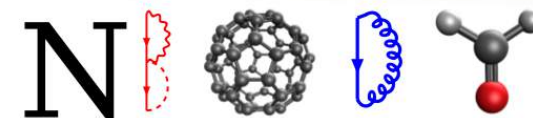


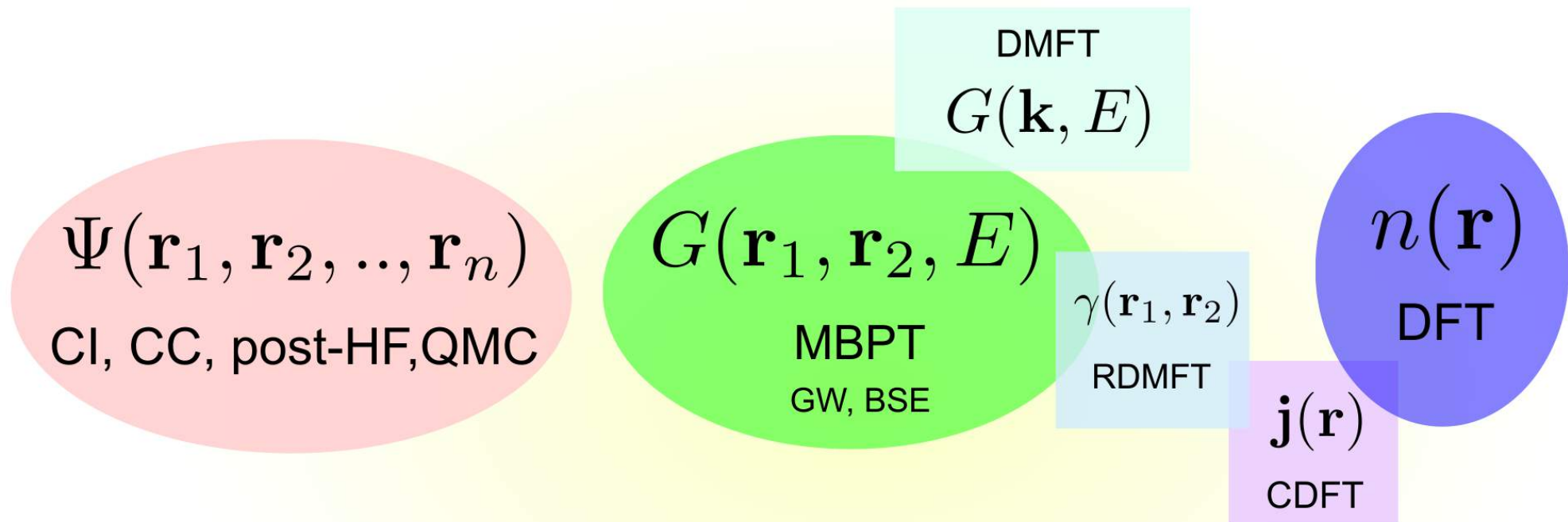
Time Dependent Density Functional Theory

Francesco Sottile

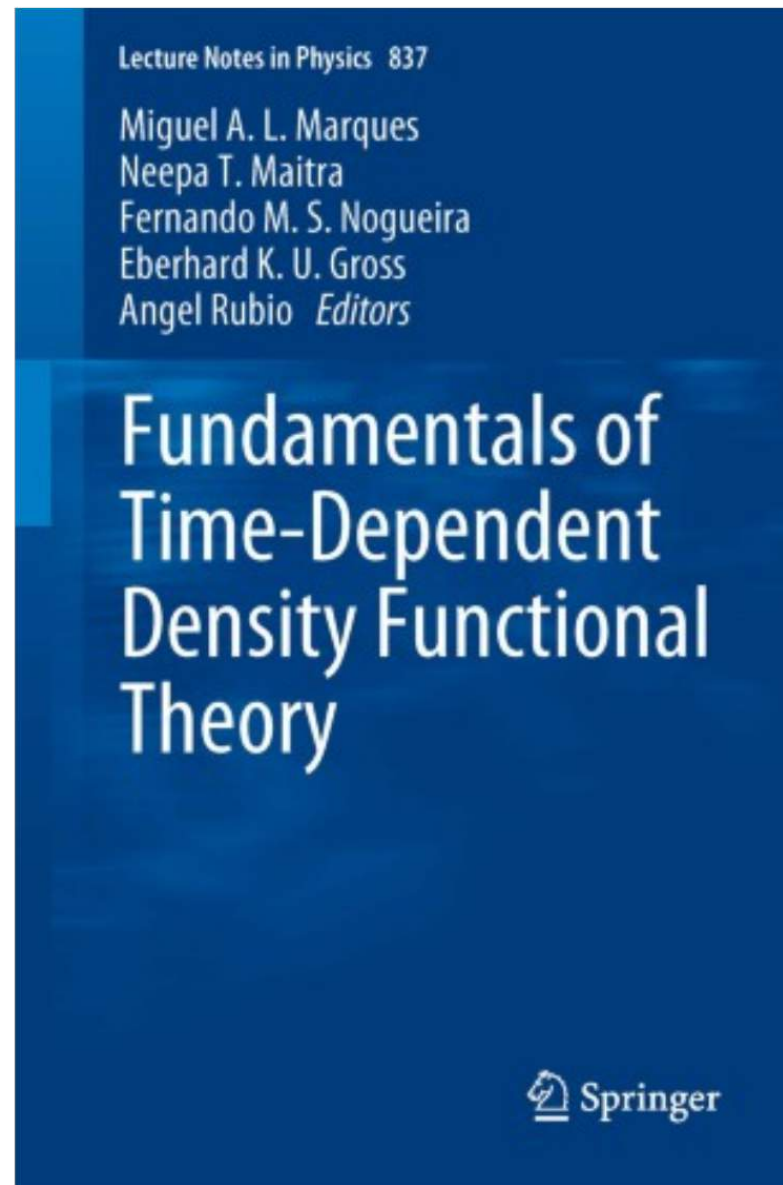
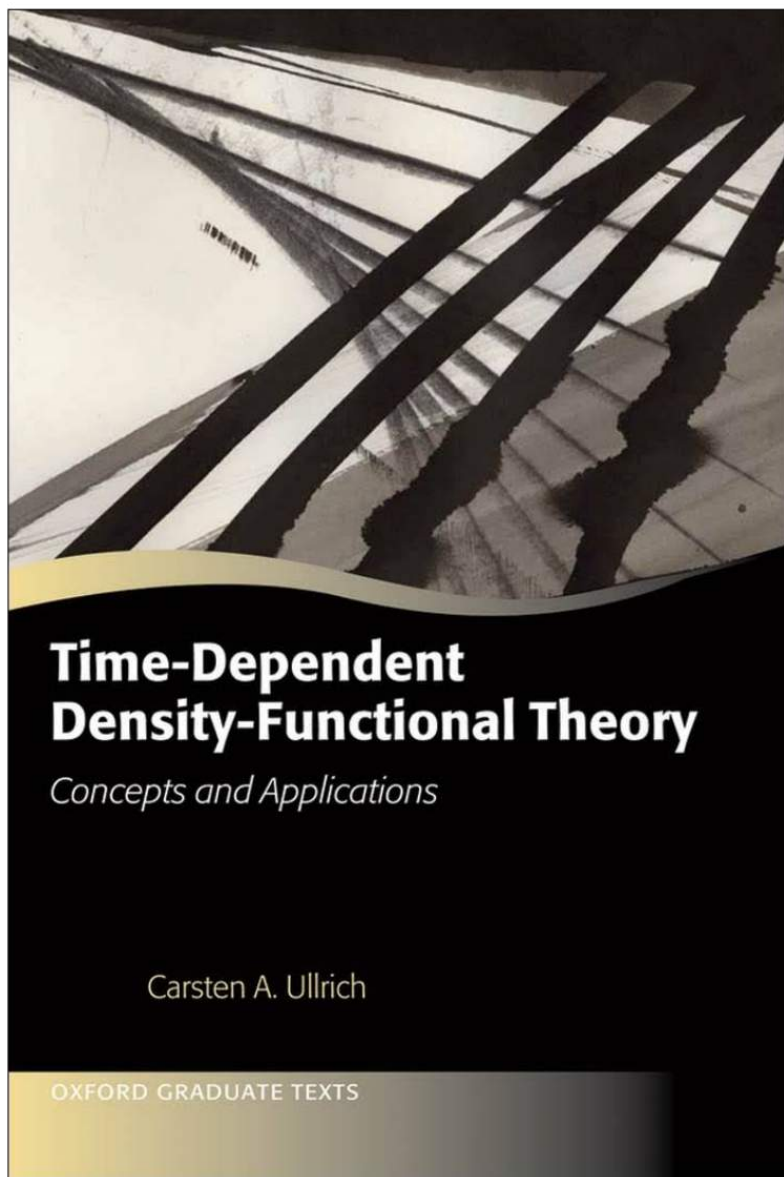
International summer School in electronic structure Theory:
electron correlation in Physics and Chemistry (ISTPC)

