knowledge in ***first quantization***.

Before we start...

I will use *second quantization* in the *second and third parts* of the course.

Before we start...

I will use ***second quantization*** in the *second and third parts* of the course.

An *introductory two-hour lecture* on second quantization is available on YouTube:

<https://www.youtube.com/watch?v=FQBrEI57pDA>

The corresponding slides are available online:

https://quantique.u-strasbg.fr/lib/exe/fetch.php?media=en:pageperso:ef:istpc2021_second_quantization.pdf

Outline

The two main approaches to the *electronic structure problem* in Quantum Chemistry will be reviewed.

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The two main approaches to the **electronic structure problem** in Quantum Chemistry will be reviewed.

These approaches are **wave function theory** (WFT) and **density-functional theory** (DFT).

Limitations of DFT in the description of **strong electron correlation** will be highlighted.

This first part of the course aims at **motivating** the development of **quantum embedding** approaches based on DFT and/or WFT .

Diversity of quantum embedding approaches in Physics and Chemistry

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Michele Pavanello



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Electronic Schrödinger equation

$$\hat{H}\Psi_I = E_I\Psi_I$$

Electronic Schrödinger equation

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*Electronic Hamiltonian
operator*

Electronic Schrödinger equation

$$\hat{H}\Psi_I = E_I\Psi_I$$

*Electronic Hamiltonian
operator*

known!

Electronic Schrödinger equation

$$\hat{H}\Psi_I = E_I\Psi_I$$

Ground ($I = 0$) *and excited* ($I > 0$)
electronic energies

Electronic Schrödinger equation

$$\hat{H}\Psi_I = E_I\Psi_I$$

Ground ($I = 0$) *and excited* ($I > 0$)
electronic energies

unknown!

Electronic Schrödinger equation

$$\hat{H}\Psi_0 = E_0\Psi_0$$

*In this lecture we will focus on the **ground-state problem***

Electronic Schrödinger equation

$$\hat{H}\Psi_0 = E_0\Psi_0$$

*Ground-state electronic
wave function*

Electronic Schrödinger equation

$$\hat{H}\Psi_0 = E_0\Psi_0$$

*Ground-state electronic
wave function*

unknown!

N-electron wave function

$$\Psi_0 \equiv \Psi_0(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$$

electronic coordinates

N-electron wave function

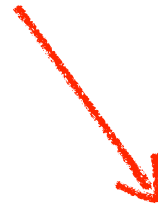
$$\Psi_0 \equiv \Psi_0(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N)$$

electronic coordinates

$$\mathbf{x}_i \equiv (\mathbf{r}_i, \sigma_i)$$



*Cartesian space
coordinates*



Spin coordinate

$$\mathbf{r}_i \equiv (x_i, y_i, z_i)$$

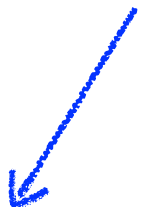
$$\sigma_i = \uparrow \text{ or } \downarrow$$

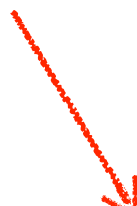
N -electron Hamiltonian operator (in atomic units)

$$\hat{H} \equiv \sum_{i=1}^N \hat{h}(i) + \frac{1}{2} \sum_{i \neq j}^N \hat{g}(i, j)$$

One-electron part

Two-electron part


$$\hat{h}(i) \equiv -\frac{1}{2} \nabla_{\mathbf{r}_i}^2 + v_{\text{ext}}(\mathbf{r}_i) \times$$


$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

Kinetic energy+nuclear attraction

Electronic repulsion

N -electron Hamiltonian (in atomic units)

$$\hat{H} \equiv \sum_{i=1}^N \hat{h}(i) + \frac{1}{2} \sum_{i \neq j}^N \hat{g}(i, j)$$

One-electron part

Two-electron part

Universal one-electron
differential operator

$$\hat{h}(i) \equiv -\frac{1}{2} \nabla_{\mathbf{r}_i}^2 + v_{\text{ext}}(\mathbf{r}_i) \times$$

Kinetic energy + nuclear attraction

$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

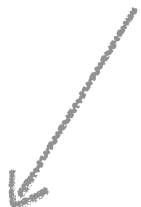
Electronic repulsion

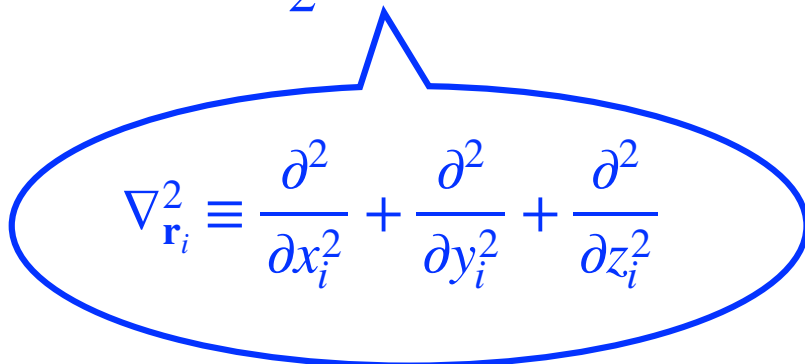
N-electron Hamiltonian (in atomic units)

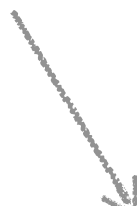
$$\hat{H} \equiv \sum_{i=1}^N \hat{h}(i) + \frac{1}{2} \sum_{i \neq j}^N \hat{g}(i, j)$$

One-electron part

Two-electron part


$$\hat{h}(i) \equiv -\frac{1}{2} \nabla_{\mathbf{r}_i}^2 + v_{\text{ext}}(\mathbf{r}_i) \times$$


$$\nabla_{\mathbf{r}_i}^2 \equiv \frac{\partial^2}{\partial x_i^2} + \frac{\partial^2}{\partial y_i^2} + \frac{\partial^2}{\partial z_i^2}$$


$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

Electronic repulsion

N -electron Hamiltonian (in atomic units)

$$\hat{H} \equiv \sum_{i=1}^N \hat{h}(i) + \frac{1}{2} \sum_{i \neq j}^N \hat{g}(i, j)$$

One-electron part

Two-electron part

**One-electron
local (multiplicative)
operator**

$$\hat{h}(i) \equiv -\frac{1}{2} \nabla_{\mathbf{r}_i}^2 + v_{\text{ext}}(\mathbf{r}_i) \times$$

$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

*Kinetic energy + **nuclear attraction***

Electronic repulsion

N -electron Hamiltonian (in atomic units)

$$\hat{H} \equiv \sum_{i=1}^N \hat{h}(i) + \frac{1}{2} \sum_{i \neq j}^N \hat{g}(i, j)$$

One-electron part

Two-electron part

not universal!

$$\hat{h}(i) \equiv -\frac{1}{2} \nabla_{\mathbf{r}_i}^2 + v_{\text{ext}}(\mathbf{r}_i) \times$$

$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

$$v_{\text{ext}}(\mathbf{r}_i) = - \sum_A^{\text{nuclei}} \frac{Z_A}{|\mathbf{r}_i - \mathbf{R}_A|}$$

“external” potential energy

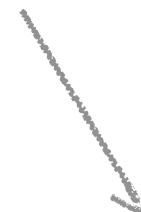
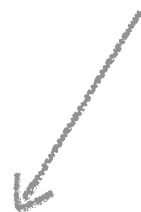
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Atomic number

$$v_{\text{ext}}(\mathbf{r}_i) = - \sum_A^{\text{nuclei}} \frac{Z_A}{|\mathbf{r}_i - \mathbf{R}_A|}$$

Electronic repulsion

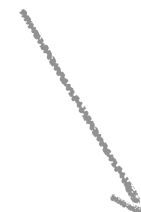
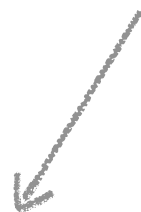
Electron-nucleus distance

N-electron Hamiltonian (in atomic units)

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One-electron part

Two-electron part



We solve the electronic problem
for **fixed nuclei positions** $\mathbf{R}_A \equiv (x_A, y_A, z_A)$

$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

$$v_{\text{ext}}(\mathbf{r}_i) = - \sum_A^{\text{nuclei}} \frac{Z_A}{|\mathbf{r}_i - \mathbf{R}_A|}$$

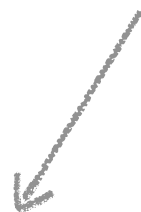
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One-electron part

Two-electron part



We solve the electronic problem
for **fixed nuclei positions** $\mathbf{R}_A \equiv (x_A, y_A, z_A)$

**Born-Oppenheimer
approximation**

$$\hat{g}(i, j) \equiv \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

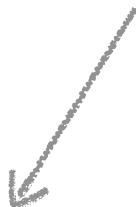
Electronic repulsion

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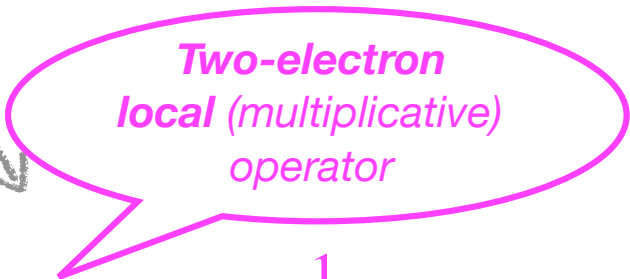
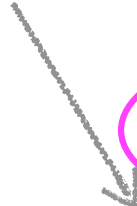
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Kinetic energy+nuclear attraction


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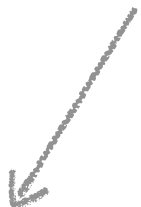
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Electronic repulsion

N-electron ground-state Schrödinger equation

In summary, we have to solve the following *differential equation*...

$$\begin{aligned} & -\frac{1}{2} \sum_{i=1}^N \nabla_{\mathbf{r}_i}^2 \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_i, \sigma_i, \dots, \mathbf{r}_N, \sigma_N) \\ & + \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \times \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_i, \sigma_i, \dots, \mathbf{r}_N, \sigma_N) \\ & + \frac{1}{2} \sum_{i \neq j}^N \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_i, \sigma_i, \dots, \mathbf{r}_j, \sigma_j, \dots, \mathbf{r}_N, \sigma_N) \\ & = E_0 \times \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_N, \sigma_N) \end{aligned}$$

N-electron ground-state Schrödinger equation

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$$+ \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \times \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_i, \sigma_i, \dots, \mathbf{r}_N, \sigma_N)$$

$$+ \frac{1}{2} \sum_{i \neq j}^N \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_i, \sigma_i, \dots, \mathbf{r}_j, \sigma_j, \dots, \mathbf{r}_N, \sigma_N)$$

... and we have to find the *lowest energy* (!)

$$= E_0 \times \Psi_0(\mathbf{r}_1, \sigma_1, \dots, \mathbf{r}_N, \sigma_N)$$

Rayleigh-Ritz variational principle

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi_0 | \hat{H} | \Psi_0 \rangle$$

Rayleigh-Ritz variational principle

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*Minimisation over
trial normalised wave functions Ψ*

Rayleigh-Ritz variational principle

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi_0 | \hat{H} | \Psi_0 \rangle$$

