

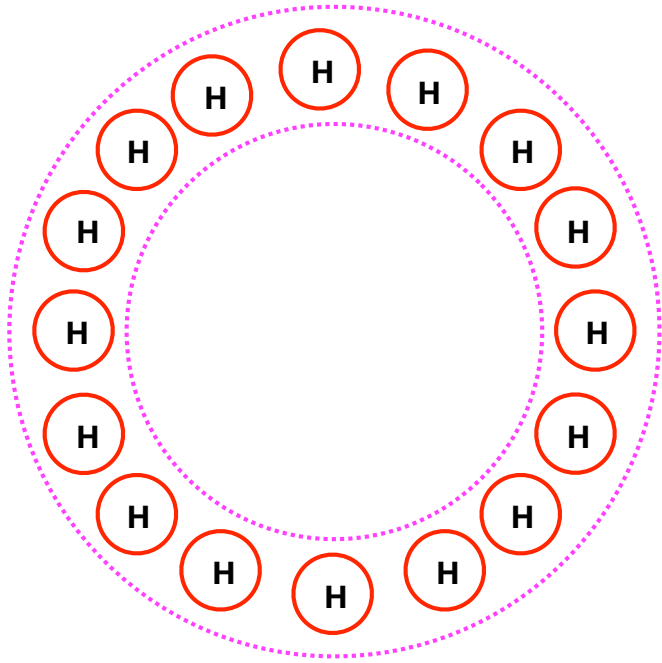
Quantum embedding in electronic structure theory

Part 3: Exact embedding of localised orbitals for non-interacting electrons and extension to strongly correlated electrons

Emmanuel Fromager

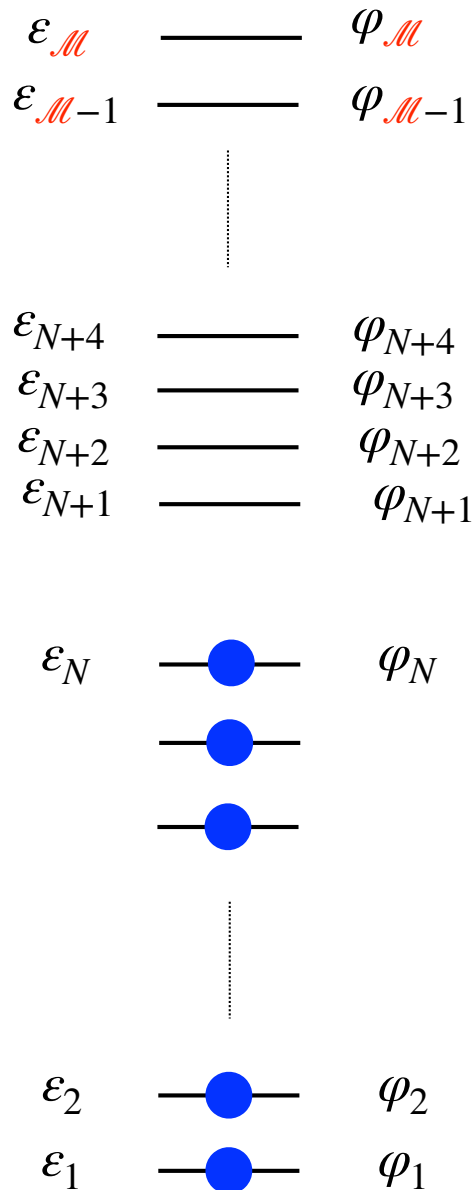
*Laboratoire de Chimie Quantique, Institut de Chimie de Strasbourg,
Université de Strasbourg, Strasbourg, France.*

Non-interacting delocalised representation



$$\hat{H} \equiv \sum_{PQ} \langle \varphi_P | \hat{h} | \varphi_Q \rangle \hat{a}_P^\dagger \hat{a}_Q$$

Non-interacting (delocalised) molecular orbital representation

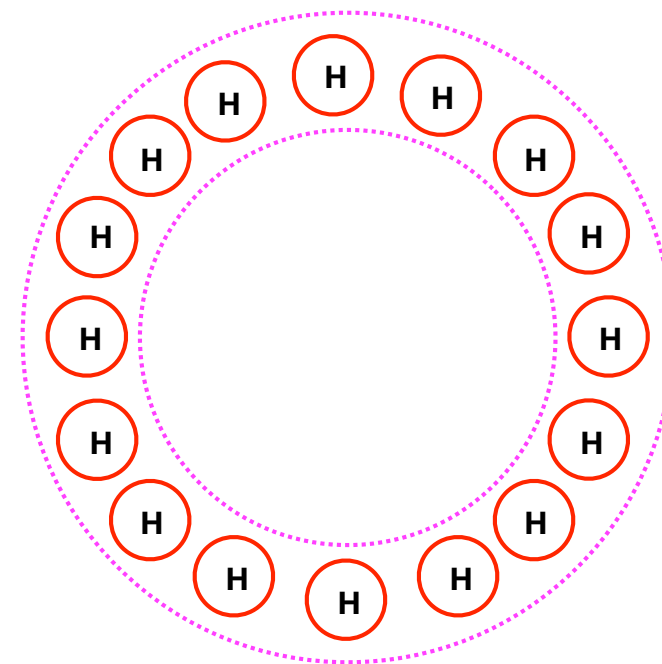
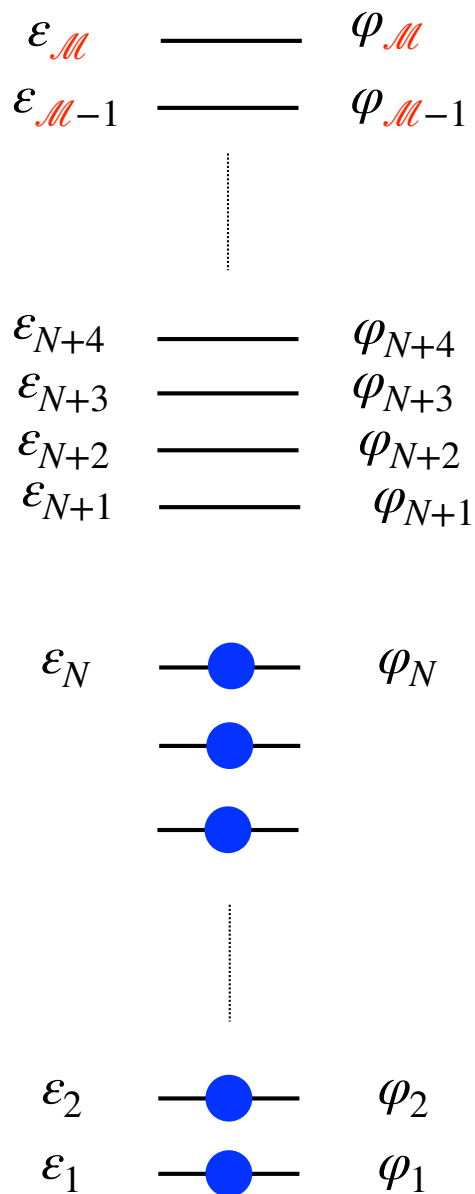


$$\hat{H} \equiv \sum_{PQ} \langle \varphi_P | \hat{h} | \varphi_Q \rangle \hat{a}_P^\dagger \hat{a}_Q$$

The molecular spin-orbitals are simply obtained by solving the **one-electron Schrödinger equation**

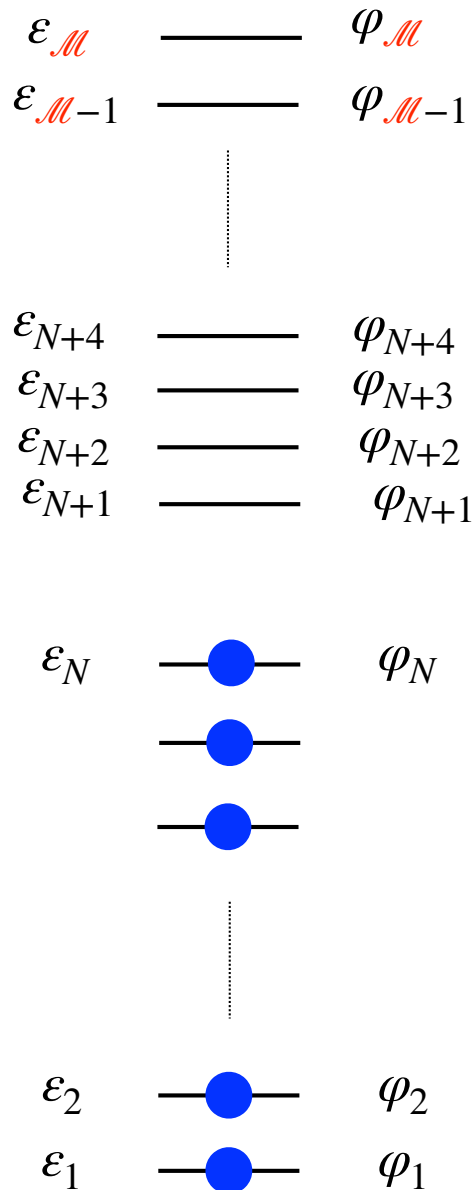
$$\hat{h}\varphi_Q(\mathbf{x}) = \varepsilon_Q \varphi_Q(\mathbf{x})$$

Non-interacting (delocalised) molecular orbital representation



$$\varphi_P(\mathbf{x}) = \sum_{\nu} C_{\nu P} \chi_{\nu}(\mathbf{x})$$

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$$\hat{H} \equiv \sum_{PQ} \langle \varphi_P | \hat{h} | \varphi_Q \rangle \hat{a}_P^\dagger \hat{a}_Q$$



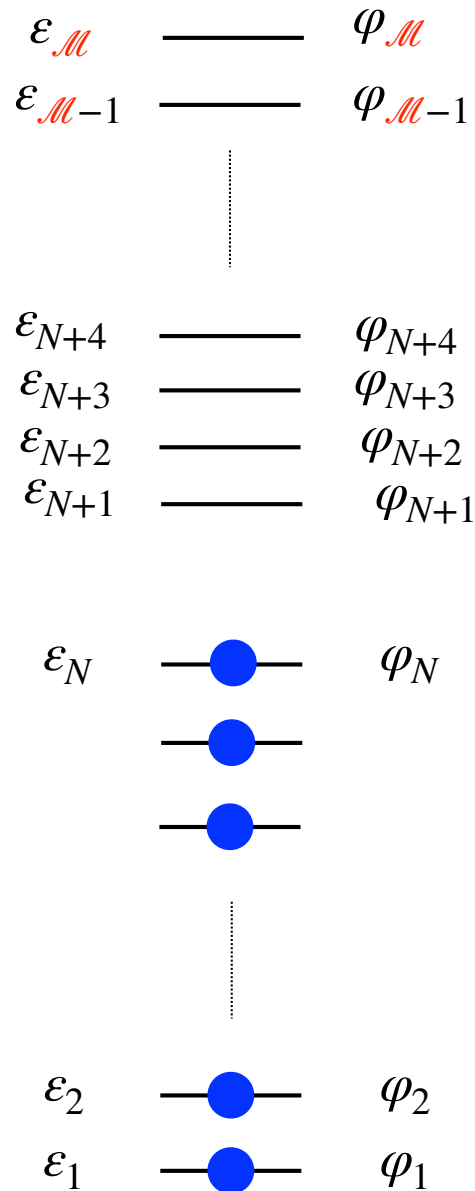
The molecular spin-orbitals are simply obtained by solving the **one-electron Schrödinger equation**

$$\hat{h}\varphi_Q(\mathbf{x}) = \varepsilon_Q \varphi_Q(\mathbf{x})$$



$$\langle \varphi_P | \hat{h} | \varphi_Q \rangle = \varepsilon_P \delta_{PQ}$$

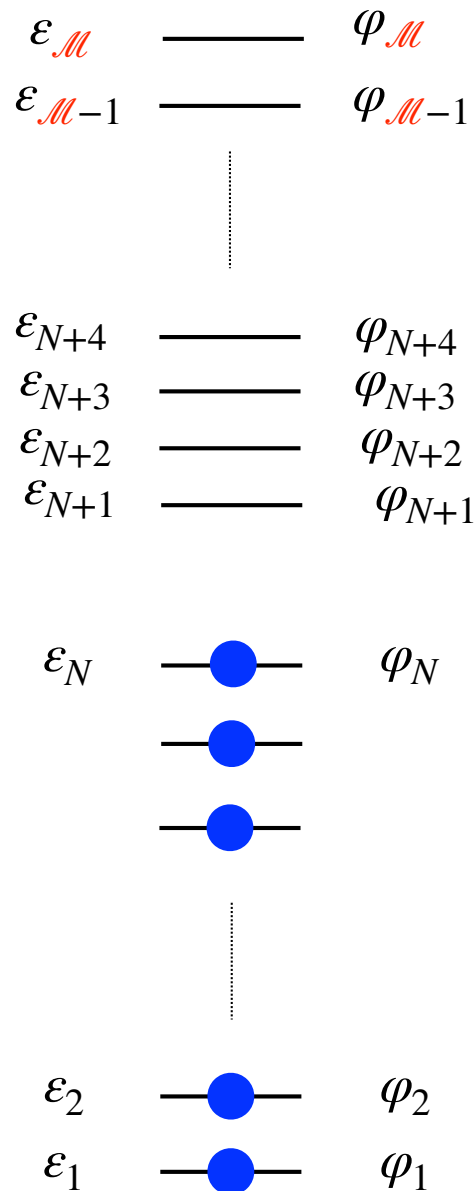
Non-interacting (delocalised) molecular orbital representation



$$\hat{H} \equiv \sum_{PQ} \langle \varphi_P | \hat{h} | \varphi_Q \rangle \hat{a}_P^\dagger \hat{a}_Q$$

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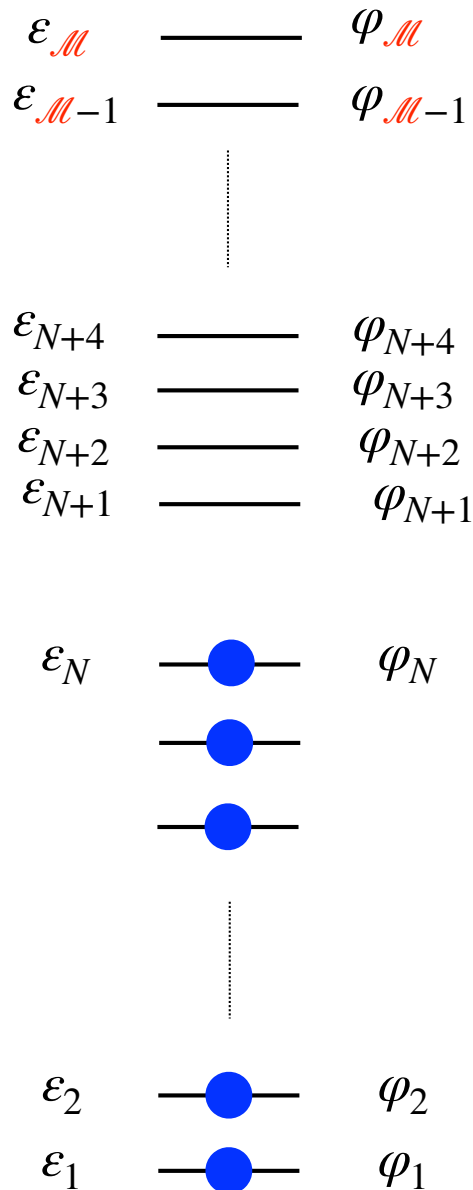


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The exact solutions to the non-interacting Schrödinger equation are Slater determinants $\hat{a}_{P_1}^\dagger \hat{a}_{P_2}^\dagger \dots \hat{a}_{P_{N-1}}^\dagger \hat{a}_{P_N}^\dagger | \text{vac} \rangle$

Non-interacting (delocalised) molecular orbital representation



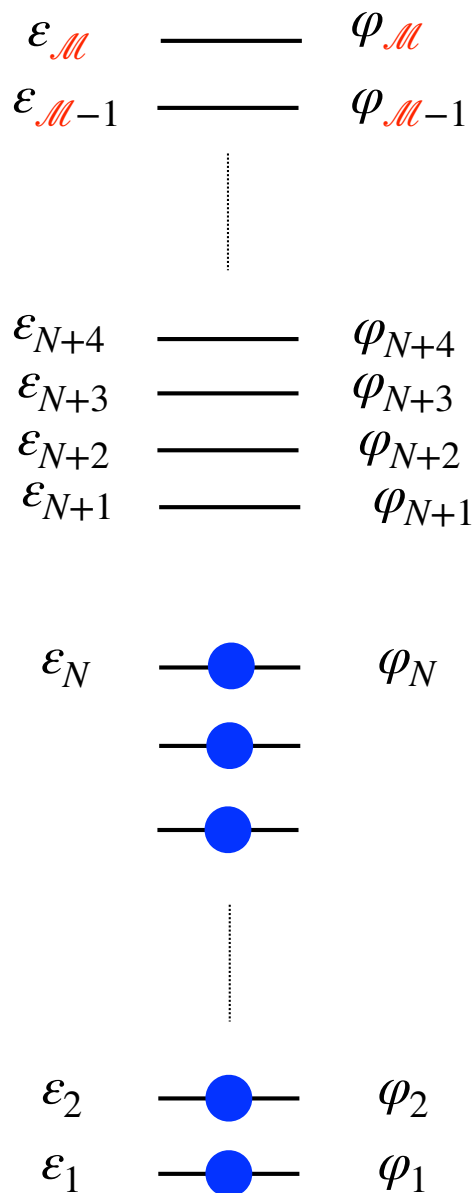
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$$\hat{H} \hat{a}_{P_1}^\dagger \hat{a}_{P_2}^\dagger \dots \hat{a}_{P_{N-1}}^\dagger \hat{a}_{P_N}^\dagger | \text{vac} \rangle = \left(\sum_{i=1}^N \varepsilon_{P_i} \right) \hat{a}_{P_1}^\dagger \hat{a}_{P_2}^\dagger \dots \hat{a}_{P_{N-1}}^\dagger \hat{a}_{P_N}^\dagger | \text{vac} \rangle$$

Non-interacting (delocalised) molecular orbital representation

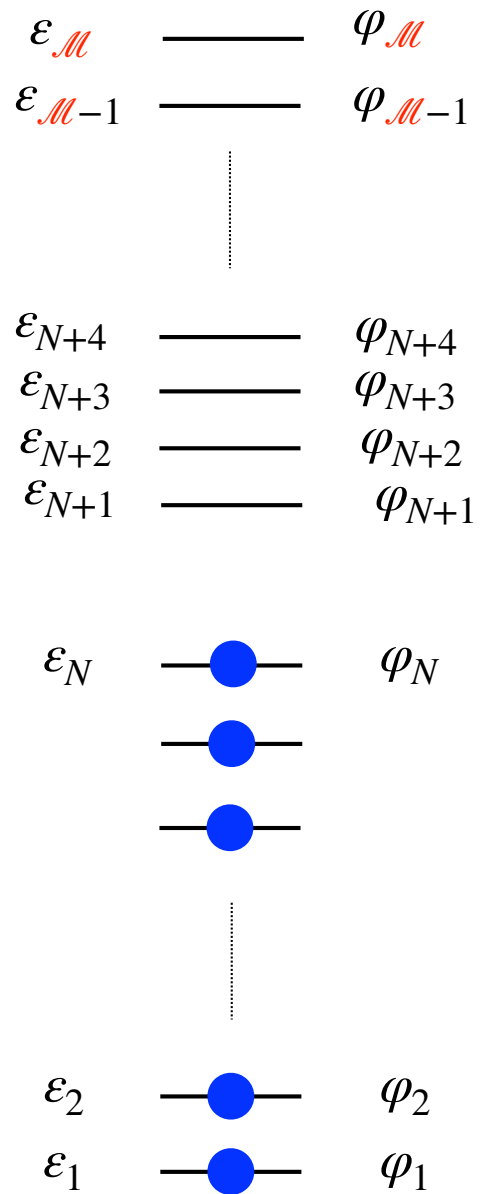


$$\hat{H} = \sum_P \varepsilon_P \hat{a}_P^\dagger \hat{a}_P$$

Spin-orbital **occupation** operator

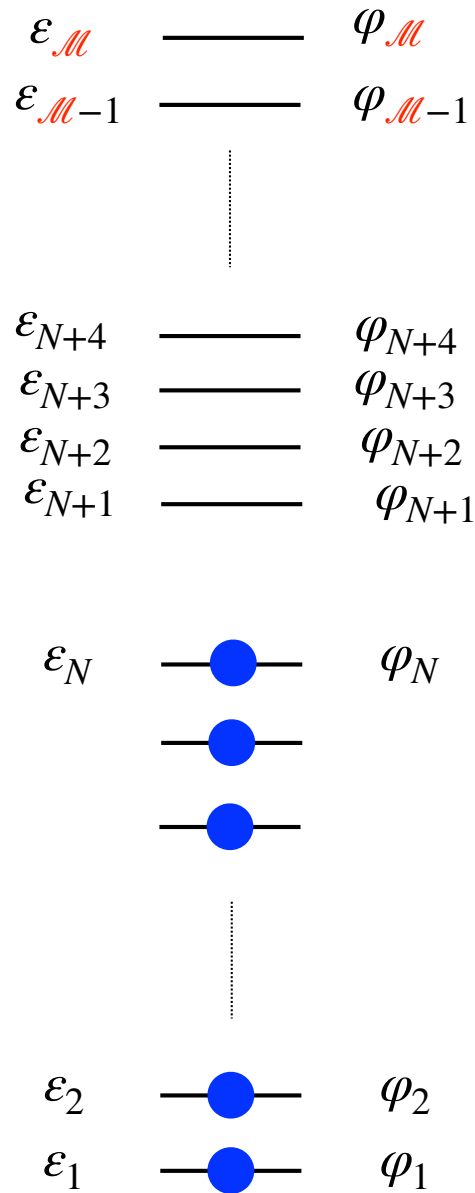
$$\hat{H} \hat{a}_{P_1}^\dagger \hat{a}_{P_2}^\dagger \dots \hat{a}_{P_{N-1}}^\dagger \hat{a}_{P_N}^\dagger |\text{vac}\rangle = \left(\sum_{i=1}^N \varepsilon_{P_i} \right) \hat{a}_{P_1}^\dagger \hat{a}_{P_2}^\dagger \dots \hat{a}_{P_{N-1}}^\dagger \hat{a}_{P_N}^\dagger |\text{vac}\rangle$$

Non-interacting (delocalised) molecular orbital representation



$$|\Psi_0\rangle = \hat{a}_1^\dagger \hat{a}_2^\dagger \dots \hat{a}_{N-1}^\dagger \hat{a}_N^\dagger |\text{vac}\rangle$$

1RDM in the molecular orbital (mo) representation



$$\gamma_{PQ}^{mo} = \langle \Psi_0 | \hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle$$

$$|\Psi_0\rangle = \hat{a}_1^\dagger \hat{a}_2^\dagger \dots \hat{a}_{N-1}^\dagger \hat{a}_N^\dagger | \text{vac} \rangle$$


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Is φ_Q occupied in Ψ_0 ?

1RDM in the molecular orbital (mo) representation

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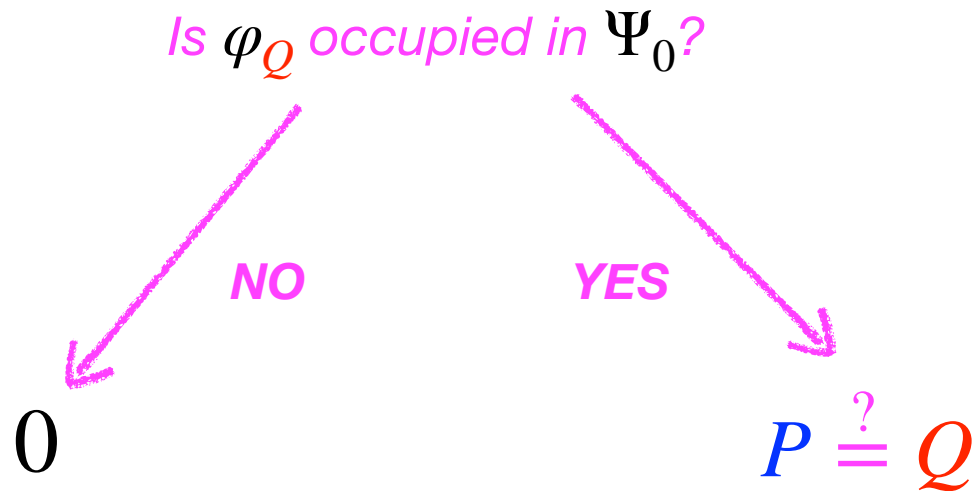


Is φ_Q occupied in Ψ_0 ?


NO
0

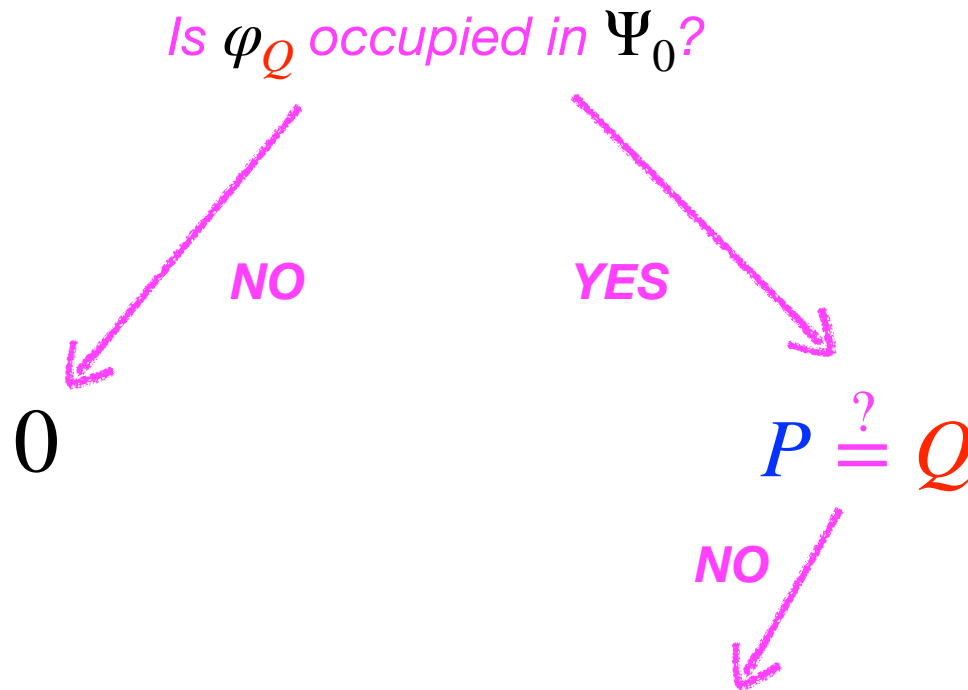
1RDM in the molecular orbital (mo) representation

$$\gamma_{PQ}^{mo} = \langle \Psi_0 | \hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle \quad \text{where} \quad |\Psi_0\rangle = \hat{a}_1^\dagger \hat{a}_2^\dagger \dots \hat{a}_{N-1}^\dagger \hat{a}_N^\dagger | \text{vac} \rangle$$





1RDM in the molecular orbital (mo) representation

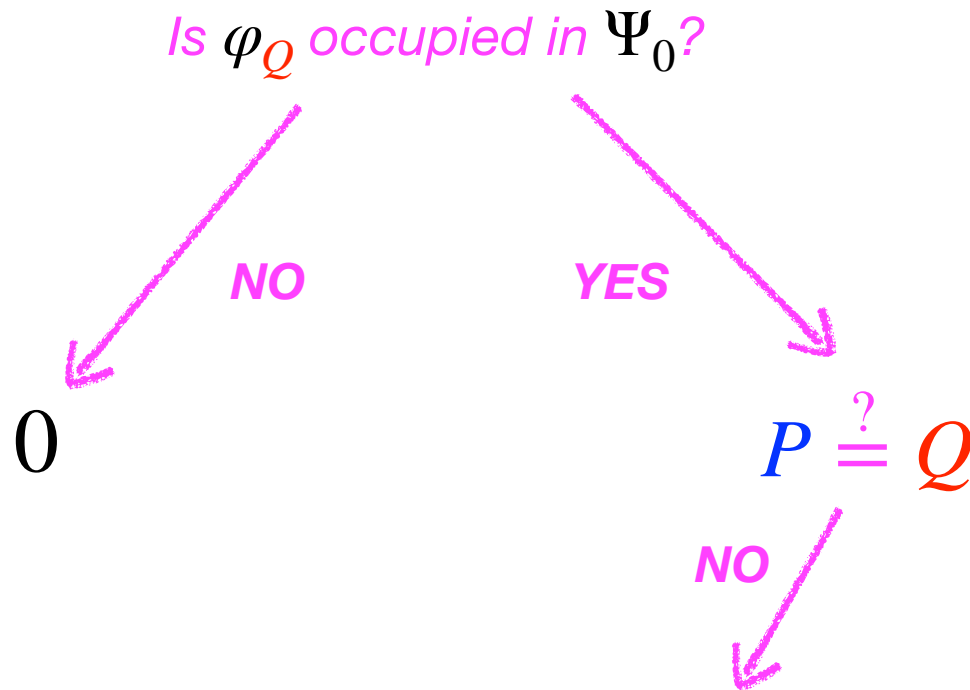
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If φ_P is occupied in Ψ_0 then $\hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle = 0$ **Pauli principle**


1RDM in the molecular orbital (mo) representation

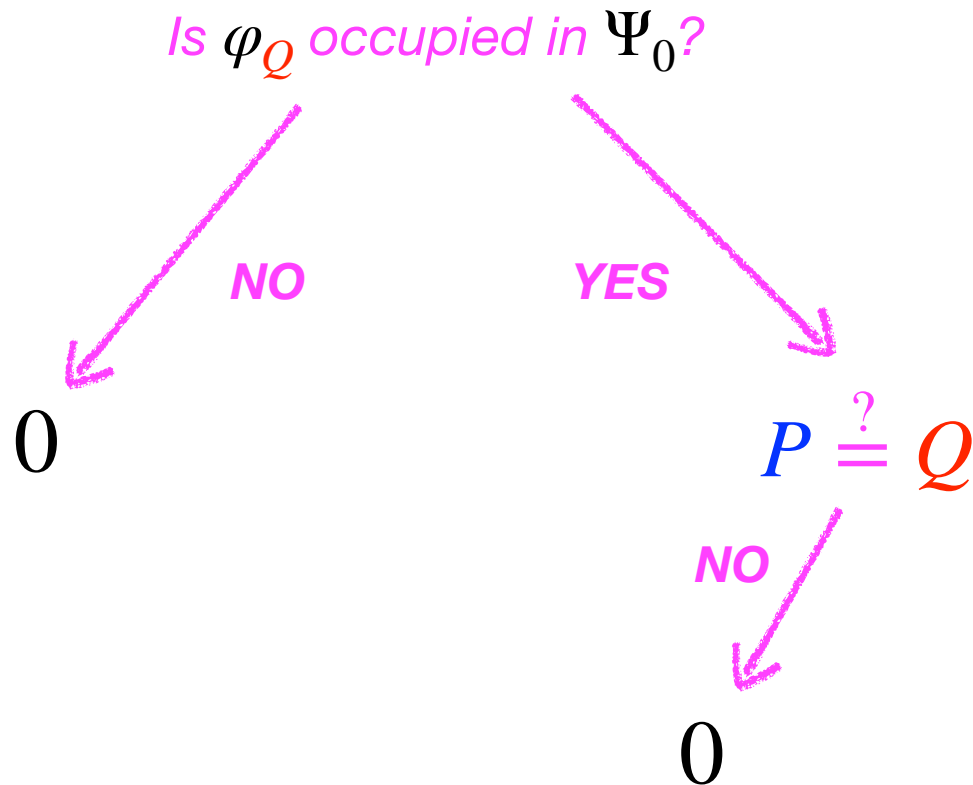
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If φ_P is unoccupied in Ψ_0 then $\hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle \perp | \Psi_0 \rangle \Rightarrow \langle \Psi_0 | \hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle = 0$

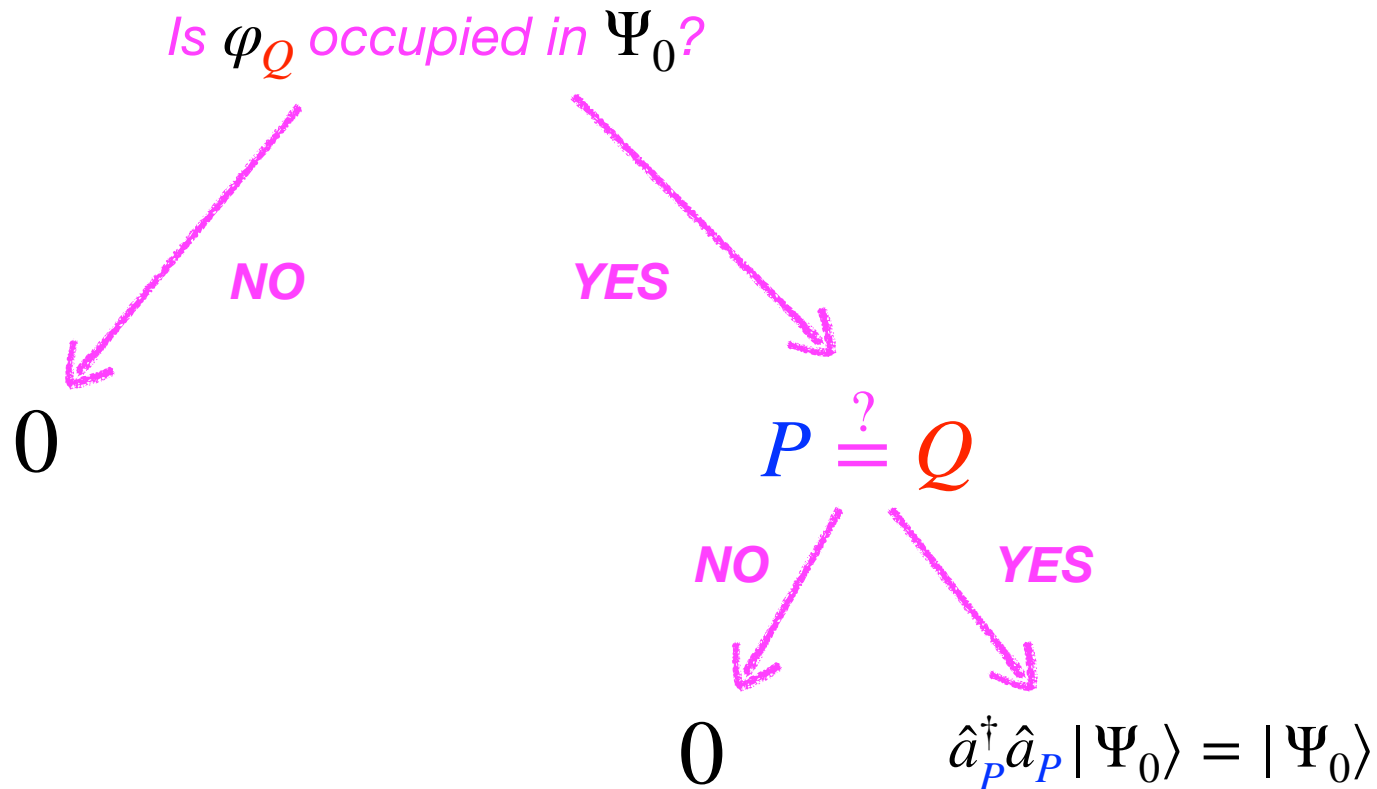
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1RDM in the molecular orbital (mo) representation

