



Introduction to density matrix embedding theory

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(One-electron reduced) density matrix

$$\gamma(\mathbf{x}, \mathbf{x}') = \langle \hat{\Psi}^{\dagger}(\mathbf{x}) \hat{\Psi}(\mathbf{x}') \rangle = \sum_{kk'} \varphi_k^*(\mathbf{x}) \varphi_{k'}(\mathbf{x}') \underbrace{\langle \hat{a}_k^{\dagger} \hat{a}_{k'} \rangle}_{\gamma_{kk'}}$$

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(One-electron reduced) density matrix

$$\gamma(\mathbf{x}, \mathbf{x}') = \langle \hat{\Psi}^{\dagger}(\mathbf{x}) \hat{\Psi}(\mathbf{x}') \rangle = \sum_{kk'} \varphi_k^*(\mathbf{x}) \varphi_{k'}(\mathbf{x}') \underbrace{\langle \hat{a}_k^{\dagger} \hat{a}_{k'} \rangle}_{\gamma_{kk'}}$$

$$\{\gamma_{kk'}\} \equiv \begin{bmatrix} 1_{1} & 0 \\ 0 & 1_{0} \\ 0 & \ddots \\ 0 \end{bmatrix} \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}$$

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(One-electron reduced) density matrix

$$\gamma(\mathbf{x}, \mathbf{x}') = \langle \hat{\Psi}^{\dagger}(\mathbf{x}) \hat{\Psi}(\mathbf{x}') \rangle = \sum_{kk'} \varphi_k^*(\mathbf{x}) \varphi_{k'}(\mathbf{x}') \underbrace{\langle \hat{a}_k^{\dagger} \hat{a}_{k'} \rangle}_{\gamma_{kk'}}$$

$$\begin{cases} \text{single determinant} \quad \sum_{k}^{occ.} \varphi_{k}^{*}(\mathbf{x})\varphi_{k}(\mathbf{x}') \\ \downarrow \quad 1_{1} \cdot 0_{kk'} \end{cases} \equiv \begin{bmatrix} 1_{1} \cdot 0_{1} \\ 0 \cdot 0_{kk'} \end{bmatrix} = \left\{ \gamma_{kk'} \right\}^{2} \quad \text{Idempotency!}$$

Local evaluation of the energy (in a localised spin-orbital basis)

So-called "lattice representation"

$$\langle \hat{H} \rangle = \sum_{pq} h_{pq} \langle \hat{c}_p^{\dagger} \hat{c}_q \rangle + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \langle \hat{c}_p^{\dagger} \hat{c}_q^{\dagger} \hat{c}_s \hat{c}_r \rangle$$
One-electron
Two-electron

density matrix

density matrix



Local evaluation of the energy (in a localised spin-orbital basis)





G. Knizia and G. K.-L. Chan, Phys. Rev. Lett. **109**, 186404 (2012). S. Wouters, C. A. Jiménez-Hoyos, Q. Sun, and G. K.-L. Chan, J. Chem. Theory Comput. 12, 2706 (2016).



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Density matrix embedding theory (DMET)

$$\langle \hat{H} \rangle = \sum_{pq} h_{pq} \langle \hat{c}_p^{\dagger} \hat{c}_q \rangle + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \langle \hat{c}_p^{\dagger} \hat{c}_q^{\dagger} \hat{c}_s \hat{c}_r \rangle$$

Original lattice representation



Quantum bath \equiv electronic reservoir





$$\begin{split} &\langle \hat{c}_{p}^{\dagger} \hat{c}_{q} \rangle \approx \langle \hat{c}_{p}^{\dagger} \hat{c}_{q} \rangle_{\Psi^{\mathscr{C}}} \\ &\langle \hat{c}_{p}^{\dagger} \hat{c}_{q}^{\dagger} \hat{c}_{s} \hat{c}_{r} \rangle \approx \langle \hat{c}_{p}^{\dagger} \hat{c}_{q}^{\dagger} \hat{c}_{s} \hat{c}_{r} \rangle_{\Psi^{\mathscr{C}}} \end{split}$$











Bath spin-orbitals are generated from the columns of γ_{ef}

S. Sekaran, O. Bindech, and E. Fromager, to be submitted (2022).

- ¹B.-X. Zheng, PhD thesis, arXiv:1803.10259 (2018).
- ²F. Rotella and I. Zambettakis, Appl. Math. Lett. **12**, 29 (1999).
- ³S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, Phys. Rev. B **104**, 035121 (2021).
- ⁴S. Sekaran, M. Saubanère, and S. Yalouz, to be submitted (2022).