Minimisation over
trial **normalised** wave functions Ψ

$$\langle \Psi | \Psi \rangle = \int d\mathbf{x}_1 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N |\Psi(\mathbf{x}_1, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N)|^2 = 1$$

Rayleigh-Ritz variational principle

$$\int d\mathbf{x}_i \equiv \int d\mathbf{r}_i \sum_{\sigma_i=\uparrow,\downarrow} \equiv \sum_{\sigma_i=\uparrow,\downarrow} \int_{-\infty}^{+\infty} dx_i \int_{-\infty}^{+\infty} dy_i \int_{-\infty}^{+\infty} dz_i$$

$$\langle \Psi | \Psi \rangle = \int d\mathbf{x}_1 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N |\Psi(\mathbf{x}_1, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N)|^2 = 1$$


Rayleigh-Ritz variational principle

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi_0 | \hat{H} | \Psi_0 \rangle$$



*Energy expectation value
for the trial wave function Ψ*

Rayleigh-Ritz variational principle

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle = \langle \Psi_0 | \hat{H} | \Psi_0 \rangle$$


*Energy expectation value
for the trial wave function Ψ*

Expectation value for an observable \hat{O} described by the quantum operator \hat{O}

$$\langle \Psi | \hat{O} | \Psi \rangle = \int d\mathbf{x}_1 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N \Psi(\mathbf{x}_1, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \times \hat{O} \Psi(\mathbf{x}_1, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N)$$

notation
 $\equiv \langle \hat{O} \rangle_{\Psi}$

Hartree-Fock theory

$$E_0 \approx \min_{\Phi} \langle \Phi | \hat{H} | \Phi \rangle = \langle \Phi_{HF} | \hat{H} | \Phi_{HF} \rangle = E_{HF}$$



Minimization over single Slater determinants
(fully occupied or unoccupied spin-orbitals)

Hartree-Fock theory

$$E_0 \approx \min_{\Phi} \langle \Phi | \hat{H} | \Phi \rangle = \langle \Phi_{HF} | \hat{H} | \Phi_{HF} \rangle = E_{HF}$$



Minimization over single Slater determinants
(fully occupied or unoccupied spin-orbitals)



Hartree-Fock energy
(full exact exchange and no correlation)

Density-functional theory (DFT)

The Nobel Prize in Chemistry 1998

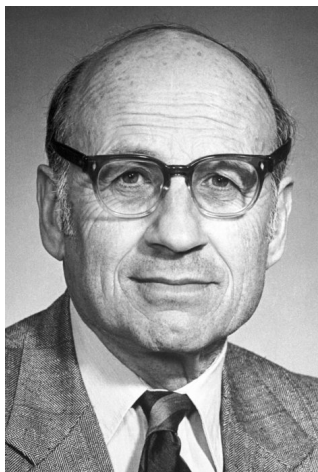


Photo from the Nobel
Foundation archive.

Walter Kohn

Prize share: 1/2

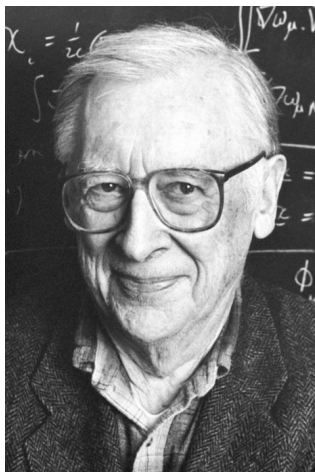


Photo from the Nobel
Foundation archive.

John A. Pople

Prize share: 1/2

It is ***in principle unnecessary to know***
the ground-state many-electron ***wave function*** Ψ_0
for evaluating the exact ground-state energy E_0 .

The Nobel Prize in Chemistry 1998 was divided equally between Walter Kohn "for his development of the density-functional theory" and John A. Pople "for his development of computational methods in quantum chemistry."

Density-functional theory (DFT)

The Nobel Prize in Chemistry 1998

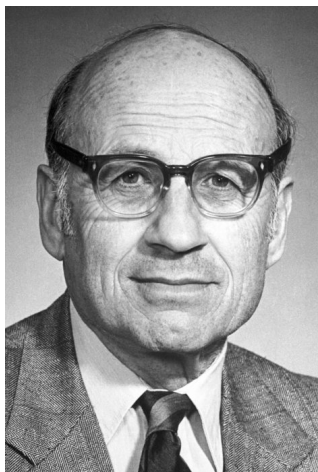


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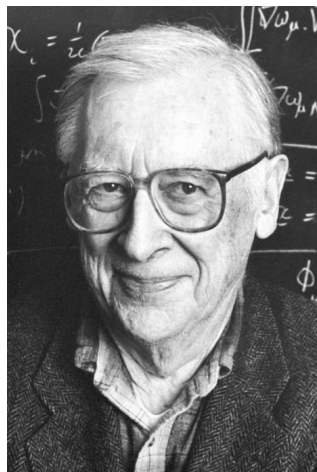


Photo from the Nobel
Foundation archive.

John A. Pople

Prize share: 1/2

It is *in principle unnecessary to know* the ground-state many-electron *wave function* Ψ_0 for evaluating the exact ground-state energy E_0 .

The ground-state *density* n_0 is *sufficient*.

The Nobel Prize in Chemistry 1998 was divided equally between Walter Kohn "for his development of the density-functional theory" and John A. Pople "for his development of computational methods in quantum chemistry."

Electron density

$$n_{\Psi}(\mathbf{r}) \stackrel{\text{definition}}{=} N \sum_{\sigma_1=\uparrow,\downarrow} \int d\mathbf{x}_2 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N \left| \Psi(\mathbf{r}, \sigma_1, \mathbf{x}_2, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \right|^2$$



Density of the many-electron wave function Ψ

Electron density

$$n_{\Psi}(\mathbf{r}) \stackrel{\text{definition}}{=} N \sum_{\sigma_1=\uparrow,\downarrow} \int d\mathbf{x}_2 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N \left| \Psi(\mathbf{r}, \sigma_1, \mathbf{x}_2, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \right|^2$$



Density of the many-electron wave function Ψ



Function of the **three** cartesian space coordinates $\mathbf{r} \equiv (x, y, z)$

Electron density

$$n_{\Psi}(\mathbf{r}) \stackrel{\text{definition}}{=} N \sum_{\sigma_1=\uparrow,\downarrow} \int d\mathbf{x}_2 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N \left| \Psi(\mathbf{r}, \sigma_1, \mathbf{x}_2, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \right|^2$$

$$\int d\mathbf{r} n_{\Psi}(\mathbf{r}) = N \langle \Psi | \Psi \rangle = N \quad \leftarrow \text{Number of electrons}$$

Electron density

$$n_{\Psi}(\mathbf{r}) \stackrel{\text{definition}}{=} N \sum_{\sigma_1=\uparrow,\downarrow} \int d\mathbf{x}_2 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N \left| \Psi(\mathbf{r}, \sigma_1, \mathbf{x}_2, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \right|^2$$

$$n_{\Psi_0}(\mathbf{r}) = n_0(\mathbf{r}) \quad \leftarrow \text{Exact ground-state density}$$

Electron density

$$n_{\Psi}(\mathbf{r}) \stackrel{\text{definition}}{=} N \sum_{\sigma_1=\uparrow,\downarrow} \int d\mathbf{x}_2 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N \left| \Psi(\mathbf{r}, \sigma_1, \mathbf{x}_2, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \right|^2$$

Note that the **external potential energy** is an **explicit** functional of the **density**

Proof:

$$\left\langle \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \times \right\rangle_{\Psi} = \int d\mathbf{x}_1 \dots \int d\mathbf{x}_N \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \times \left| \Psi(\mathbf{x}_1, \dots, \mathbf{x}_N) \right|^2$$

Electrons are indistinguishable particles

$$= \sum_{i=1}^N \int d\mathbf{x}_1 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N v_{\text{ext}}(\mathbf{r}_i) \times \left| \Psi(\mathbf{x}_1, \dots, \mathbf{x}_i, \dots, \mathbf{x}_N) \right|^2$$

$$= \sum_{i=1}^N \int d\mathbf{x}_1 \dots \int d\mathbf{x}_i \dots \int d\mathbf{x}_N v_{\text{ext}}(\mathbf{r}_i) \times \left| \Psi(\mathbf{x}_i, \dots, \mathbf{x}_1, \dots, \mathbf{x}_N) \right|^2$$

$\mathbf{x}_1 \leftrightarrow \mathbf{x}_i$

$$= \sum_{i=1}^N \left(\int d\mathbf{x}_1 \dots \int d\mathbf{x}_N v_{\text{ext}}(\mathbf{r}_1) \times \left| \Psi(\mathbf{x}_1, \dots, \mathbf{x}_N) \right|^2 \right)$$

Change of variables in the integrals
 $\mathbf{x}_i \rightarrow \mathbf{x}_1$
 $\mathbf{x}_1 \rightarrow \mathbf{x}_i$

$$= N \int d\mathbf{x}_1 \dots \int d\mathbf{x}_N v_{\text{ext}}(\mathbf{r}_1) \times \left| \Psi(\mathbf{x}_1, \dots, \mathbf{x}_N) \right|^2$$

$$= \int d\mathbf{r}_1 v_{\text{ext}}(\mathbf{r}_1) \times N \sum_{\sigma_1=\uparrow,\downarrow} \int d\mathbf{x}_2 \dots \int d\mathbf{x}_N \left| \Psi(\mathbf{r}_1, \sigma_1, \mathbf{x}_2, \dots, \mathbf{x}_N) \right|^2$$

$$= \int d\mathbf{r}_1 v_{\text{ext}}(\mathbf{r}_1) \times n_{\Psi}(\mathbf{r}_1)$$

Exact external potential energy

$$\left\langle \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \right\rangle_{\Psi_0} = \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_{\Psi_0}(\mathbf{r}) = \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_0(\mathbf{r})$$



We do not need to know Ψ_0

Exact external potential energy

$$\left\langle \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \right\rangle_{\Psi_0} = \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_{\Psi_0}(\mathbf{r}) = \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_0(\mathbf{r})$$



We do not need to know Ψ_0

We just need to know n_0

Exact external potential energy

$$\left\langle \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \right\rangle_{\Psi_0} = \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_{\Psi_0}(\mathbf{r}) = \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_0(\mathbf{r})$$



We do not need to know Ψ_0

We just need to know n_0

*The exact **kinetic** and two-electron **repulsion** energies are **implicit** functionals of n_0 .*

Levy's constrained search formalism

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle$$

Levy's constrained search formalism

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle$$

$$= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\}$$



Pre-minimisation over wave functions Ψ
that have the *same density* $n_{\Psi}(\mathbf{r}) = n(\mathbf{r})$