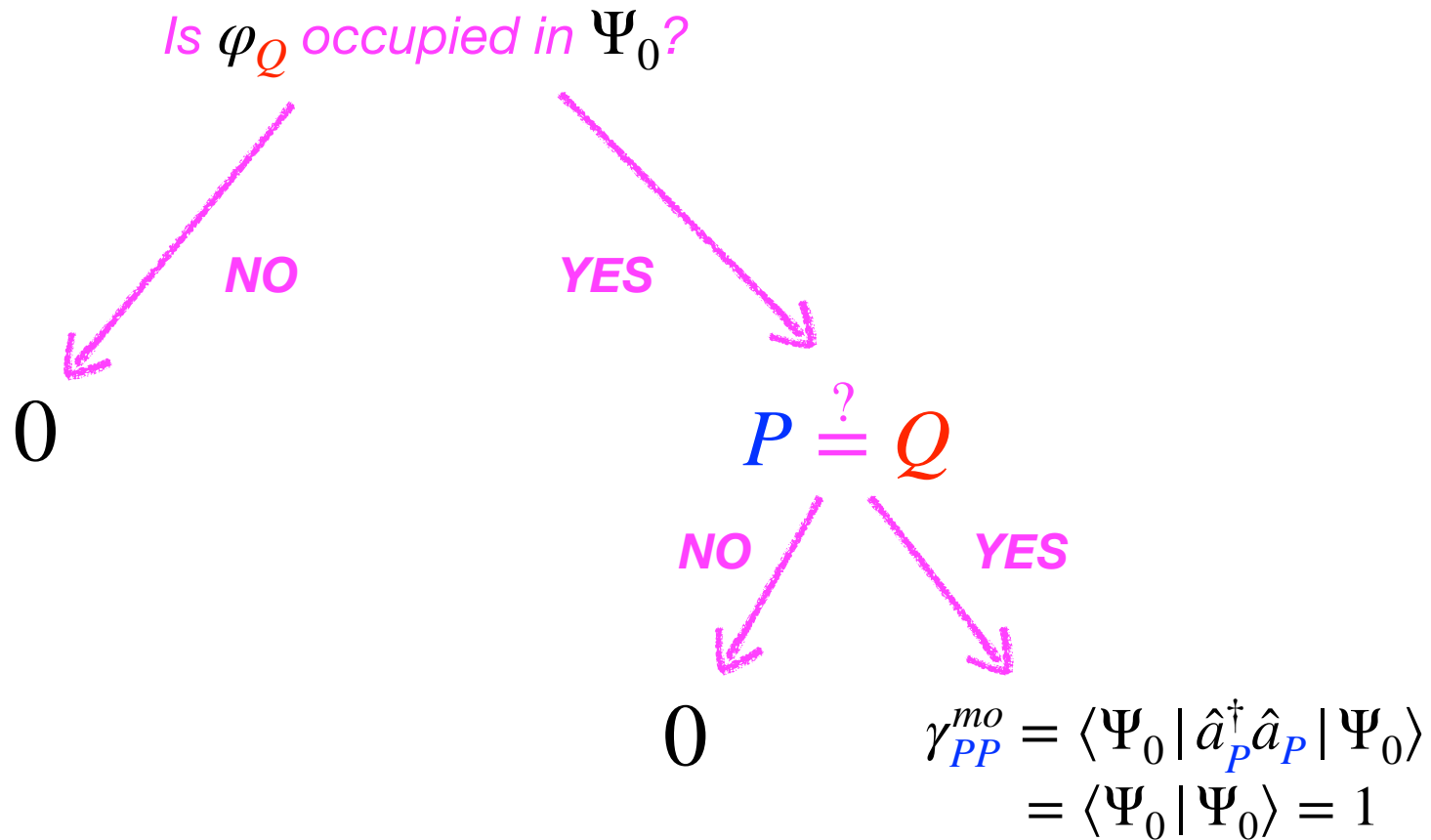
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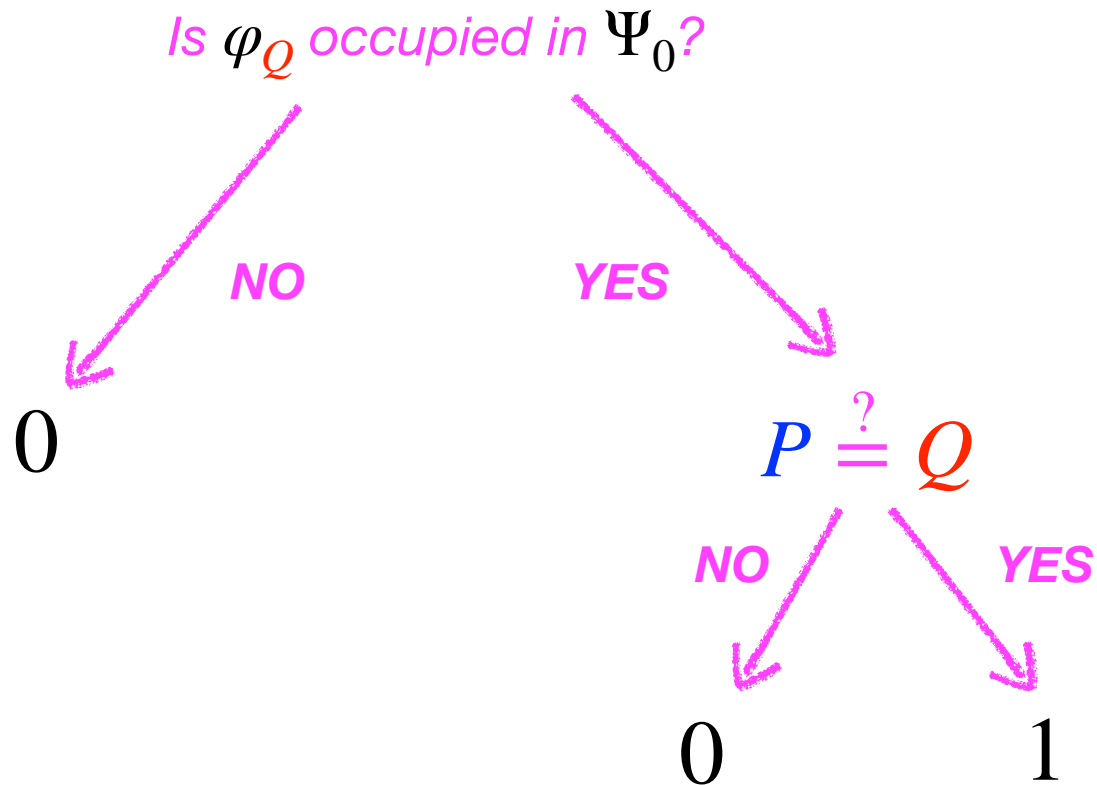
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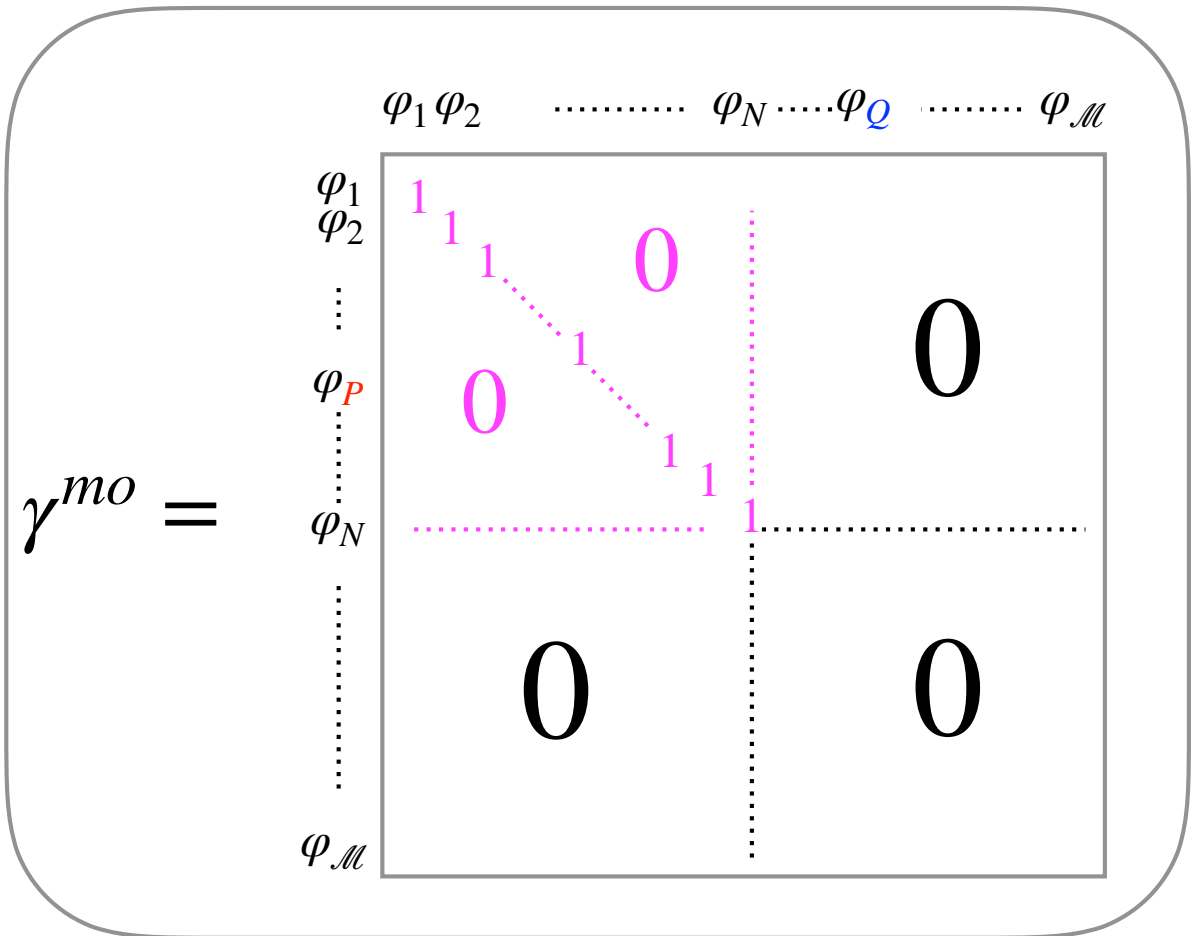
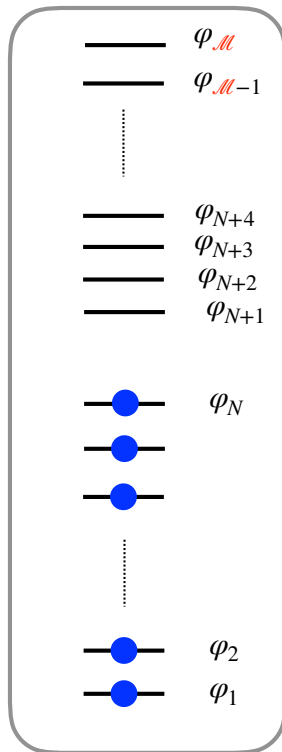
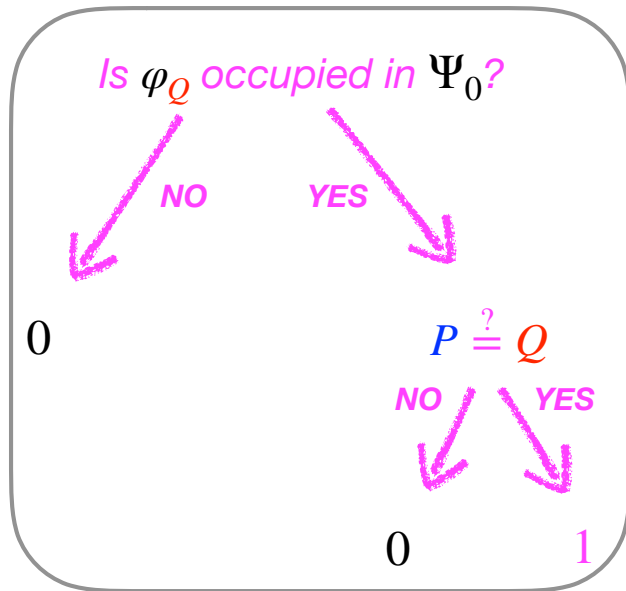


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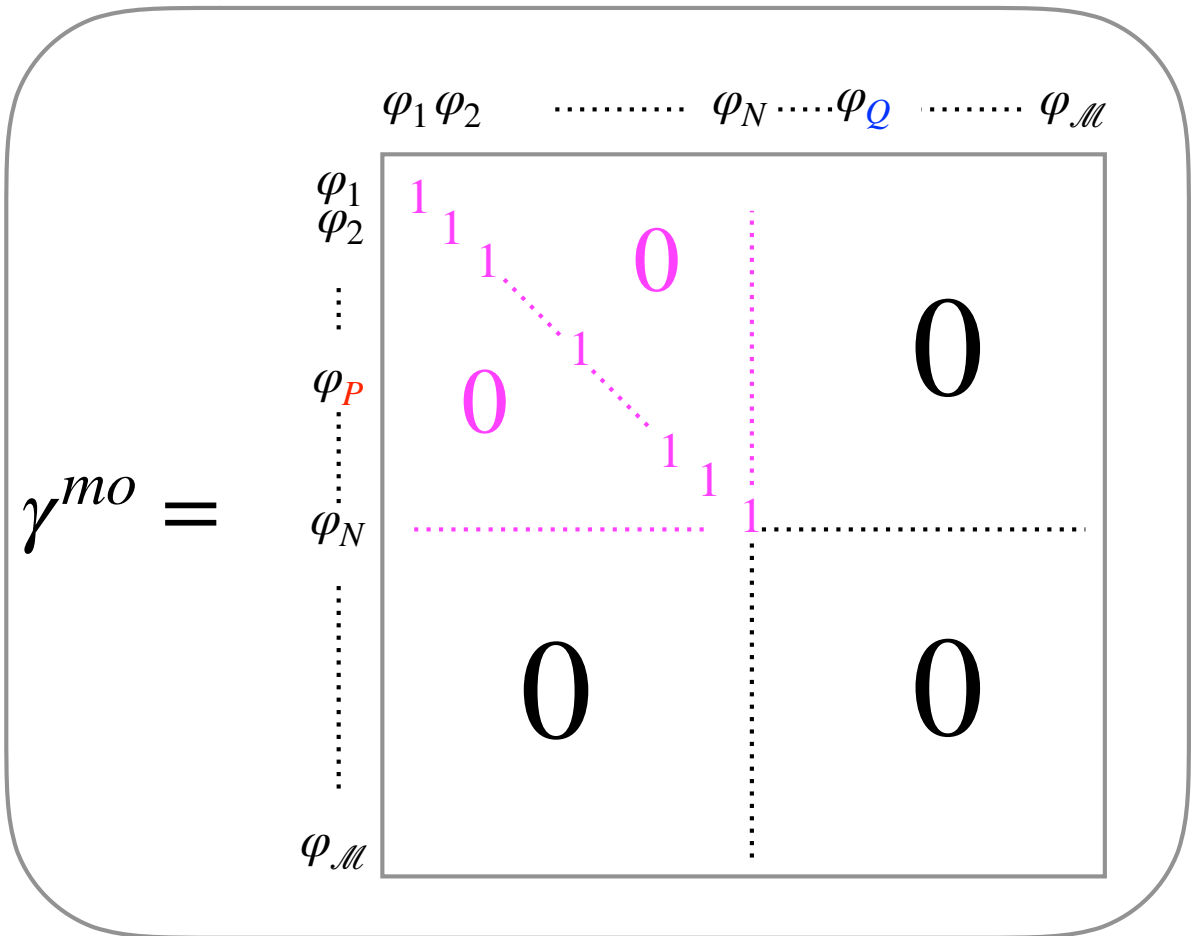
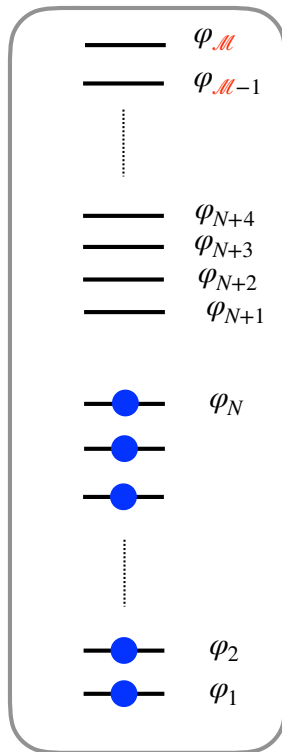
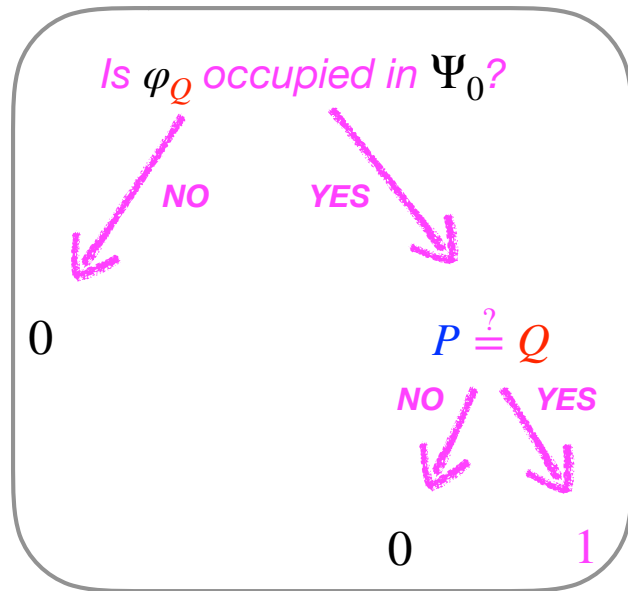
1RDM in the molecular orbital (mo) representation



Non-interacting problem solved!



1RDM in the molecular orbital (mo) representation



*No entanglement between the molecular orbitals
in the non-interacting case*

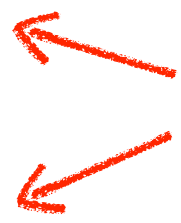
Idempotency property

$$\gamma^{mo} = \begin{array}{c} \varphi_1 \varphi_2 \quad \dots \quad \varphi_N \dots \varphi_Q \quad \dots \quad \varphi_M \\ \begin{array}{|c|} \hline \begin{array}{c} \varphi_1 \\ \varphi_2 \\ \vdots \\ \varphi_P \\ \vdots \\ \varphi_N \\ \vdots \\ \varphi_M \end{array} \\ \hline \end{array} \end{array} = [\gamma^{mo}]^2$$

Turning to the localised picture (useless here although interesting)

$$|\varphi_P\rangle = \sum_I C_{IP} |\chi_I\rangle$$
$$|\varphi_Q\rangle = \sum_J C_{JQ} |\chi_J\rangle$$

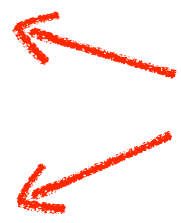
Localised spin-orbitals



Turning to the localised picture (useless here although interesting)

$$\begin{aligned} |\varphi_P\rangle &= \sum_I C_{IP} |\chi_I\rangle \\ |\varphi_Q\rangle &= \sum_J C_{JQ} |\chi_J\rangle \end{aligned}$$

Localised spin-orbitals



$$\langle \varphi_P | \varphi_Q \rangle = \delta_{PQ} = \sum_{IJ} C_{IP} C_{JQ} \langle \chi_I | \chi_J \rangle$$

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Localised spin-orbitals

$$\langle\varphi_P|\varphi_Q\rangle = \delta_{PQ} = \sum_{IJ} C_{IP} C_{JQ} \langle\chi_I|\chi_J\rangle$$

Orthonormalisation procedure

δ_{IJ}

Turning to the localised picture (useless here although interesting)

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$$|\varphi_Q\rangle = \sum_J C_{JQ} |\chi_J\rangle$$

Localised spin-orbitals

$$\langle\varphi_P|\varphi_Q\rangle = \delta_{PQ} = \sum_{IJ} C_{IP} C_{JQ} \delta_{IJ}$$

Molecular orbital coefficients matrix

$$= \sum_I C_{IP} C_{IQ} = \sum_I [\mathbf{C}^T]_{PI} [\mathbf{C}]_{IQ}$$
$$= [\mathbf{C}^T \mathbf{C}]_{PQ}$$

Turning to the localised picture (useless here although interesting)

$$|\varphi_P\rangle = \sum_I C_{IP} |\chi_I\rangle$$
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Localised spin-orbitals

*Unitary transformation
from the delocalised to localised pictures*

$$\mathbf{C}^{-1} = \mathbf{C}^T$$

$$\delta_{PQ} = [\mathbf{C}^T \mathbf{C}]_{PQ}$$

Turning to the localised picture (useless here although interesting)

$$\gamma_{PQ}^{mo} = \langle \Psi_0 | \hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle$$




$$\hat{a}_P^\dagger = \sum_I C_{IP} \hat{c}_I^\dagger$$
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$$\gamma_{PQ}^{mo} = \sum_{IJ} C_{IP} C_{JQ} \langle \Psi_0 | \hat{c}_I^\dagger \hat{c}_J | \Psi_0 \rangle$$

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*1RDM in the
localised representation*

$$\gamma_{PQ}^{mo} = \sum_{IJ} C_{IP} C_{JQ} \langle \Psi_0 | \hat{c}_I^\dagger \hat{c}_J | \Psi_0 \rangle$$


$$\gamma_{IJ}^{loc}$$

Turning to the localised picture (useless here although interesting)

$$\gamma_{PQ}^{mo} = \langle \Psi_0 | \hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle$$



$$\hat{a}_P^\dagger = \sum_I C_{IP} \hat{c}_I^\dagger$$
$$\hat{a}_Q = \sum_J C_{JQ} \hat{c}_J$$

$$\gamma_{PQ}^{mo} = \sum_{IJ} C_{IP} C_{JQ} \gamma_{IJ}^{loc} = \sum_{IJ} [\mathbf{C}^T]_{PI} \gamma_{IJ}^{loc} [\mathbf{C}]_{JQ}$$

Turning to the localised picture (useless here although interesting)

$$\gamma_{PQ}^{mo} = \langle \Psi_0 | \hat{a}_P^\dagger \hat{a}_Q | \Psi_0 \rangle$$



$$\hat{a}_P^\dagger = \sum_I C_{IP} \hat{c}_I^\dagger$$
$$\hat{a}_Q = \sum_J C_{JQ} \hat{c}_J$$

$$\begin{aligned} \gamma_{PQ}^{mo} &= \sum_{IJ} C_{IP} C_{JQ} \gamma_{IJ}^{loc} = \sum_{IJ} [\mathbf{C}^T]_{PI} \gamma_{IJ}^{loc} [\mathbf{C}]_{JQ} \\ &= [\mathbf{C}^T \boldsymbol{\gamma}^{loc} \mathbf{C}]_{PQ} \end{aligned}$$

Turning to the localised picture (useless here although interesting)

$$\gamma^{loc} = \mathbf{C} \gamma^{mo} \mathbf{C}^T$$

$$\mathbf{C} \times \longrightarrow \quad \longleftarrow \times \mathbf{C}^T$$

$$\gamma^{mo} = \mathbf{C}^T \gamma^{loc} \mathbf{C}$$

$$\gamma_{PQ}^{mo} = [\mathbf{C}^T \gamma^{loc} \mathbf{C}]_{PQ}$$

$$\mathbf{C}^{-1} = \mathbf{C}^T$$

Turning to the localised picture (useless here although interesting)

$$\gamma^{loc} = \mathbf{C} \gamma^{mo} \mathbf{C}^T$$



$$\gamma_{IJ}^{loc} = \sum_{PQ} C_{IP} \gamma_{PQ}^{mo} C_{JQ}$$

Turning to the localised picture (useless here although interesting)

$$\gamma^{mo} =$$

| | | | | | | | | |
|-------------|-------------|-------------|-------|-------------|-------|-------------|-------|-------------|
| | φ_1 | φ_2 | | φ_N | | φ_Q | | φ_M |
| φ_1 | 1 | | | | | | | |
| φ_2 | | 1 | | | | | | |
| ⋮ | | | ⋮ | | | | | |
| φ_P | | | | 1 | | | | 0 |
| ⋮ | | | | | ⋮ | | | |
| φ_N | | | | | | 1 | | 0 |
| ⋮ | | | | | | | | |
| φ_M | | | | | | | | 0 |

$$\gamma_{IJ}^{loc} = \sum_{PQ} C_{IP} \gamma_{PQ}^{mo} C_{JQ} = \sum_P C_{IP} C_{JP}$$

↓ occupied spin-MOs

Turning to the localised picture (useless here although interesting)

Note that $\sum_P^{\text{all spin-MOs}} \underbrace{\langle \chi_I | \varphi_P \rangle}_{C_{IP}} \underbrace{\langle \varphi_P | \chi_J \rangle}_{C_{JP}} = \langle \chi_I | \chi_J \rangle = \delta_{IJ}$

$$\gamma_{IJ}^{loc} = \sum_{PQ} C_{IP} \gamma_{PQ}^{mo} C_{JQ} = \sum_P^{\text{occupied spin-MOs}} C_{IP} C_{JP}$$

Turning to the localised picture (useless here although interesting)

occupied+unoccupied

Note that $\sum_P^{\text{all spin-MOs}} \underbrace{\langle \chi_I | \varphi_P \rangle}_{C_{IP}} \underbrace{\langle \varphi_P | \chi_J \rangle}_{C_{JP}} = \langle \chi_I | \chi_J \rangle = \delta_{IJ}$

$$\gamma_{IJ}^{loc} = \sum_{PQ} C_{IP} \gamma_{PQ}^{mo} C_{JQ} = \sum_P^{\text{occupied spin-MOs}} C_{IP} C_{JP}$$

Turning to the localised picture (useless here although interesting)

Resolution of the identity

Note that

$$\sum_P^{\text{all spin-MOs}} \underbrace{\langle \chi_I | \varphi_P \rangle}_{C_{IP}} \underbrace{\langle \varphi_P | \chi_J \rangle}_{C_{JP}} = \langle \chi_I | \chi_J \rangle = \delta_{IJ}$$

$$\gamma_{IJ}^{loc} = \sum_{PQ} C_{IP} \gamma_{PQ}^{mo} C_{JQ} = \sum_P^{\text{occupied spin-MOs}} C_{IP} C_{JP}$$

Turning to the localised picture (useless here although interesting)

$$\gamma^{loc} = \mathbf{C}\gamma^{mo}\mathbf{C}^T \longrightarrow \text{Not diagonal!}$$

$$\gamma_{IJ}^{loc} = \langle \hat{c}_I^\dagger \hat{c}_J \rangle_{\Psi_0} = \sum_P^{\text{occupied spin-MOs}} C_{IP}C_{JP} \neq \delta_{IJ}$$

Turning to the localised picture (useless here although interesting)

$$\gamma^{loc} = \mathbf{C}\gamma^{mo}\mathbf{C}^T \quad \leftarrow \text{Not diagonal!}$$

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Any localised spin-orbital χ_I is **entangled** with the other spin-orbitals χ_J

Turning to the localised picture (useless here although interesting)

$$\gamma^{loc} = \mathbf{C}\gamma^{mo}\mathbf{C}^T \quad \leftarrow \text{Not diagonal!}$$

$$\gamma_{IJ}^{loc} = \langle \hat{c}_I^\dagger \hat{c}_J \rangle_{\Psi_0} = \sum_P^{\text{occupied spin-MOs}} C_{IP}C_{JP} \neq \delta_{IJ}$$

Any localised spin-orbital χ_I is **entangled** with the other spin-orbitals χ_J

unlike in the delocalised molecular orbital space!