27 June

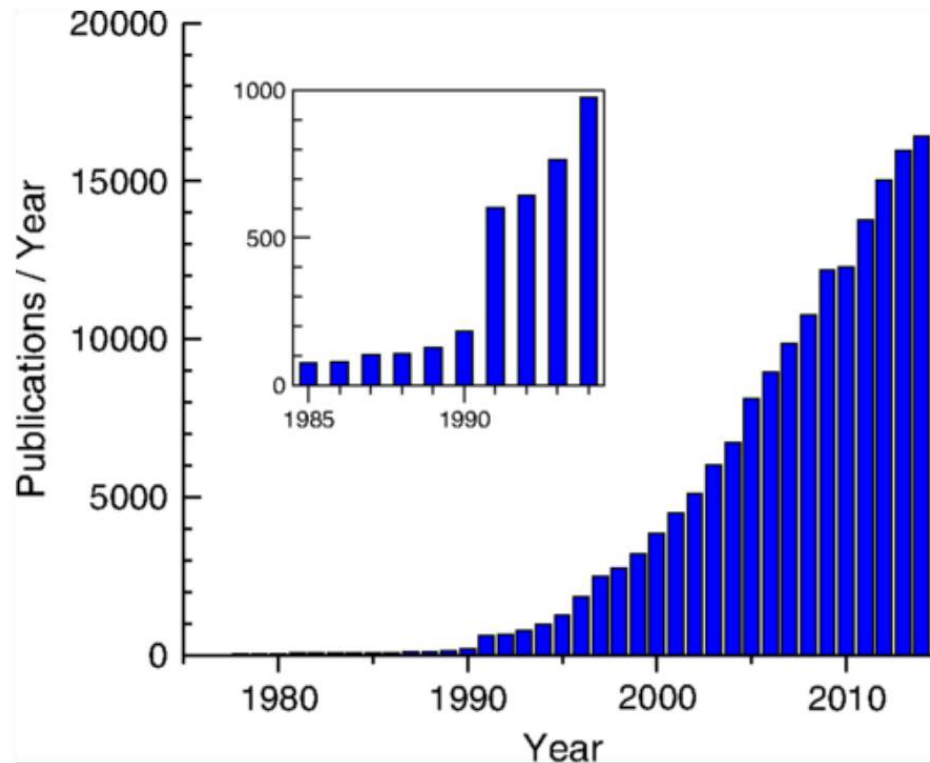




simpler basic quantity
more complicate approximation



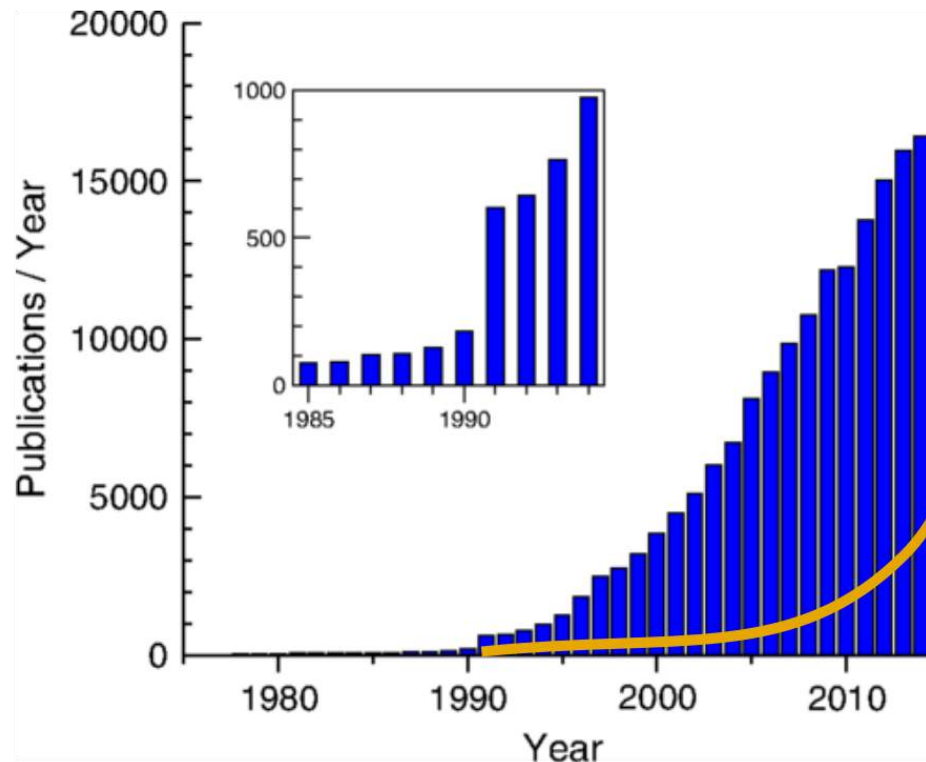
Success of DFT



R. O. Jones Rev. Mod. Phys. 87, 897 (2015)

Success of DFT

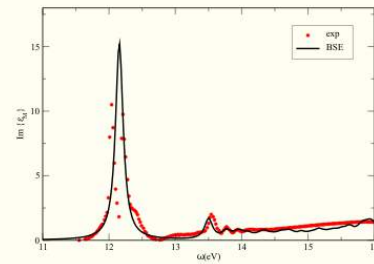
+ Machine Learning



 [J. Phys. Mater. 2 032001 \(2019\)](#)

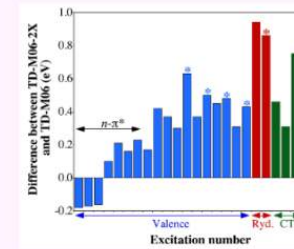
 [R. O. Jones Rev. Mod. Phys. 87, 897 \(2015\)](#)

Optical Spectra



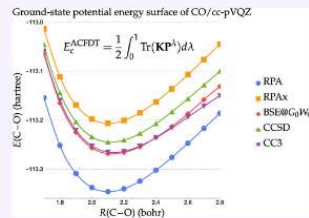
Phys. Rev. B 76, 161103 (2007)

Excitation energies



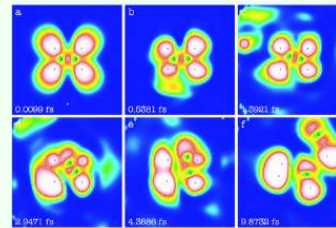
J.Phys.Chem.Lett. 8, 1524 (2017)

Ground-state total energy



Phys. Rev. Lett. 98, 157404 (2007)

Electrons in intense laser fields



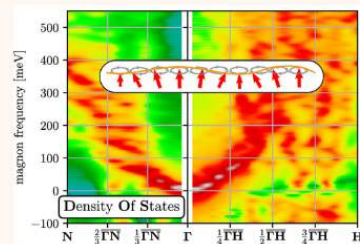
Phys. Rev. A 71, 010501 (2004)

Electron-ion dynamics



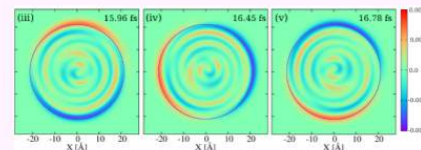