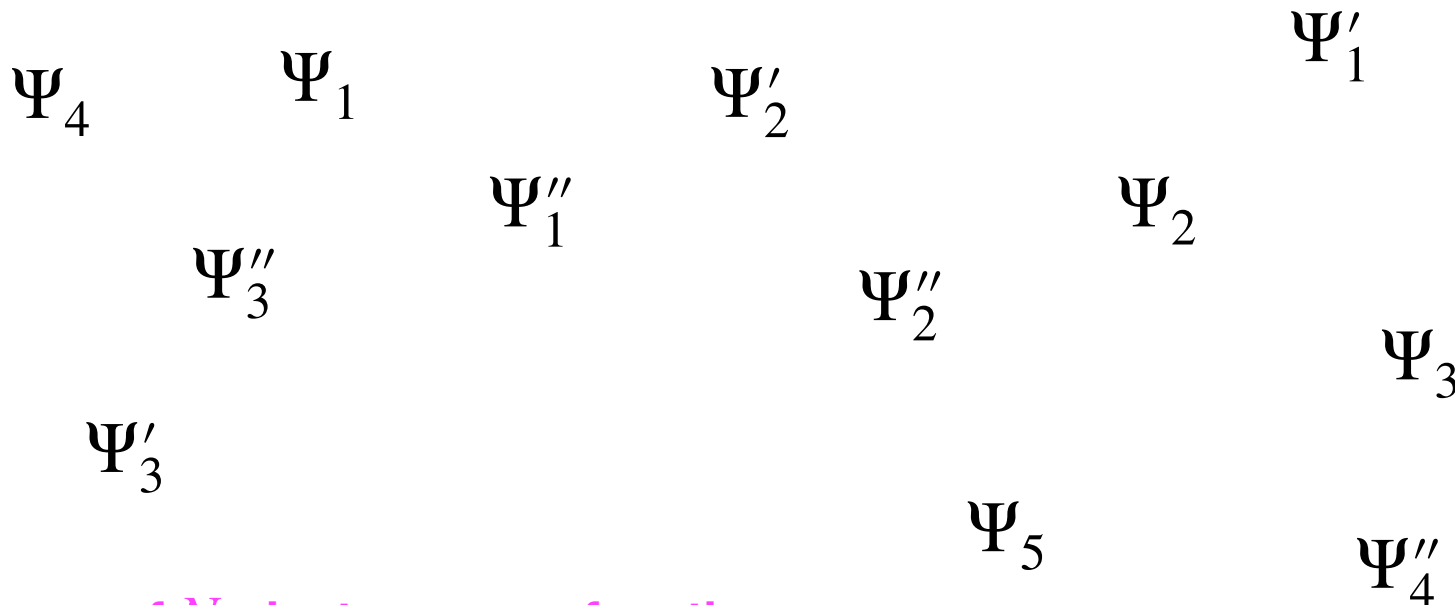
Levy's constrained search formalism

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle$$
$$= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\}$$

Minimisation over densities n

Levy's constrained search formalism

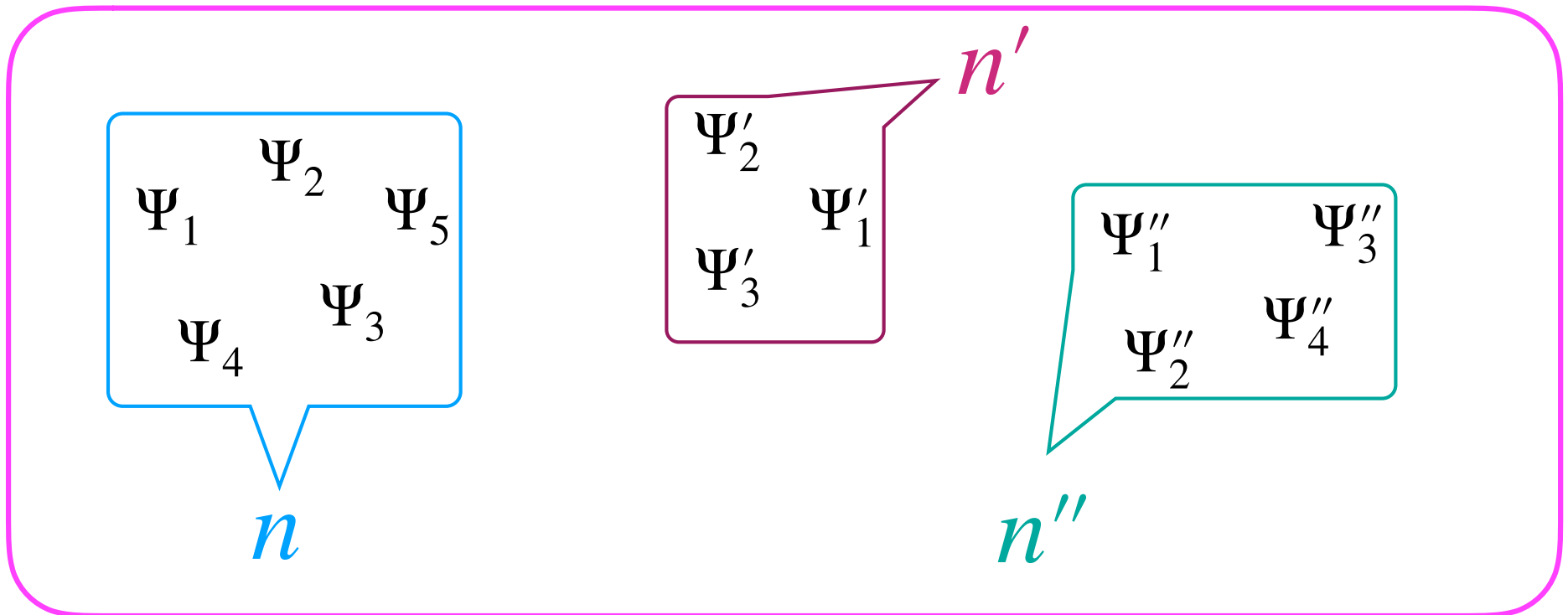
$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle$$
$$= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\}$$



Space of N -electron wave functions

Levy's constrained search formalism

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle$$
$$= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\}$$



Levy's constrained search formalism

$$E_0 = \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle$$

$$= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\}$$

$$\hat{H} = \hat{T} + \hat{W}_{ee} + \sum_{i=1}^N v_{\text{ext}}(\mathbf{r}_i) \times$$

$$= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_{\Psi}(\mathbf{r}) \right\} \right\}$$

$$\hat{T} \equiv \sum_{i=1}^N -\frac{1}{2} \nabla_{\mathbf{r}_i}^2$$

$$\hat{W}_{ee} \equiv \frac{1}{2} \sum_{i \neq j}^N \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \times$$

Levy's constrained search formalism

$$\begin{aligned} E_0 &= \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle \\ &= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\} \\ &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_{\Psi}(\mathbf{r}) \right\} \right\} \\ &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle \right\} + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n(\mathbf{r}) \right\} \end{aligned}$$

Levy's constrained search formalism

$$\begin{aligned} E_0 &= \min_{\Psi} \langle \Psi | \hat{H} | \Psi \rangle \\ &= \min_n \left\{ \min_{\Psi \rightarrow n} \langle \Psi | \hat{H} | \Psi \rangle \right\} \\ &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n_{\Psi}(\mathbf{r}) \right\} \right\} \\ &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle \right\} + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n(\mathbf{r}) \right\} \\ &= \min_n \left\{ F[n] + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r}) n(\mathbf{r}) \right\} \end{aligned}$$

Levy's constrained search formalism

$$E_0 = \min_n \left\{ F[n] + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r})n(\mathbf{r}) \right\}$$

We recover the **Hohenberg-Kohn variational principle of DFT!**

Kohn-Sham DFT formalism

$$F[n] = \min_{\Psi \rightarrow n} \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle$$

Interacting universal functional

Kohn-Sham



$$T_s[n] = \min_{\Psi \rightarrow n} \langle \Psi | \hat{T} | \Psi \rangle$$

Non-interacting
(kinetic energy) functional

Kohn-Sham DFT formalism

$$F[n] = \min_{\Psi \rightarrow n} \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle \xrightarrow{\text{Kohn-Sham}} T_s[n] = \min_{\Psi \rightarrow n} \langle \Psi | \hat{T} | \Psi \rangle$$

Interacting universal functional

Non-interacting
(kinetic energy) functional

$$F[n] - T_s[n] = E_{\text{Hxc}}[n]$$

Kohn-Sham DFT formalism

$$F[n] = \min_{\Psi \rightarrow n} \langle \Psi | \hat{T} + \hat{W}_{ee} | \Psi \rangle \xrightarrow{\text{Kohn-Sham}} T_s[n] = \min_{\Psi \rightarrow n} \langle \Psi | \hat{T} | \Psi \rangle$$

Interacting universal functional

Non-interacting
(kinetic energy) functional

$$\begin{aligned} F[n] - T_s[n] &= E_{\text{Hxc}}[n] \\ &= E_{\text{H}}[n] + E_{\text{xc}}[n] \end{aligned}$$

Kohn-Sham DFT formalism

Hartree density functional

$$E_{\text{H}}[n] = \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \frac{n(\mathbf{r}_1)n(\mathbf{r}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$



Electrostatics
(evaluated with quantum
electron densities)

Kohn-Sham DFT formalism

Hartree density functional

$$E_{\text{H}}[n] = \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \frac{n(\mathbf{r}_1)n(\mathbf{r}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$



Electrostatics
(evaluated with quantum
electron densities)

Exchange-correlation (xc) density functional

$$E_{\text{xc}}[n] = F[n] - T_{\text{s}}[n] - E_{\text{H}}[n]$$



Quantum
many-electron effects

Kohn-Sham DFT formalism

Hartree density functional

$$E_{\text{H}}[n] = \frac{1}{2} \int d\mathbf{r}_1 \int d\mathbf{r}_2 \frac{n(\mathbf{r}_1)n(\mathbf{r}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$



Electrostatics
(evaluated with quantum
electron densities)

Exchange-correlation (xc) density functional

$$E_{\text{xc}}[n] \approx ???$$



Quantum
many-electron effects

The **exact** xc functional is **uniquely defined** but
many (many) approximations
can be found in the literature (LDA, PBE, B3LYP, SCAN, ...).