Turning to the localised picture (useless here although interesting)

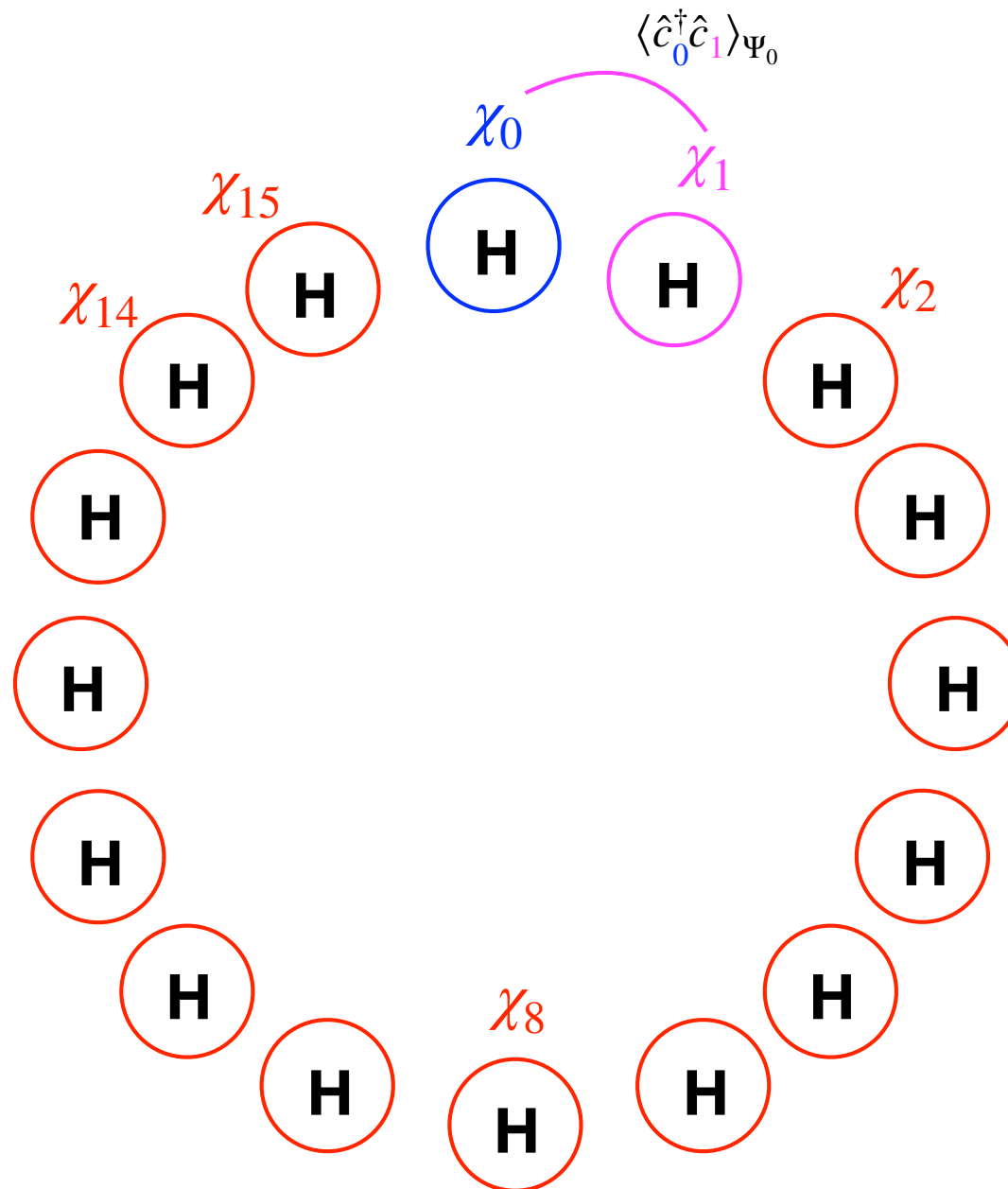
$$\gamma^{loc} = \mathbf{C}\gamma^{mo}\mathbf{C}^T$$



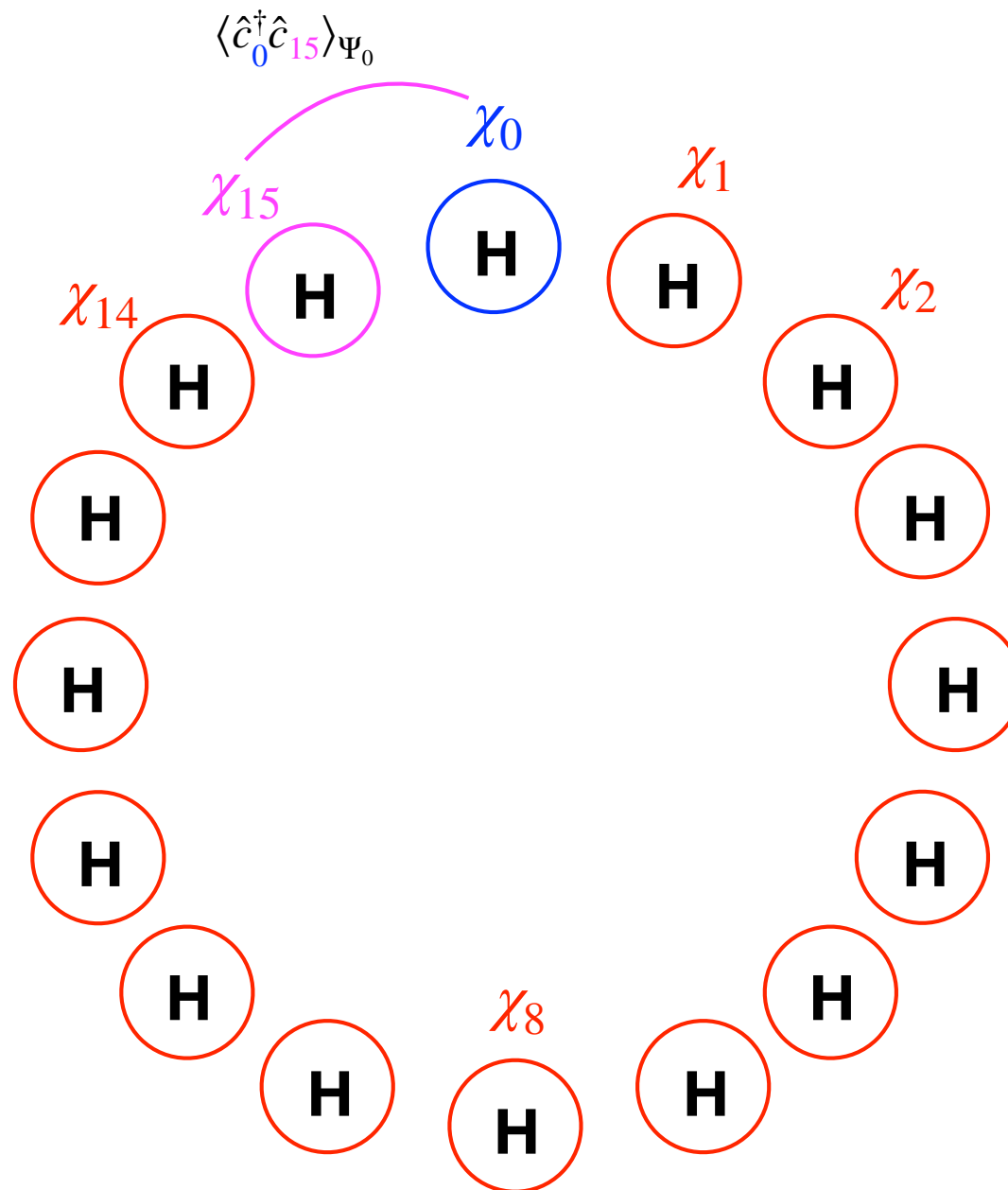
$$\begin{aligned} [\gamma^{loc}]^2 &= \mathbf{C}\gamma^{mo}\mathbf{C}^T\mathbf{C}\gamma^{mo}\mathbf{C}^T \\ &= \mathbf{C}[\gamma^{mo}]^2\mathbf{C}^T \\ &= \mathbf{C}\gamma^{mo}\mathbf{C}^T \\ &= \gamma^{loc} \quad \text{Idempotent} \end{aligned}$$

$$\mathbf{C}^{-1} = \mathbf{C}^T$$

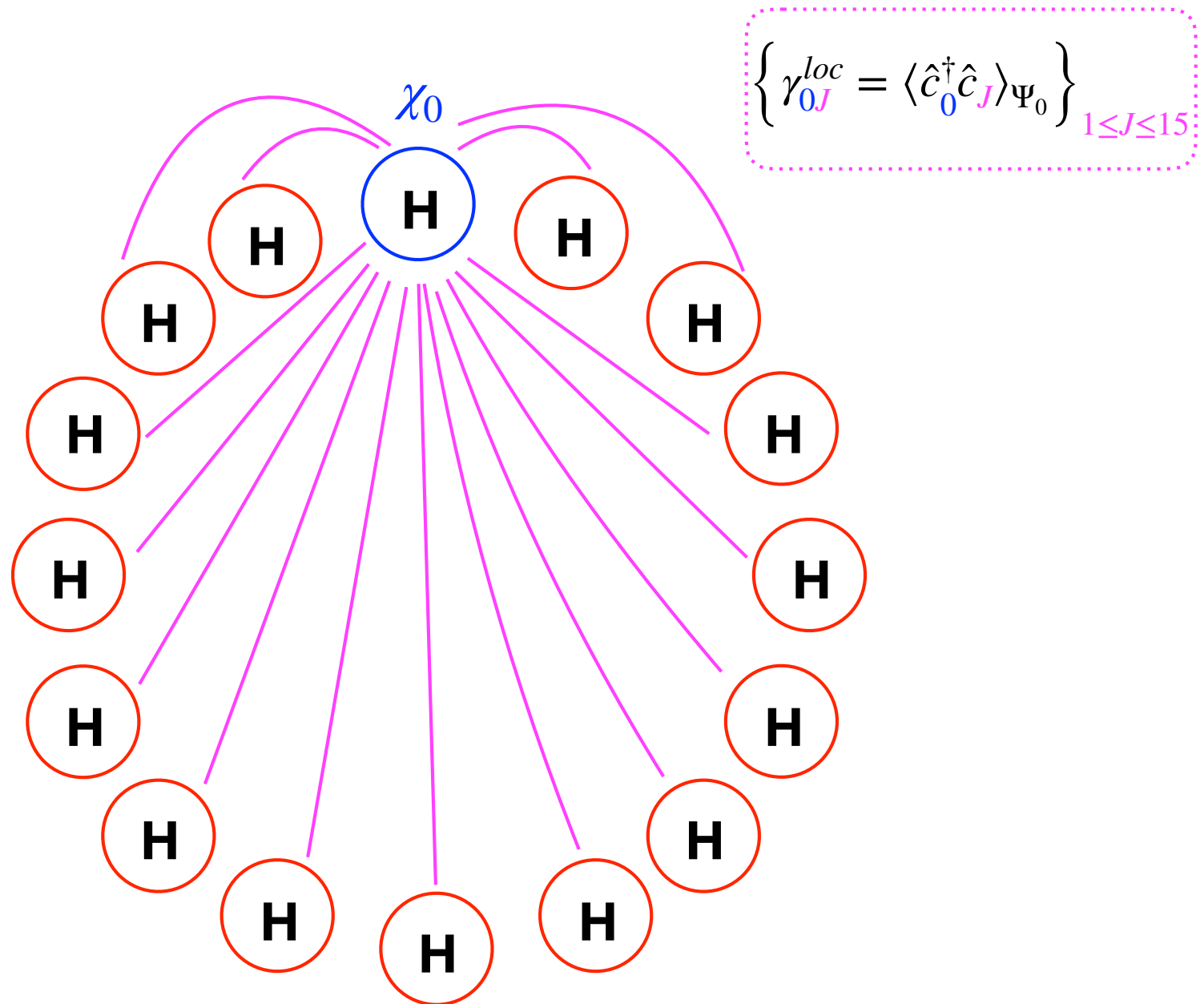
Prototypical ring of $L = 16$ hydrogen atoms



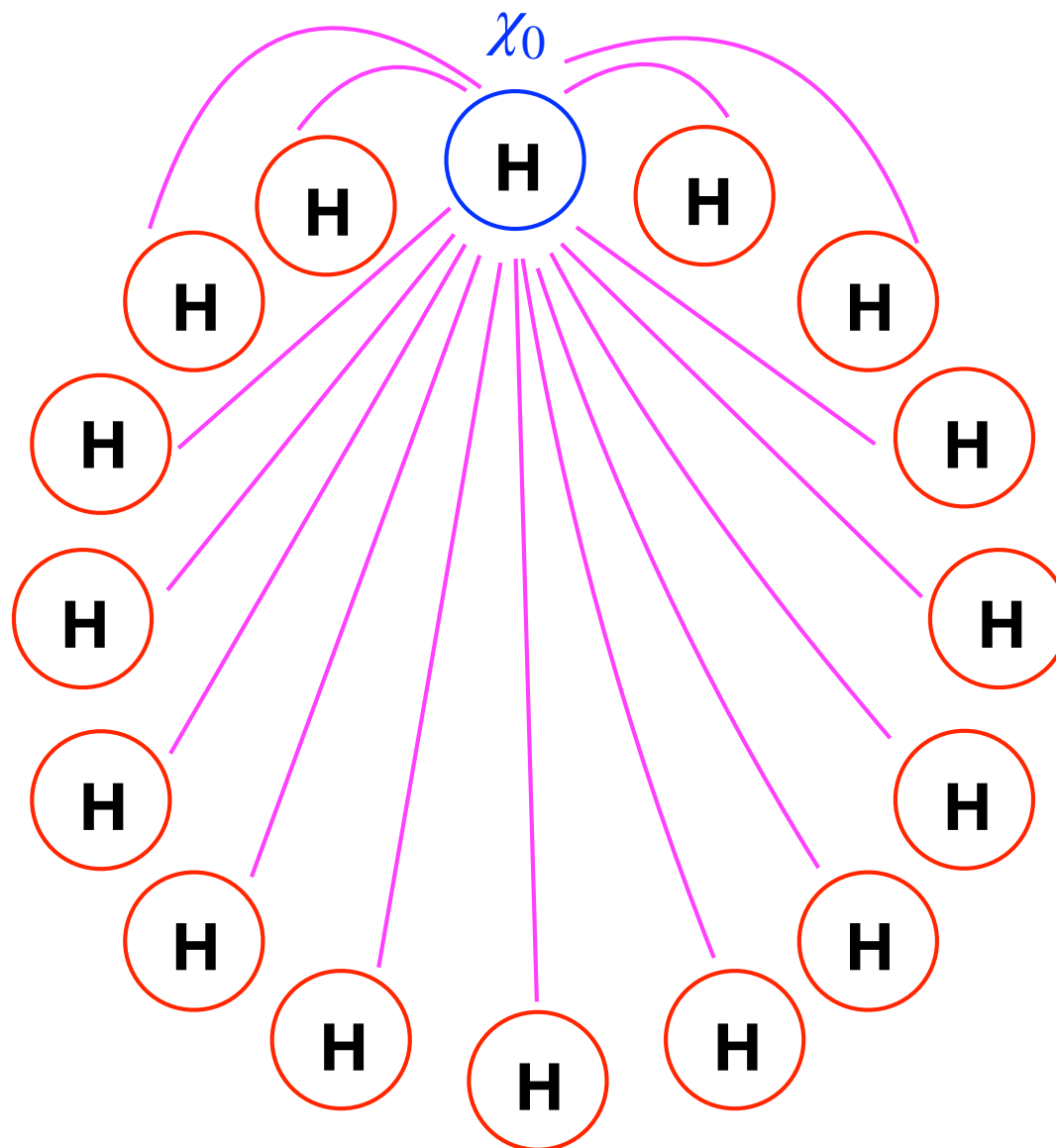
Prototypical ring of $L = 16$ hydrogen atoms



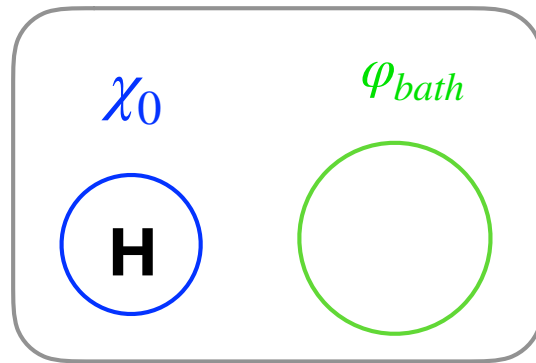
Prototypical ring of $L = 16$ hydrogen atoms



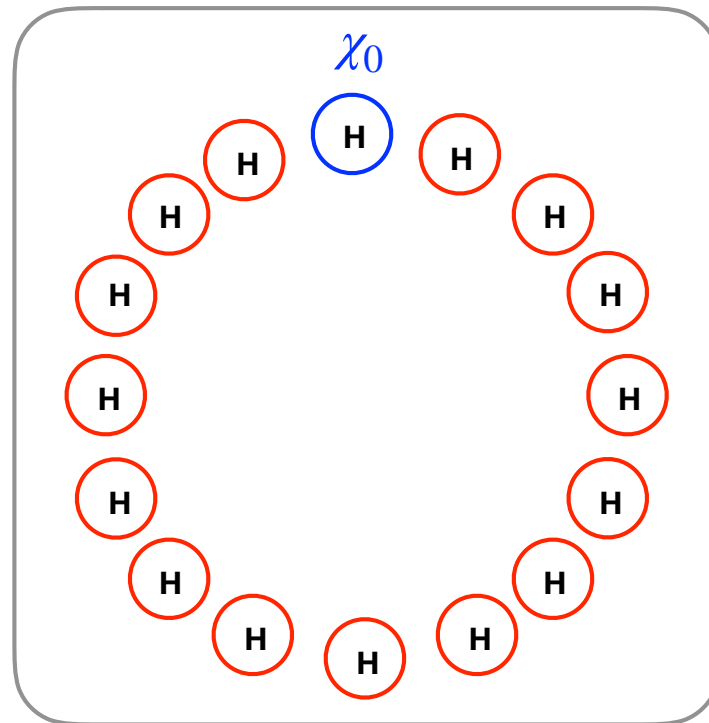
To-be-embedded (so-called *impurity*)
localised orbital



Exact density matrix functional embedding

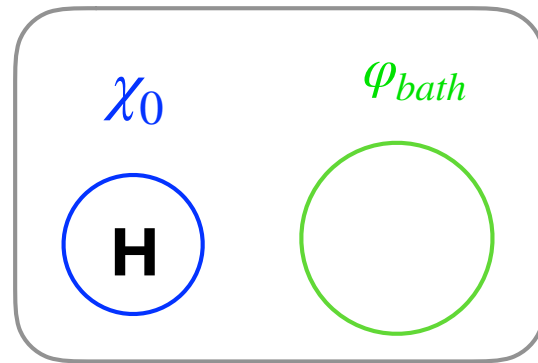


Two-electron system



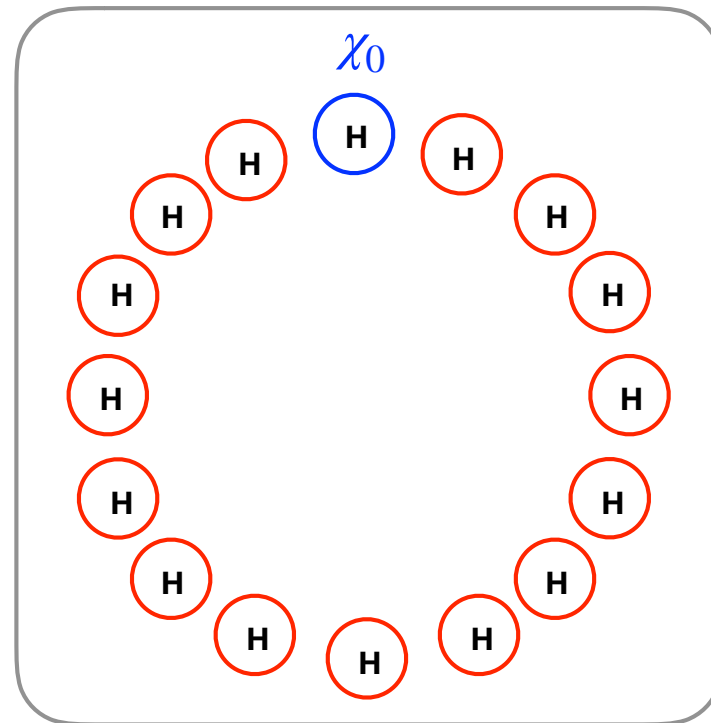
N -electron system

Exact density matrix functional embedding



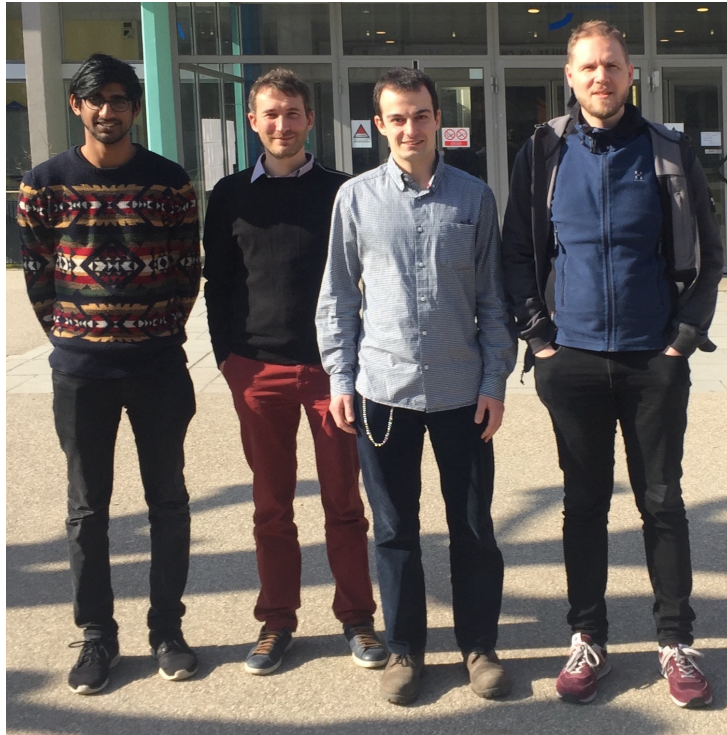
Two-electron system

Let's prove that the embedding is **exact**
for **non-interacting** electrons

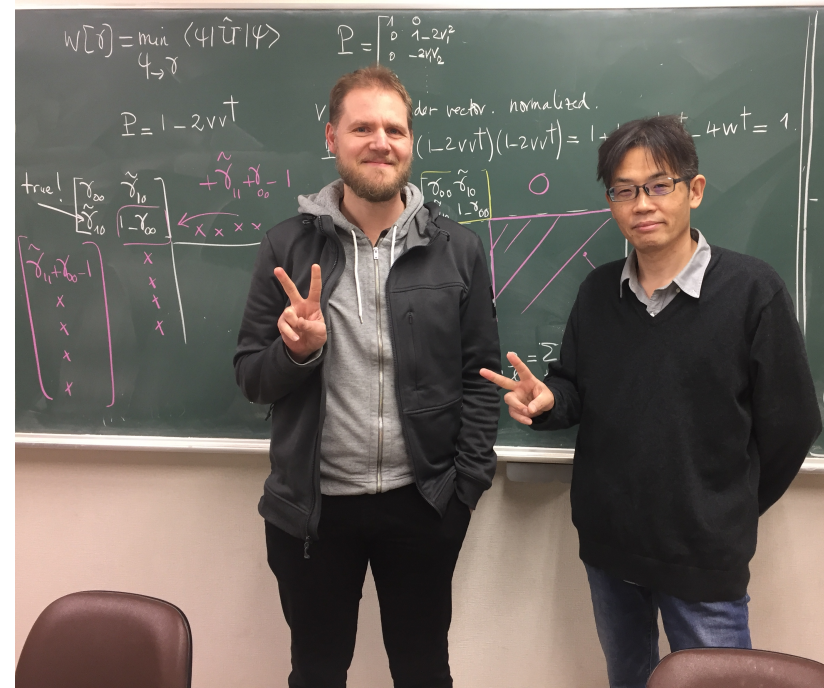


N -electron system

The “Householder embedding” project

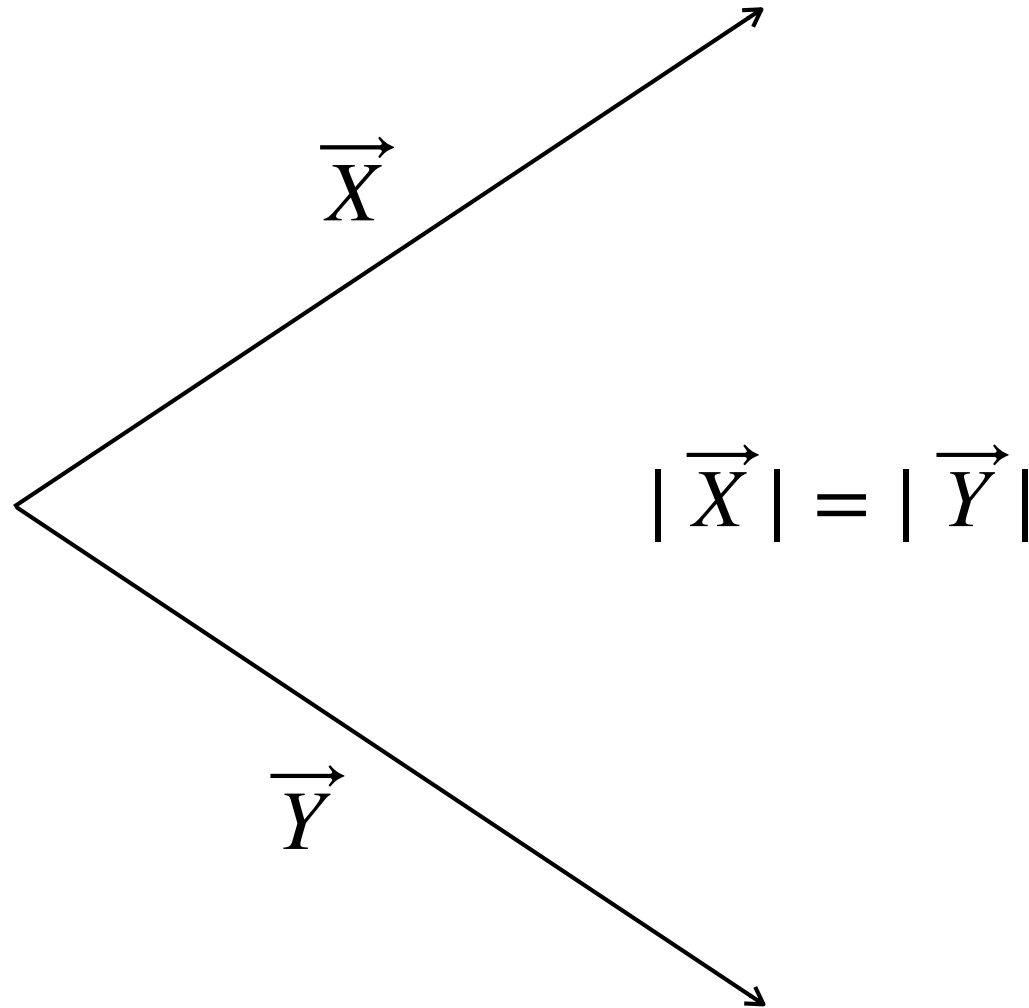


From left to right: **S. Sekaran** (Strasbourg, France),
M. Saubanère (Montpellier, France),
L. Mazouin (Strasbourg, France), and **E.F.**



E.F. and **M. Tsuchiizu** (Nara, Japan).

The Householder transformation



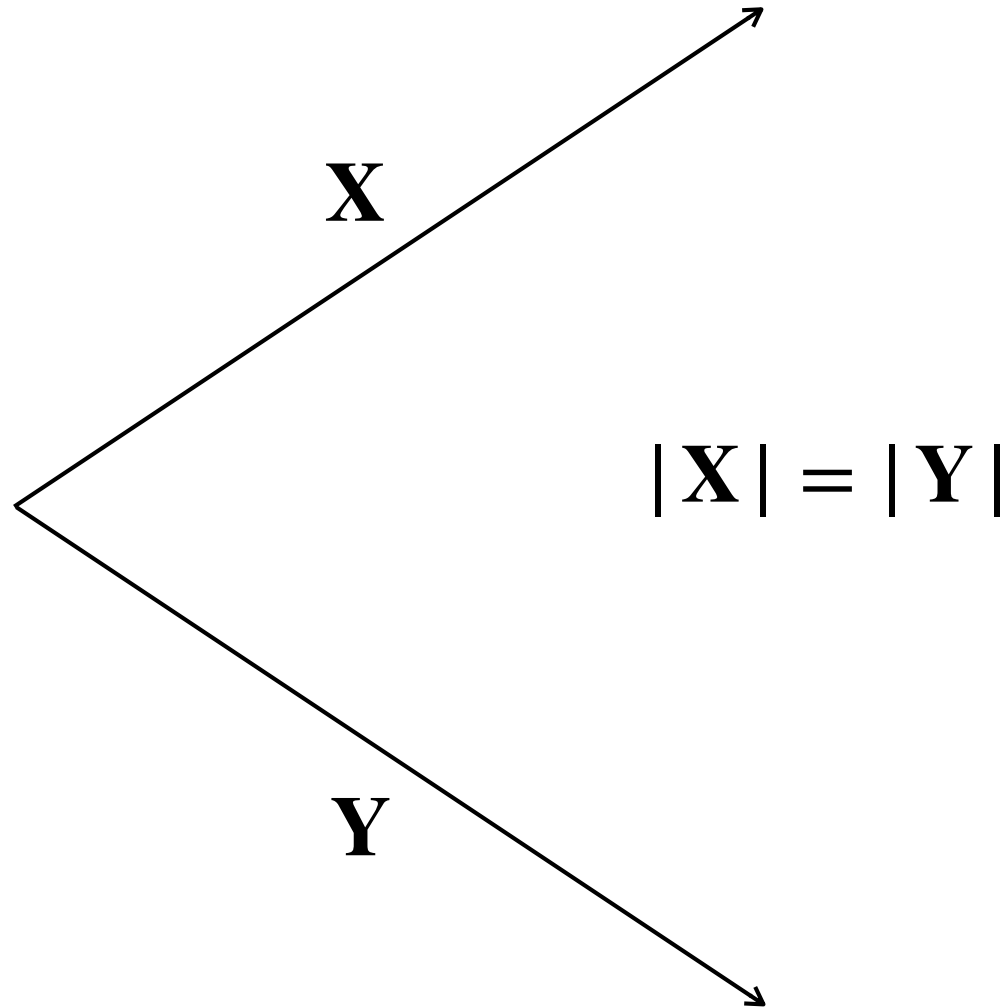
The Householder transformation

$$\vec{X} = \sum_{i \geq 0} X_i \vec{e}_i \equiv \begin{bmatrix} X_0 \\ X_1 \\ \vdots \\ X_i \\ \vdots \end{bmatrix} \quad \text{notation} \equiv \mathbf{X}$$

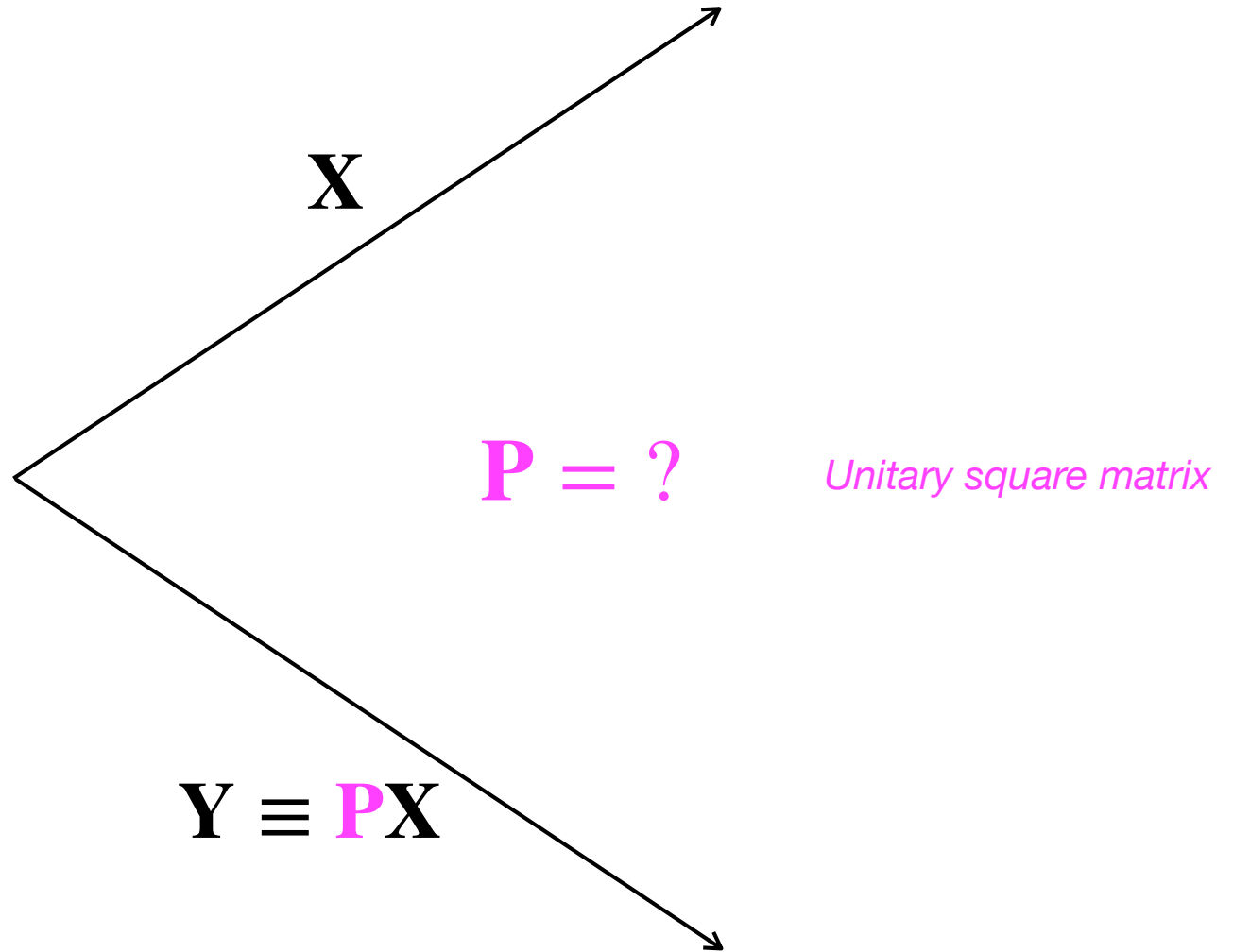
The Householder transformation

$$\begin{aligned}\vec{X} \cdot \vec{Y} &= \sum_{i \geq 0} X_i Y_i = [X_0 \quad X_1 \quad \dots \quad X_i \quad \dots] \times \begin{bmatrix} Y_0 \\ Y_1 \\ \vdots \\ Y_i \\ \vdots \end{bmatrix} \\ &= \mathbf{X}^T \mathbf{Y}\end{aligned}$$