A. Castro - <https://youtu.be/VixOLFubxBw>

Magnetic excitations



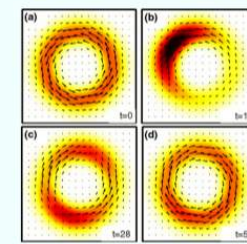
J. Chem. Theory Comput. 16, 1007 (2020)

Quantum plasmonics



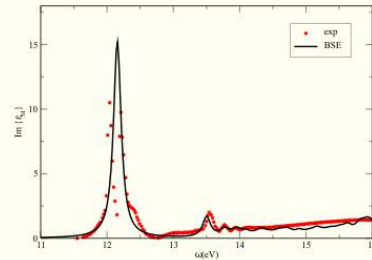
ACS photonics, 7, 2429 (2020)

Optimal control theory



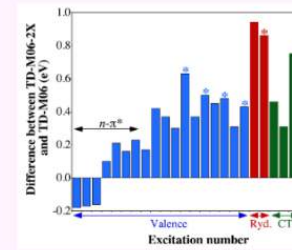
Phys. Rev. Lett. 98, 157404 (2007)

Optical Spectra



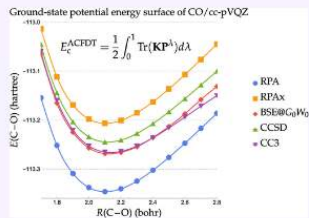
Phys. Rev. B 76, 161103 (2007)

Excitation energies



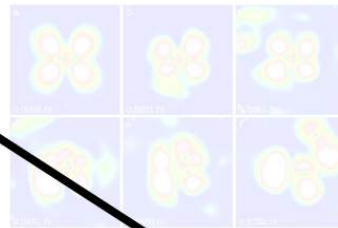
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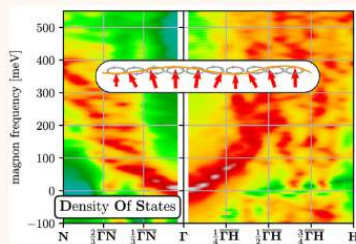
Phys. Rev. A 70, 053101 (2004)

Electron-ion dynamics



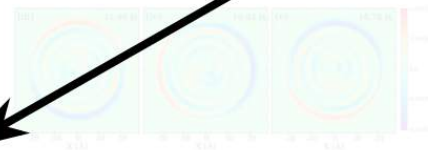
A. Castro - <http://youtu.be/VixQLFuBw>

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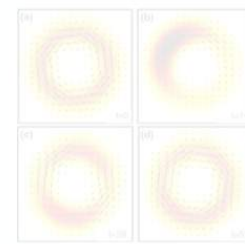
J. Chem. Theory Comput. 16, 1007 (2020)