*Variational principle
in Kohn-Sham DFT*

$$\begin{aligned}
 E_0 &= \min_n \left\{ F[n] + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r})n(\mathbf{r}) \right\} \\
 &= \min_n \left\{ T_s[n] + E_{\text{Hxc}}[n] + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r})n(\mathbf{r}) \right\} \\
 &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} | \Psi \rangle \right\} + E_{\text{Hxc}}[n] + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r})n(\mathbf{r}) \right\} \\
 &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{T} | \Psi \rangle + E_{\text{Hxc}}[n_\Psi] + \int d\mathbf{r} v_{\text{ext}}(\mathbf{r})n_\Psi(\mathbf{r}) \right\} \right\} \\
 &= \min_n \left\{ \min_{\Psi \rightarrow n} \left\{ \langle \Psi | \hat{H} - \hat{W}_{\text{ee}} | \Psi \rangle + E_{\text{Hxc}}[n_\Psi] \right\} \right\} \\
 &= \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{\text{ee}} | \Psi \rangle + E_{\text{Hxc}}[n_\Psi] \right\}
 \end{aligned}$$

Comparing variational principles

$$E_0 = \min_{\Psi} \left\{ \langle \Psi | \hat{H} | \Psi \rangle \right\} = \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{ee} | \Psi \rangle + E_{\text{Hxc}}[n_{\Psi}] \right\}$$

Comparing variational principles

$$E_0 = \min_{\Psi} \left\{ \langle \Psi | \hat{H} | \Psi \rangle \right\} = \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{ee} | \Psi \rangle + E_{\text{Hxc}}[n_{\Psi}] \right\}$$



Pure wave function theory (WFT)

Comparing variational principles

$$E_0 = \min_{\Psi} \left\{ \langle \Psi | \hat{H} | \Psi \rangle \right\} = \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{ee} | \Psi \rangle + E_{\text{Hxc}}[n_{\Psi}] \right\}$$



Kohn-Sham DFT

Comparing variational principles

$$E_0 = \min_{\Psi} \left\{ \langle \Psi | \hat{H} | \Psi \rangle \right\} = \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{ee} | \Psi \rangle + E_{\text{Hxc}}[n_{\Psi}] \right\}$$



*Explicit two-electron repulsions
are removed from the Hamiltonian...*

Comparing variational principles

$$E_0 = \min_{\Psi} \left\{ \langle \Psi | \hat{H} | \Psi \rangle \right\} = \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{ee} | \Psi \rangle + E_{\text{Hxc}}[n_{\Psi}] \right\}$$



*Explicit two-electron repulsions
are **removed** from the Hamiltonian...*

*... and treated **implicitly** as functionals
of the density.*

Comparing variational principles

$$E_0 = \min_{\Psi} \left\{ \langle \Psi | \hat{H} | \Psi \rangle \right\} = \min_{\Psi} \left\{ \langle \Psi | \hat{H} - \hat{W}_{ee} | \Psi \rangle + E_{\text{Hxc}}[n_{\Psi}] \right\}$$

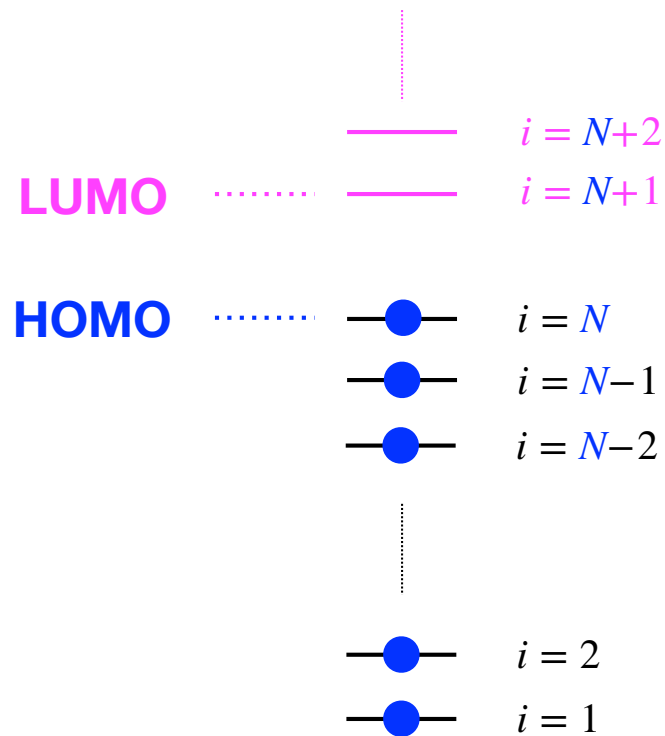


*Explicit two-electron repulsions
are removed from the Hamiltonian...*

The one-electron picture is made exact in KS-DFT!

DFT for N -electron ground states

$$\left[-\frac{\nabla_{\mathbf{r}}^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{Hxc}}(\mathbf{r}) \right] \varphi_i(\mathbf{r}) = \varepsilon_i \varphi_i(\mathbf{r})$$

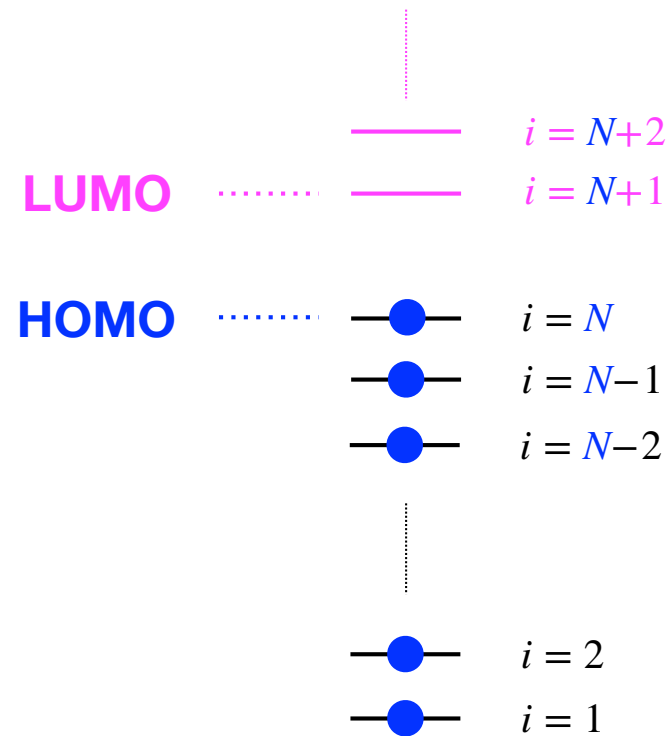


DFT for N -electron ground states

$$\left[-\frac{\nabla_{\mathbf{r}}^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{Hxc}}(\mathbf{r}) \right] \varphi_i(\mathbf{r}) = \varepsilon_i \varphi_i(\mathbf{r})$$

$$n_0(\mathbf{r}) = \sum_{i=1}^N |\varphi_i(\mathbf{r})|^2$$

*exact ground-state
density*



DFT for N -electron ground states

$$\left[-\frac{\nabla_{\mathbf{r}}^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{Hxc}}(\mathbf{r}) \right] \varphi_i(\mathbf{r}) = \varepsilon_i \varphi_i(\mathbf{r})$$

$$n_0(\mathbf{r}) = \sum_{i=1}^N |\varphi_i(\mathbf{r})|^2$$

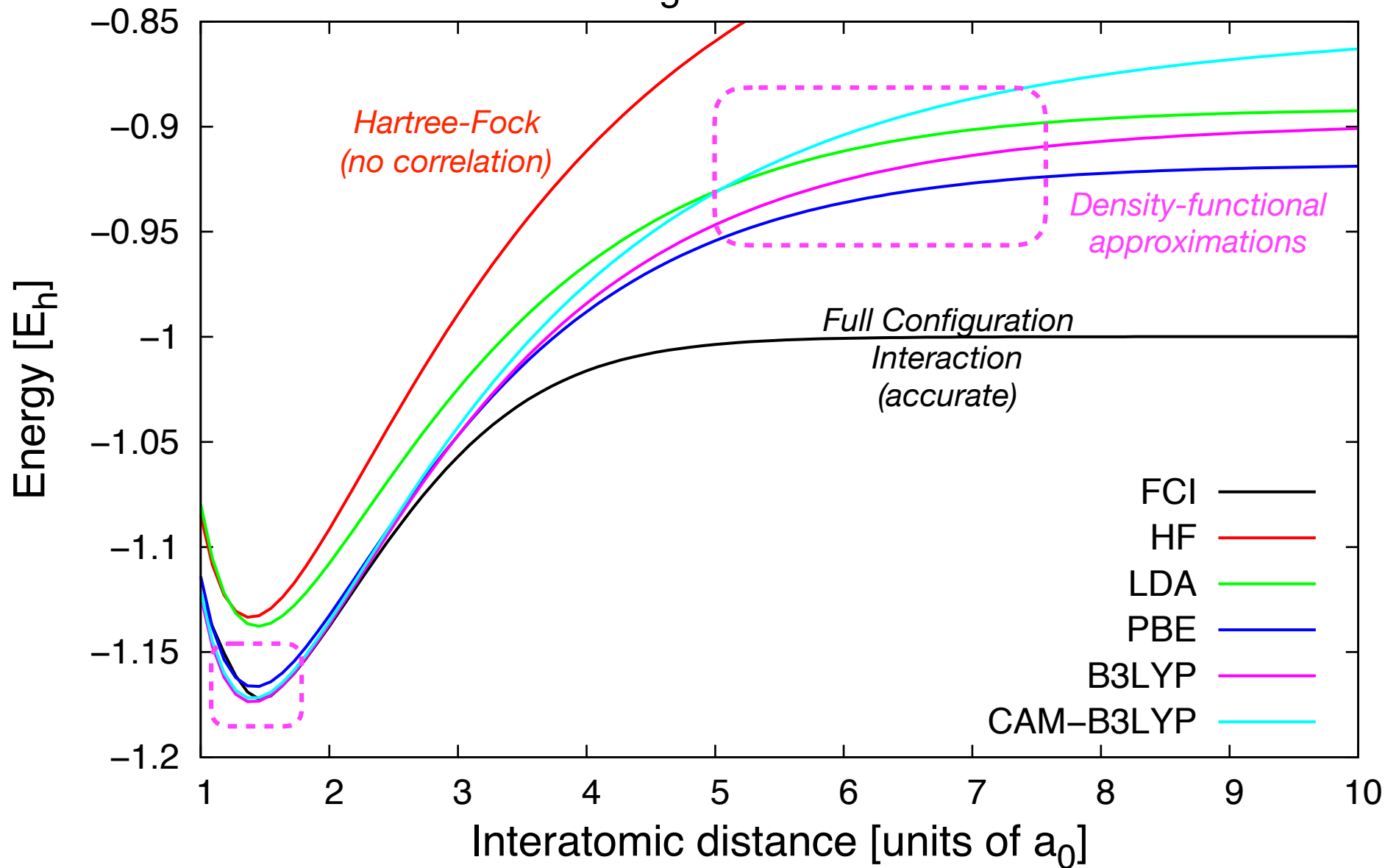
*exact ground-state
density*

$$v_{\text{Hxc}}(\mathbf{r}) = \left. \frac{\delta E_{\text{Hxc}}[n]}{\delta n(\mathbf{r})} \right|_{n=n_0}$$

*Hartree-exchange-correlation
local (multiplicative) potential*

Success and failures of density-functional approximations

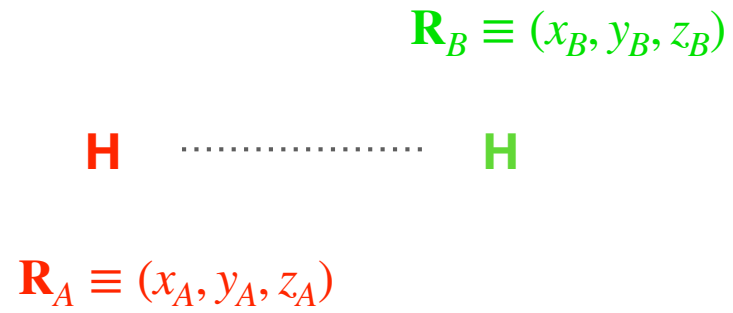
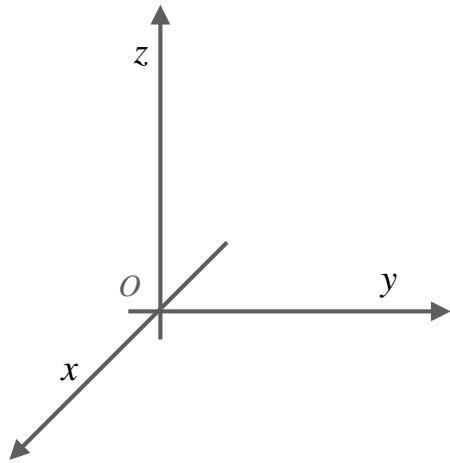
$\text{H}_2 [1^1\Sigma_g^+, \text{aug-cc-pVQZ}]$