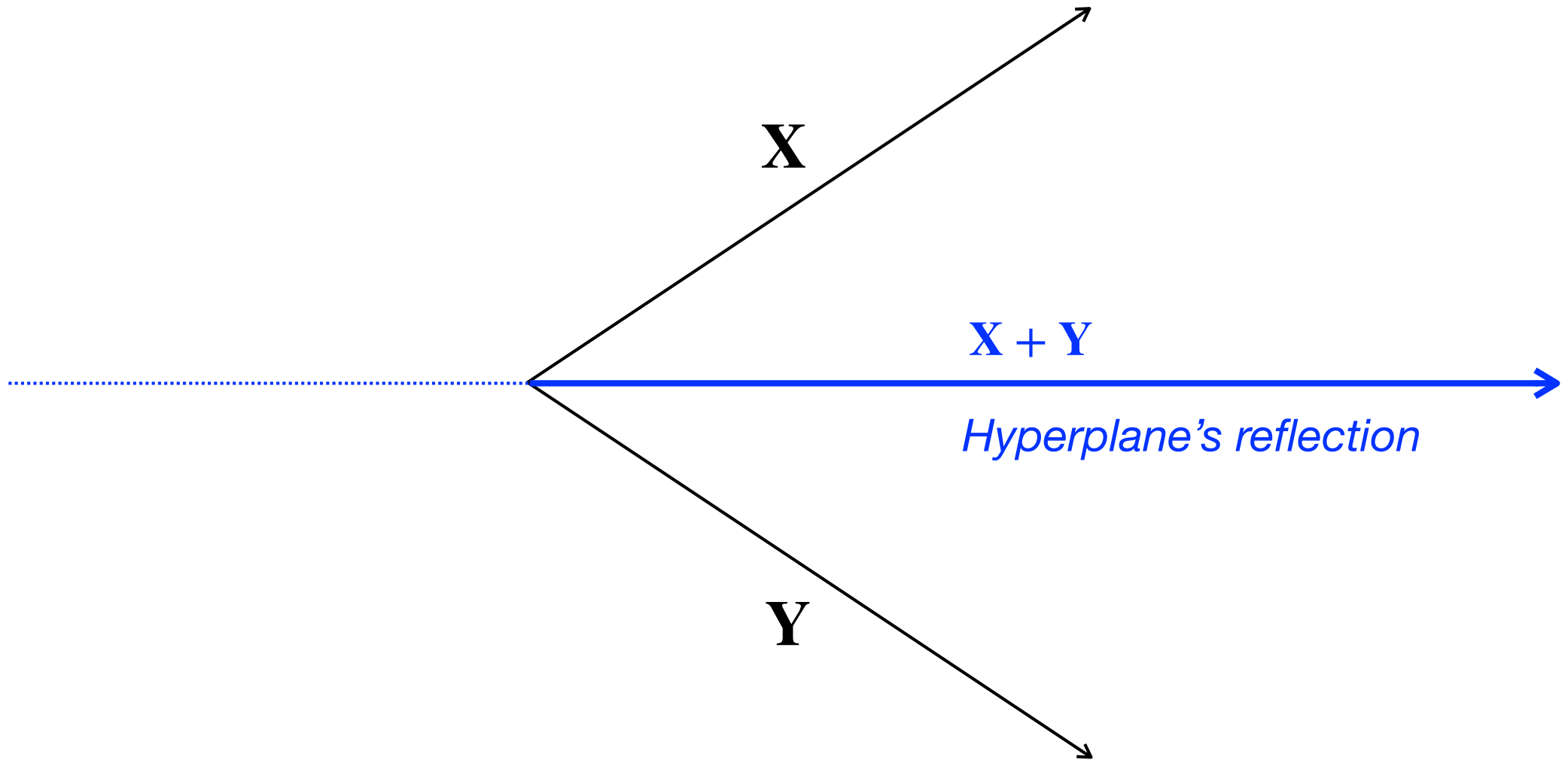
The Householder transformation



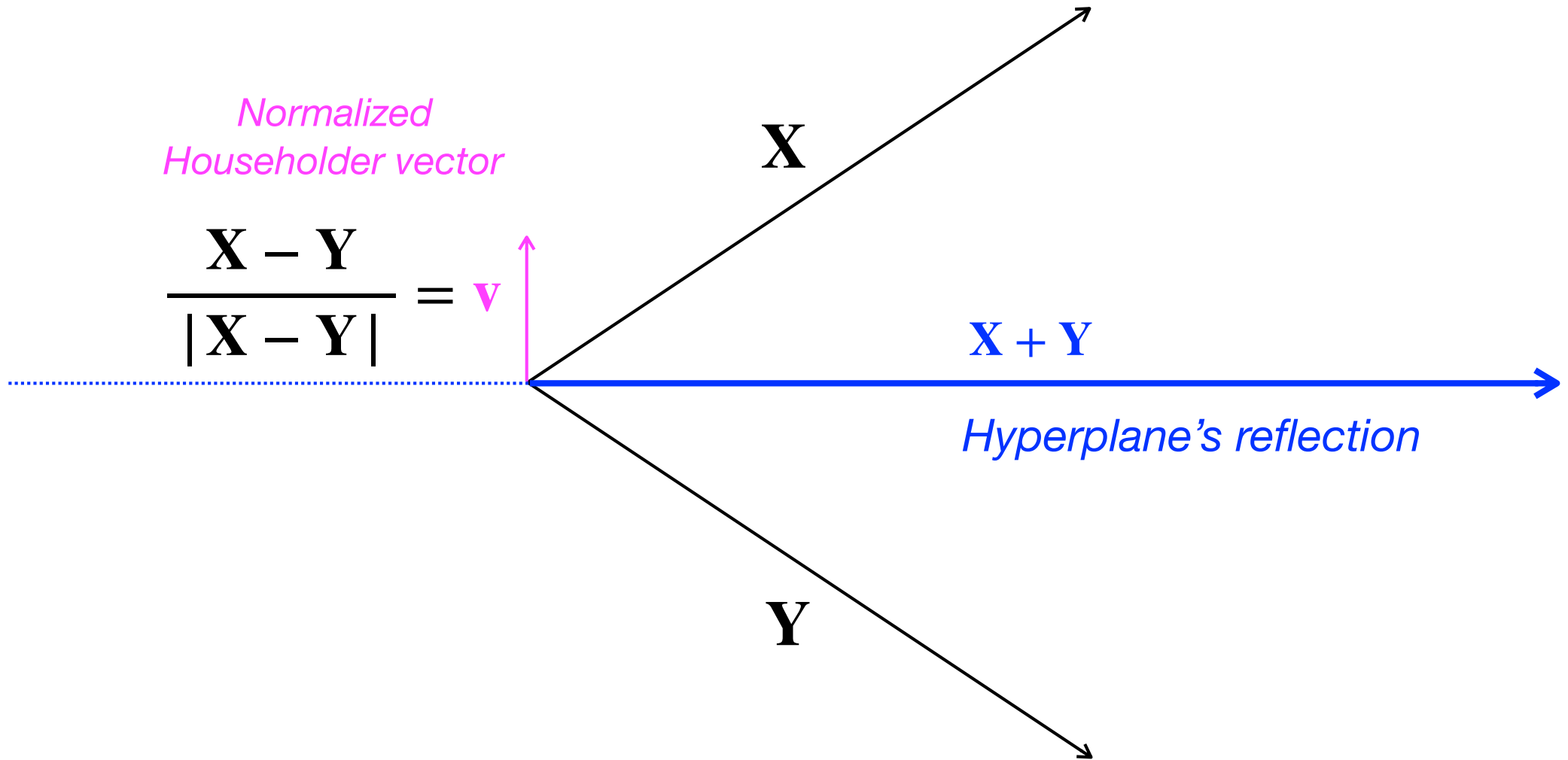
The Householder transformation



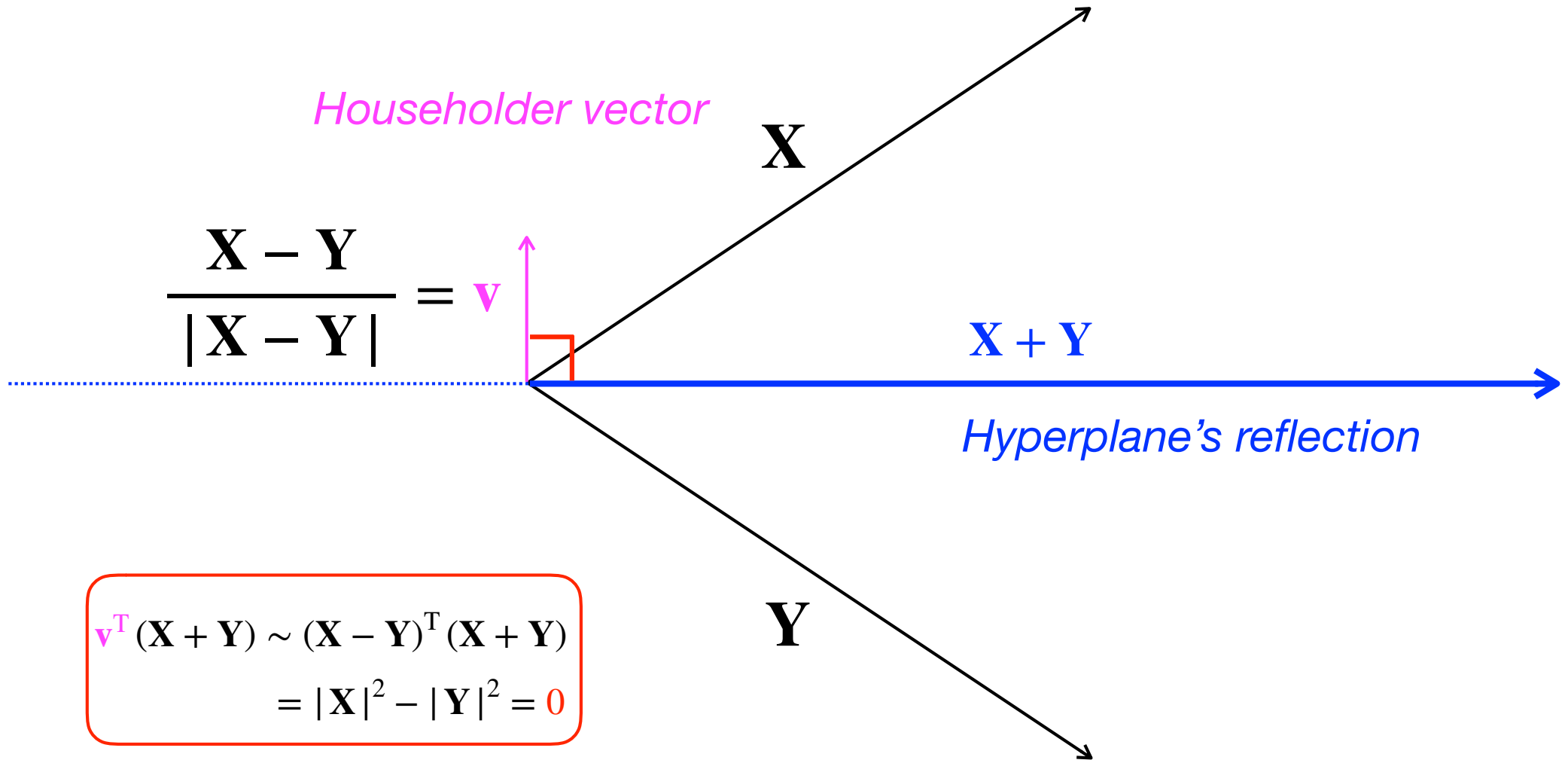
The Householder transformation



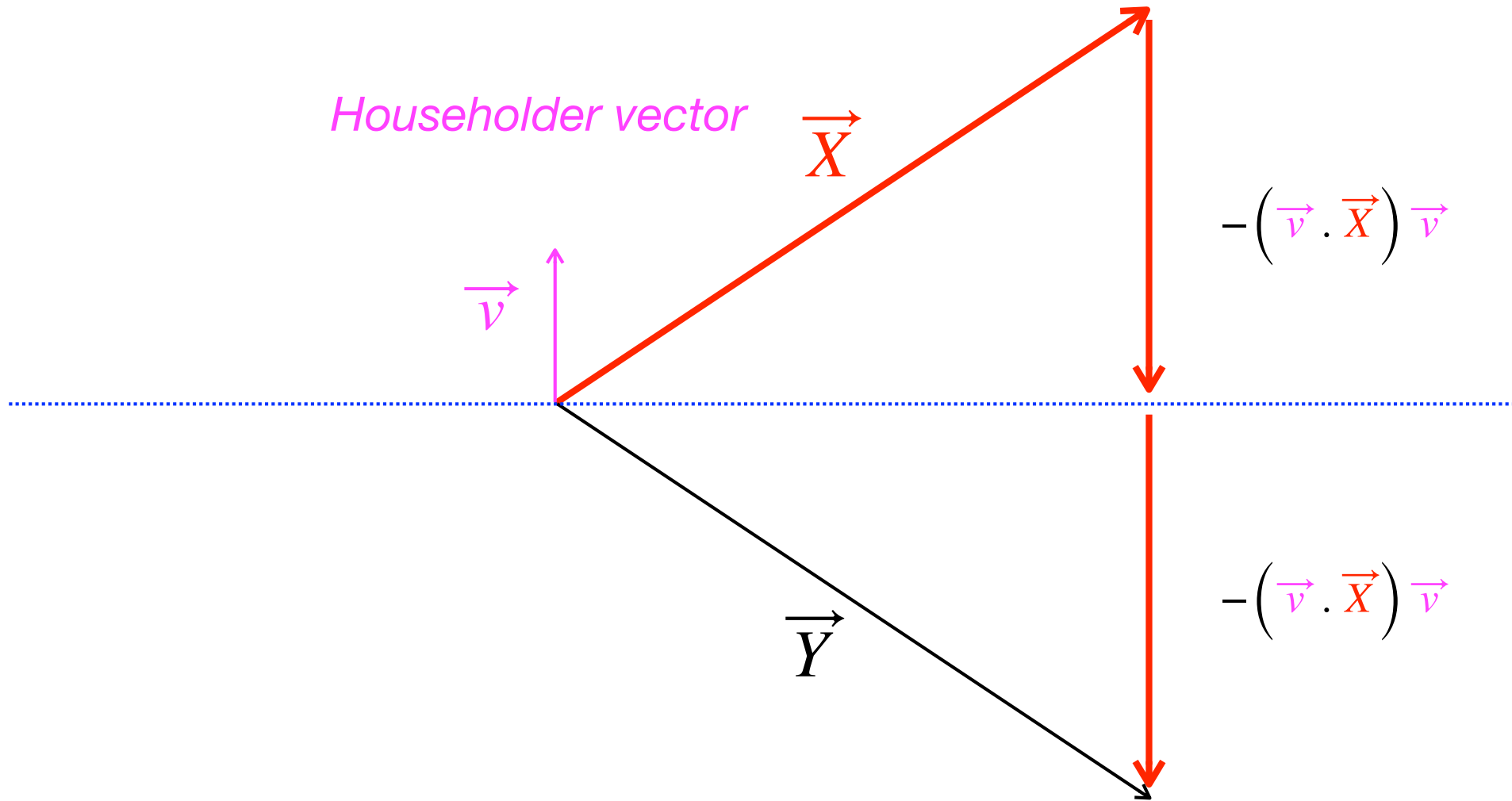
The Householder transformation



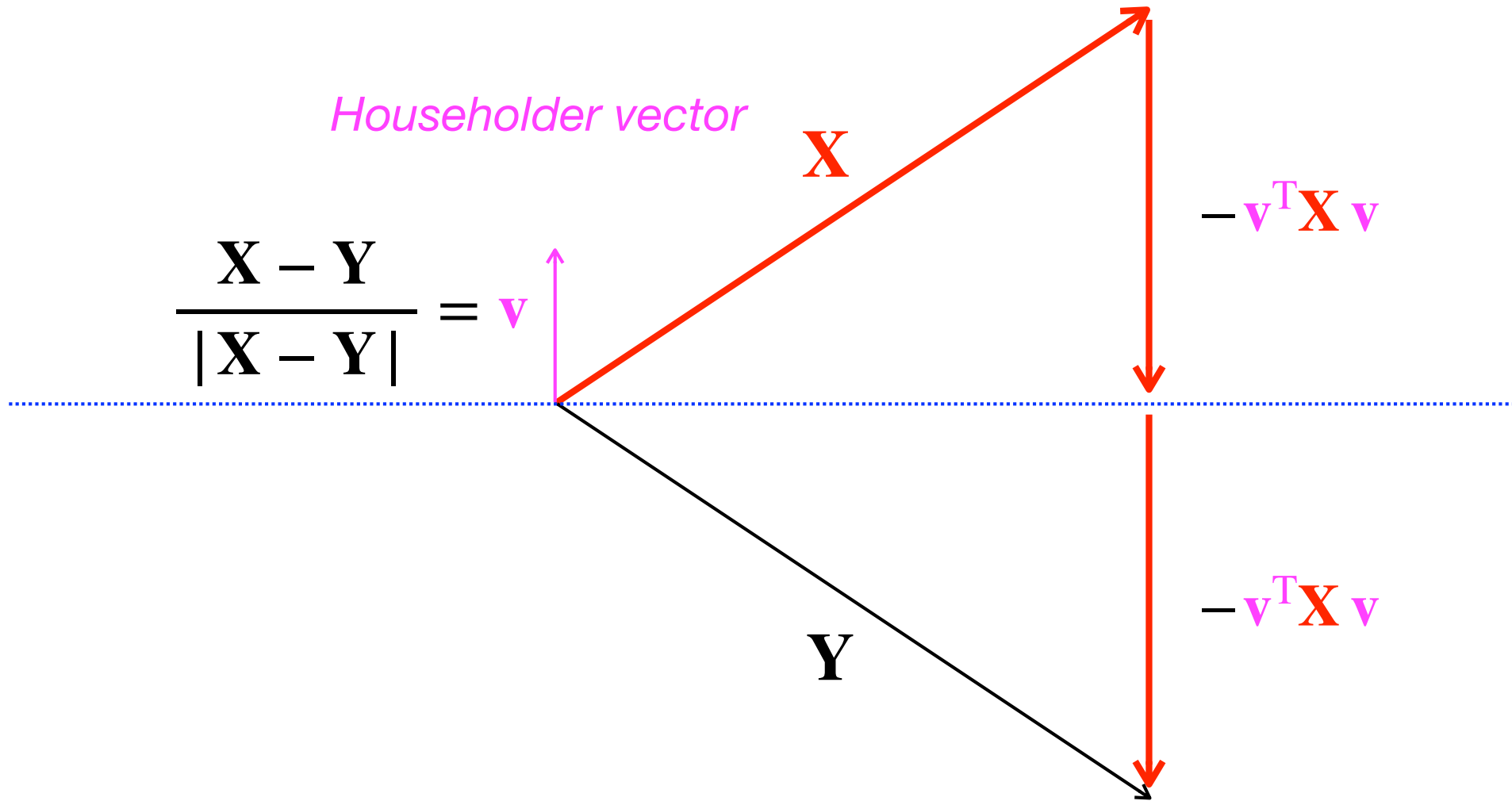
The Householder transformation



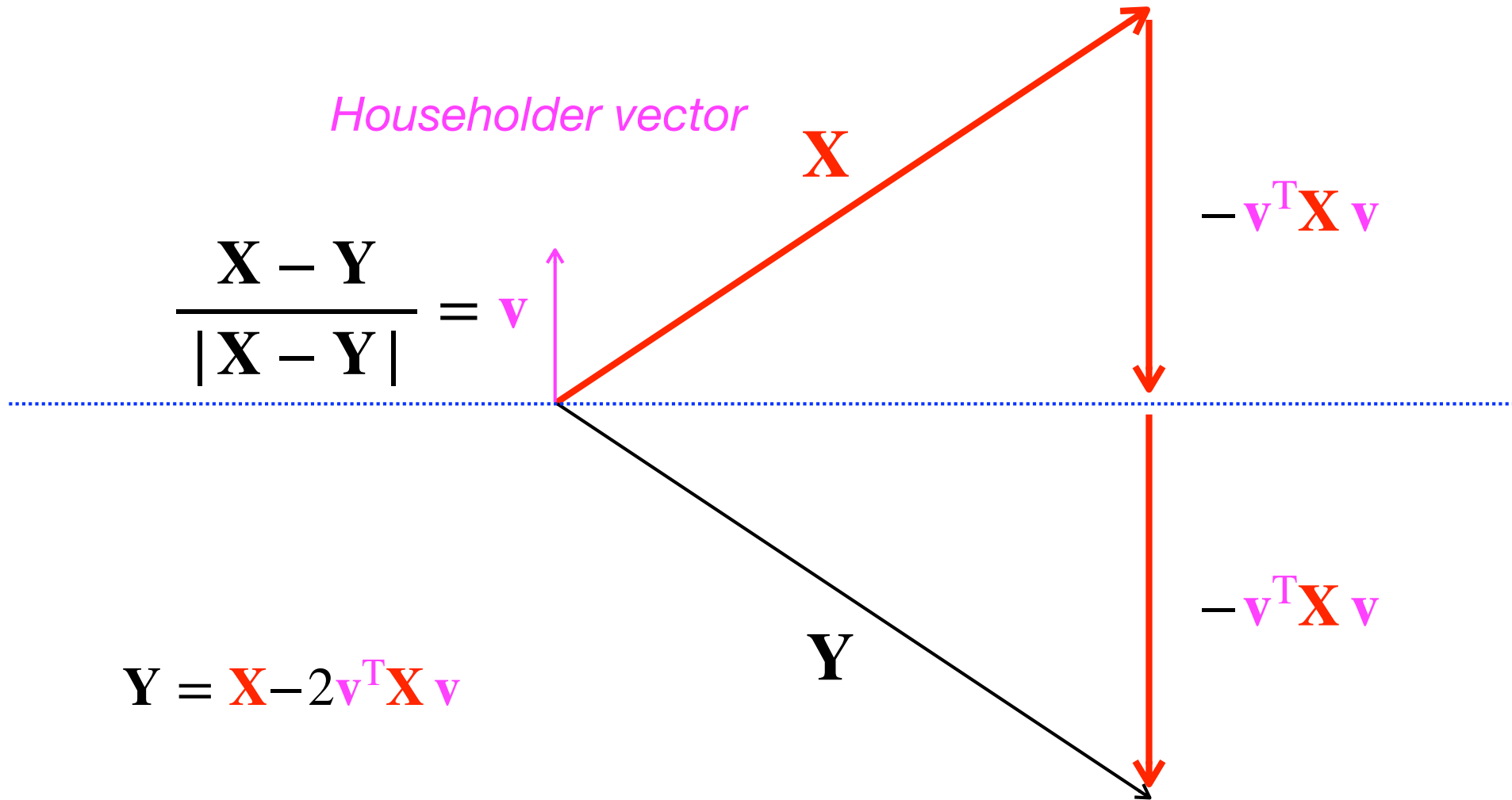
The Householder transformation



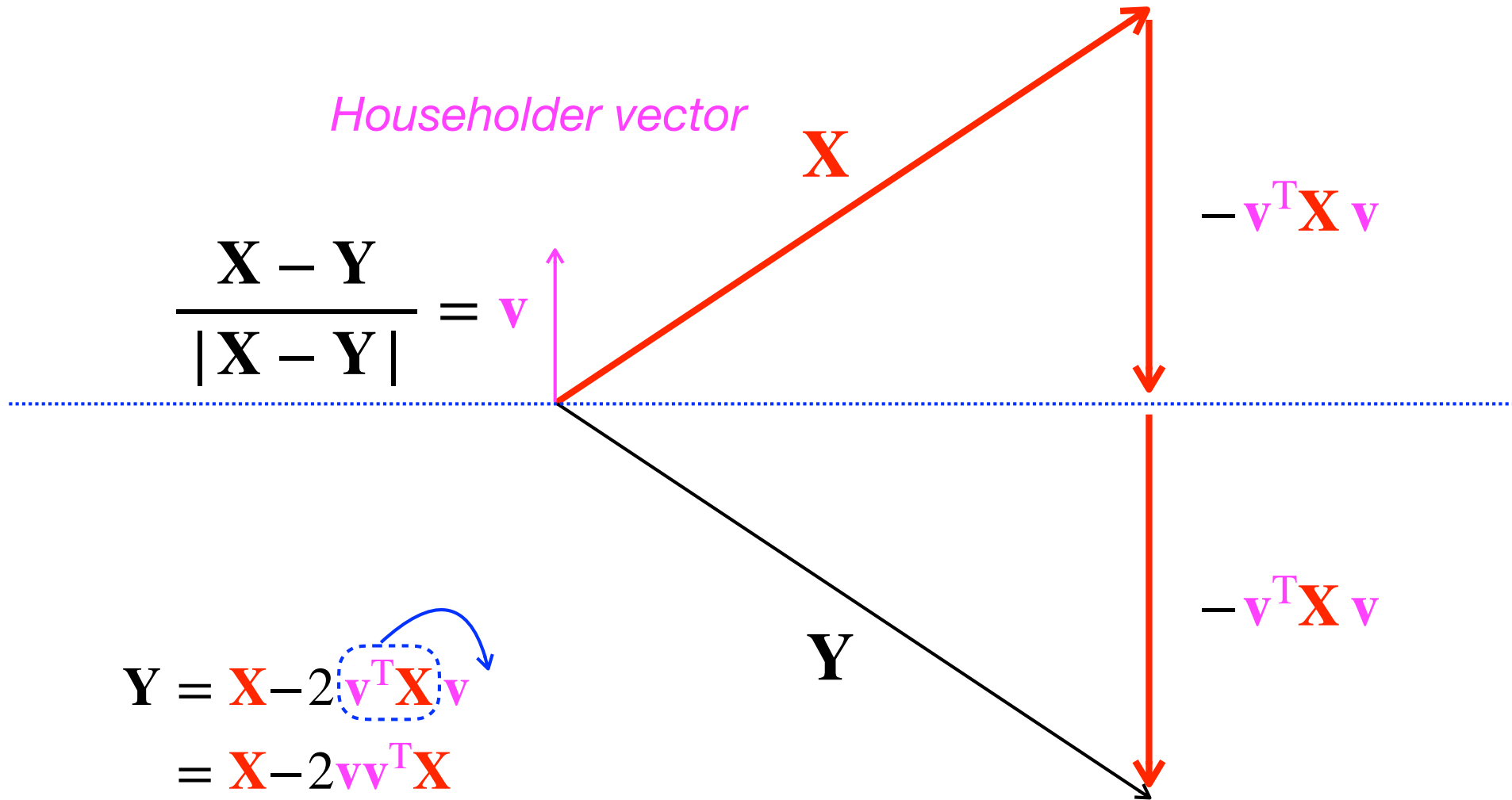
The Householder transformation



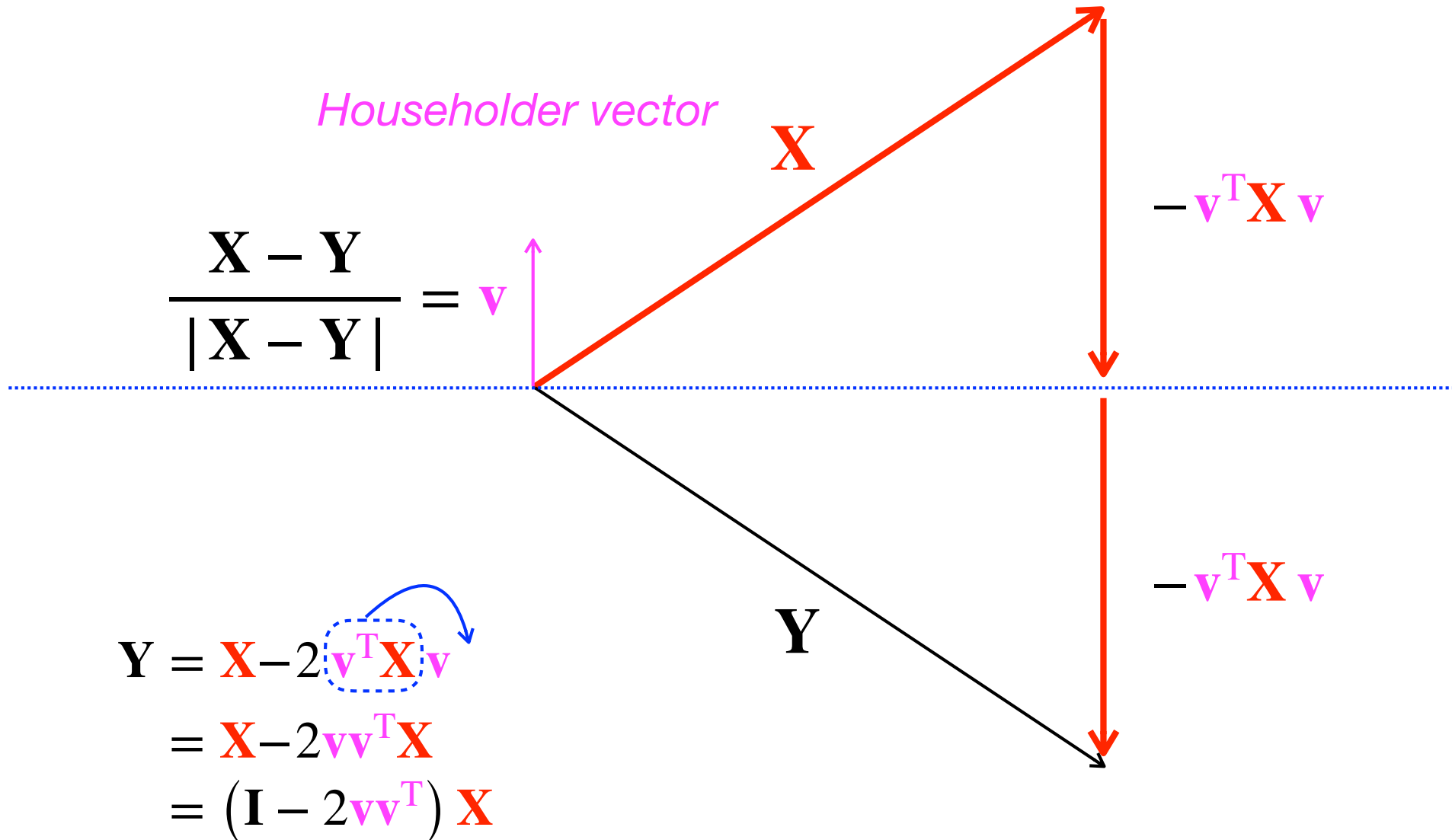
The Householder transformation



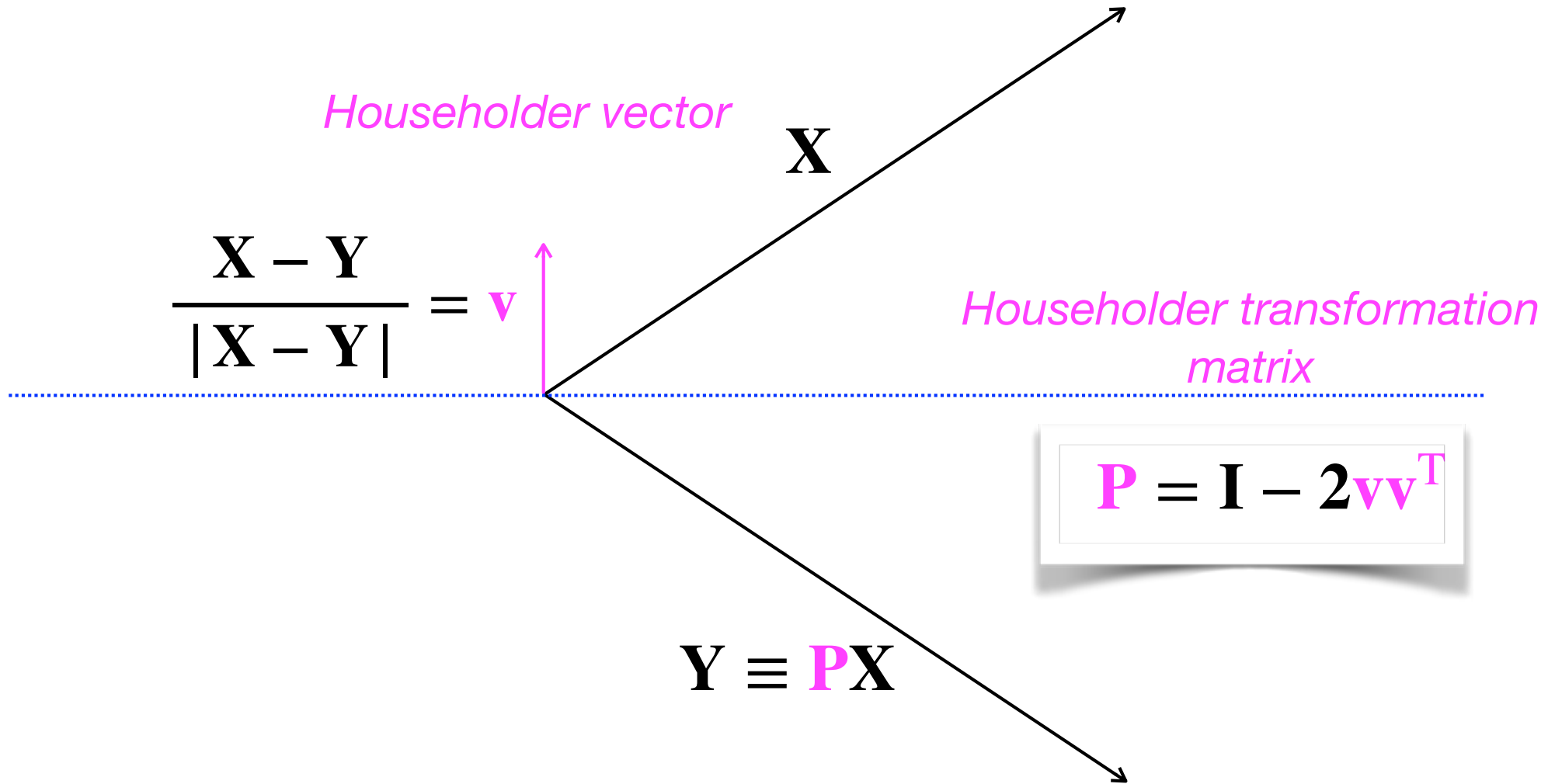
The Householder transformation



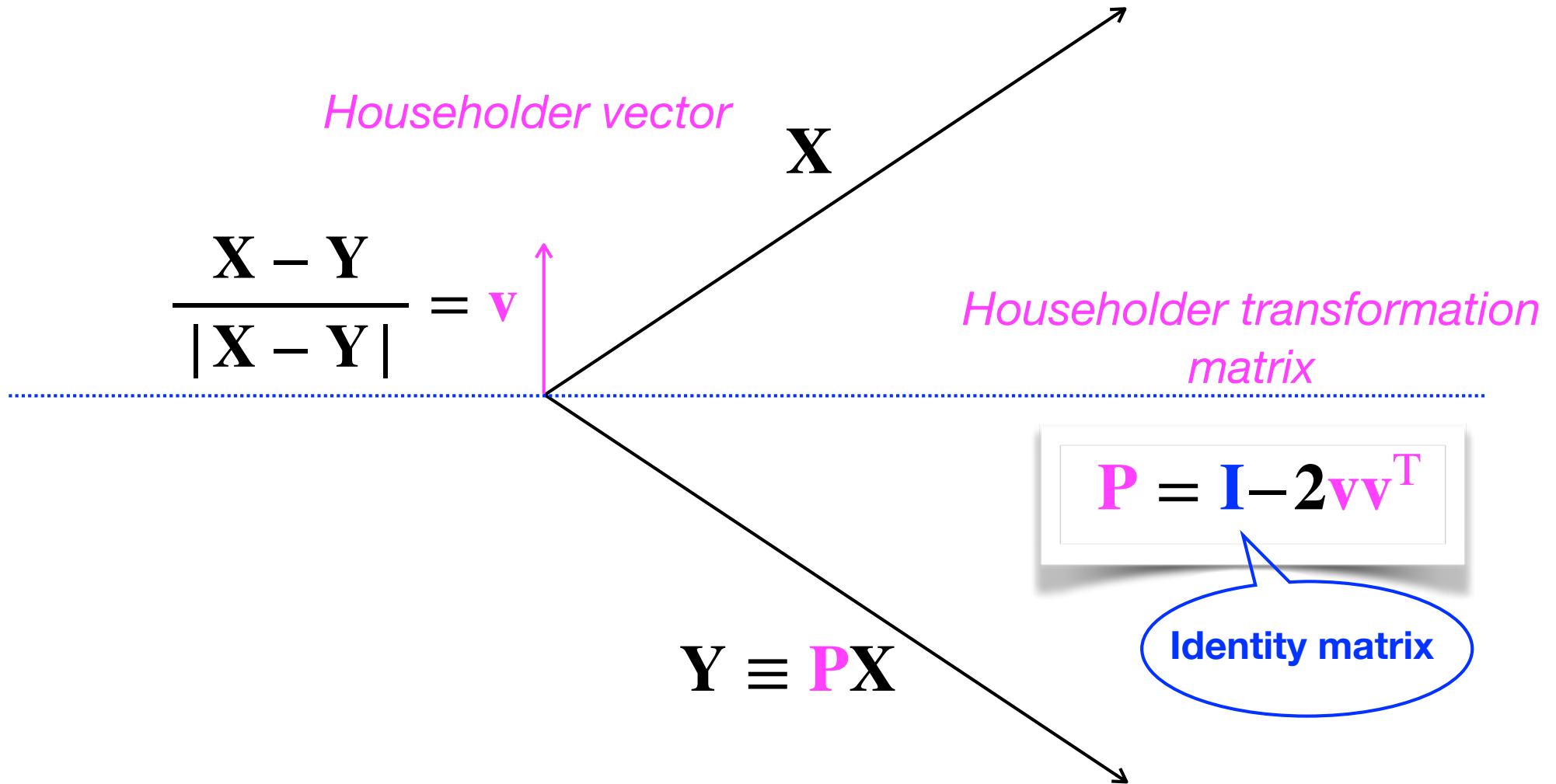
The Householder transformation



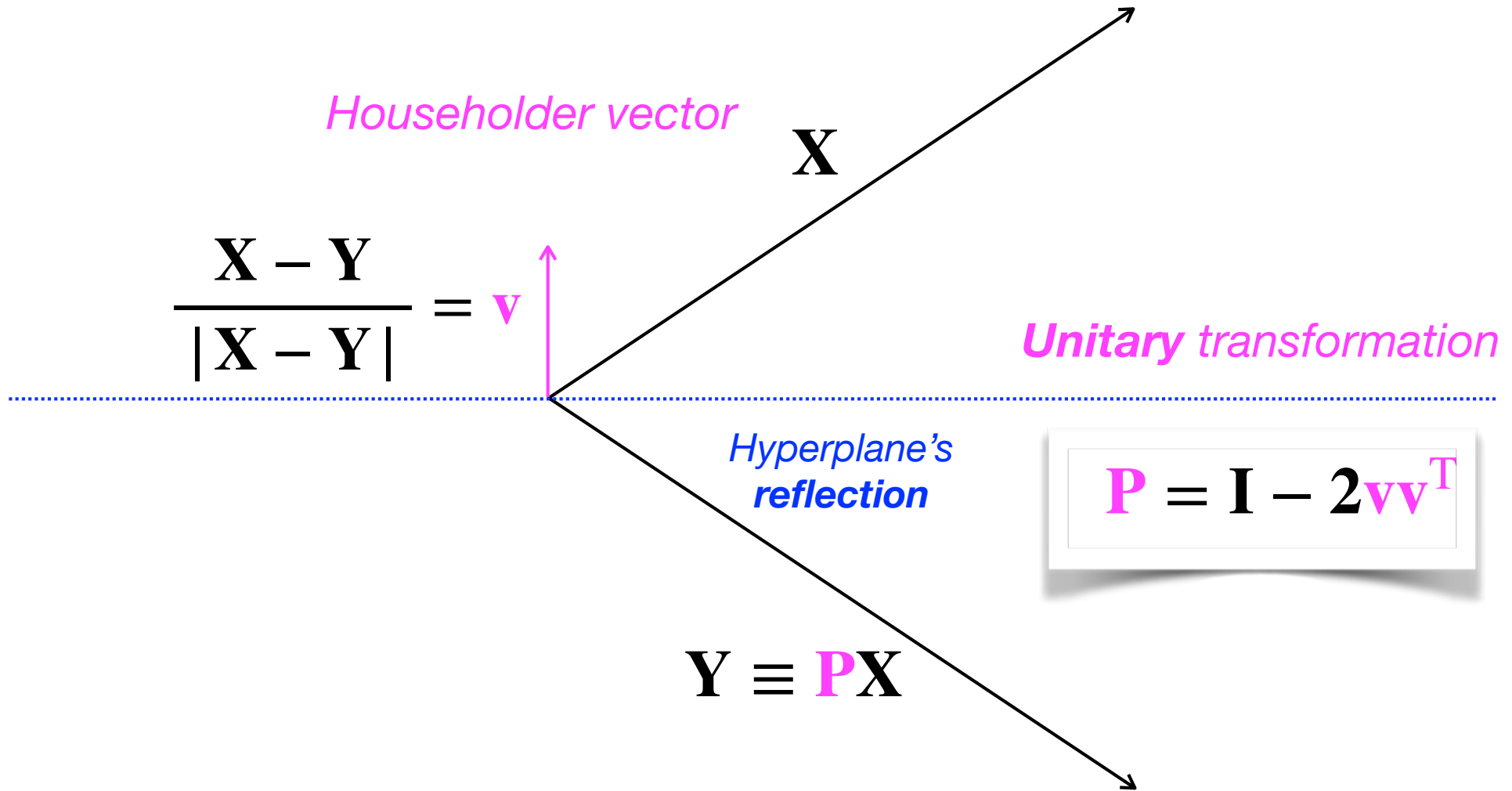
The Householder transformation



The Householder transformation



The Householder transformation



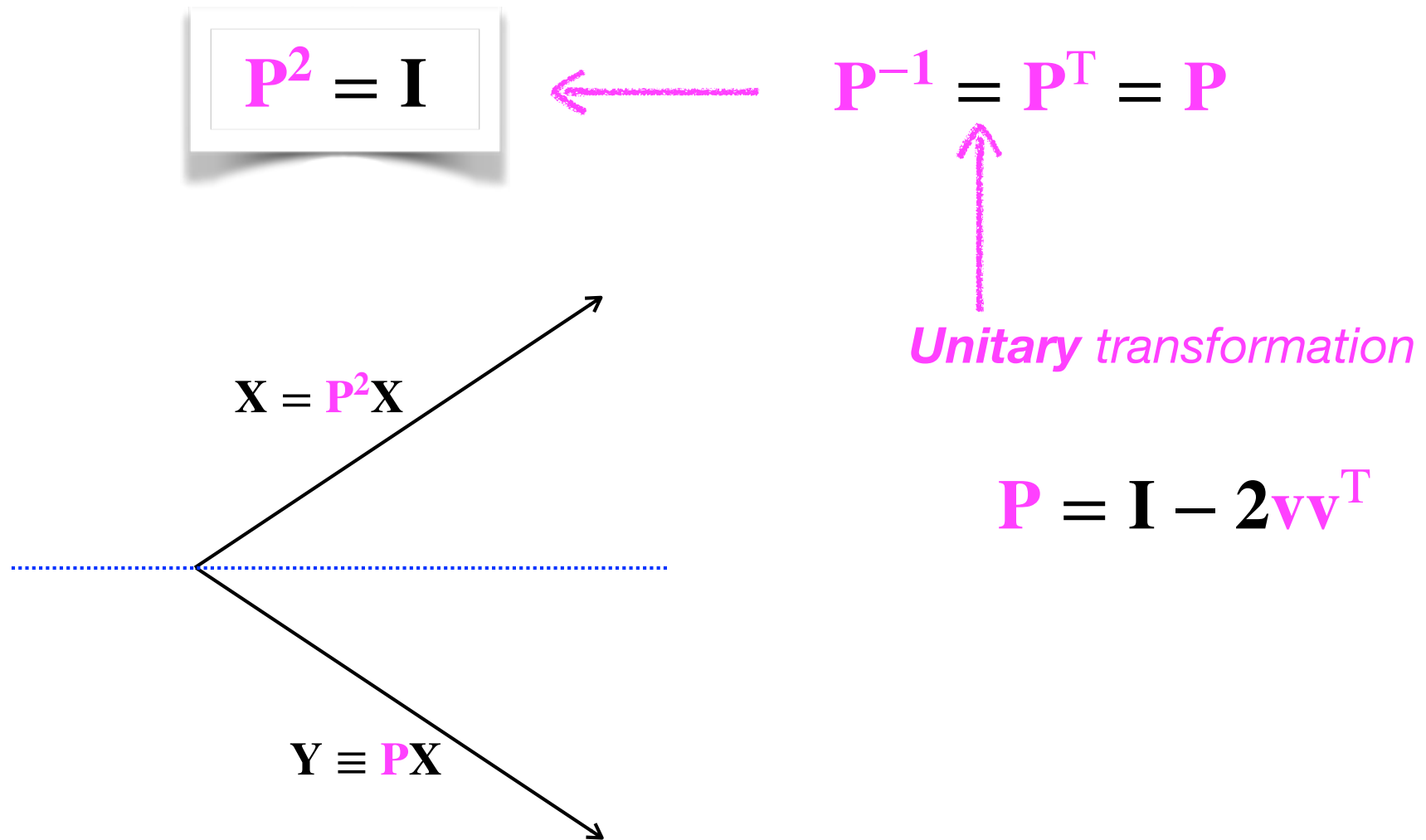
The Householder transformation

$$\mathbf{P}^{-1} = \mathbf{P}^T = \mathbf{P}$$

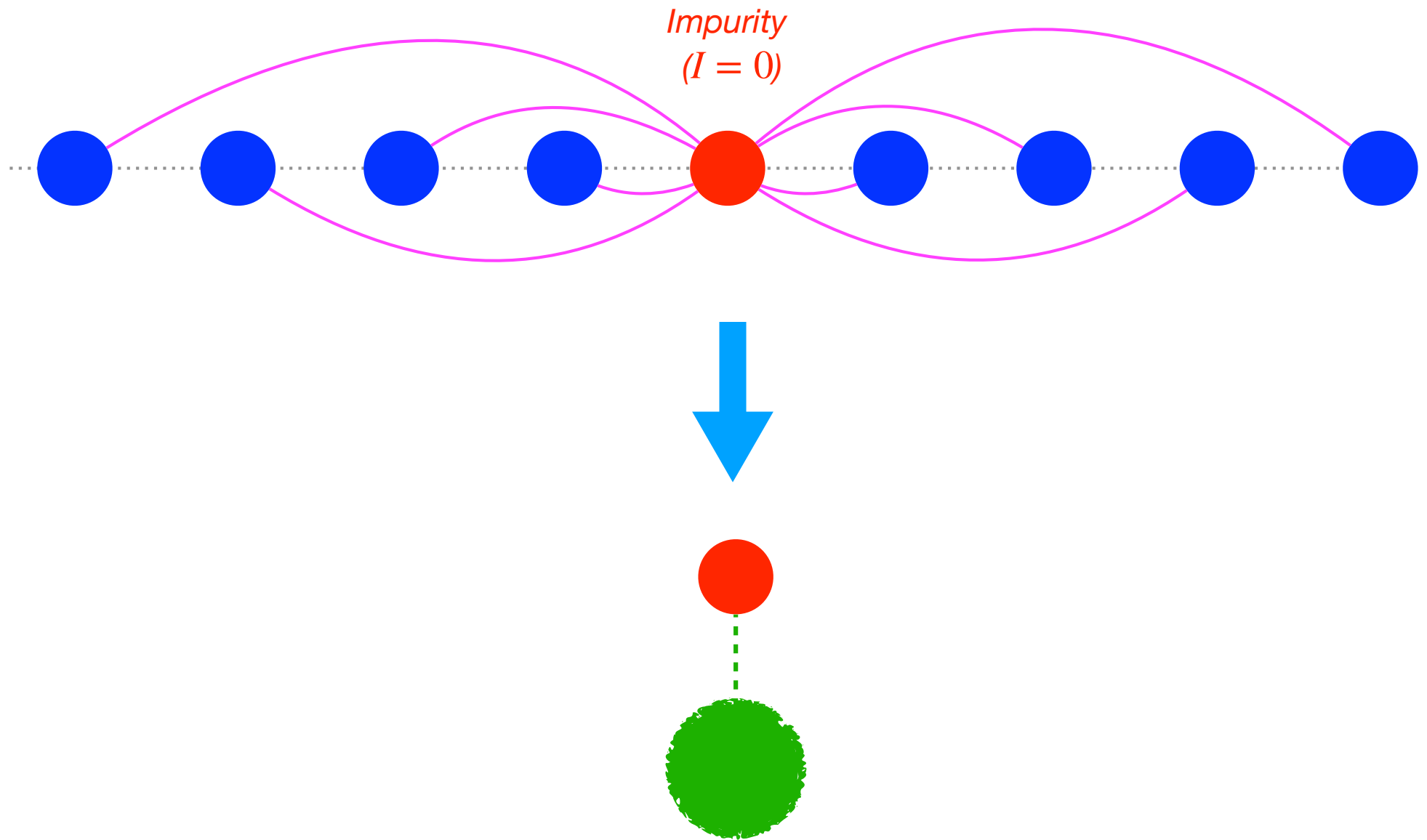
Unitary transformation

$$\mathbf{P} = \mathbf{I} - 2\mathbf{v}\mathbf{v}^T$$

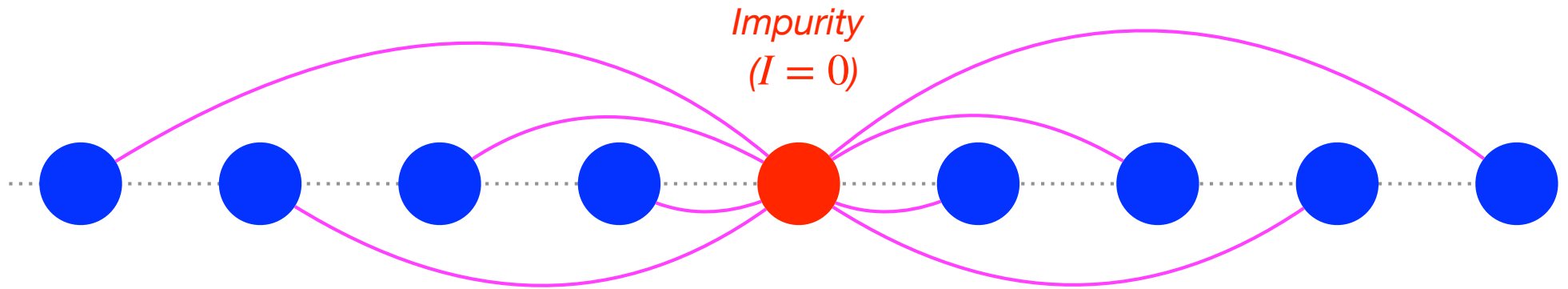
The Householder transformation



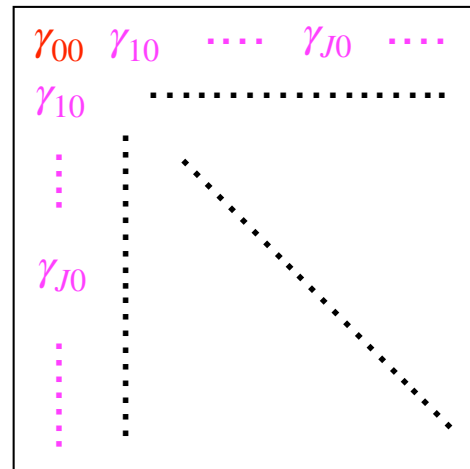
Householder transformed density matrix embedding



Householder transformed density matrix embedding

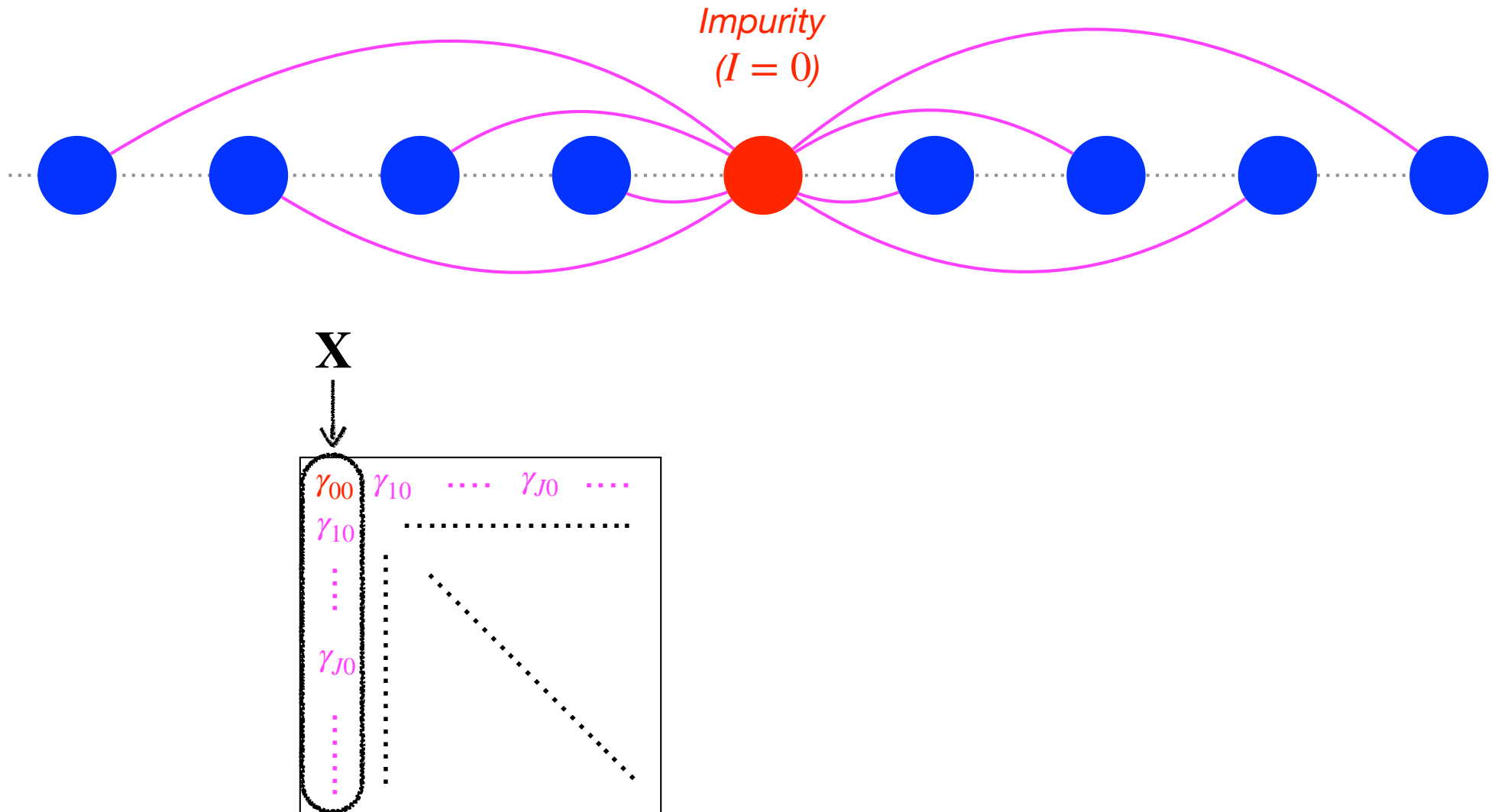


$$\gamma_{IJ} = \langle \hat{c}_I^\dagger \hat{c}_J \rangle \equiv$$

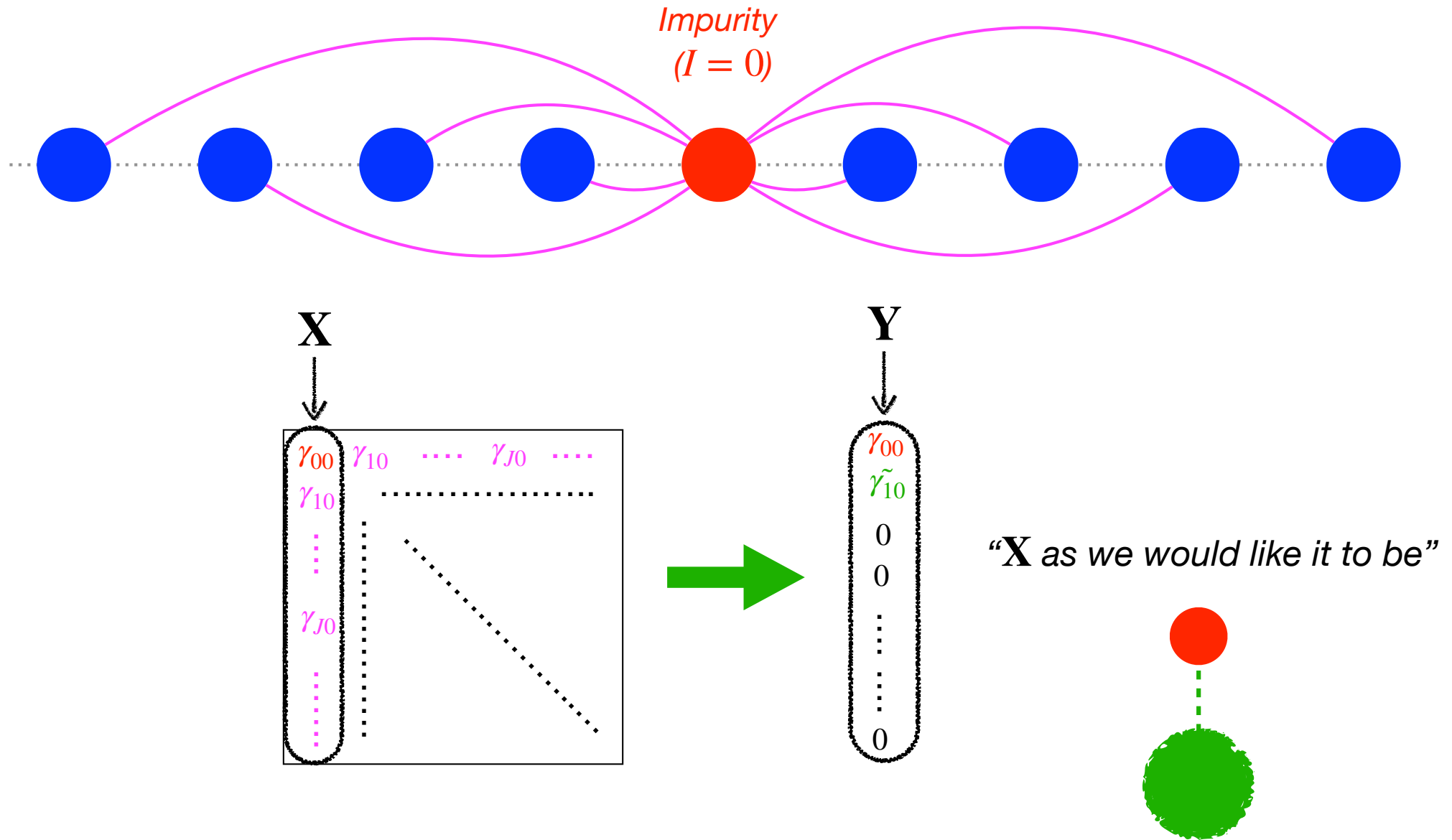