Single-impurity case





Quantum bath



Column vector

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Single-impurity case



Single-impurity case



Column vector



How to generate an *orthonormal basis* for the bath and the cluster's environment?

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³S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, Phys. Rev. B **104**, 035121 (2021).

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Diagonalise $\gamma_{ef} \gamma_{ef}^{\dagger} !!!$

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$$\left(\gamma_{ef}\gamma_{ef}^{\dagger}\right)\gamma_{ef} = \gamma_{ef}\left(\gamma_{ef}^{\dagger}\gamma_{ef}\right)$$

Step-by-step construction of the bath

$$\left(\gamma_{ef}\gamma_{ef}^{\dagger}\right)\gamma_{ef} = \gamma_{ef}\left(\gamma_{ef}^{\dagger}\gamma_{ef}\right) \qquad \gamma_{ef}^{\dagger}\gamma_{ef} = \mathscr{W}\mathscr{D}\mathscr{W}^{\dagger}$$



Step-by-step construction of the bath

 $\left(\gamma_{ef}\gamma_{ef}^{\dagger}\right)\gamma_{ef} = \gamma_{ef}\left(\gamma_{ef}^{\dagger}\gamma_{ef}\right) \longleftarrow \gamma_{ef}^{\dagger}\gamma_{ef} = \mathscr{W}\mathscr{D}\mathscr{W}^{\dagger}$ $\sigma_{i} > 0$ Singular values of γ_{ef} $\begin{cases} \sigma_{1}^{2} & 0 \\ \sigma_{2}^{2} & 0 \\ 0 & \ddots & \sigma_{I}^{2} \end{cases} = \mathscr{D}$ $\rightarrow \left(\gamma_{ef}\gamma_{ef}^{\dagger}\right)\gamma_{ef}\mathcal{WD}^{-1/2} = \gamma_{ef}\mathcal{WD}^{-1/2}\mathcal{D}$ \mathcal{U}_{eb} \mathcal{U}_{eb}

Step-by-step construction of the bath

 $\left(\gamma_{ef}\gamma_{ef}^{\dagger}\right)\gamma_{ef}=\gamma_{ef}\left(\gamma_{ef}^{\dagger}\gamma_{ef}\right)$

 $\gamma_{ef}^{\dagger}\gamma_{ef} = \mathscr{M} \mathscr{D} \mathscr{M}^{\dagger}$



 $\left(\gamma_{ef}\gamma_{ef}^{\dagger}\right)\gamma_{ef}\mathscr{W}\mathscr{D}^{-1/2} = \gamma_{ef}\mathscr{W}\mathscr{D}^{-1/2}\mathscr{D}$ \mathcal{U}_{eb} \mathcal{U}_{eb} $\mathcal{U}_{_{ob}}^{\dagger}\mathcal{U}_{eb} = \mathcal{D}^{-1/2}\mathcal{W}^{\dagger}\gamma_{ef}^{\dagger}\gamma_{ef}\mathcal{W}\mathcal{D}^{-1/2} = 1_{bb}$



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Orthogonality constraint

Unitary transformed density matrix

Orthogonality constraint



Orthogonality constraint

Unitary transformed density matrix



Entanglement

Unitary transformed density matrix



$$\tilde{\gamma}^{2} = \tilde{\gamma} = \mathcal{U}^{\dagger} \gamma \mathcal{U} \equiv \begin{bmatrix} \gamma_{ff} & \tilde{\gamma}_{bf}^{\dagger} & 0_{f\mathscr{C}} \\ \tilde{\gamma}_{bf} & \tilde{\gamma}_{bb} & \tilde{\gamma}_{\mathscr{C}b}^{\dagger} = 0 \\ 0_{\mathscr{C}f} & \tilde{\gamma}_{\mathscr{C}b} \\ 0_{\mathscr{C}f} & 0_{\mathscr{C}f} \\ 0_{\mathscr{C}f} & 0_{\mathscr{C}f} \\ 0_{\mathscr{C}f} & \gamma_{\mathscr{C}b} \\ \eta_{\mathscr{C}b} \\ \eta_{\mathscr{C}b} \\ \eta_{\mathscr{C}b} \\ \eta_{bf} \\ \eta_{bf}^{\dagger} = \mathcal{D} \end{bmatrix} \qquad \tilde{\gamma}_{\mathscr{C}b} = 0$$