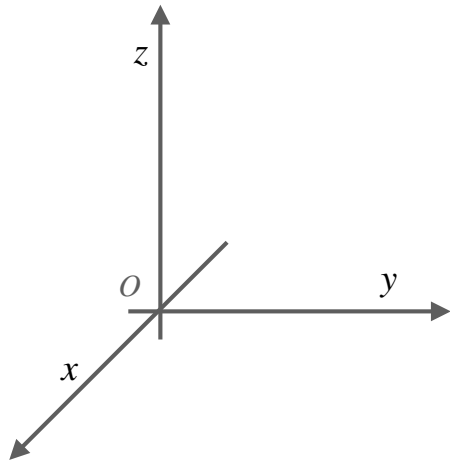
Prototypical hydrogen molecule



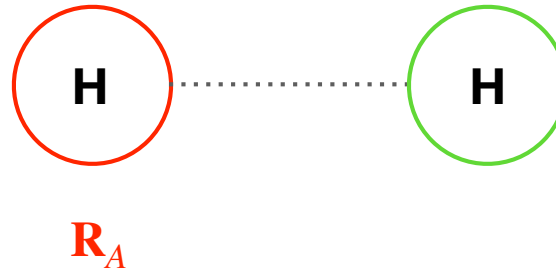
Prototypical hydrogen molecule



Prototypical hydrogen molecule

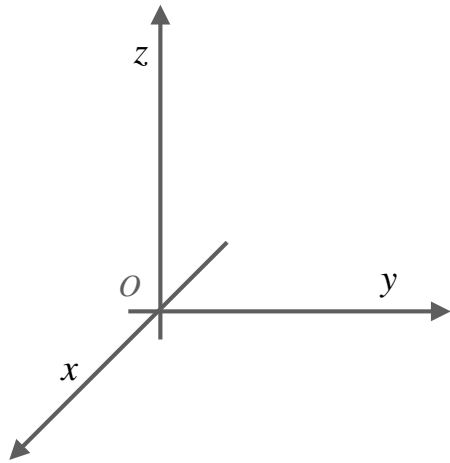


$$\chi_{s_A}(\mathbf{r}) = \frac{1}{\sqrt{\pi}} e^{-|\mathbf{r}-\mathbf{R}_A|}$$

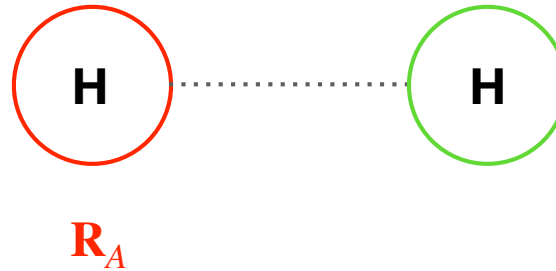


$$\chi_{s_B}(\mathbf{r}) = \frac{1}{\sqrt{\pi}} e^{-|\mathbf{r}-\mathbf{R}_B|}$$

Prototypical hydrogen molecule



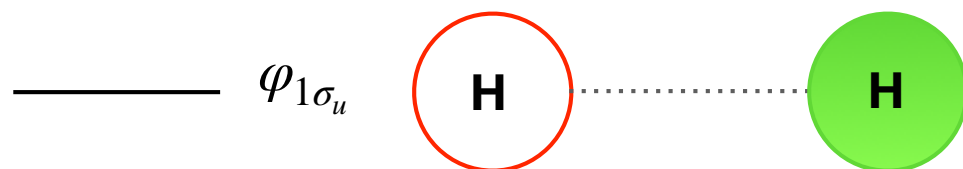
$$\chi_{s_A}(\mathbf{r}) = \frac{1}{\sqrt{\pi}} e^{-|\mathbf{r}-\mathbf{R}_A|}$$



$$\chi_{s_B}(\mathbf{r}) = \frac{1}{\sqrt{\pi}} e^{-|\mathbf{r}-\mathbf{R}_B|}$$

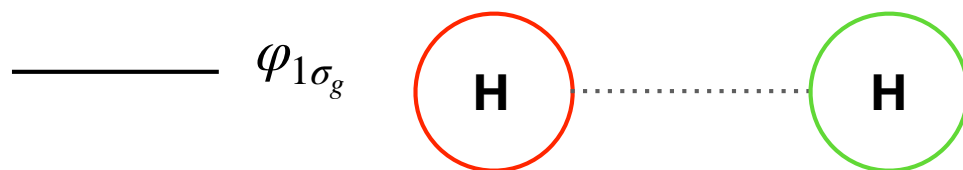
χ_{s_A} and χ_{s_B} are localised orbitals

Prototypical hydrogen molecule



$$\varphi_{1\sigma_u}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\chi_{s_A}(\mathbf{r}) - \chi_{s_B}(\mathbf{r}) \right)$$

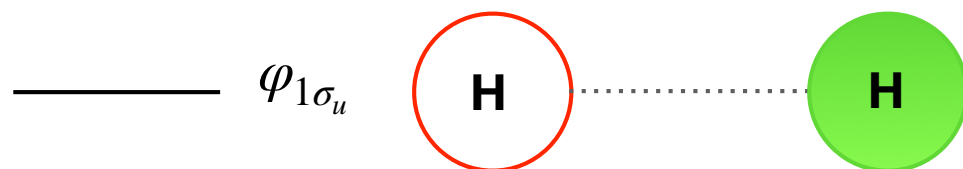
Anti-bonding orbital



$$\varphi_{1\sigma_g}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\chi_{s_A}(\mathbf{r}) + \chi_{s_B}(\mathbf{r}) \right)$$

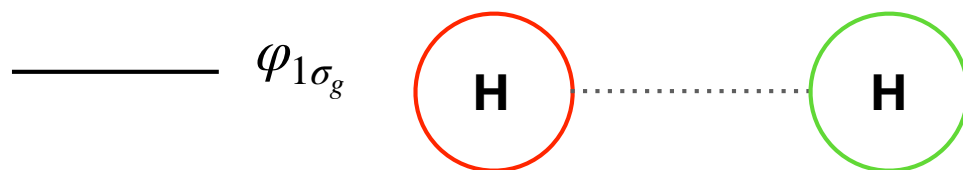
Bonding orbital

Prototypical hydrogen molecule



$$\varphi_{1\sigma_u}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\chi_{s_A}(\mathbf{r}) - \chi_{s_B}(\mathbf{r}) \right)$$

Anti-bonding orbital



$$\varphi_{1\sigma_g}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\chi_{s_A}(\mathbf{r}) + \chi_{s_B}(\mathbf{r}) \right)$$

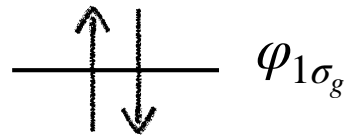
Bonding orbital

$\varphi_{1\sigma_g}$ and $\varphi_{1\sigma_u}$ are delocalised orbitals

Single-configuration ground-state two-electron wave function



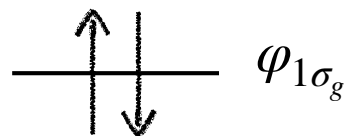
Single-configuration ground-state two-electron wave function



$$\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2)$$

$$\varphi_{1\sigma_g}(\mathbf{r}) = \frac{1}{\sqrt{2}} \left(\chi_{s_A}(\mathbf{r}) + \chi_{s_B}(\mathbf{r}) \right)$$

Single-configuration ground-state two-electron wave function

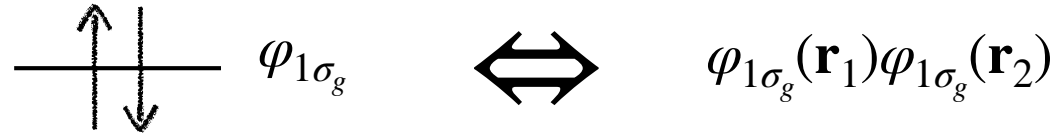


$$\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2)$$

$$\frac{1}{2} \left(\chi_{s_A}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) + \chi_{s_A}(\mathbf{r}_2)\chi_{s_B}(\mathbf{r}_1) + \chi_{s_A}(\mathbf{r}_1)\chi_{s_A}(\mathbf{r}_2) + \chi_{s_B}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) \right)$$



Single-configuration ground-state two-electron wave function



$$\frac{1}{2} \left(\chi_{s_A}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) + \chi_{s_A}(\mathbf{r}_2)\chi_{s_B}(\mathbf{r}_1) + \chi_{s_A}(\mathbf{r}_1)\chi_{s_A}(\mathbf{r}_2) + \chi_{s_B}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) \right)$$

H.....H

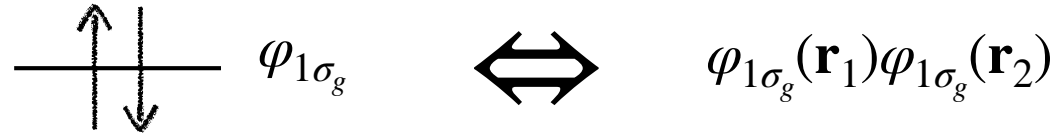
H.....H

H⁻.....H⁺

H⁺.....H⁻

Ionic configurations

Single-configuration ground-state two-electron wave function



$$\frac{1}{2} \left(\chi_{s_A}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) + \chi_{s_A}(\mathbf{r}_2)\chi_{s_B}(\mathbf{r}_1) + \chi_{s_A}(\mathbf{r}_1)\chi_{s_A}(\mathbf{r}_2) + \chi_{s_B}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) \right)$$