$$\gamma^{loc} \underset{notation}{=} \gamma$$

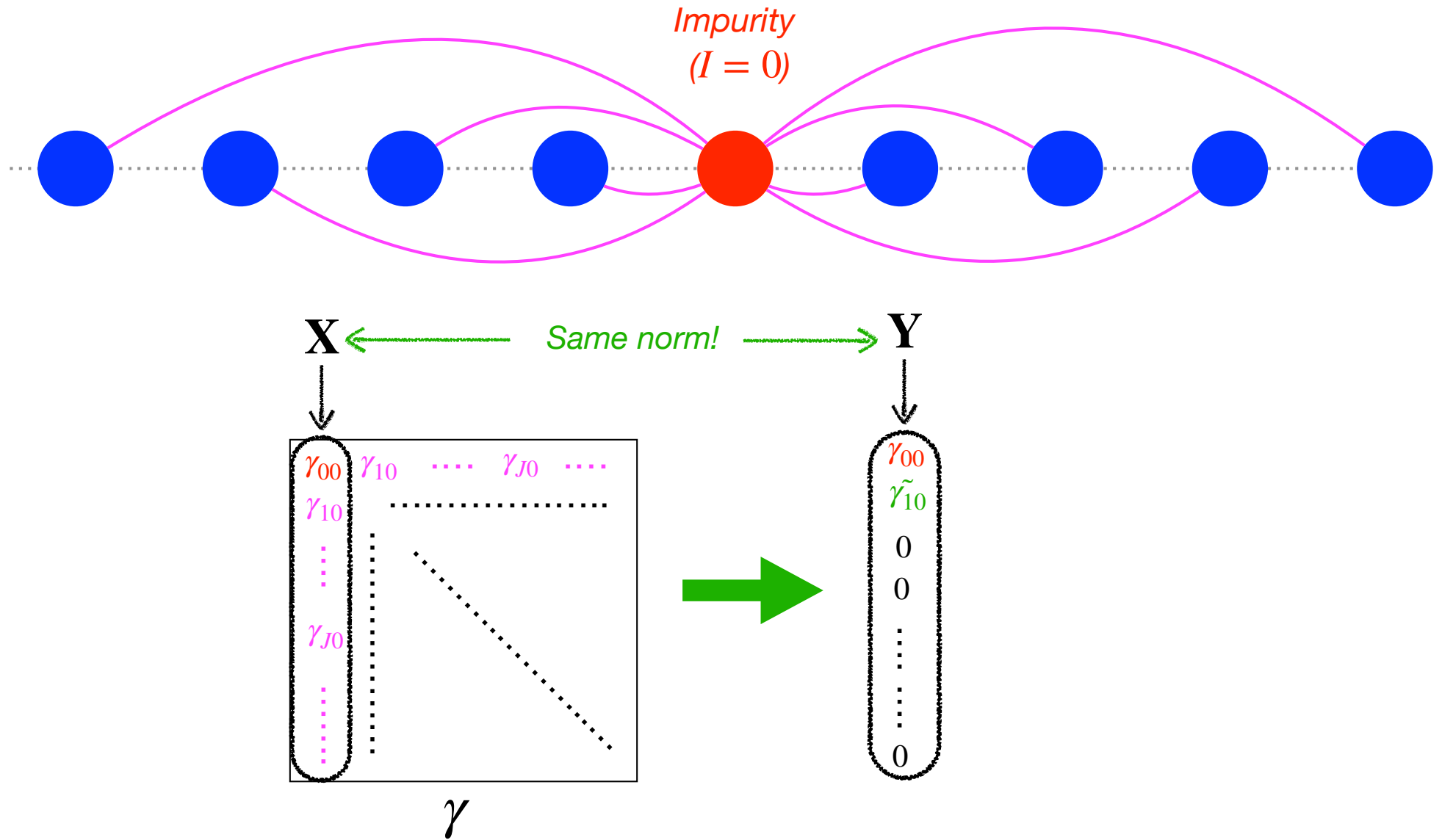
Householder transformed density matrix embedding



Householder transformed density matrix embedding

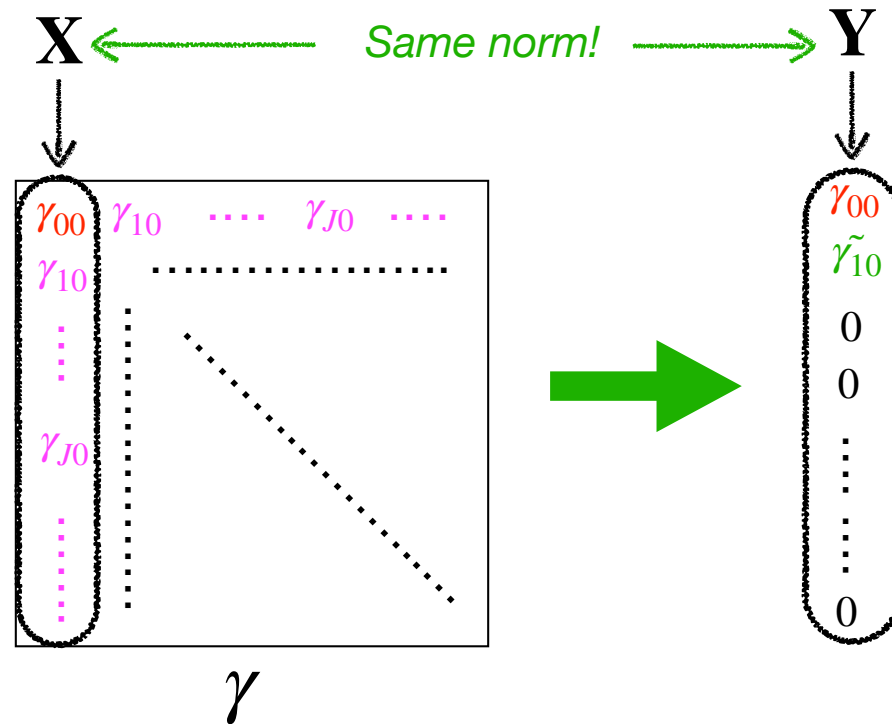


Householder transformed density matrix embedding

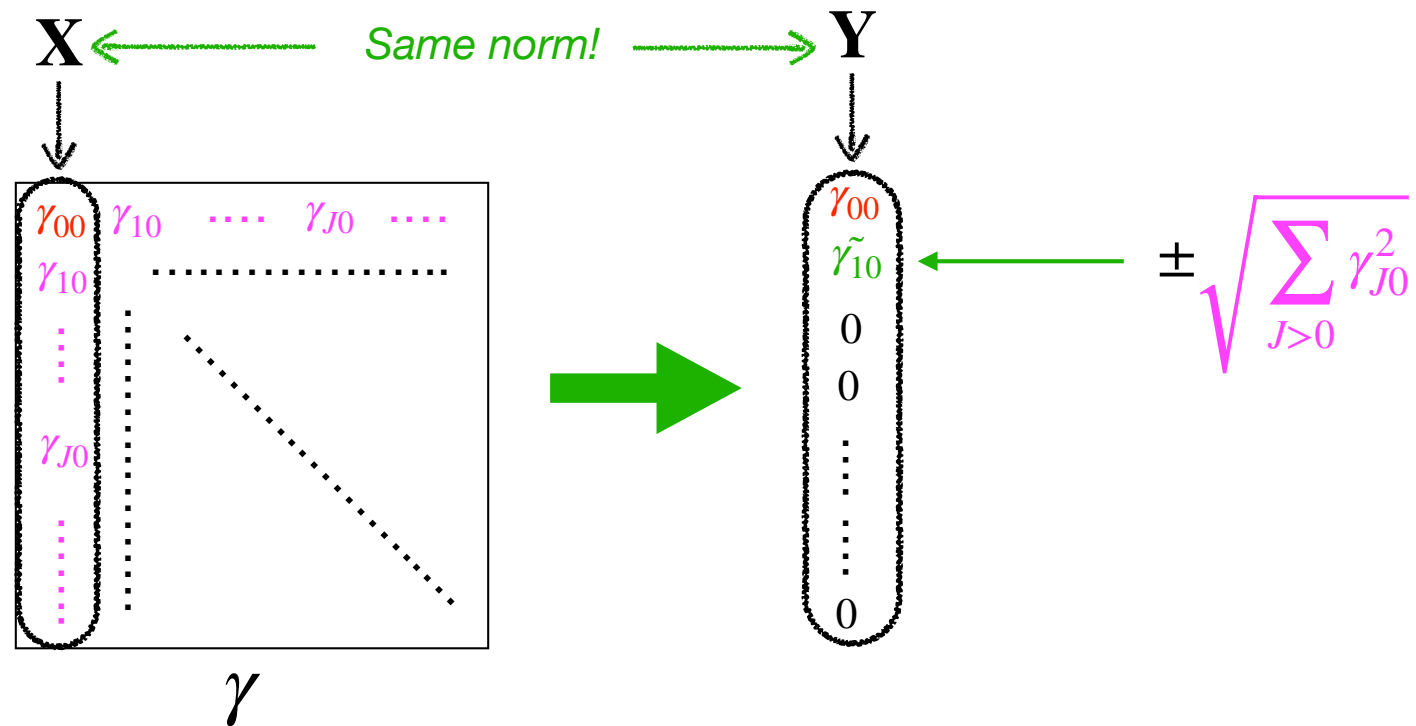


Householder transformed density matrix embedding

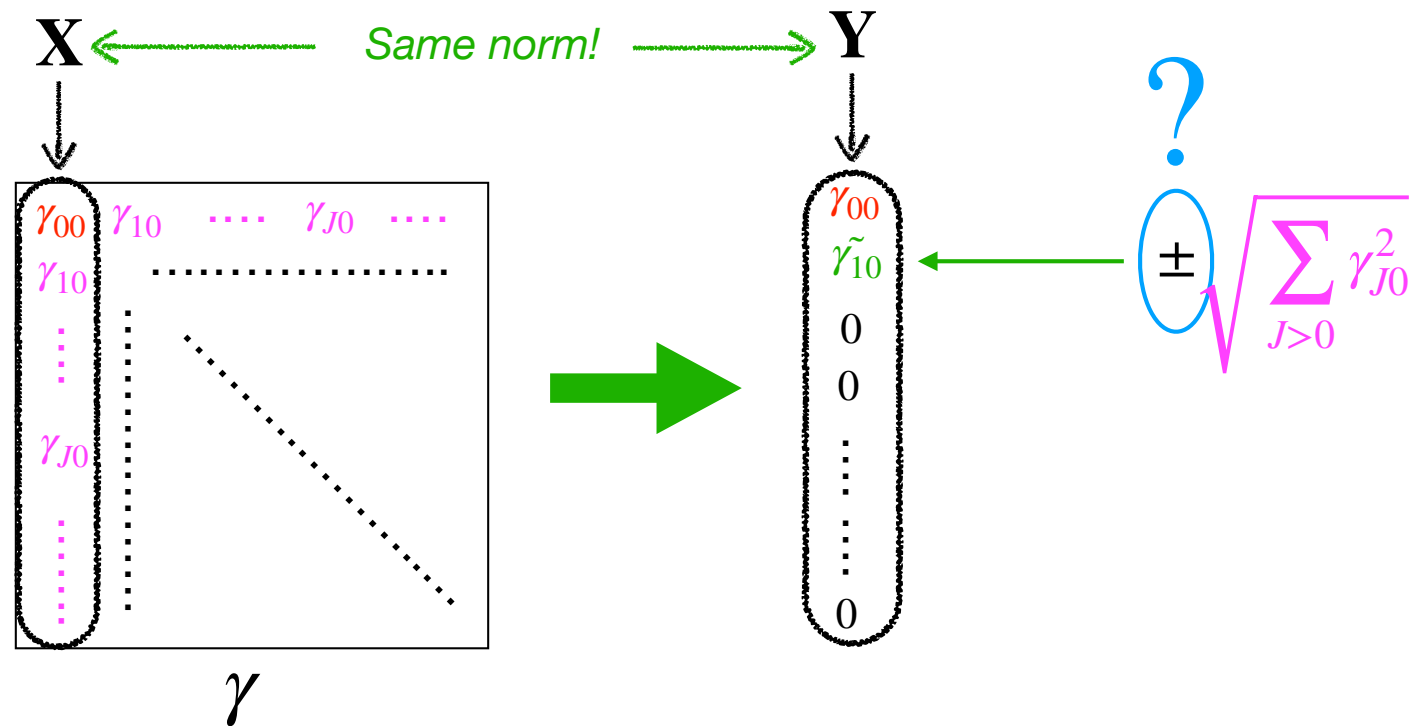
$$|\mathbf{X}|^2 - \gamma_{00}^2 = |\mathbf{Y}|^2 - \gamma_{00}^2 = \tilde{\gamma}_{10}^2 = \sum_{J>0} \gamma_{J0}^2$$



Householder transformed density matrix embedding



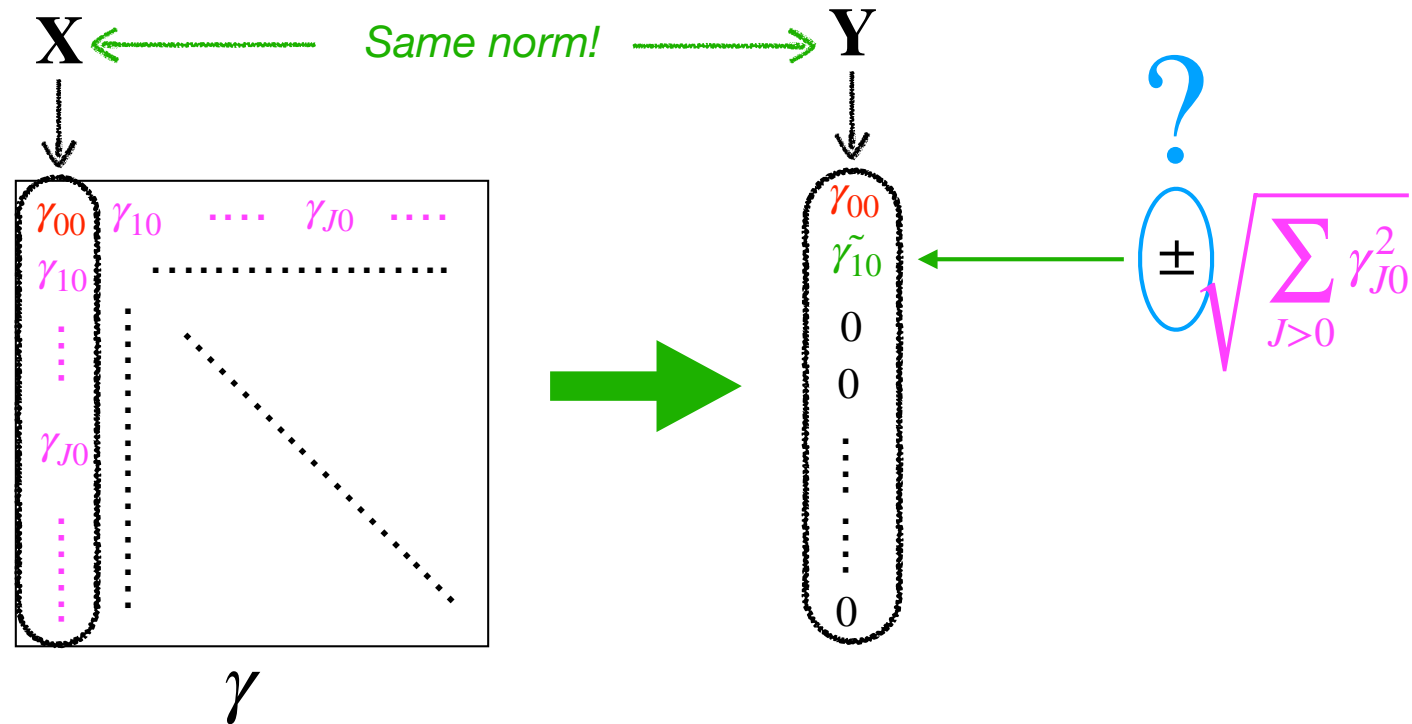
Householder transformed density matrix embedding



Householder transformed density matrix embedding

$$\mathbf{v} = \frac{\mathbf{X} - \mathbf{Y}}{|\mathbf{X} - \mathbf{Y}|} \quad \leftarrow \text{Householder vector}$$

where $|\mathbf{X} - \mathbf{Y}|^2 = 2 \left(|\mathbf{Y}|^2 - \mathbf{X}^T \mathbf{Y} \right) = 2 \left(\tilde{\gamma}_{10}^2 - \gamma_{10} \tilde{\gamma}_{10} \right) = 2 \tilde{\gamma}_{10} \left(\tilde{\gamma}_{10} - \gamma_{10} \right)$

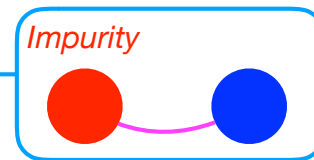


Householder transformed density matrix embedding

$$\mathbf{v} = \frac{\mathbf{X} - \mathbf{Y}}{|\mathbf{X} - \mathbf{Y}|} \quad \text{Householder vector}$$

where

$$|\mathbf{X} - \mathbf{Y}|^2 = \pm 2 \sqrt{\sum_{J>0} \gamma_{J0}^2} \left(\pm \sqrt{\sum_{J>0} \gamma_{J0}^2} - \gamma_{10} \right)$$



If one single neighbour...