Disconnected embedding cluster



Cluster's environment

$$\tilde{\gamma} = \tilde{\gamma}^{2} \equiv \begin{bmatrix} \gamma_{ff} & \tilde{\gamma}_{bf}^{\dagger} & 0_{f\mathscr{E}} \\ \tilde{\gamma}_{bf} & \tilde{\gamma}_{bb} & 0_{b\mathscr{E}} \\ 0_{\mathscr{E}f} & 0_{\mathscr{E}b} & \tilde{\gamma}_{\mathscr{E}\mathscr{E}} \end{bmatrix} \begin{bmatrix} \gamma_{ff} & \gamma_{ff} \\ \tilde{\gamma}_{bf} & 0_{f\mathscr{E}} \\ 0_{\mathscr{E}f} & 0_{\mathscr{E}f} \end{bmatrix}$$

γ_{ff}	$ ilde{\gamma}^{\dagger}_{bf}$	$0_{f\mathscr{C}}$
$ ilde{\gamma}_{bf}$	$ ilde{\gamma}_{bb}$	$0_{b\mathscr{E}}$
$0_{\mathscr{E}\!f}$	$0_{\mathscr{C}b}$	$ ilde{\gamma}_{\mathscr{C}\mathscr{C}}$







$$\hat{H} = \sum_{pq} h_{pq} \hat{c}_{p}^{\dagger} \hat{c}_{q} + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \hat{c}_{p}^{\dagger} \hat{c}_{q}^{\dagger} \hat{c}_{s} \hat{c}_{r}$$
Original
localised representation
$$\hat{d}_{j} = \sum_{l}^{lattice} \mathcal{U}_{lj}[\gamma_{ef}] \hat{c}_{l}$$

$$= \sum_{pq} \tilde{h}_{pq} \hat{d}_{p}^{\dagger} \hat{d}_{q} + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \hat{d}_{p}^{\dagger} \hat{d}_{q}^{\dagger} \hat{d}_{s} \hat{d}_{r}$$
Embedding
representation

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 $\hat{H} = \sum_{na} h_{pq} \hat{c}_p^{\dagger} \hat{c}_q + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \hat{c}_p^{\dagger} \hat{c}_q^{\dagger} \hat{c}_s \hat{c}_r$ $\hat{d}_{j} = \sum_{l}^{lattice} \mathcal{U}_{lj}[\gamma_{ef}] \hat{c}_{l}$ $= \sum_{k=1}^{6} \tilde{h}_{pq} \hat{d}_{p}^{\dagger} \hat{d}_{q}$ pq ĥ

Original localised representation

Projection onto the cluster

Exact!

 $\hat{H} = \sum_{pq} h_{pq} \hat{c}_p^{\dagger} \hat{c}_q + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \hat{c}_p^{\dagger} \hat{c}_q^{\dagger} \hat{c}_s \hat{c}_r$ Original localised representation $\hat{d}_{j} = \sum_{lattice}^{lattice} \mathcal{U}_{lj}[\gamma_{ef}] \hat{c}_{l}$ $= \sum_{n=1}^{\infty} \tilde{h}_{pq} \hat{d}_{p}^{\dagger} \hat{d}_{q} + \frac{1}{2} \sum_{n=1}^{\text{fragment}} \langle \widetilde{pq \mid rs} \rangle \hat{d}_{p}^{\dagger} \hat{d}_{q}^{\dagger} \hat{d}_{s} \hat{d}_{r}$ Projection onto the cluster pqrs *pq* **Approximate!** ĥ



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Hubbard model for rings of hydrogen atoms



N-electron system

$$\hat{H} = \sum_{\sigma=\uparrow,\downarrow} \sum_{i=0}^{L-1} -t \left(\hat{c}_{i\sigma}^{\dagger} \hat{c}_{(i+1)\sigma} + \hat{c}_{(i+1)\sigma}^{\dagger} \hat{c}_{i\sigma} \right) + \frac{U}{\sum_{i=0}^{L-1}} \hat{c}_{i\uparrow}^{\dagger} \hat{c}_{i\downarrow}^{\dagger} \hat{c}_{i\downarrow} \hat{c}_{i\uparrow}$$

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Half-filled uniform Hubbard ring with L = 400 atomic sites



Half-filled uniform Hubbard ring with L = 400 atomic sites



(Hubbard) model of a stretched 400-atom hydrogen ring



(Hubbard) model of a stretched 400-atom hydrogen ring



Local potential-functional embedding theory (LPFET)



Local potential-functional embedding theory (LPFET)



Local potential-functional embedding theory (LPFET)



Mott-Hubbard density-driven transition and multiple impurities



Pure State v-Representability of Density Matrix Embedding Theory

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Idempotent

Non-idempotent