Quantum plasmonics



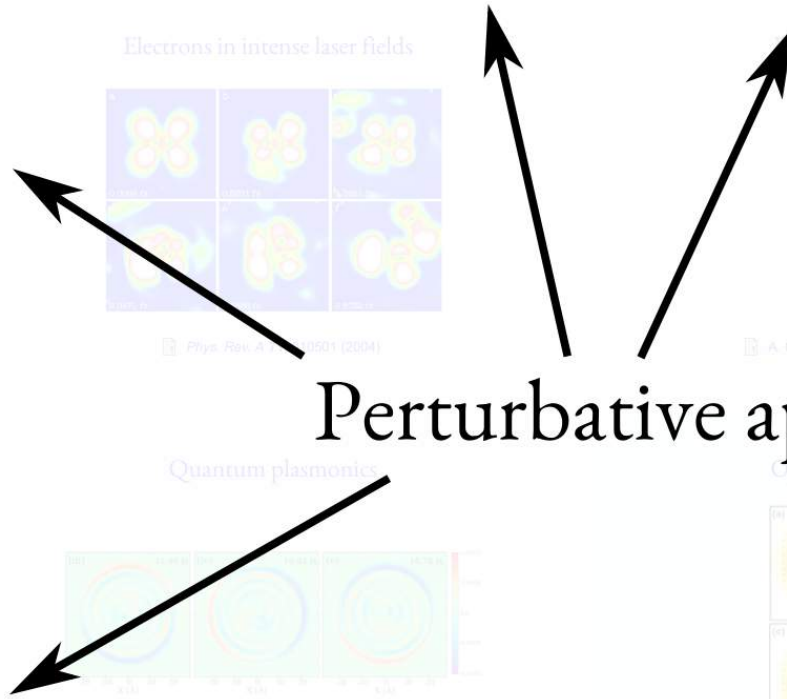
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Optimal control theory

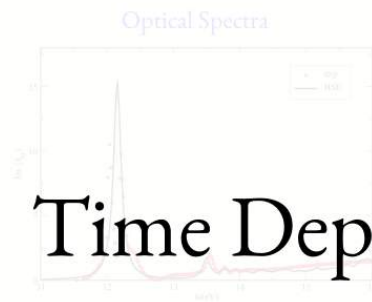


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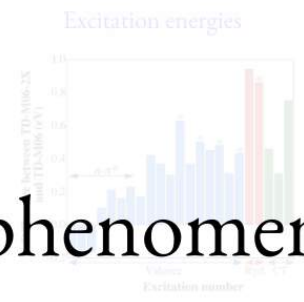
Perturbative approaches



Time Dependent phenomena

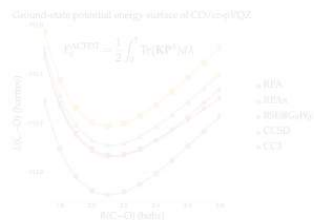


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J. Phys. Chem Lett. 8, 1524 (2017)

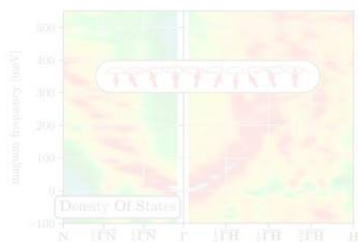
Ground-state total energy



Phys. Rev. Lett. 98, 157404 (2007)

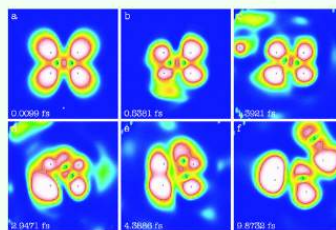
$$V_{\text{ext}}(t)$$

Magnetic excitations



J. Chem. Theory Comput. 16, 1007 (2020)

Electrons in intense laser fields



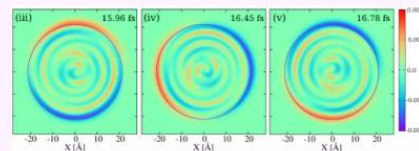
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Electron-ion dynamics



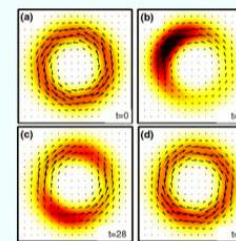
A. Castro - <https://youtu.be/VixOLFubxBw>

Quantum plasmonics



ACS photonics, 7, 2429 (2020)

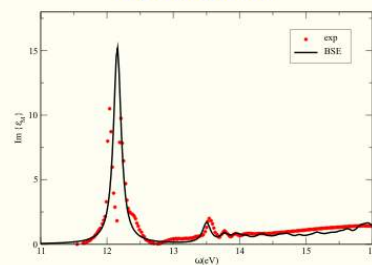
Optimal control theory



Phys. Rev. Lett. 98, 157404 (2007)

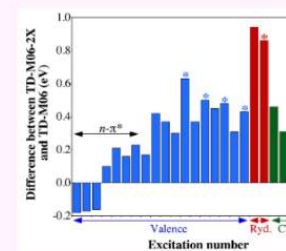
Serious applications

Optical Spectra



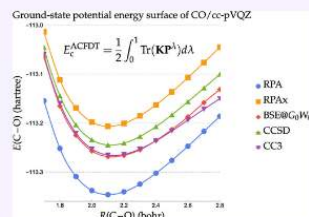
Phys. Rev. B 76, 161103 (2007)

Excitation energies



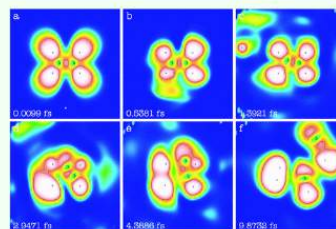
J.Phys.Chem.Lett. 8, 1524 (2017)

Ground-state total energy



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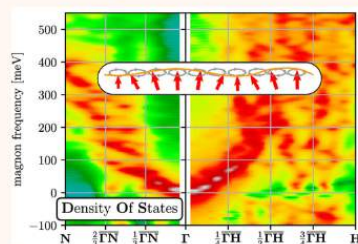
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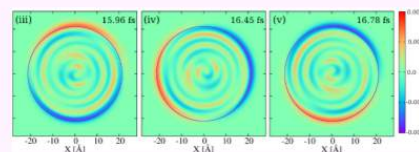
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Magnetic excitations



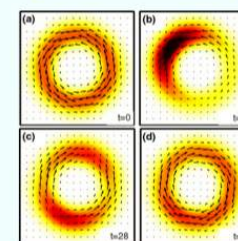
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Quantum plasmonics



ACS photonics, 7, 2429 (2020)

Optimal control theory



Phys. Rev. Lett. 98, 157404 (2007)

Name of the game

$$[T + V_{e-e} + V_N + V_{\text{ext}}(t)] \Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_n, t) = i\hbar \frac{\partial \Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_n, t)}{\partial t}$$

given $\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_n, 0)$

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DFT world

Name of the game

DFT

Hohenberg-Kohn theorem

$$V_{\text{ext}} \longleftrightarrow n$$


$$\langle \Psi^0 | O | \Psi^0 \rangle = O[n]$$


TDDFT

Runge-Gross theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

$$\langle \Psi(t) | O(t) | \Psi(t) \rangle = O[n, \Psi^0](t)$$

 Hohenberg and Kohn, Phys. Rev. **136**, B864 (1964)

 Runge and Gross, Phys. Rev. Lett. **52**, 997 (1984)

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is it true?