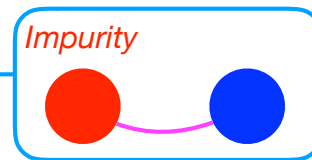
$$|\mathbf{X} - \mathbf{Y}|^2 = \pm 2 |\gamma_{10}| (\pm |\gamma_{10}| - \gamma_{10})$$

Householder transformed density matrix embedding

$$\mathbf{v} = \frac{\mathbf{X} - \mathbf{Y}}{|\mathbf{X} - \mathbf{Y}|} \quad \text{Householder vector}$$

where

$$|\mathbf{X} - \mathbf{Y}|^2 = (\pm) 2 \sqrt{\sum_{J>0} \gamma_{J0}^2} \left((\pm) \sqrt{\sum_{J>0} \gamma_{J0}^2} - \gamma_{10} \right)$$

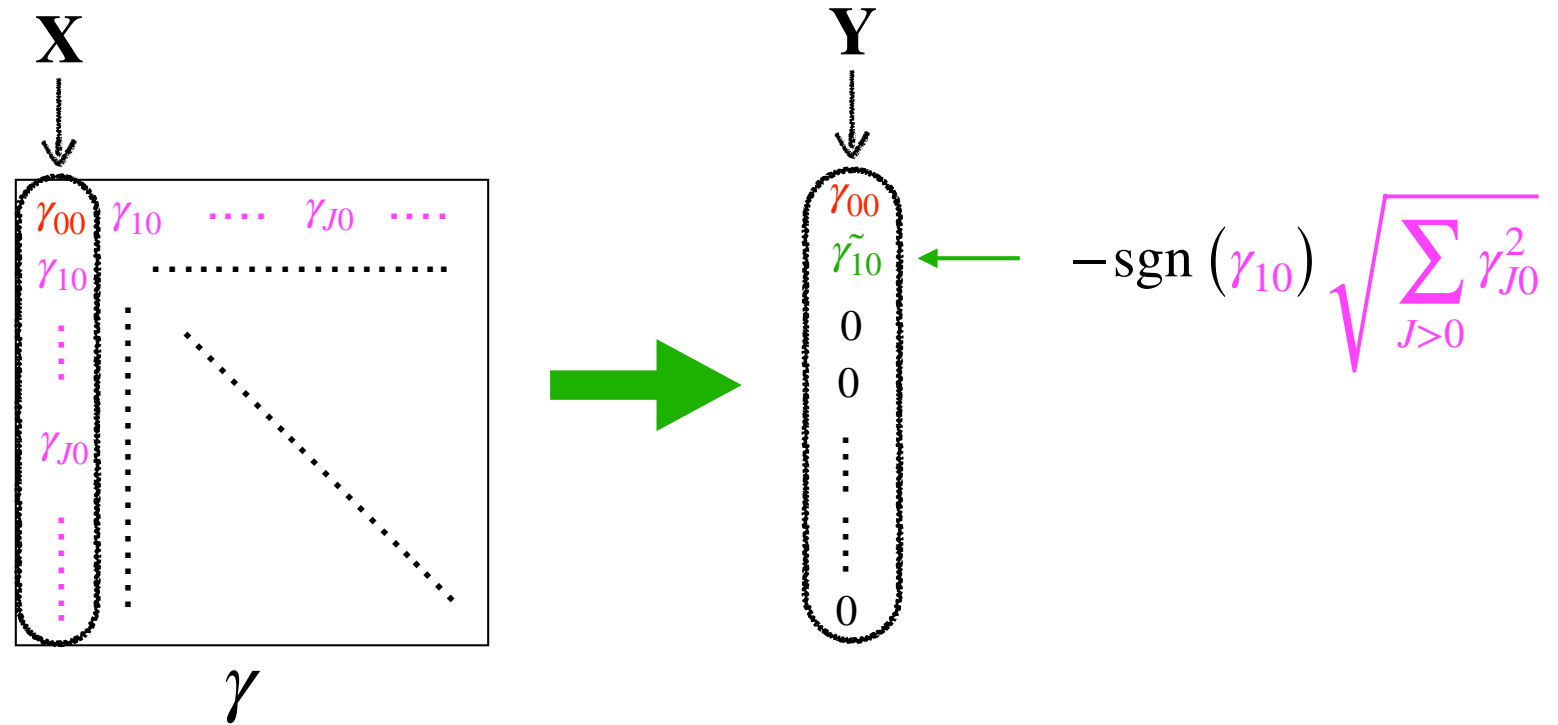


If one single neighbour...

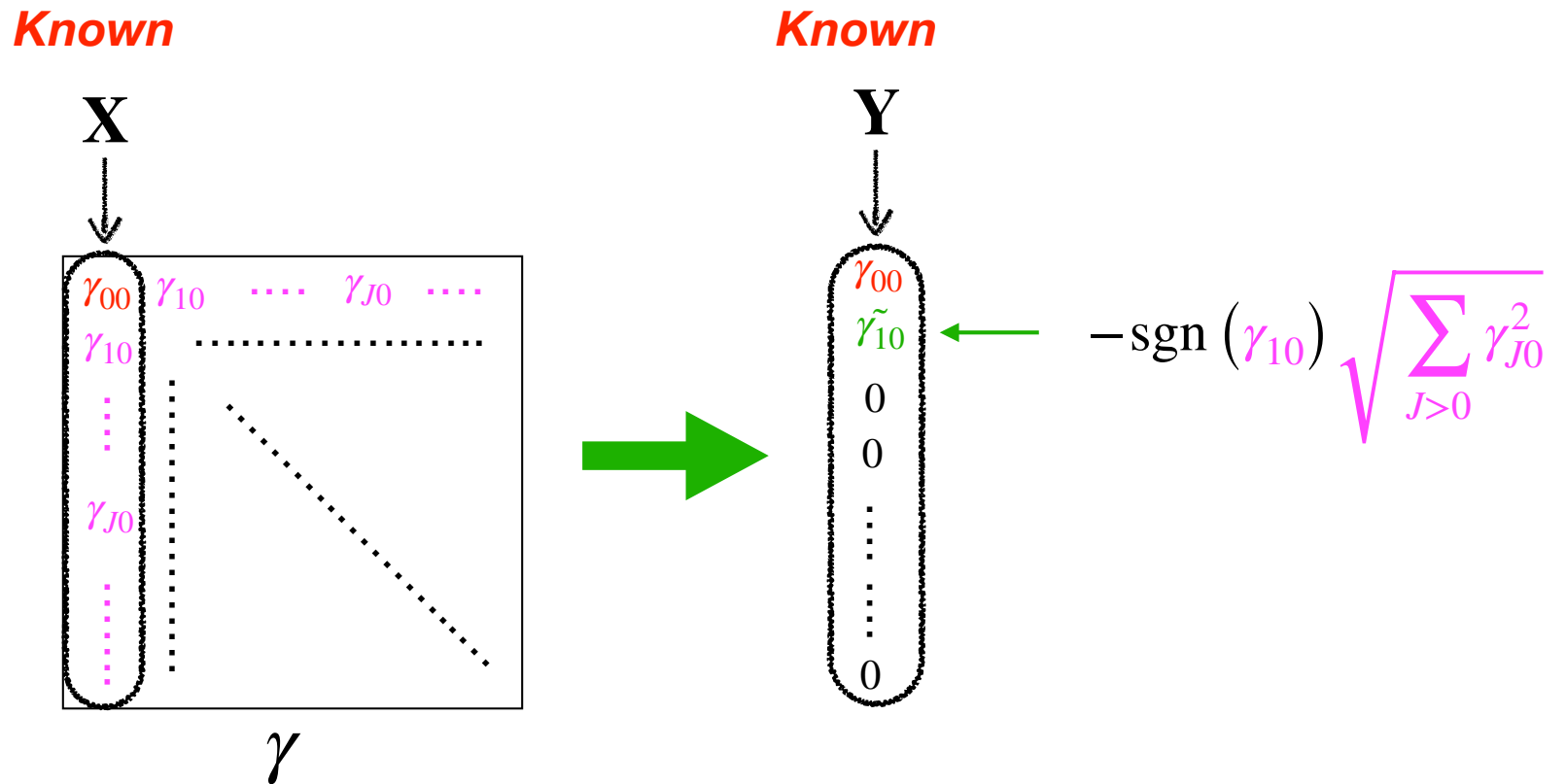
$$|\mathbf{X} - \mathbf{Y}|^2 = (\pm) 2 |\gamma_{10}| \left((\pm) |\gamma_{10}| - \gamma_{10} \right)$$

choose $-\text{sgn}(\gamma_{10}) \leftarrow |\mathbf{X} - \mathbf{Y}| \neq 0$

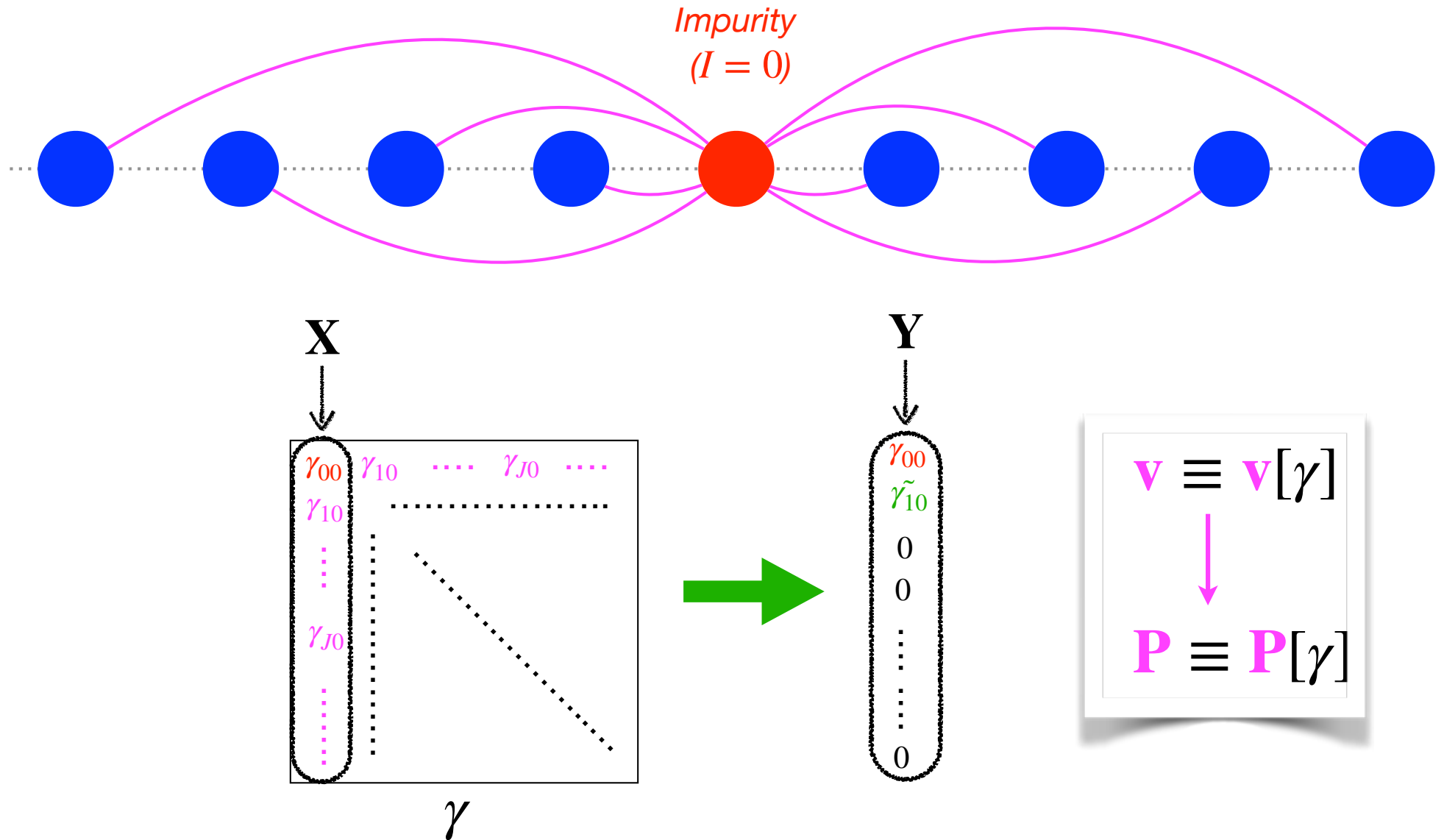
Householder transformed density matrix embedding



Householder transformed density matrix embedding



Householder transformed density matrix embedding



The Householder transformation is an **explicit functional** of the density matrix!

Householder representation in second quantization

$$\mathbf{P} \equiv \mathbf{P}[\gamma] = \mathbf{I} - 2\mathbf{v}\mathbf{v}^T$$

Unitary Householder transformation matrix

Householder representation in second quantization

$$\mathbf{P} \equiv \mathbf{P}[\gamma] = \mathbf{I} - 2\mathbf{v}\mathbf{v}^T$$

Unitary Householder transformation matrix

$$P_{IJ} = \delta_{IJ} - 2v_I v_J$$

Householder transformation matrix elements

Householder representation in second quantization

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Householder transformation matrix elements

$$\hat{d}_I^\dagger = \sum_J P_{IJ} \hat{c}_J^\dagger$$

*Creates delocalised **Householder orbitals***

Householder representation in second quantization

$$\hat{d}_I^\dagger = \sum_J P_{IJ} \hat{c}_J^\dagger$$

From the *localised* to the **Householder** representation

Householder representation in second quantization

$$\hat{d}_I^\dagger = \sum_J P_{IJ} \hat{c}_J^\dagger$$

From the **localised** to the **Householder** representation

$$\sum_I P_{KI} \hat{d}_I^\dagger = \sum_J \sum_I P_{KI} P_{IJ} \hat{c}_J^\dagger$$

Householder representation in second quantization

$$\hat{d}_I^\dagger = \sum_J P_{IJ} \hat{c}_J^\dagger$$

From the **localised** to the **Householder** representation

$$\sum_I P_{KI} \hat{d}_I^\dagger = \sum_J \sum_I P_{KI} P_{IJ} \hat{c}_J^\dagger = \sum_J [\mathbf{P}^2]_{KJ} \hat{c}_J^\dagger = \sum_J \delta_{KJ} \hat{c}_J^\dagger = \hat{c}_K^\dagger$$

Householder representation in second quantization

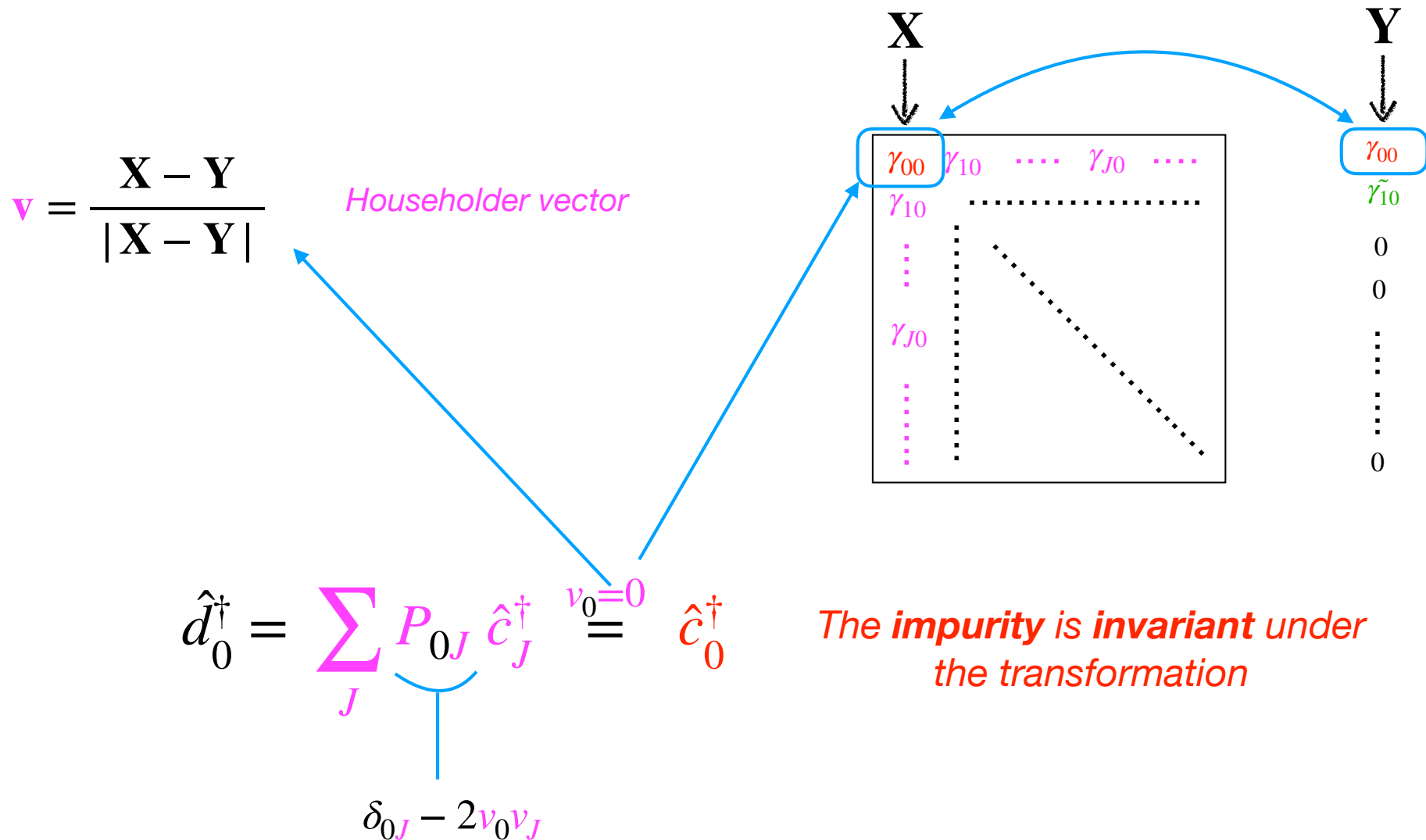
$$\hat{d}_I^\dagger = \sum_J P_{IJ} \hat{c}_J^\dagger$$

From the **localised** to the **Householder** representation

$$\hat{c}_K^\dagger = \sum_I P_{KI} \hat{d}_I^\dagger$$

From the **Householder** to the **localised** representation

Householder representation in second quantization



Householder representation in second quantization

$$\mathbf{P} \equiv \mathbf{P}[\gamma] = \mathbf{I} - 2\mathbf{v}\mathbf{v}^T$$

Unitary Householder transformation matrix

$$P_{IJ} = \delta_{IJ} - 2v_I v_J$$

Householder transformation matrix elements

$$\hat{d}_I^\dagger = \sum_J P_{IJ} \hat{c}_J^\dagger$$

Creates delocalised **Householder orbitals**

$$\hat{d}_0^\dagger = \hat{c}_0^\dagger$$

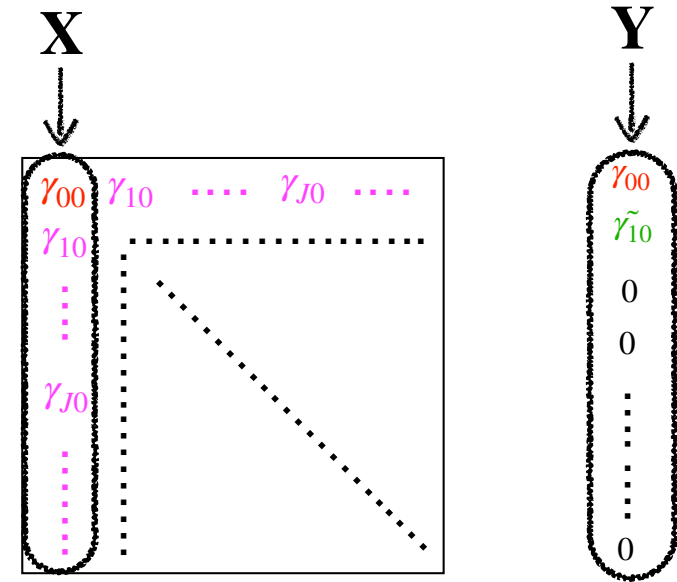
The **impurity** is **invariant** under the transformation

$$\hat{d}_1^\dagger |\text{vac}\rangle = \sum_J P_{1J} |\chi_J\rangle \equiv |\varphi_{\text{bath}}\rangle$$

Will play the role of the **bath spin-orbital**

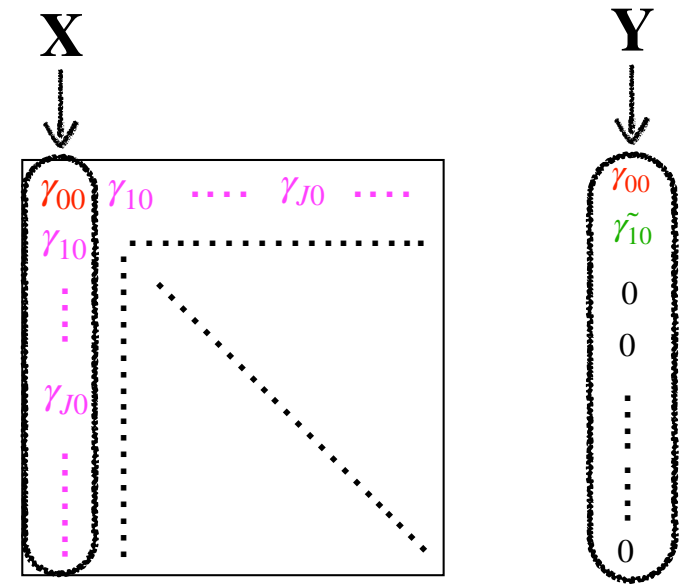
1RDM in the Householder representation

$$\begin{aligned}
 \tilde{\gamma}_{J0} &= \langle \Psi_0 | \hat{d}_J^\dagger \hat{d}_0 | \Psi_0 \rangle \\
 &= \sum_I P_{JI} \langle \Psi_0 | \hat{c}_I^\dagger \hat{c}_0 | \Psi_0 \rangle \\
 &= \sum_I P_{JI} \gamma_{I0} \\
 &= [\mathbf{P}\mathbf{X}]_J \\
 &= [\mathbf{Y}]_J \\
 &\stackrel{J>1}{=} 0
 \end{aligned}$$



1RDM in the Householder representation

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 \tilde{\gamma}_{J0} &= \langle \Psi_0 | \hat{d}_J^\dagger \hat{d}_0 | \Psi_0 \rangle \\
 &= \sum_I P_{JI} \langle \Psi_0 | \hat{c}_I^\dagger \hat{c}_0 | \Psi_0 \rangle \\
 &= \sum_I P_{JI} \gamma_{I0} \\
 &= [\mathbf{P}\mathbf{X}]_J \\
 &= [\mathbf{Y}]_J \\
 &\stackrel{J>1}{=} 0
 \end{aligned}$$



By construction, the *impurity* is *entangled only with the bath*

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

$$\tilde{\gamma} = \tilde{\gamma}^2$$

Idempotency property

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

$$\tilde{\gamma} = \tilde{\gamma}^2 \quad \text{Idempotency property}$$

$$\begin{aligned} \tilde{\gamma}_{J0} &= \langle \Psi_0 | \hat{d}_J^\dagger \hat{d}_0 | \Psi_0 \rangle = [\tilde{\gamma}^2]_{J0} = \sum_K \tilde{\gamma}_{JK} \tilde{\gamma}_{K0} \\ &= \tilde{\gamma}_{J0} \tilde{\gamma}_{00} + \tilde{\gamma}_{J1} \tilde{\gamma}_{10} + \sum_{K>1} \tilde{\gamma}_{JK} \times 0 \end{aligned}$$

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

$$\tilde{\gamma} = \tilde{\gamma}^2$$

Idempotency property

$$\tilde{\gamma}_{J0} = \tilde{\gamma}_{J0}\tilde{\gamma}_{00} + \tilde{\gamma}_{J1}\tilde{\gamma}_{10}$$



$$\tilde{\gamma}_{J1} = \frac{\tilde{\gamma}_{J0} (1 - \tilde{\gamma}_{00})}{\tilde{\gamma}_{10}}$$

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

$$\tilde{\gamma}_{J1} = \frac{\tilde{\gamma}_{J0} (1 - \tilde{\gamma}_{00})}{\tilde{\gamma}_{10}}$$

$$J > 1 \quad \tilde{\gamma}_{J0} = 0$$

No entanglement between the impurity and the orbitals other than the bath

$$\tilde{\gamma}_{J1} = 0$$

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

No entanglement between the **bath** and the orbitals other than the **impurity!**



$$\tilde{\gamma}_{J1} = \langle \Psi_0 | \hat{d}_J^\dagger \hat{d}_1 | \Psi_0 \rangle = 0$$

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

$$\tilde{\gamma}_{J1} = \frac{\tilde{\gamma}_{J0} (1 - \tilde{\gamma}_{00})}{\tilde{\gamma}_{10}}$$

$J = 1$



$$\tilde{\gamma}_{11} + \tilde{\gamma}_{00} = 1$$

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

Proof

$$\tilde{\gamma}_{11} + \tilde{\gamma}_{00} = \langle \Psi_0 | \hat{d}_1^\dagger \hat{d}_1 | \Psi_0 \rangle + \langle \Psi_0 | \hat{d}_0^\dagger \hat{d}_0 | \Psi_0 \rangle = 1$$

The “**impurity+bath**” cluster contains exactly **one electron (per spin)**

1RDM in the Householder representation

Theorem: As the electrons are **non-interacting**, the **bath** turns out to be **entangled only with the impurity**

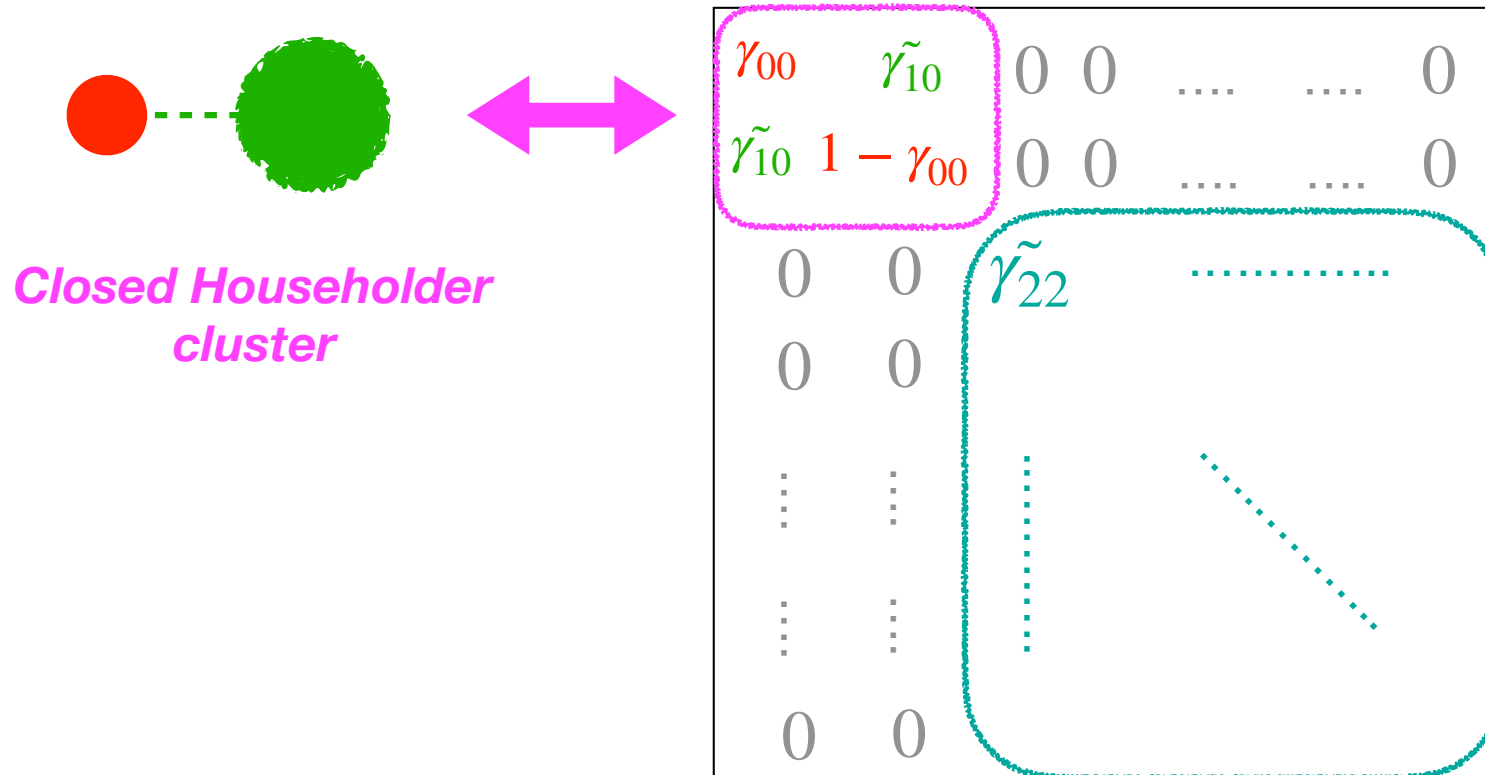
Proof

$$\tilde{\gamma}_{11} + \tilde{\gamma}_{00} = \langle \Psi_0 | \hat{d}_1^\dagger \hat{d}_1 | \Psi_0 \rangle + \langle \Psi_0 | \hat{d}_0^\dagger \hat{d}_0 | \Psi_0 \rangle = 1$$