H..... **H**

H..... **H**

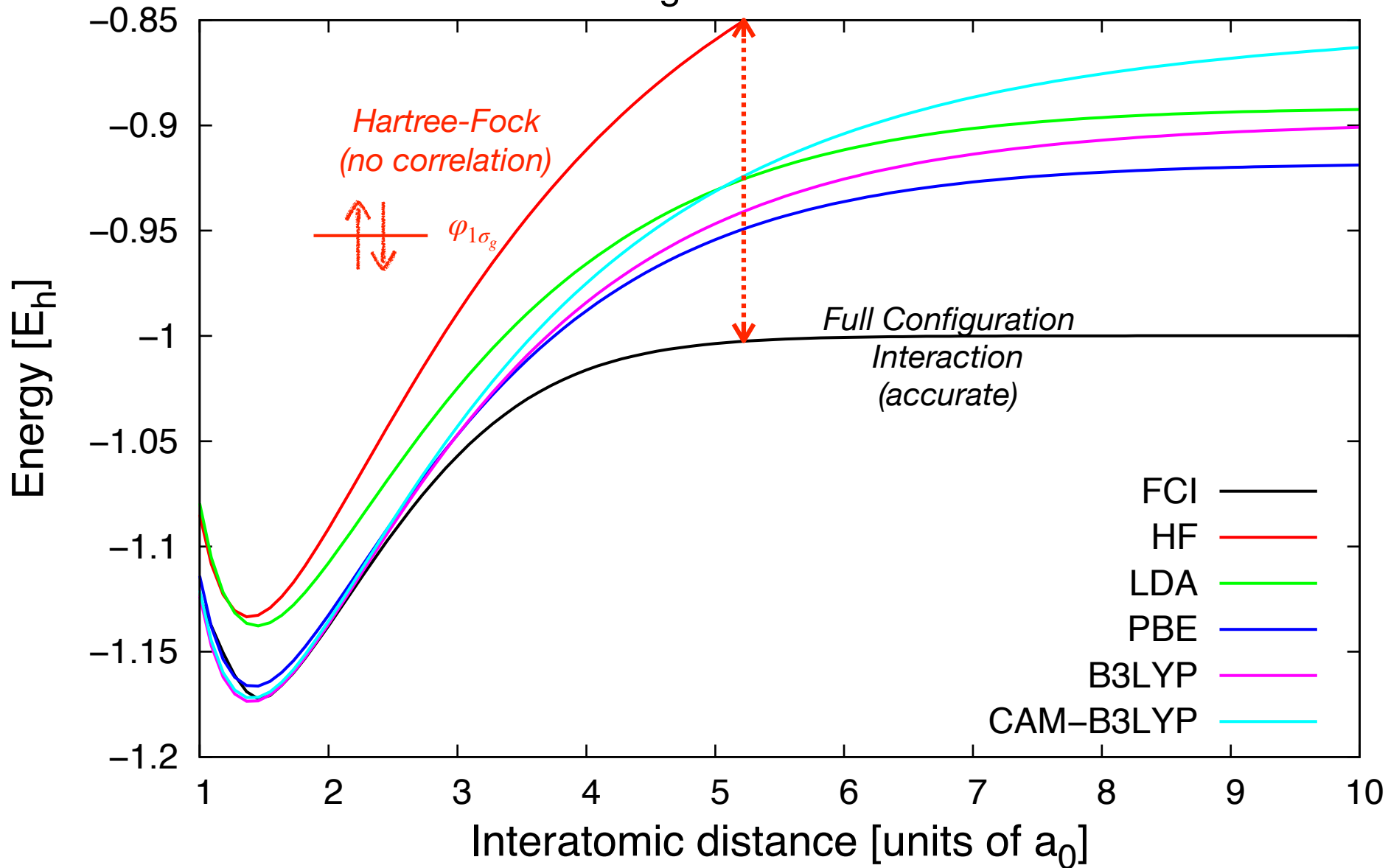
H⁻..... **H⁺**

H⁺..... **H⁻**

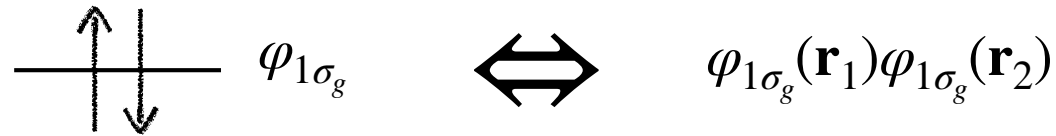
Ionic configurations

Unphysical in the dissociation limit!

H₂ [$1^1\Sigma_g^+$, aug-cc-pVQZ]



Single-configuration ground-state two-electron wave function



$$\frac{1}{2} \left(\chi_{s_A}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) + \chi_{s_A}(\mathbf{r}_2)\chi_{s_B}(\mathbf{r}_1) + \chi_{s_A}(\mathbf{r}_1)\chi_{s_A}(\mathbf{r}_2) + \chi_{s_B}(\mathbf{r}_1)\chi_{s_B}(\mathbf{r}_2) \right)$$

H.....H

H.....H

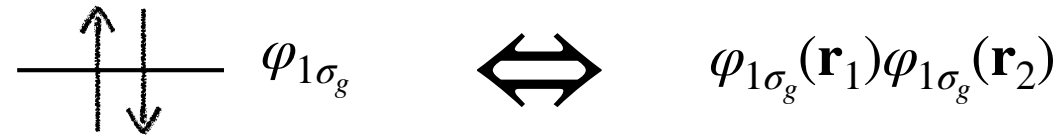
H⁻.....H⁺

H⁺.....H⁻

Electron density in the dissociation limit

$$2 \left| \varphi_{1\sigma_g}(\mathbf{r}) \right|^2 = 2\varphi_{1\sigma_g}(\mathbf{r})\varphi_{1\sigma_g}(\mathbf{r}) = \left| \chi_{s_A}(\mathbf{r}) \right|^2 + \left| \chi_{s_B}(\mathbf{r}) \right|^2 + 2\chi_{s_A}(\mathbf{r})\chi_{s_B}(\mathbf{r})$$

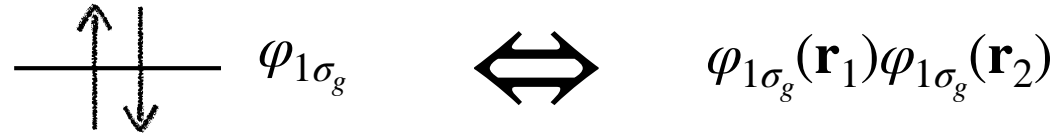
Single-configuration ground-state two-electron wave function



Electron density in the **dissociation limit**

$$2 \left| \varphi_{1\sigma_g}(\mathbf{r}) \right|^2 = 2\varphi_{1\sigma_g}(\mathbf{r})\varphi_{1\sigma_g}(\mathbf{r}) = \left| \chi_{S_A}(\mathbf{r}) \right|^2 + \left| \chi_{S_B}(\mathbf{r}) \right|^2 + 2 \overbrace{\chi_{S_A}(\mathbf{r})\chi_{S_B}(\mathbf{r})}^{\approx 0}$$

Single-configuration ground-state two-electron wave function

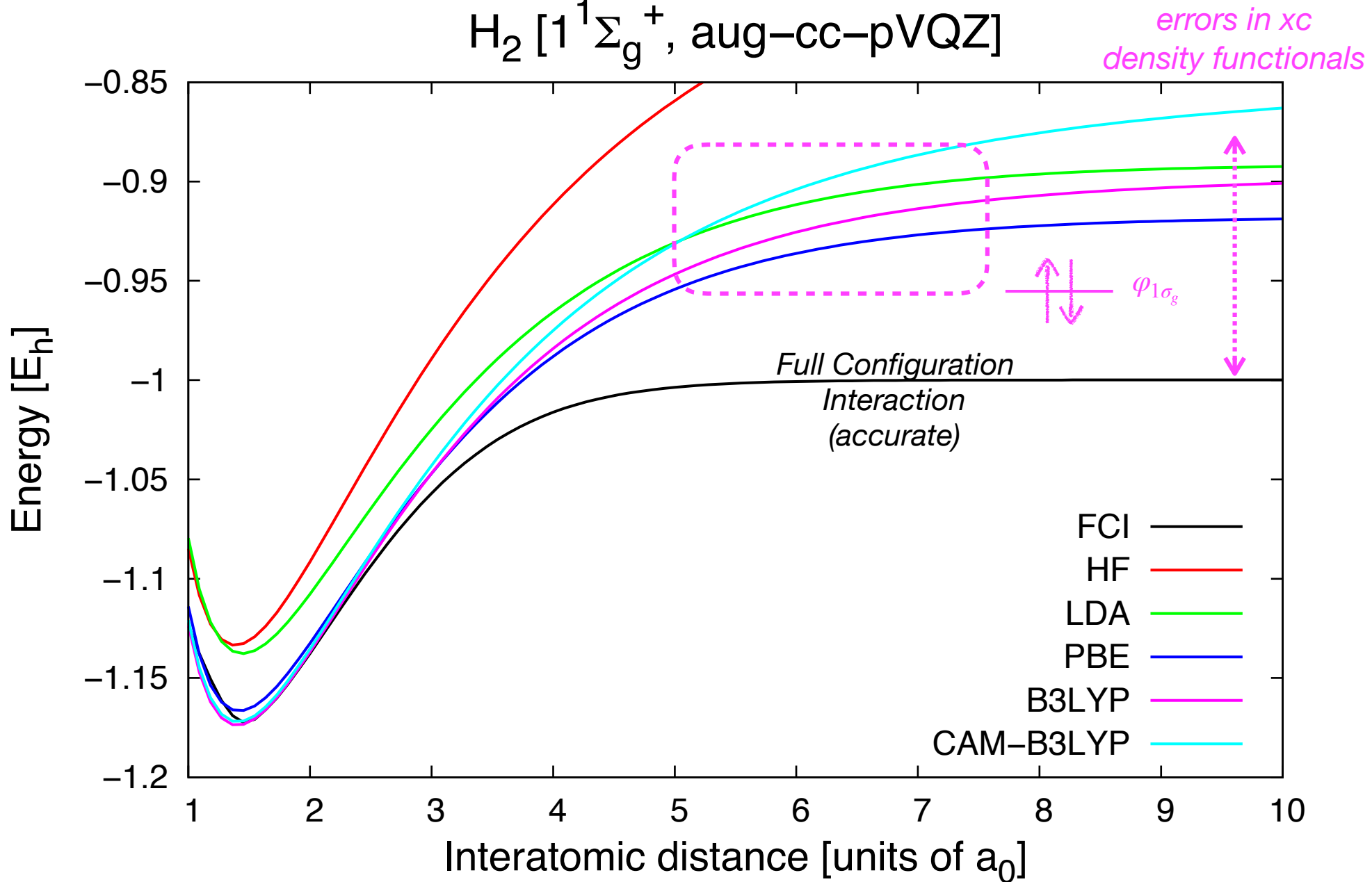


$$2 \left| \varphi_{1\sigma_g}(\mathbf{r}) \right|^2 = 2\varphi_{1\sigma_g}(\mathbf{r})\varphi_{1\sigma_g}(\mathbf{r}) \approx \left| \chi_{S_A}(\mathbf{r}) \right|^2 + \left| \chi_{S_B}(\mathbf{r}) \right|^2$$