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Demonstration

but in practice?

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is it true?

Demonstration

but in practice?

KS equations

Name of the game

Demonstration

Runge-Gross theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

Demonstration

Runge-Gross theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

Demonstration

1) $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) + c(t) \longleftrightarrow \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$

2) $\mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t) \longleftrightarrow n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$

Demonstration of the Runge Gross theorem

$$\mathbf{1)} V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) + c(t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$$

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$$i \frac{\partial}{\partial t} [\mathbf{j}(\mathbf{r}, t) - \mathbf{j}'(\mathbf{r}, t)] \Big|_{t=0} = \langle \Psi_0 | [\mathbf{j}(\mathbf{r}), H(0) - H'(0)] | \Psi_0 \rangle$$

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Demonstration of the Runge Gross theorem

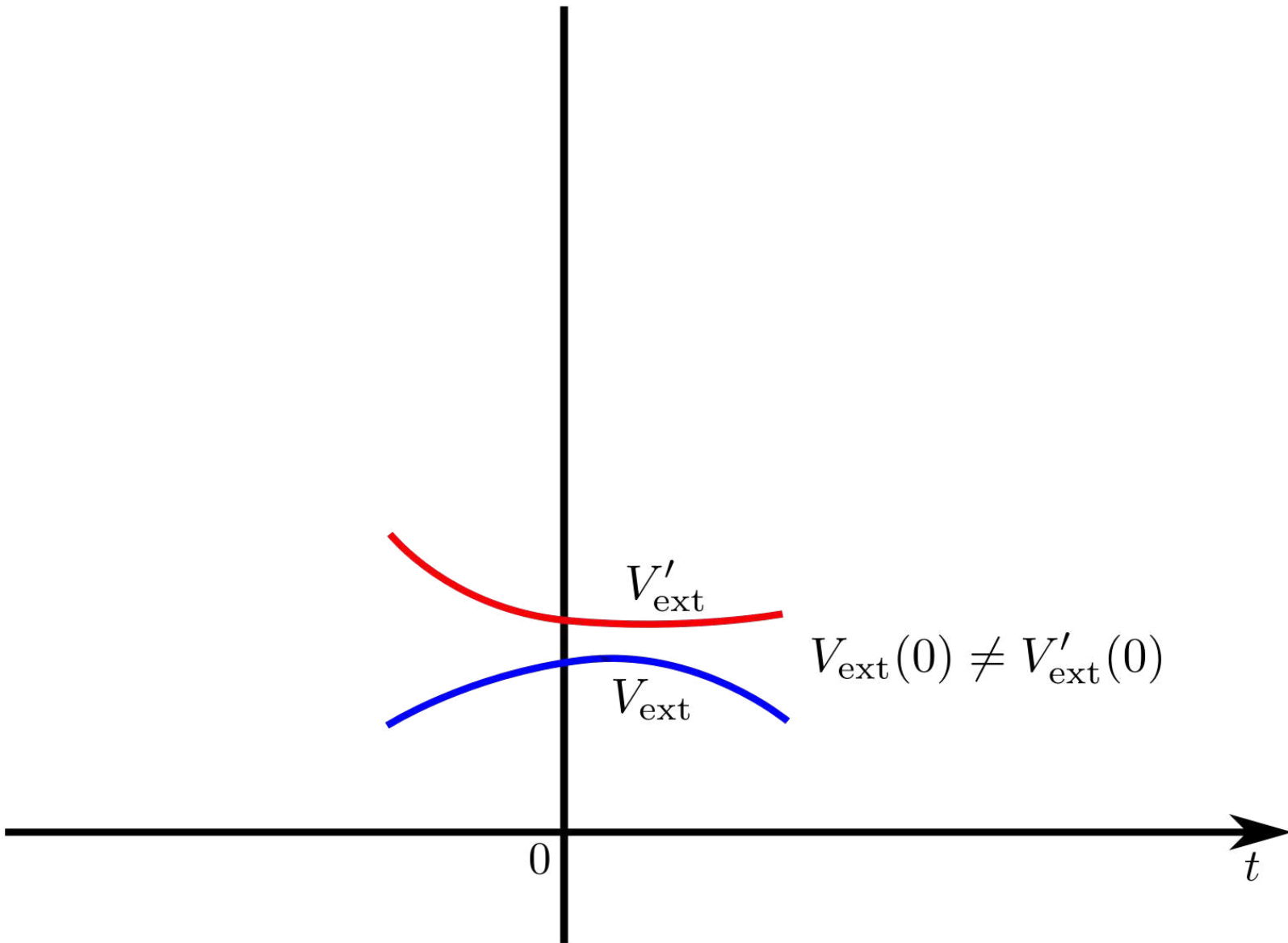
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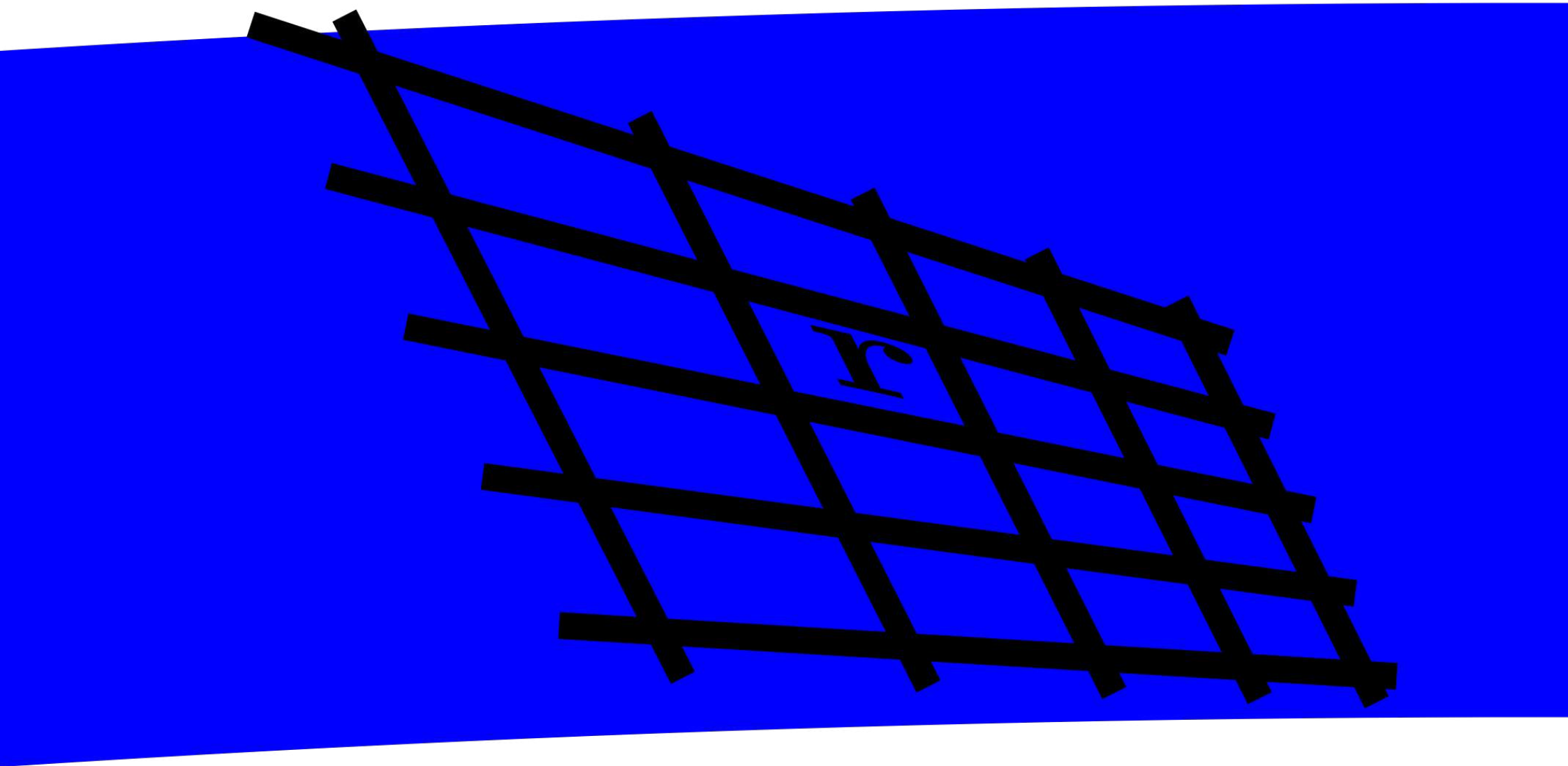
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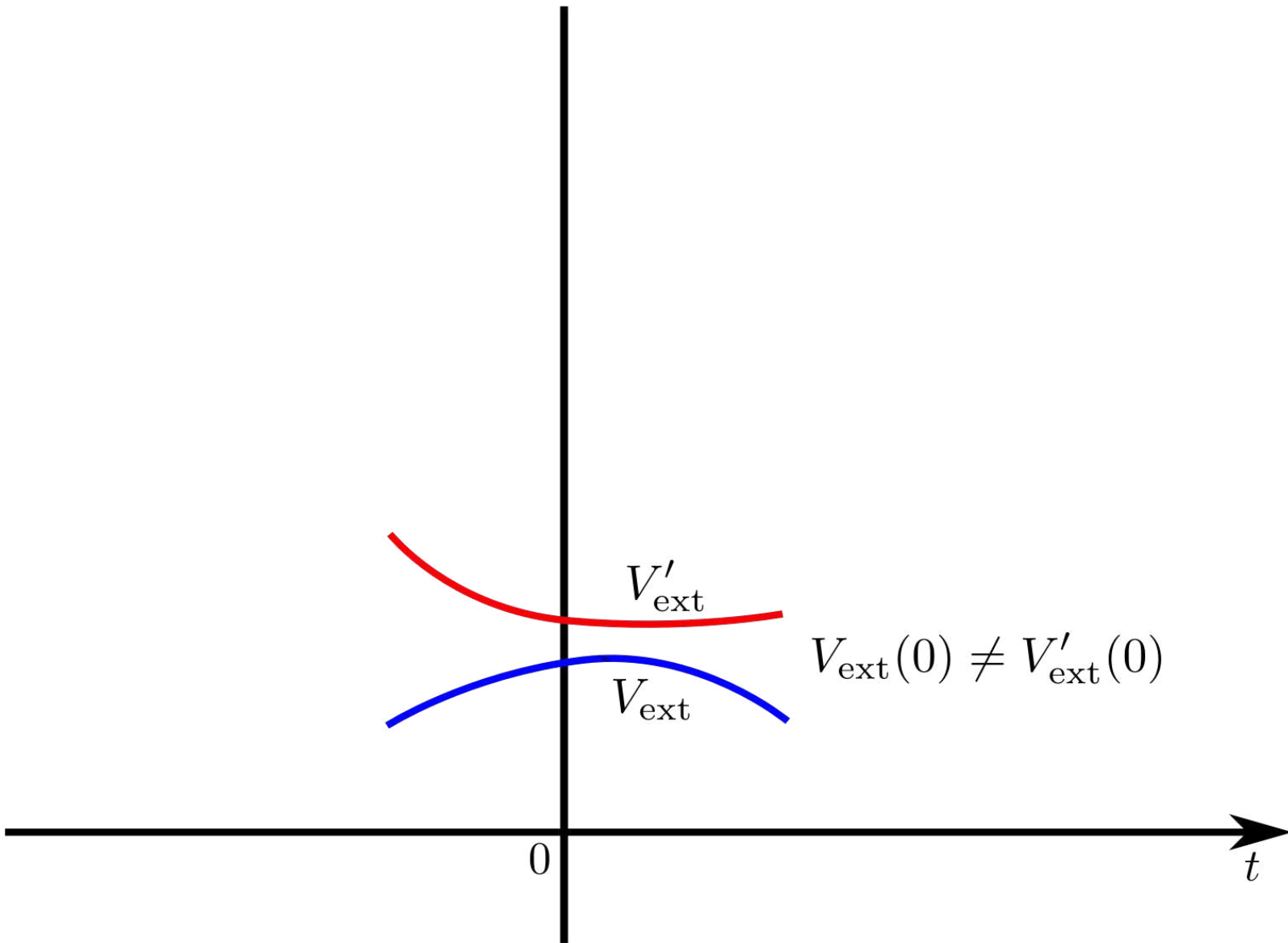
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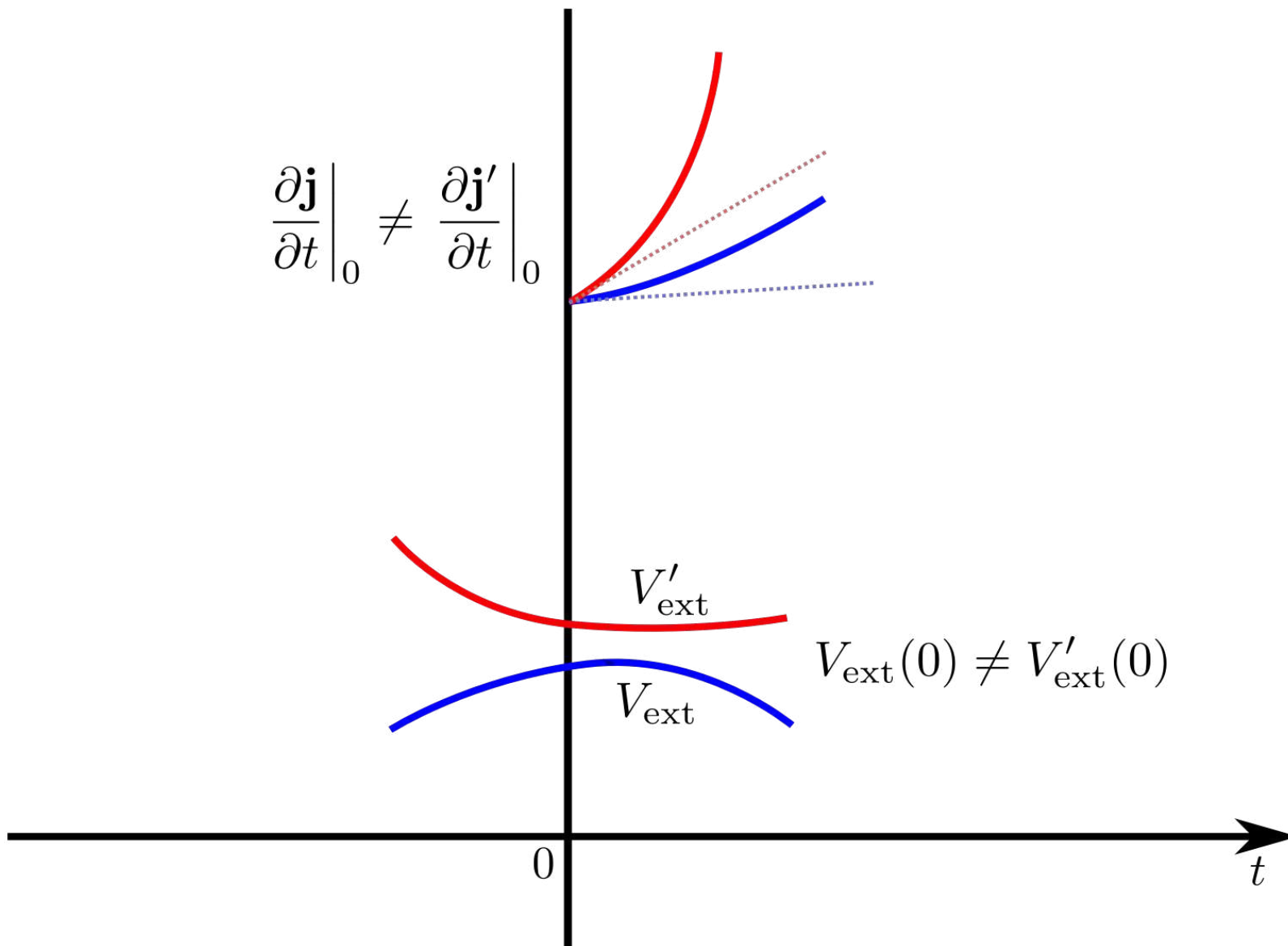
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**if two potentials differ by more than a constant at $t=0$,
they will generate two different current densities**