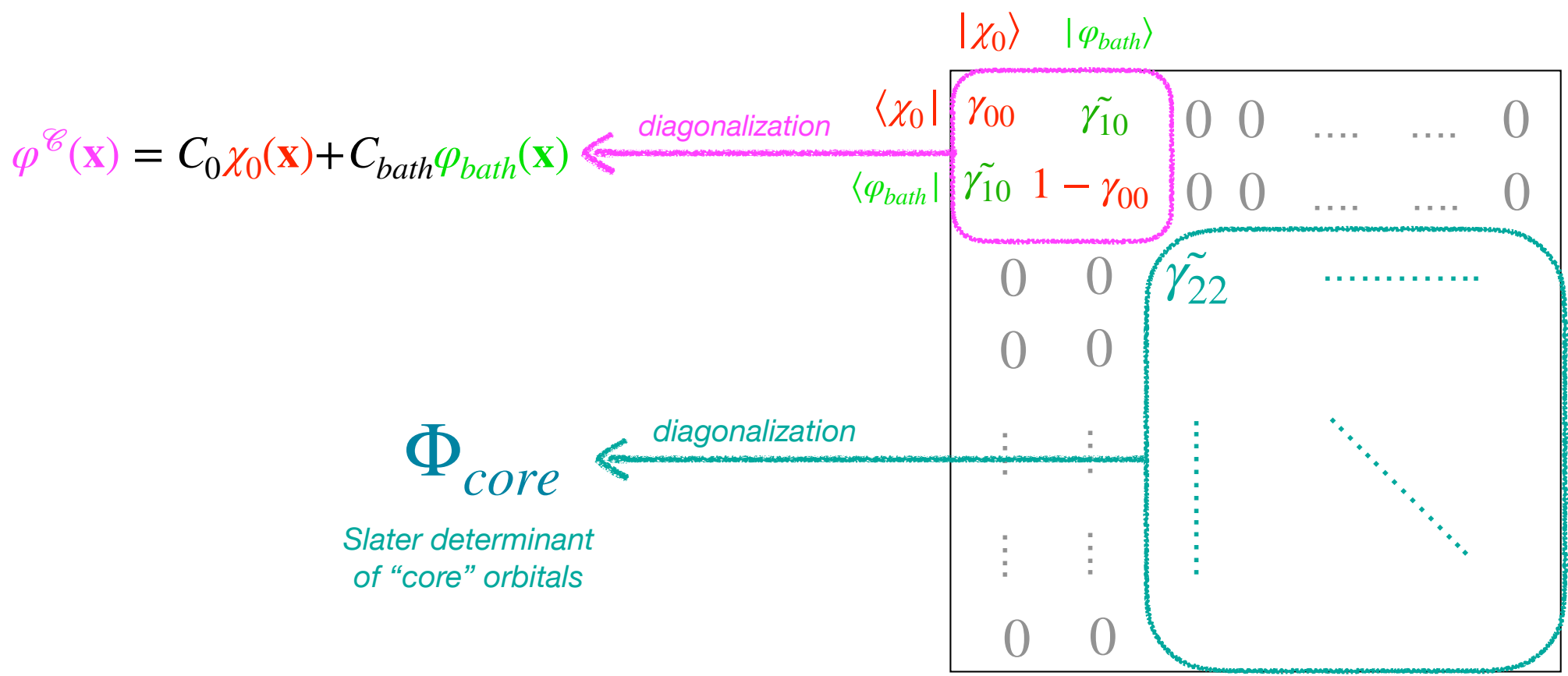
The “**impurity+bath**” cluster contains exactly **one electron (per spin)**

The cluster is a **closed quantum system** that can be described with a two-electron wave function $\Psi^{\mathcal{C}}$

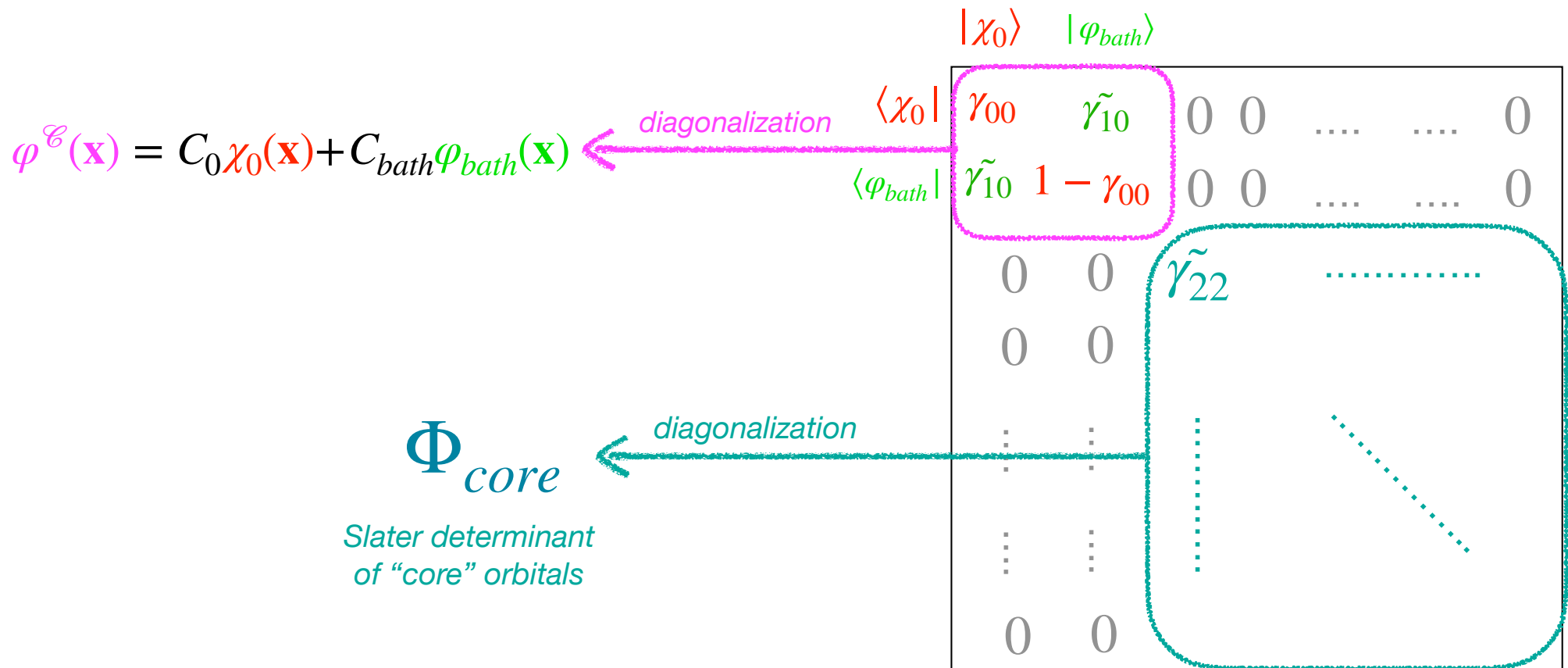
1RDM in the Householder representation



1RDM in the Householder representation

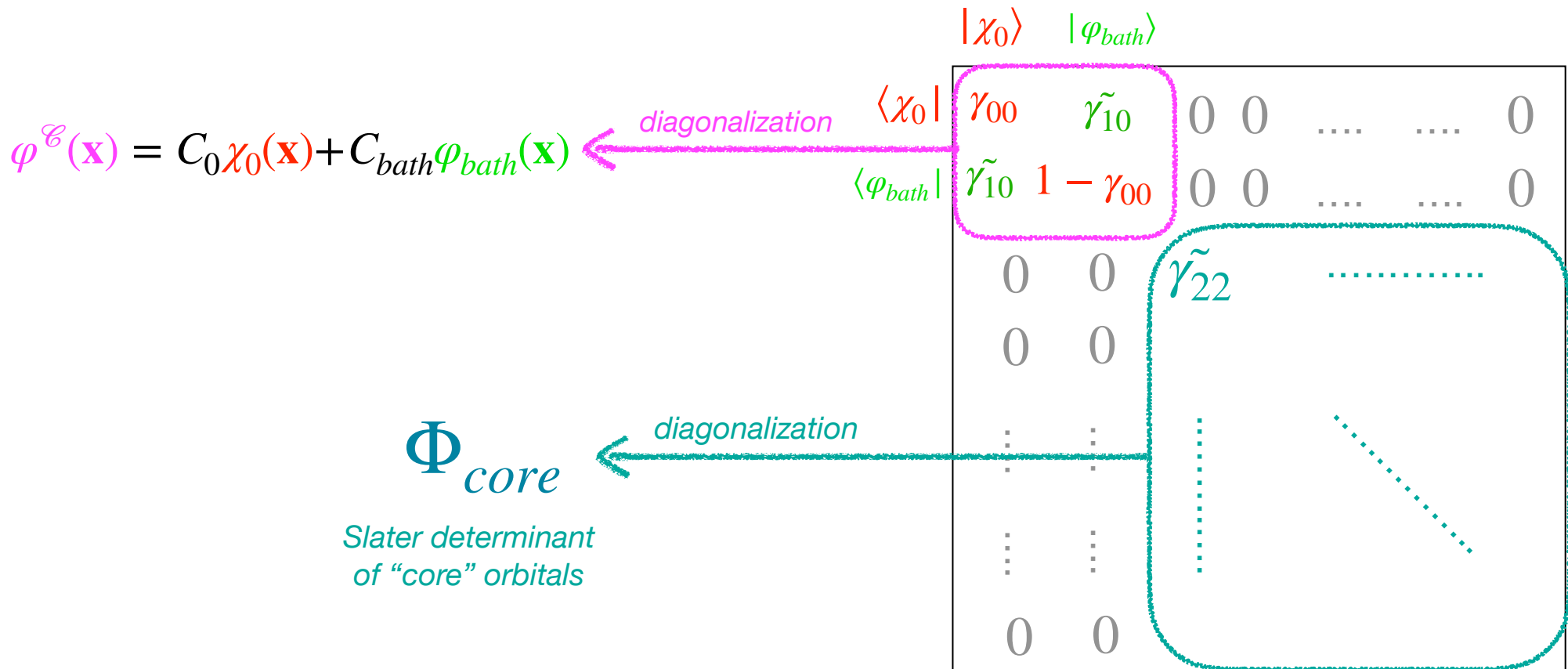


1RDM in the Householder representation



$$\Psi_0 \equiv (\varphi^{\mathcal{C}})^2 \Phi_{core}$$

1RDM in the Householder representation



After **diagonalising each block**, we realise that, among all the occupied molecular orbitals in the full-system Slater determinant Ψ_0 , a **single one** $\varphi^{\mathcal{C}}$ has a **nonzero overlap with the impurity**.

1RDM in the Householder representation

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The **Schmidt decomposition** of Ψ_0
(which is obtained from the singular value decomposition of a CI coefficients matrix)
leads to the **exact same result**^{1,2}.

¹S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, *Phys. Rev. B* **104**, 035121 (2021).

²S. Wouters, C. A. Jiménez-Hoyos, Q. Sun, and G. K.-L. Chan, *J. Chem. Theory Comput.* **12**, 2706 (2016).

1RDM in the Householder representation

After **diagonalising each block**, we realise that, among all the occupied molecular orbitals in the full-system Slater determinant Ψ_0 , a **single one** $\varphi^{\mathcal{C}}$ has a **nonzero overlap with the impurity**.

The **Schmidt decomposition** of Ψ_0
(which is obtained from the singular value decomposition of a CI coefficients matrix)
leads to the **exact same result**^{1,2}.

Important conclusion:

For non-interacting (or mean-field-like) electrons,
the **Householder transformation** is **equivalent** to (but simpler than)
the **Schmidt decomposition**, which is central in DMET.

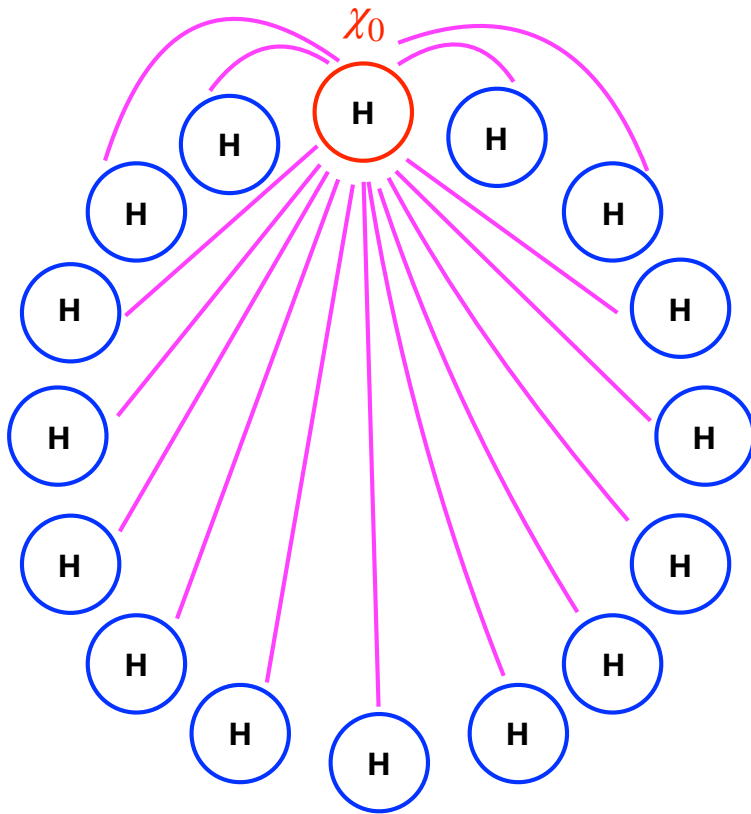
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Energy evaluation by fragmentation

$$\hat{H} = \sum_{IJ} \bar{h}_{IJ} \hat{c}_I^\dagger \hat{c}_J \quad \textit{Localised representation}$$

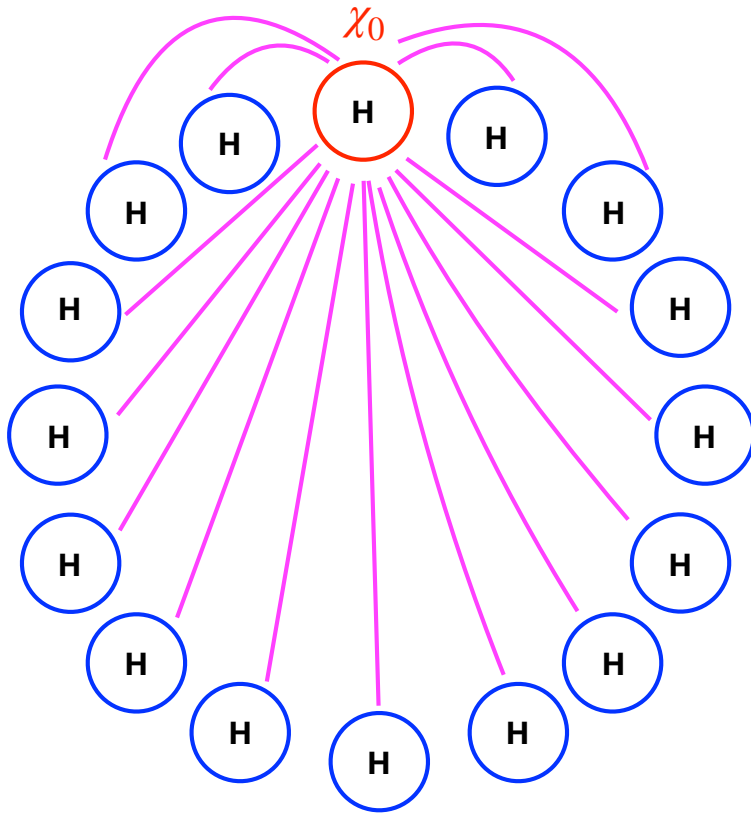
Energy evaluation by fragmentation



$$\hat{H} = \sum_{IJ} \bar{h}_{IJ} \hat{c}_I^\dagger \hat{c}_J$$

Localised representation

Energy evaluation by fragmentation



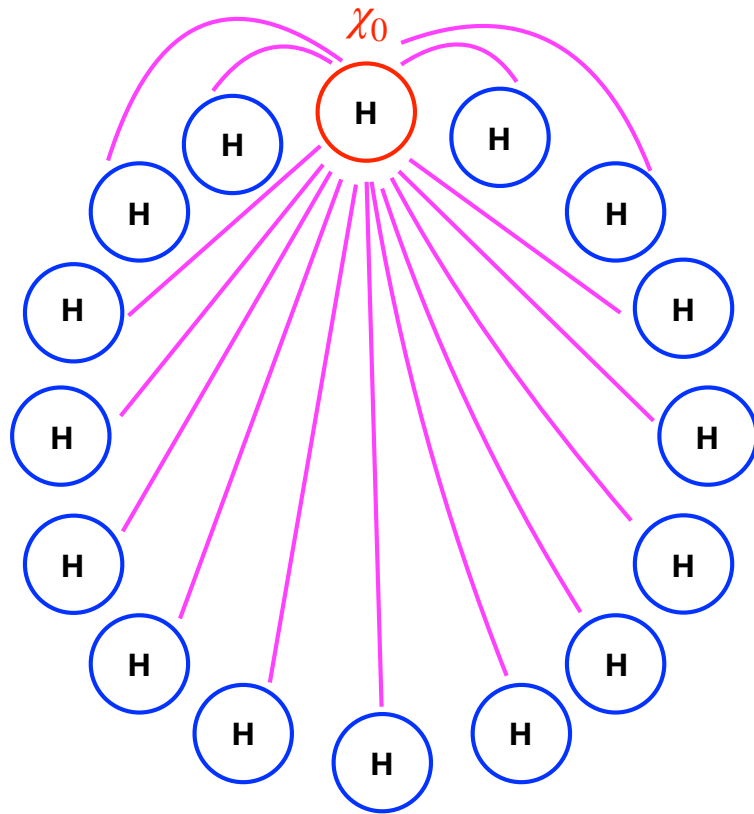
$$\hat{H} = \sum_{IJ} \bar{h}_{IJ} \hat{c}_I^\dagger \hat{c}_J$$

Localised representation

Energy contributions involving the impurity

$$2 \sum_J \bar{h}_{0J} \langle \Psi_0 | \hat{c}_0^\dagger \hat{c}_J | \Psi_0 \rangle$$

Energy evaluation by fragmentation



$$\hat{H} = \sum_{IJ} \bar{h}_{IJ} \hat{c}_I^\dagger \hat{c}_J \quad \text{Localised representation}$$

Energy contributions involving the impurity

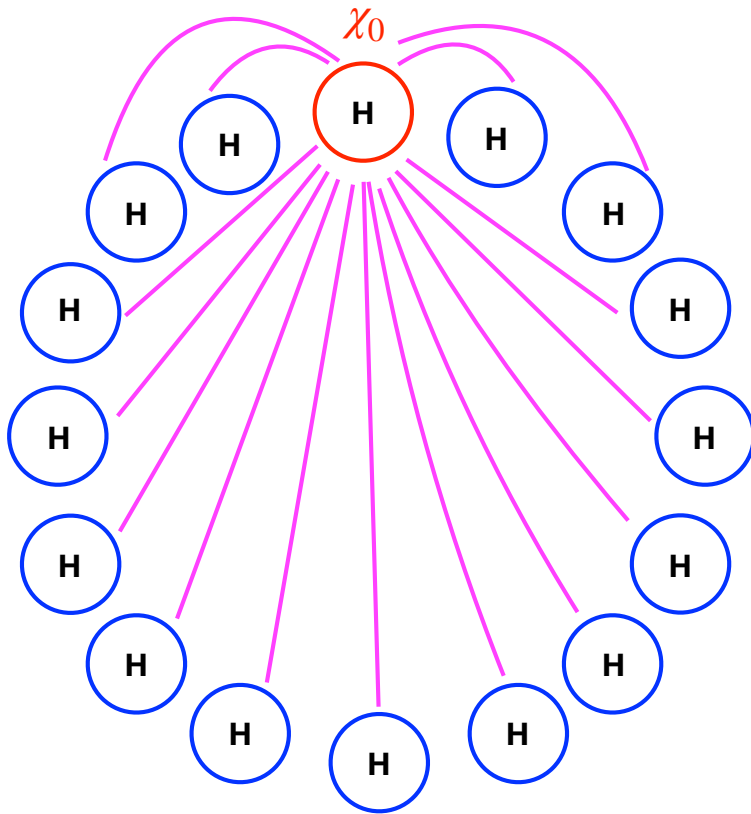
$$2 \sum_J \bar{h}_{0J} \langle \Psi_0 | \hat{c}_0^\dagger \hat{c}_J | \Psi_0 \rangle$$

Householder representation \rightarrow

$$= 2 \sum_K \left(\sum_J \bar{h}_{0J} P_{JK} \right) \langle \Psi_0 | \hat{d}_0^\dagger \hat{d}_K | \Psi_0 \rangle$$

\tilde{h}_{0K}

Energy evaluation by fragmentation



$$\hat{H} = \sum_{IJ} \bar{h}_{IJ} \hat{c}_I^\dagger \hat{c}_J \quad \text{Localised representation}$$

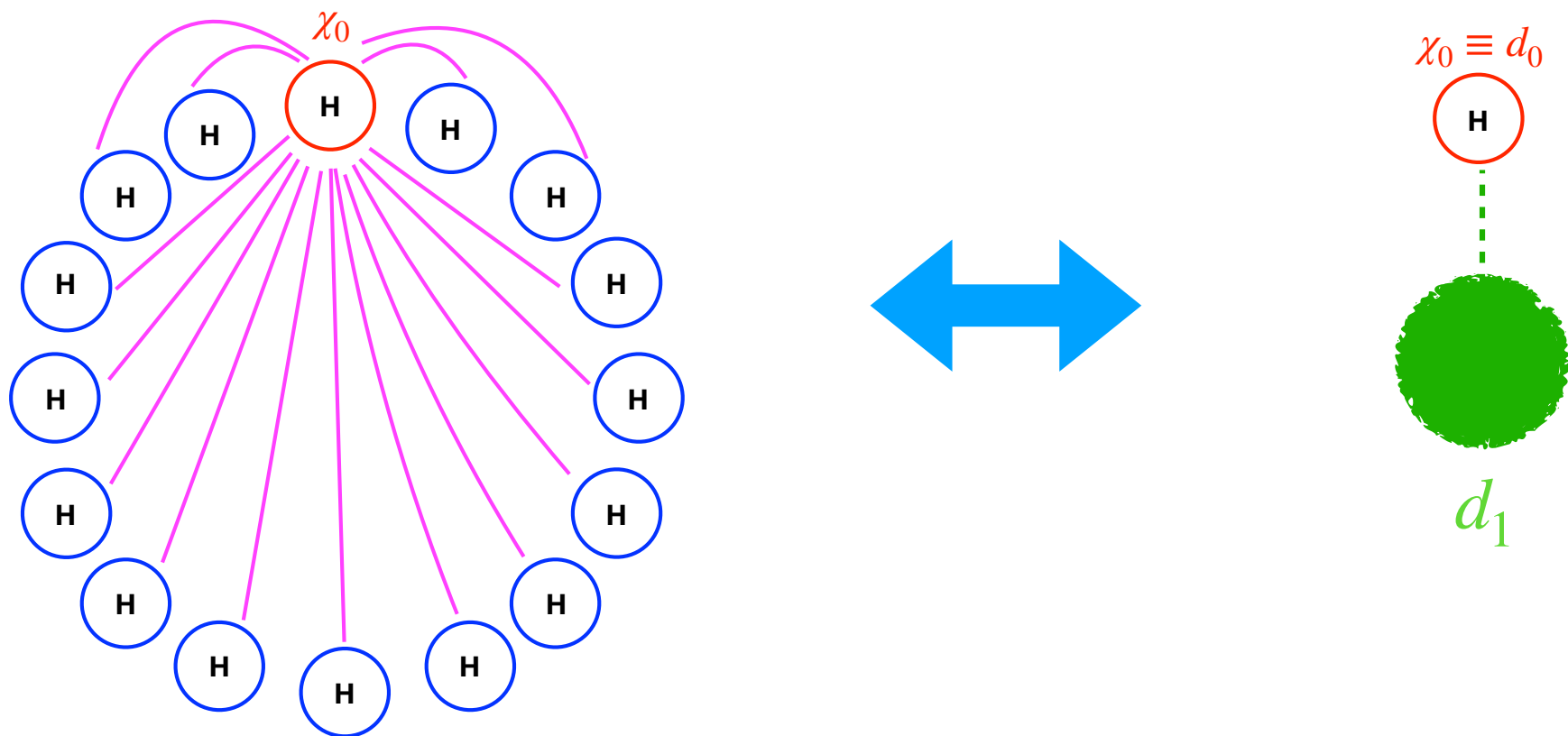
Energy contributions involving the impurity

$$2 \sum_J \bar{h}_{0J} \langle \Psi_0 | \hat{c}_0^\dagger \hat{c}_J | \Psi_0 \rangle$$

$$= 2 \sum_K \left(\sum_J \bar{h}_{0J} P_{JK} \right) \langle \Psi_0 | \hat{d}_0^\dagger \hat{d}_K | \Psi_0 \rangle$$

$$= 2 \sum_K \tilde{h}_{0K} \tilde{\gamma}_{0K} = 2 \left(\tilde{h}_{00} \tilde{\gamma}_{00} + \tilde{h}_{01} \tilde{\gamma}_{01} \right)$$

Energy evaluation by fragmentation



Determined from the cluster

$$2 \sum_J \bar{h}_{0J} \langle \Psi_0 | \hat{c}_0^\dagger \hat{c}_J | \Psi_0 \rangle = 2 \left(\tilde{h}_{00} \tilde{\gamma}_{00} + \tilde{h}_{01} \tilde{\gamma}_{01} \right)$$

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We need $\gamma_{IJ} = \gamma_{IJ}^{loc} = \sum_P^{occupied\ spin-MOs} C_{IP} C_{JP}$

Approximate embedding for interacting electrons

We have to solve the Schrödinger equation for the **full system!**

We need $\gamma_{IJ} = \gamma_{IJ}^{loc} = \sum_P^{occupied\ spin-MOs} C_{IP} C_{JP}$

Approximate embedding for interacting electrons

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Nevertheless, the *Householder orbitals* that have been constructed for non-interacting electrons can be *reused as is* for performing an (approximate) *interacting embedding*.

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$$\hat{H} \equiv \sum_{PQ} \tilde{h}_{PQ} \hat{d}_P^\dagger \hat{d}_Q + \frac{1}{2} \sum_{PQRS} \tilde{g}_{PQRS} \hat{d}_P^\dagger \hat{d}_Q^\dagger \hat{d}_S \hat{d}_R$$

Full Hamiltonian in the *Householder spin-orbitals* representation

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Full Hamiltonian in the Householder spin-orbitals representation

*Determined from the
non-interacting Hamiltonian*

Approximate embedding for interacting electrons

Nevertheless, the *Householder orbitals* that have been constructed for non-interacting electrons can be *reused as is* for performing an (approximate) *interacting embedding*.

In this case, *electron repulsions* are taken into account *within the Householder cluster*.

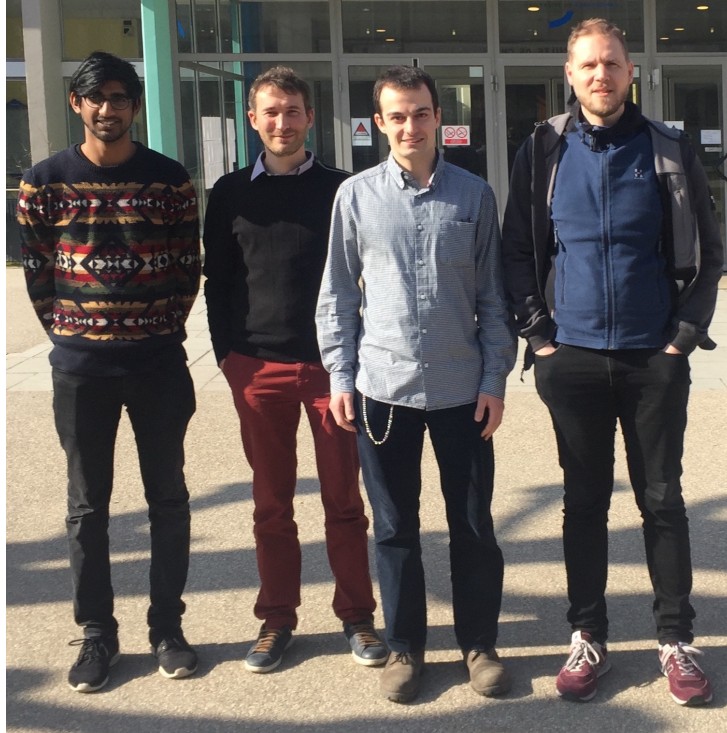
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Projection onto the cluster

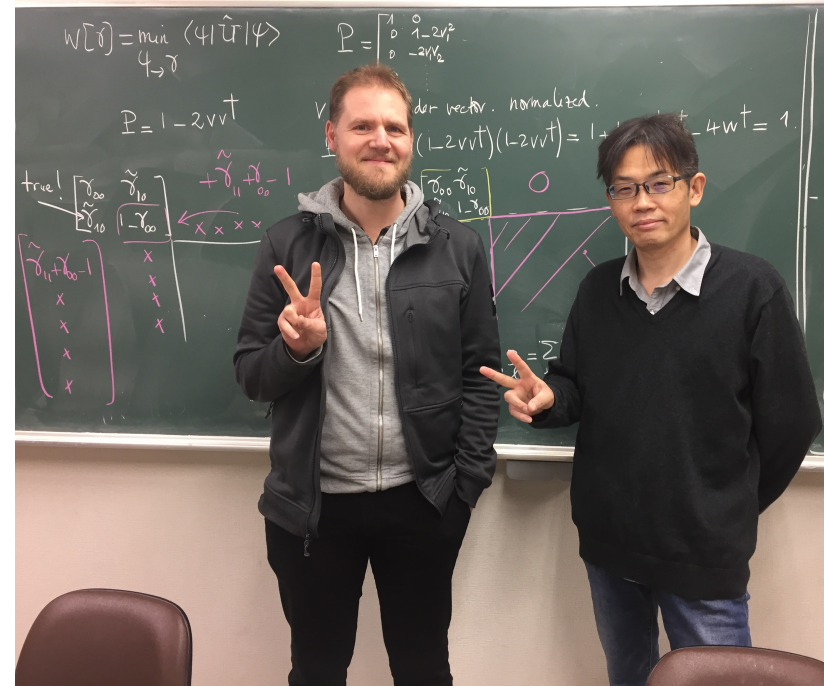
$$\hat{H}^{\mathcal{C}} \equiv \sum_{P,Q \in \mathcal{C}} \tilde{h}_{PQ} \hat{d}_P^\dagger \hat{d}_Q + \frac{1}{2} \sum_{P,Q,R,S \in \mathcal{C}} \tilde{g}_{PQRS} \hat{d}_P^\dagger \hat{d}_Q^\dagger \hat{d}_S \hat{d}_R$$

Application to the 1D Hubbard model

The “Householder embedding” project

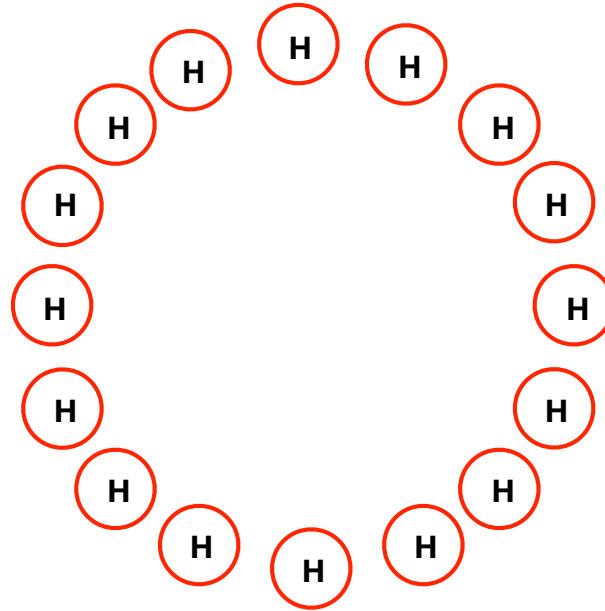


From left to right: **S. Sekaran** (Strasbourg, France),
M. Saubanère (Montpellier, France),
L. Mazouin (Strasbourg, France), and **E.F.**

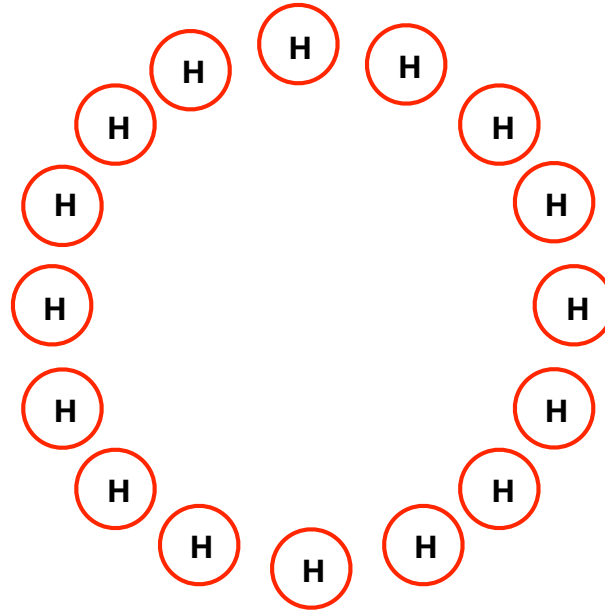


E.F. and M. Tsuchiizu (Nara, Japan).