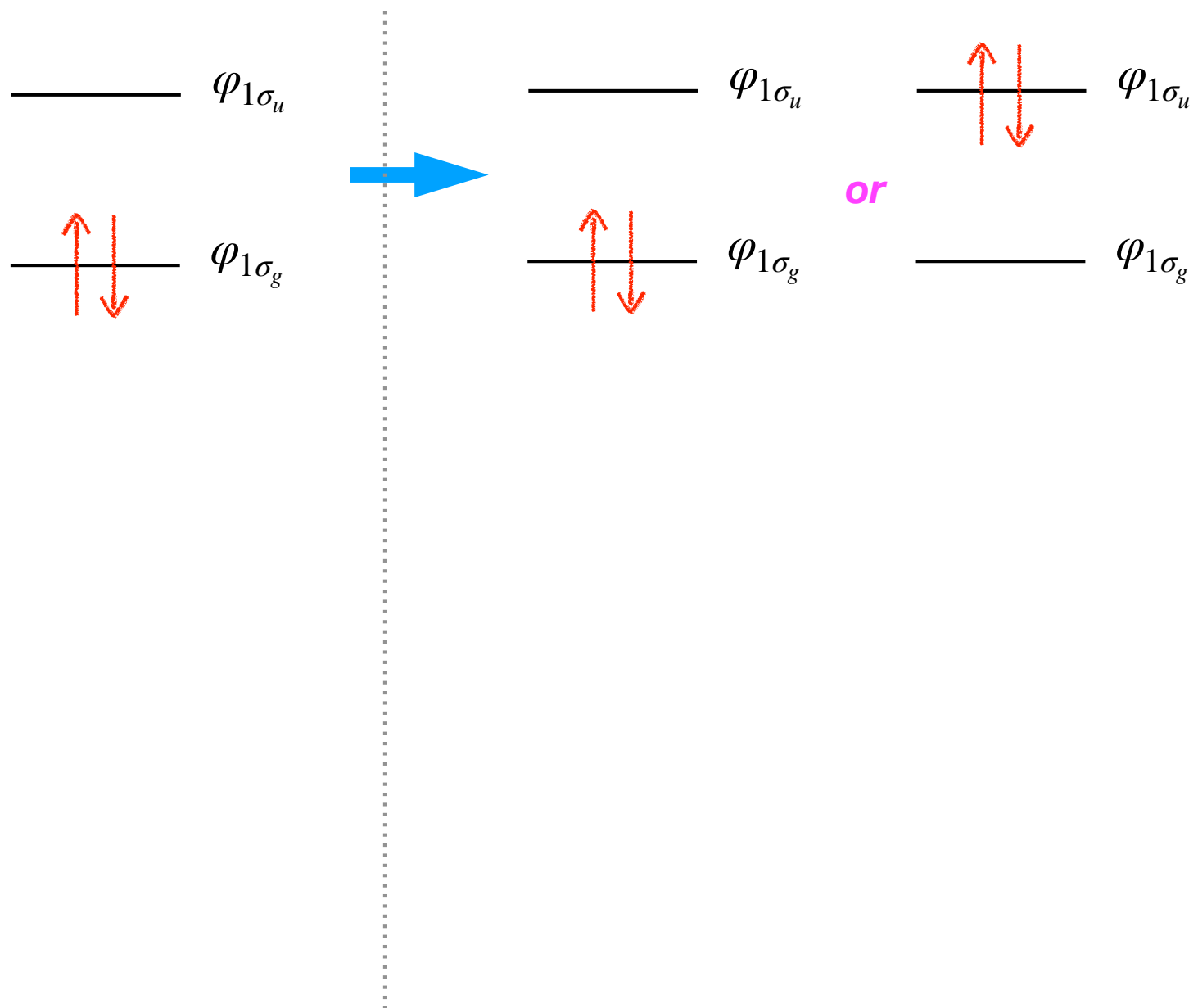
density of the first hydrogen atom *density of the second hydrogen atom*



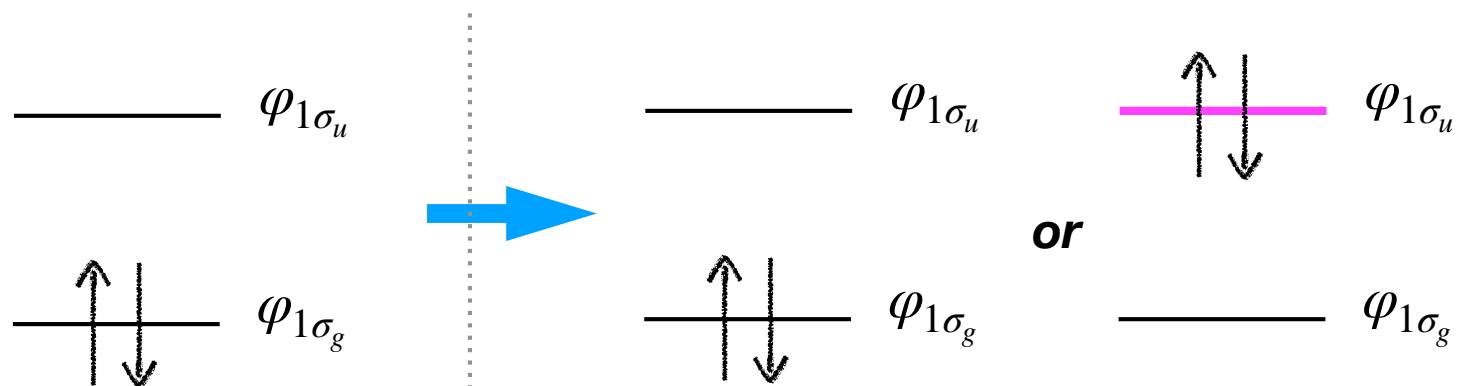
H₂ [$1^1\Sigma_g^+$, aug-cc-pVQZ]



Multi-configurational wave function

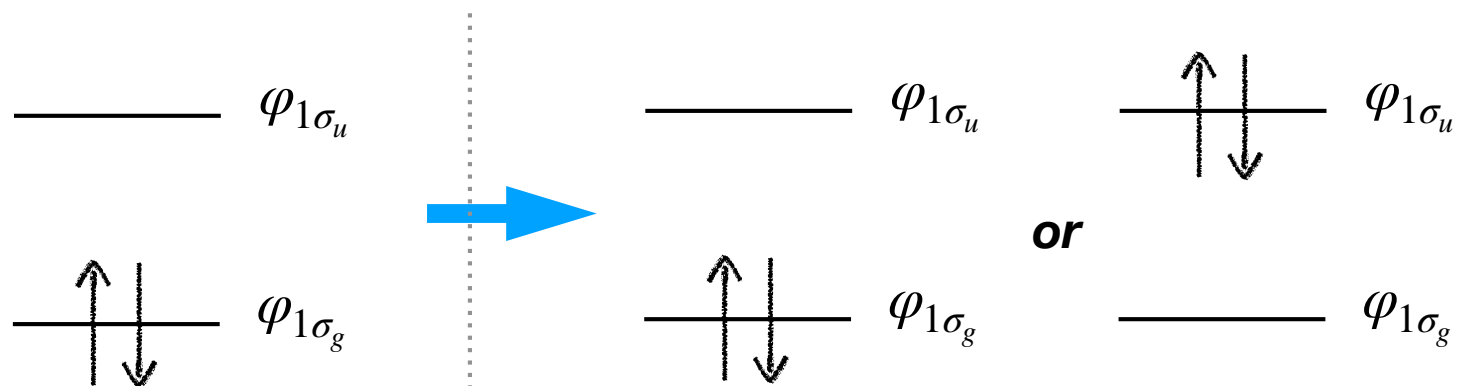


Multi-configurational wave function



$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

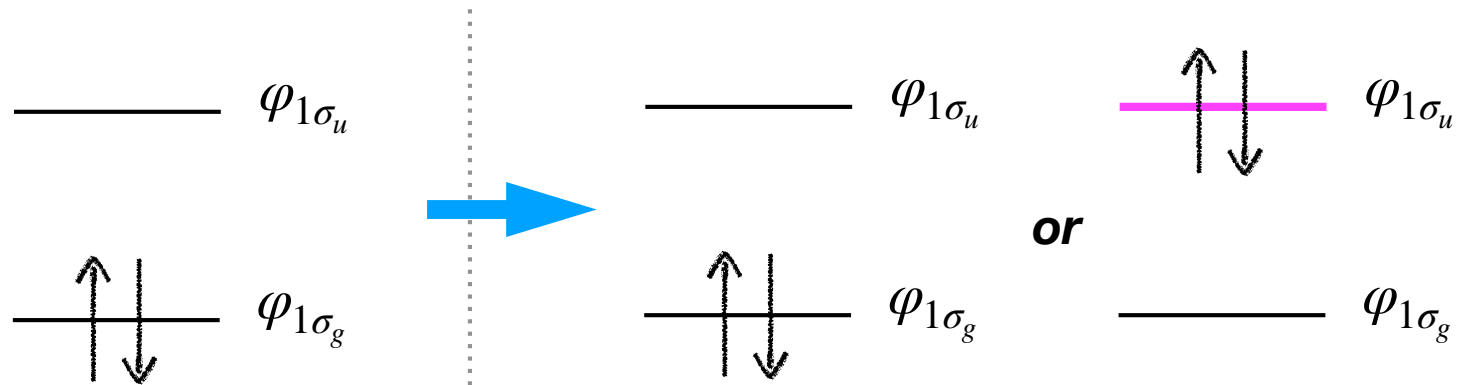
Multi-configurational wave function



minus combination

$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

Multi-configurational wave function

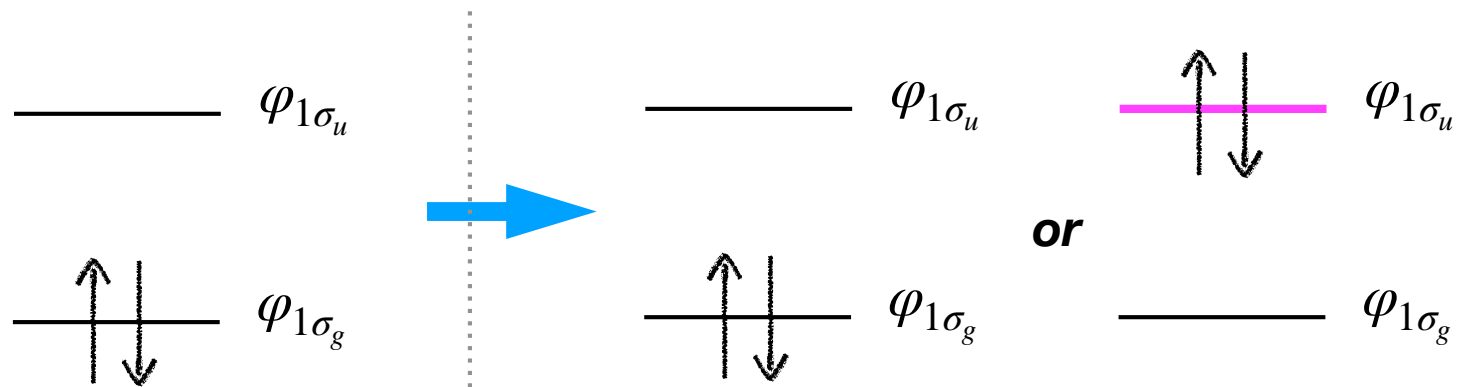


$$\varphi_{1\sigma_u}(\mathbf{r}) = \frac{1}{\sqrt{2}} (\chi_{SA}(\mathbf{r}) - \chi_{SB}(\mathbf{r}))$$

$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

$$\varphi_{1\sigma_g}(\mathbf{r}) = \frac{1}{\sqrt{2}} (\chi_{SA}(\mathbf{r}) + \chi_{SB}(\mathbf{r}))$$

Multi-configurational wave function



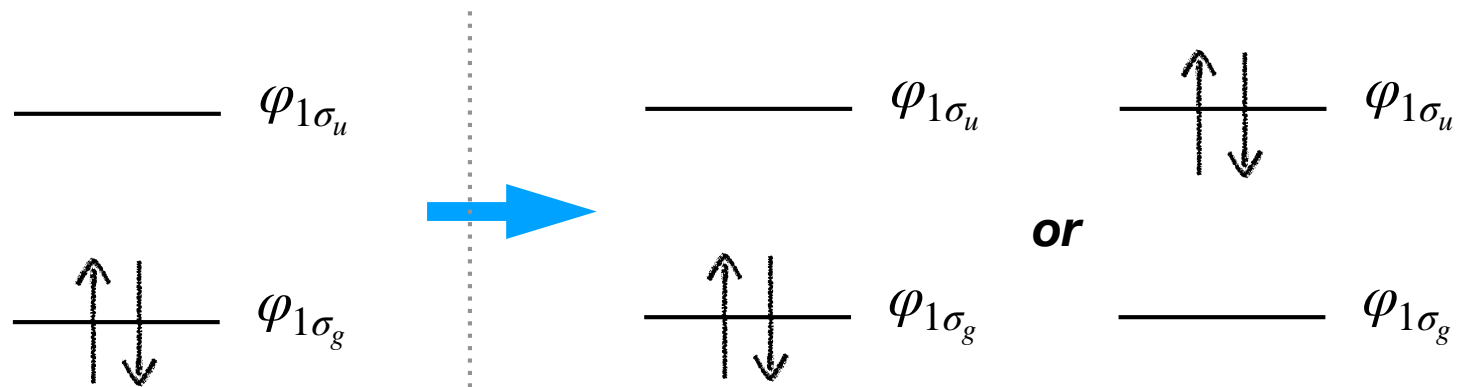
$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

$$= \frac{1}{\sqrt{2}} \left(\chi_{S_A}(\mathbf{r}_1)\chi_{S_B}(\mathbf{r}_2) + \chi_{S_A}(\mathbf{r}_2)\chi_{S_B}(\mathbf{r}_1) \right)$$

H H

H H

Multi-configurational wave function



$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

Localised picture

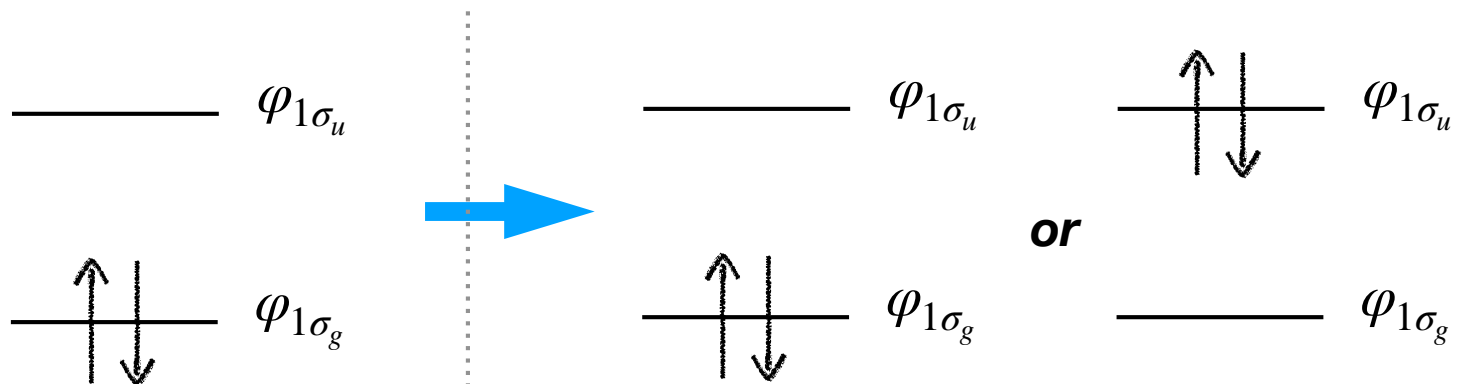


$$= \frac{1}{\sqrt{2}} \left(\chi_{S_A}(\mathbf{r}_1)\chi_{S_B}(\mathbf{r}_2) + \chi_{S_A}(\mathbf{r}_2)\chi_{S_B}(\mathbf{r}_1) \right)$$

H H

H H

Multi-configurational wave function



$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

Localised picture



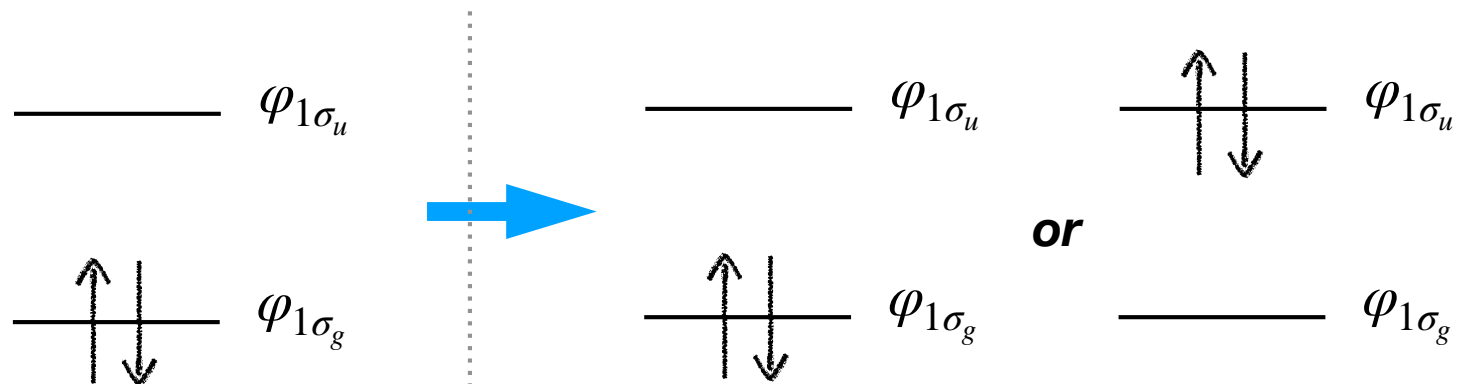
$$= \frac{1}{\sqrt{2}} \left(\chi_{S_A}(\mathbf{r}_1)\chi_{S_B}(\mathbf{r}_2) + \chi_{S_A}(\mathbf{r}_2)\chi_{S_B}(\mathbf{r}_1) \right)$$

H H

H H

Strong electron correlation

Multi-configurational wave function



Delocalised picture



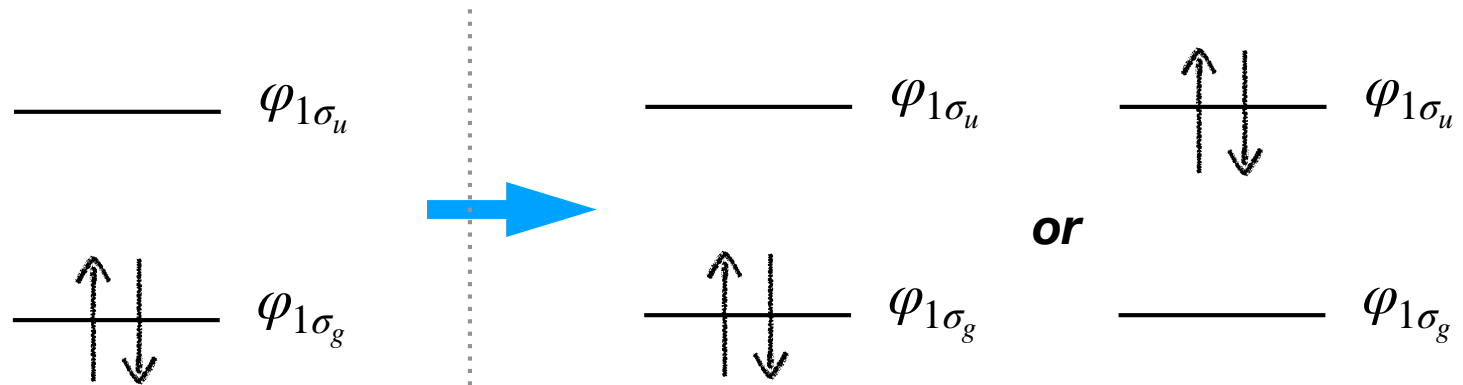
$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

$$= \frac{1}{\sqrt{2}} \left(\chi_{S_A}(\mathbf{r}_1)\chi_{S_B}(\mathbf{r}_2) + \chi_{S_A}(\mathbf{r}_2)\chi_{S_B}(\mathbf{r}_1) \right)$$

H H

H H

Multi-configurational wave function



Delocalised picture

Strong multi-configurational character of the electronic structure

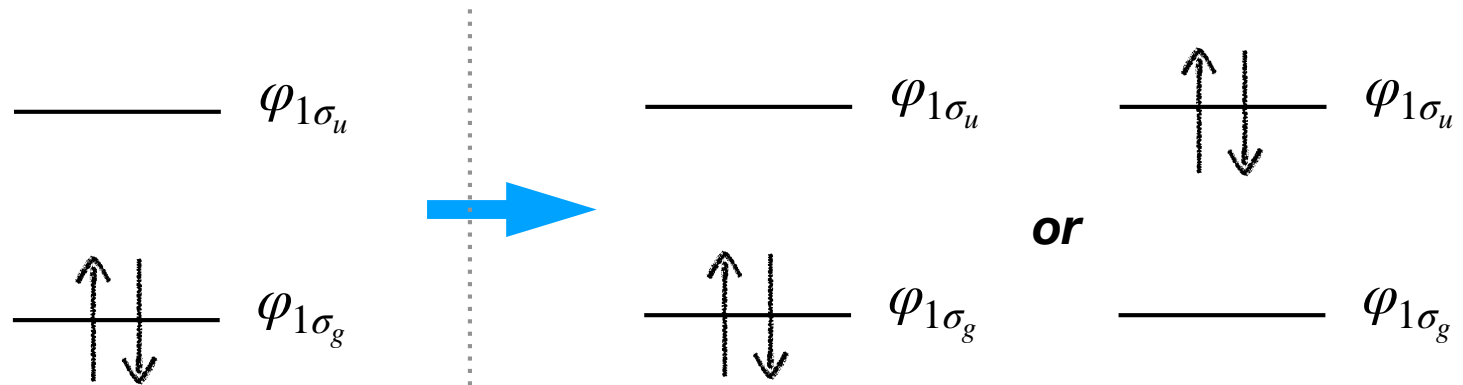
$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

$$= \frac{1}{\sqrt{2}} \left(\chi_{S_A}(\mathbf{r}_1)\chi_{S_B}(\mathbf{r}_2) + \chi_{S_A}(\mathbf{r}_2)\chi_{S_B}(\mathbf{r}_1) \right)$$

H..... H

H..... H

Multi-configurational wave function



Delocalised picture

Strong multi-configurational character of the electronic structure

correlation effect

$$\equiv \frac{1}{\sqrt{2}} \left(\varphi_{1\sigma_g}(\mathbf{r}_1)\varphi_{1\sigma_g}(\mathbf{r}_2) - \varphi_{1\sigma_u}(\mathbf{r}_1)\varphi_{1\sigma_u}(\mathbf{r}_2) \right)$$

$$= \frac{1}{\sqrt{2}} \left(\chi_{S_A}(\mathbf{r}_1)\chi_{S_B}(\mathbf{r}_2) + \chi_{S_A}(\mathbf{r}_2)\chi_{S_B}(\mathbf{r}_1) \right)$$

H..... H

H..... H

H₂ [$1^1\Sigma_g^+$, aug-cc-pVQZ]