Prototypical ring of L hydrogen atoms



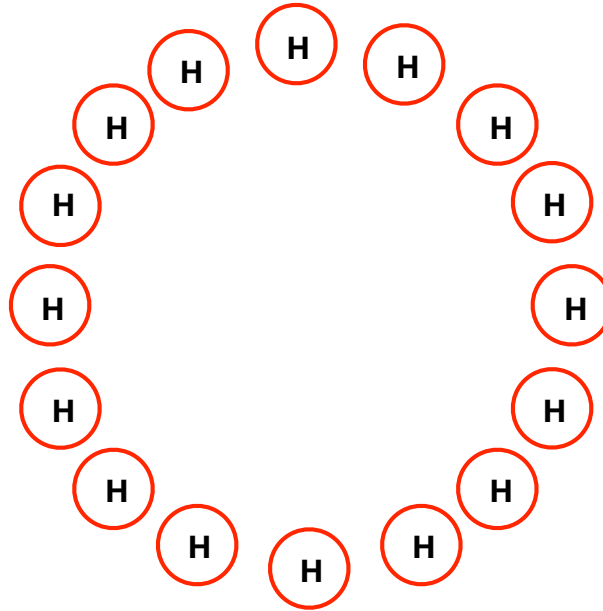
Prototypical ring of L hydrogen atoms



Hubbard model

$$\hat{H} \approx -t \sum_{\sigma=\uparrow,\downarrow} \sum_{i=0}^{L-1} \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{(i+1)\sigma} + \hat{c}_{(i+1)\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_{i=0}^{L-1} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{i\uparrow}$$

Prototypical ring of L hydrogen atoms

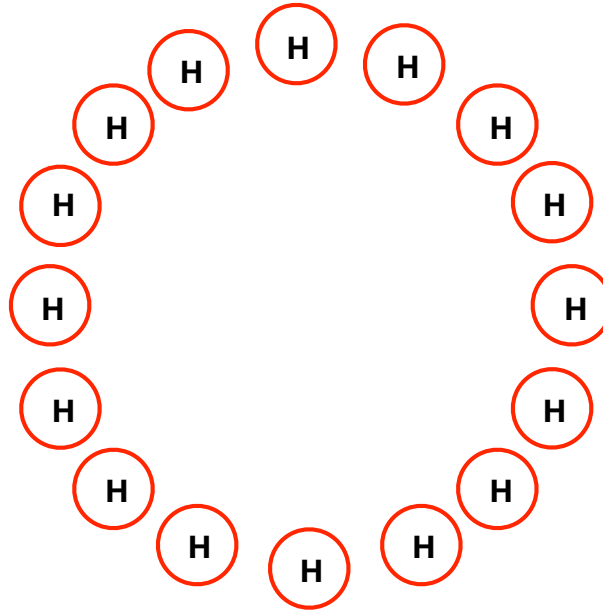


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Hückel model $-t \equiv \beta$

Prototypical ring of L hydrogen atoms



Hubbard model

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Two-electron repulsion
on each atom only

Prototypical ring of L hydrogen atoms

$$U/t \ll 1$$

Weakly correlated regime

$$U/t \gg 1$$

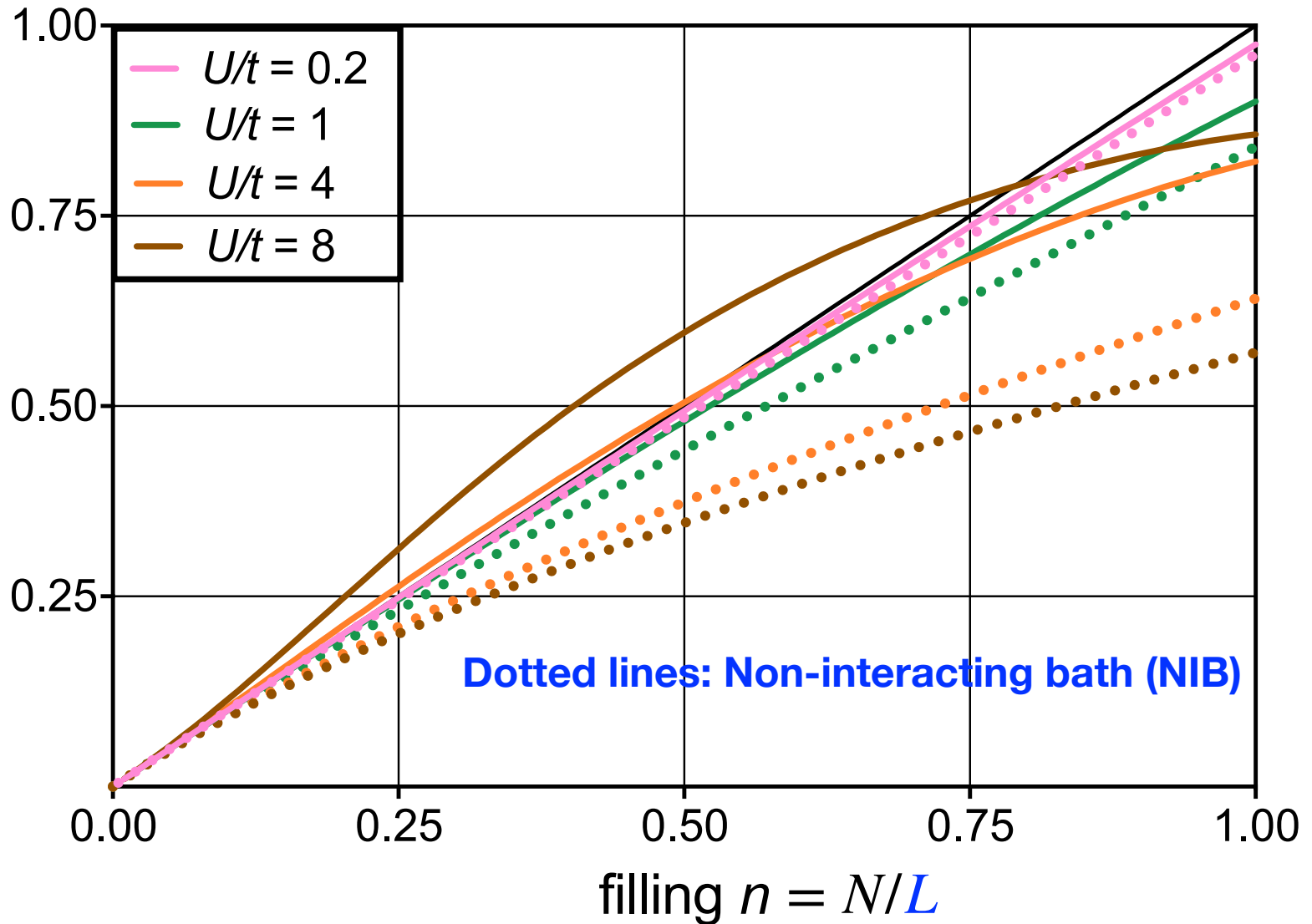
Strongly *correlated regime*

Hubbard model

$$\hat{H} \approx -t \sum_{\sigma=\uparrow,\downarrow} \sum_{i=0}^{L-1} \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{(i+1)\sigma} + \hat{c}_{(i+1)\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_{i=0}^{L-1} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{i\uparrow}$$

$$\sum_{\sigma=\uparrow,\downarrow} \langle \Psi^{\mathcal{E}} | \hat{c}_{0\sigma}^\dagger \hat{c}_{0\sigma} | \Psi^{\mathcal{E}} \rangle$$

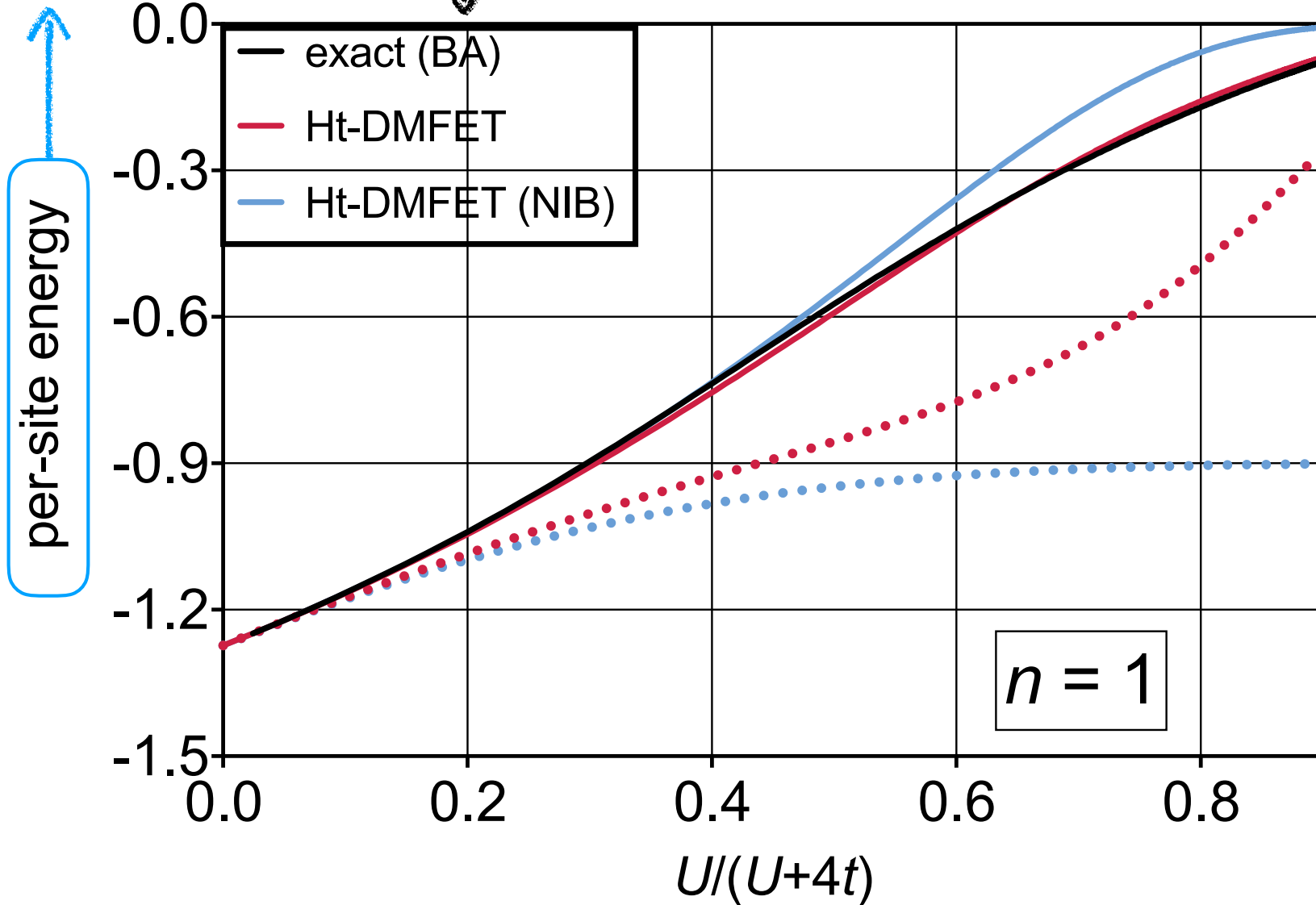
impurity site occupation



$L = 400$ atoms

Bethe Ansatz [Lieb and Wu, *Phys. Rev. Lett.* 20, 1445 (1968)]

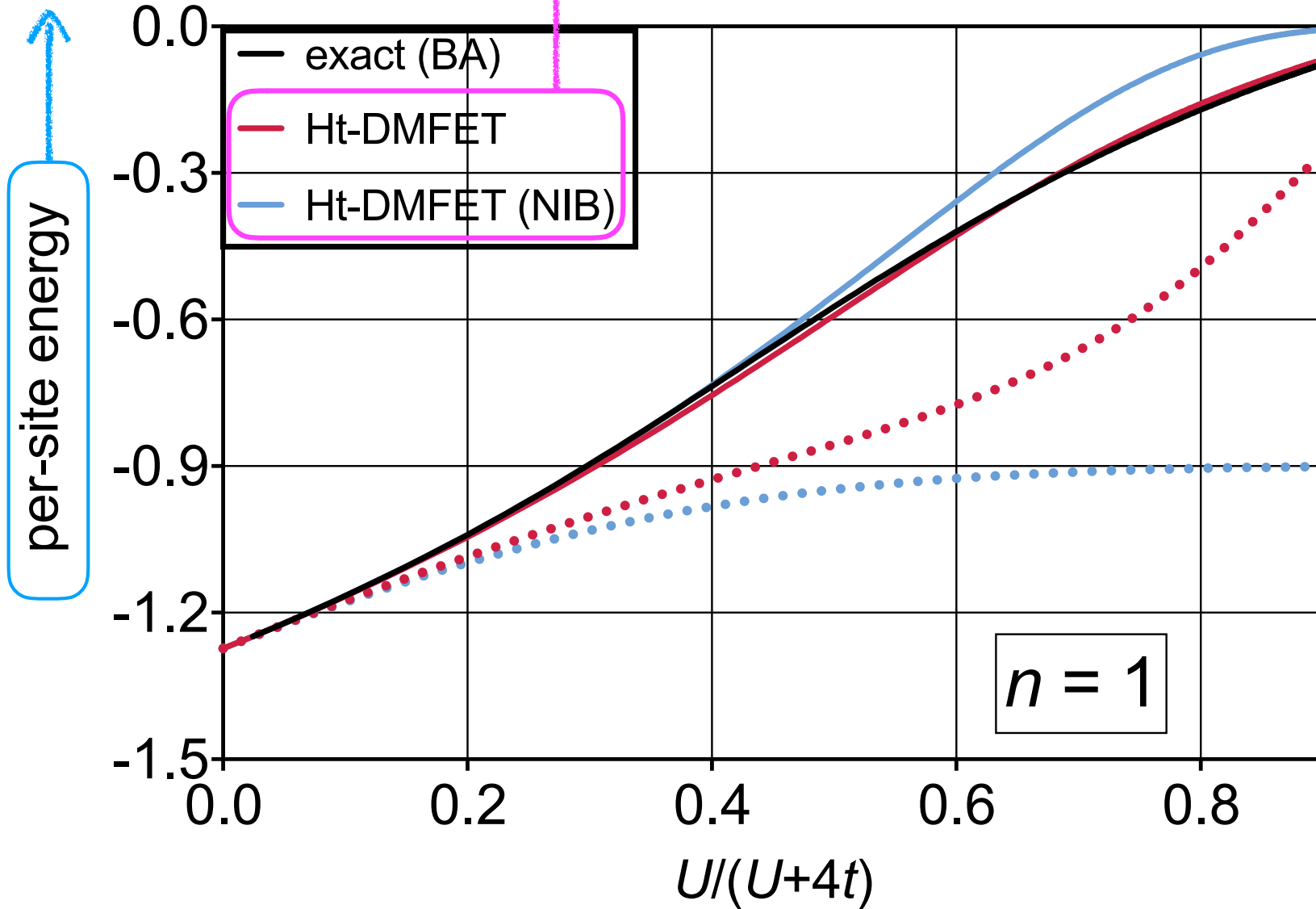
E/L



$L = 400$ atoms

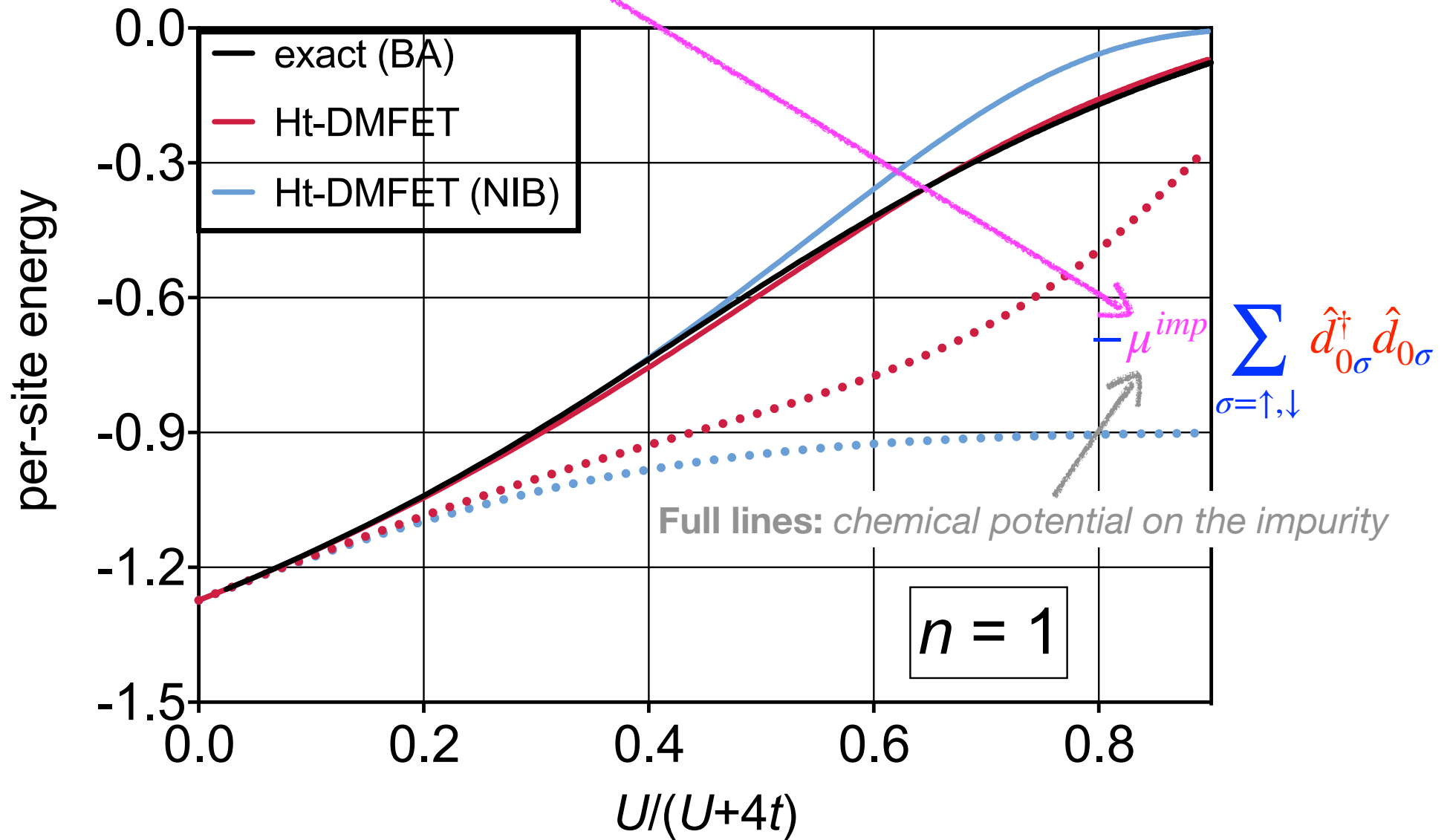
Householder-transformed Density Matrix Functional Embedding Theory (Ht-DMFET)

E/L



$L = 400$ atoms

Can be interpreted as an *approximation to the exact Hxc density-functional potential* of the full lattice*



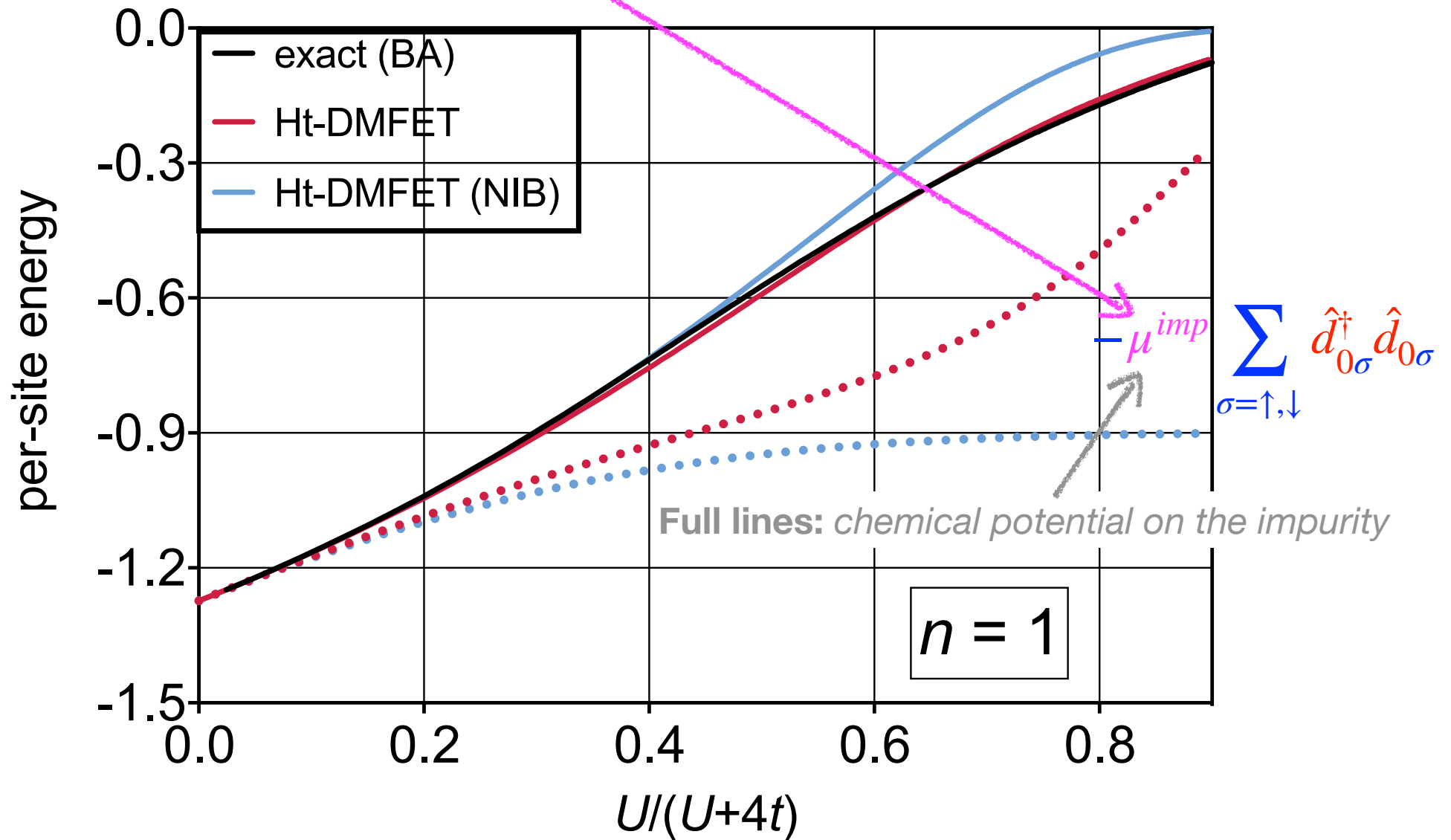
$L = 400$ atoms

S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, *Phys. Rev. B* **104**, 035121 (2021).

*S. Sekaran, M. Saubanère, and E. Fromager, to be submitted (2022).

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“DFT without density functionals”

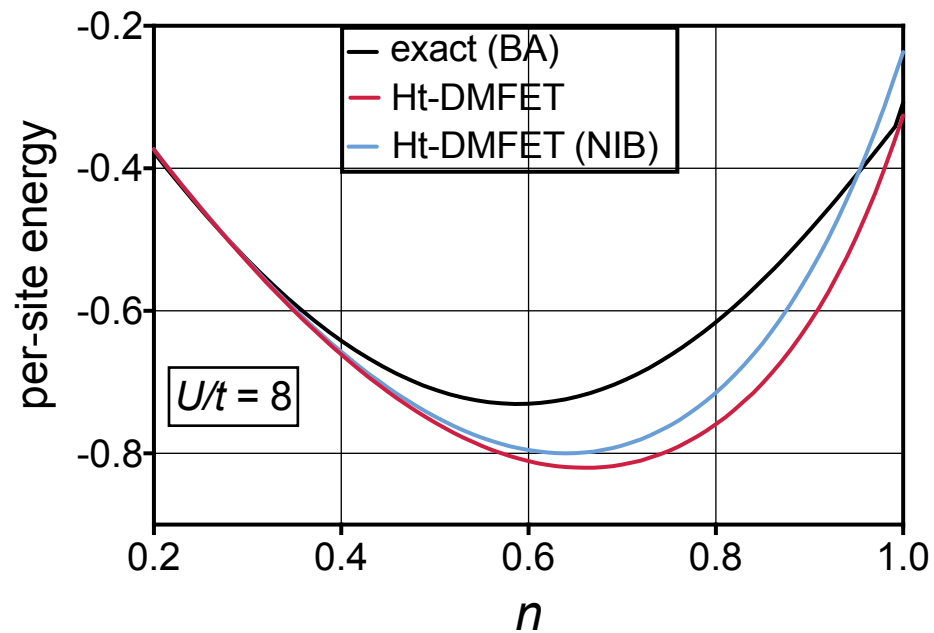
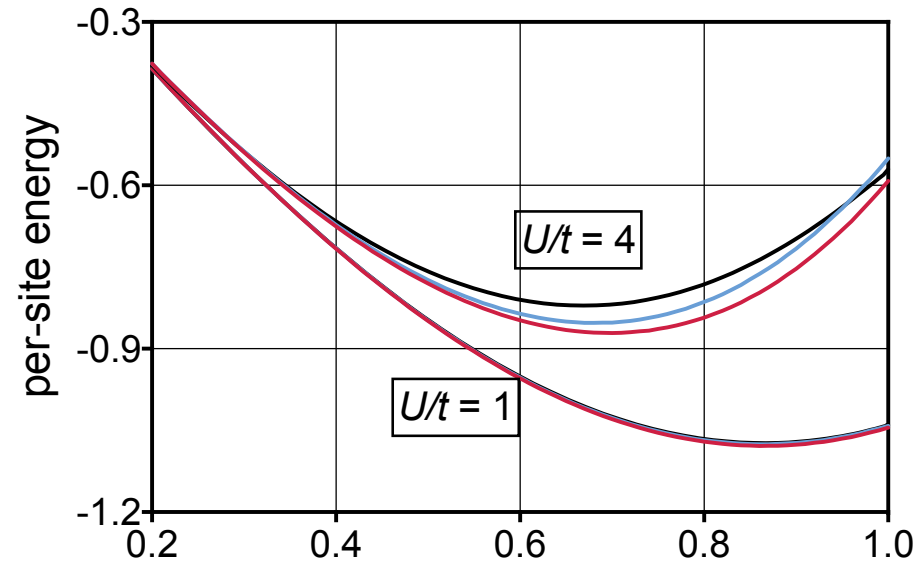


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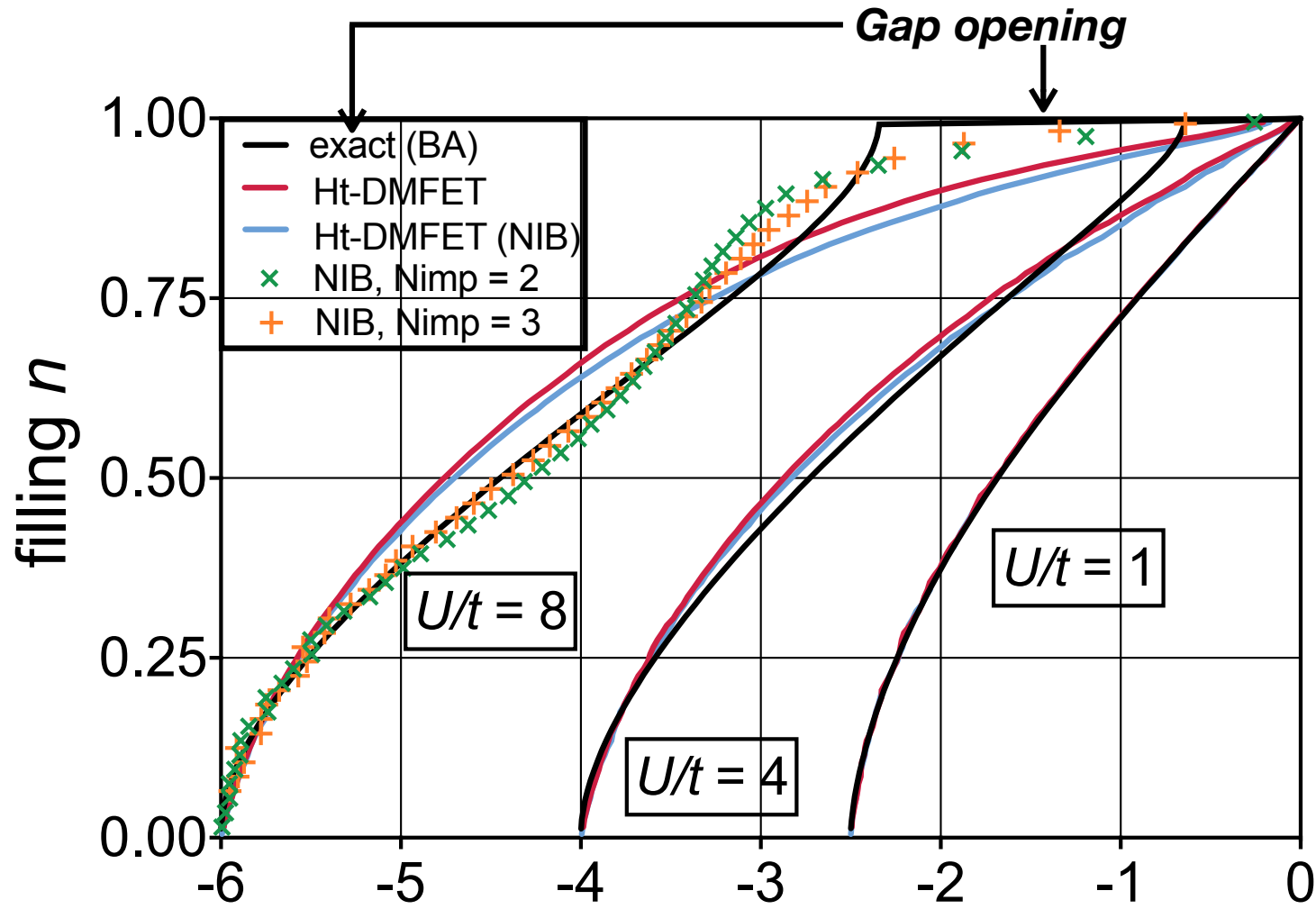
*S. Sekaran, M. Saubanère, and E. Fromager, to be submitted (2022).

Ht-DMFET per-site energies away from half-filling ($n < 1$)



$L = 400$ atoms

Mott-Hubbard density-driven transition and multiple impurities

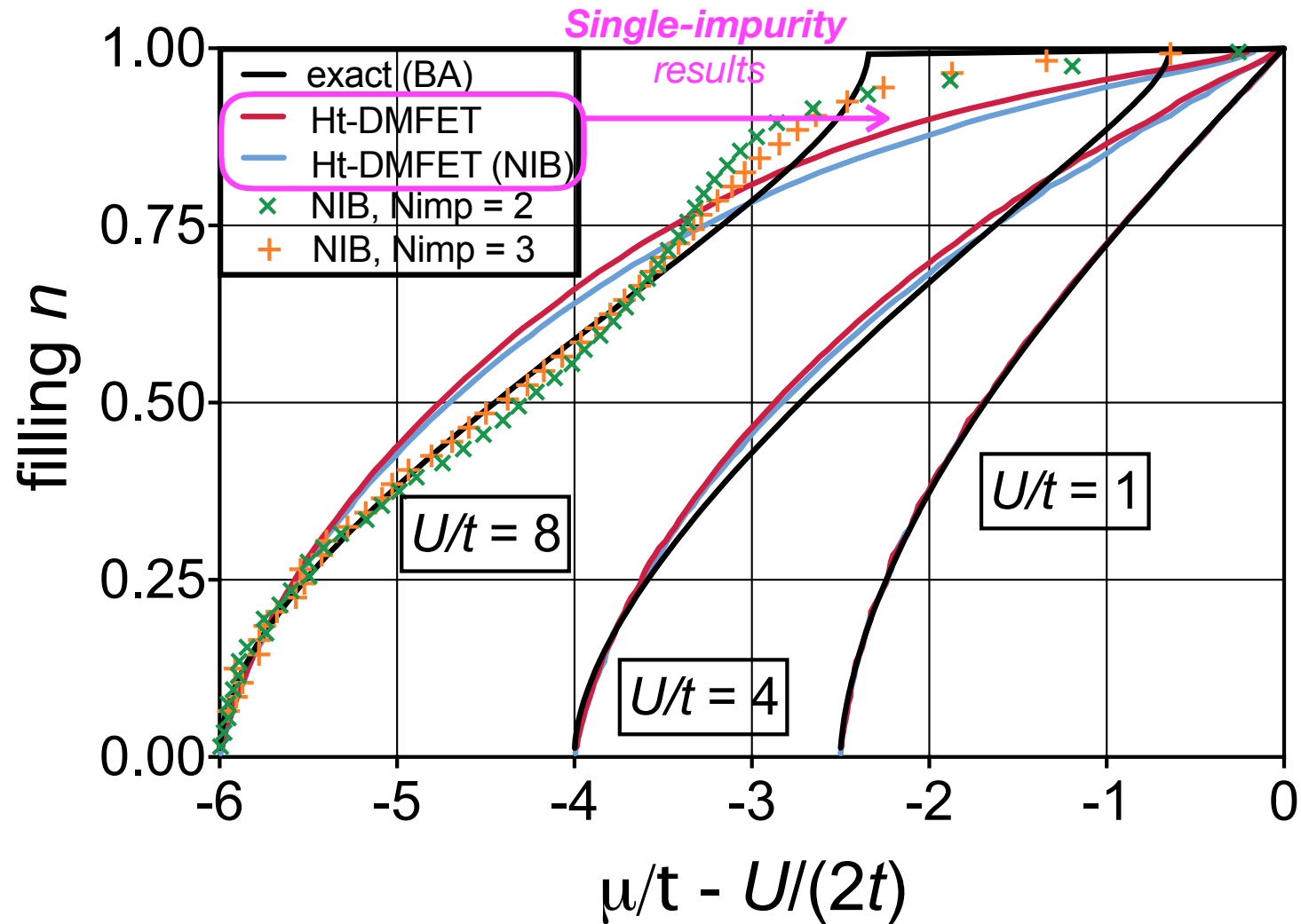


Chemical potential

← $\mu/t - U/(2t)$

$$\mu \equiv \mu(n) = \frac{1}{L} \frac{\partial E(n)}{\partial n}$$

Mott-Hubbard density-driven transition and multiple impurities



Mott-Hubbard density-driven transition and multiple impurities