Demonstration of the Runge Gross theorem

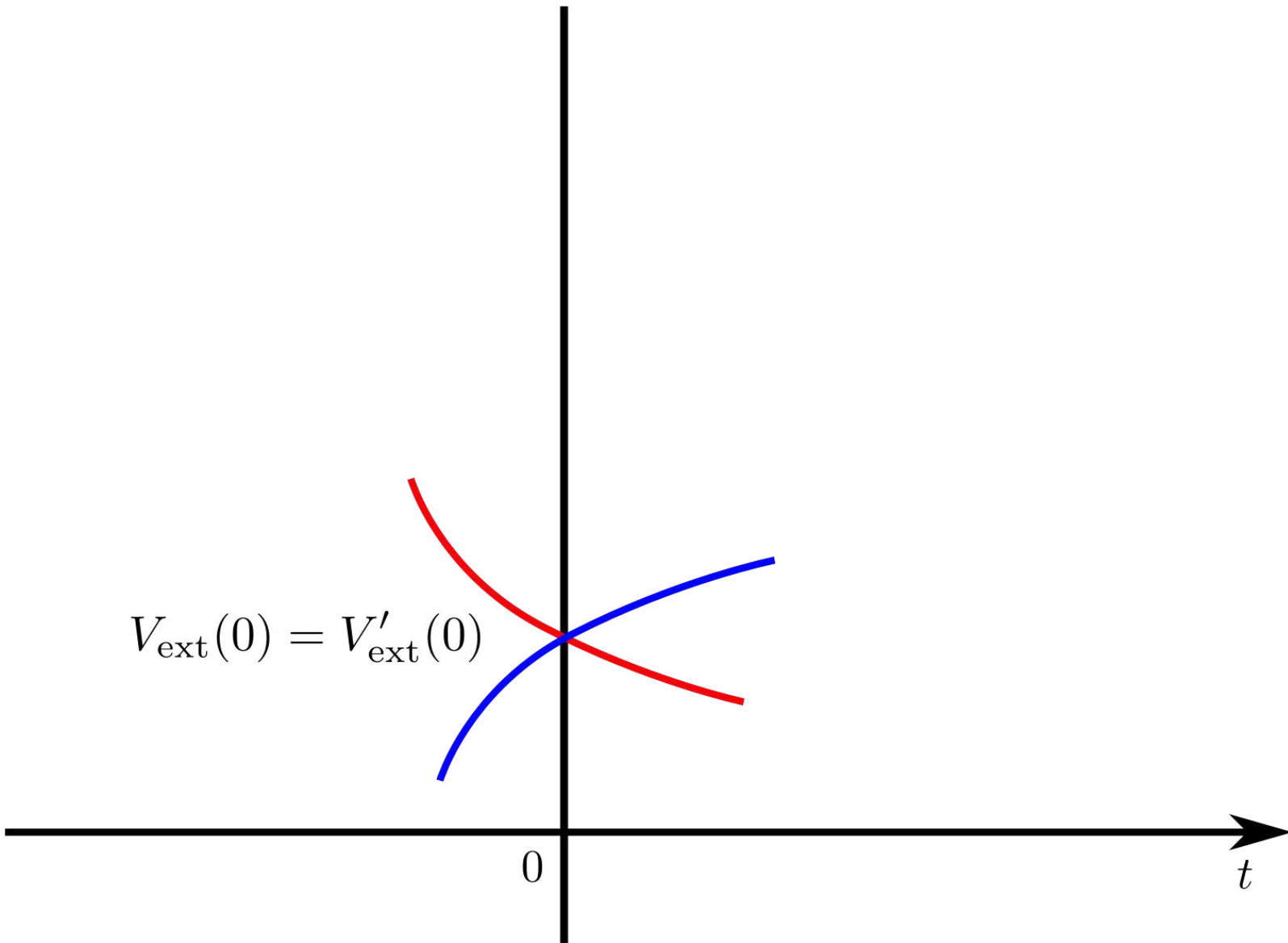
$$\mathbf{1) } V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) + c(t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$$

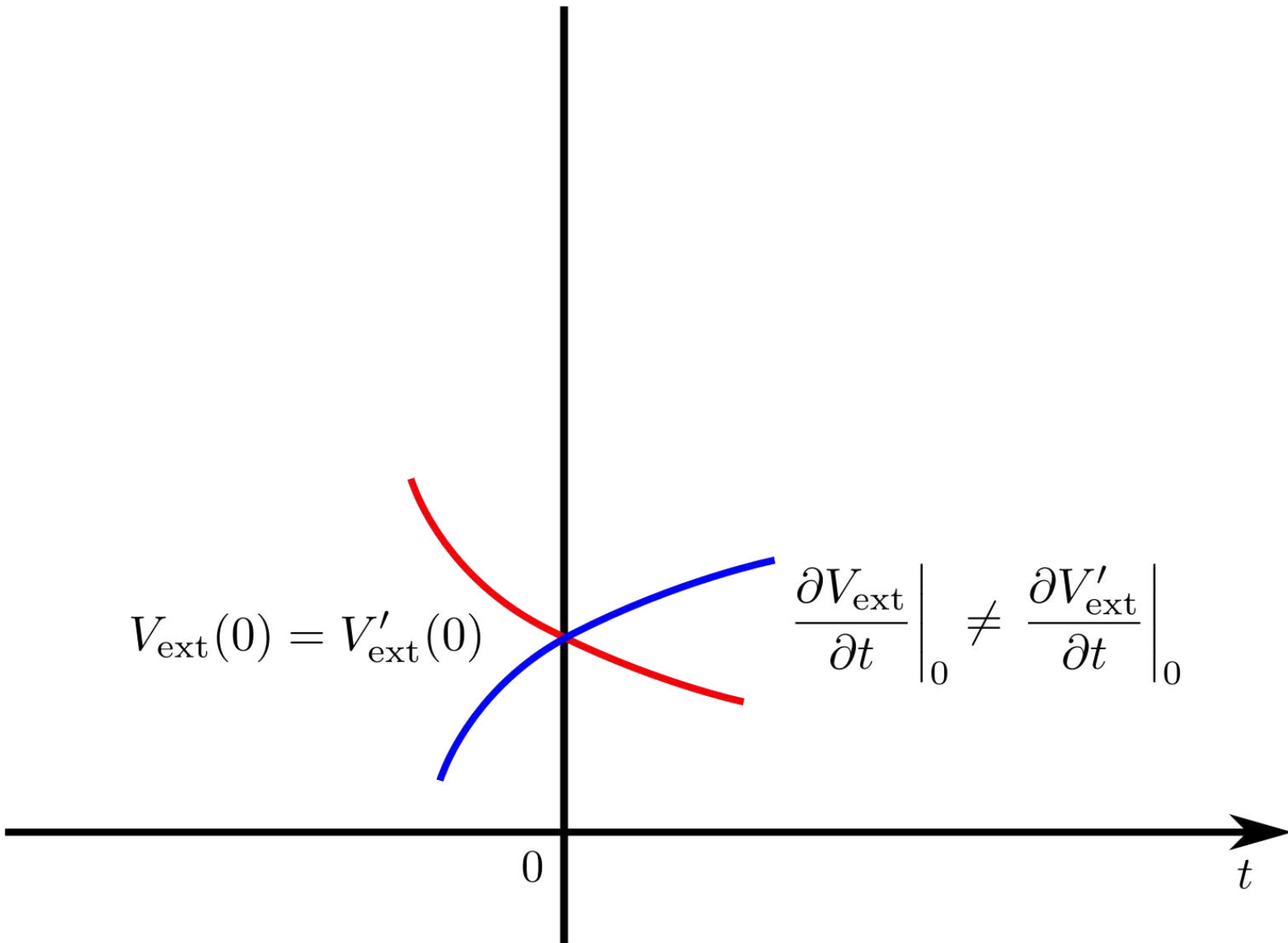
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$$i \frac{\partial \langle [\mathbf{j}(\mathbf{r}), H(t)] \rangle}{\partial t} = \langle \Psi(t) | [[\mathbf{j}(\mathbf{r}), H(t)], H] | \Psi(t) \rangle$$

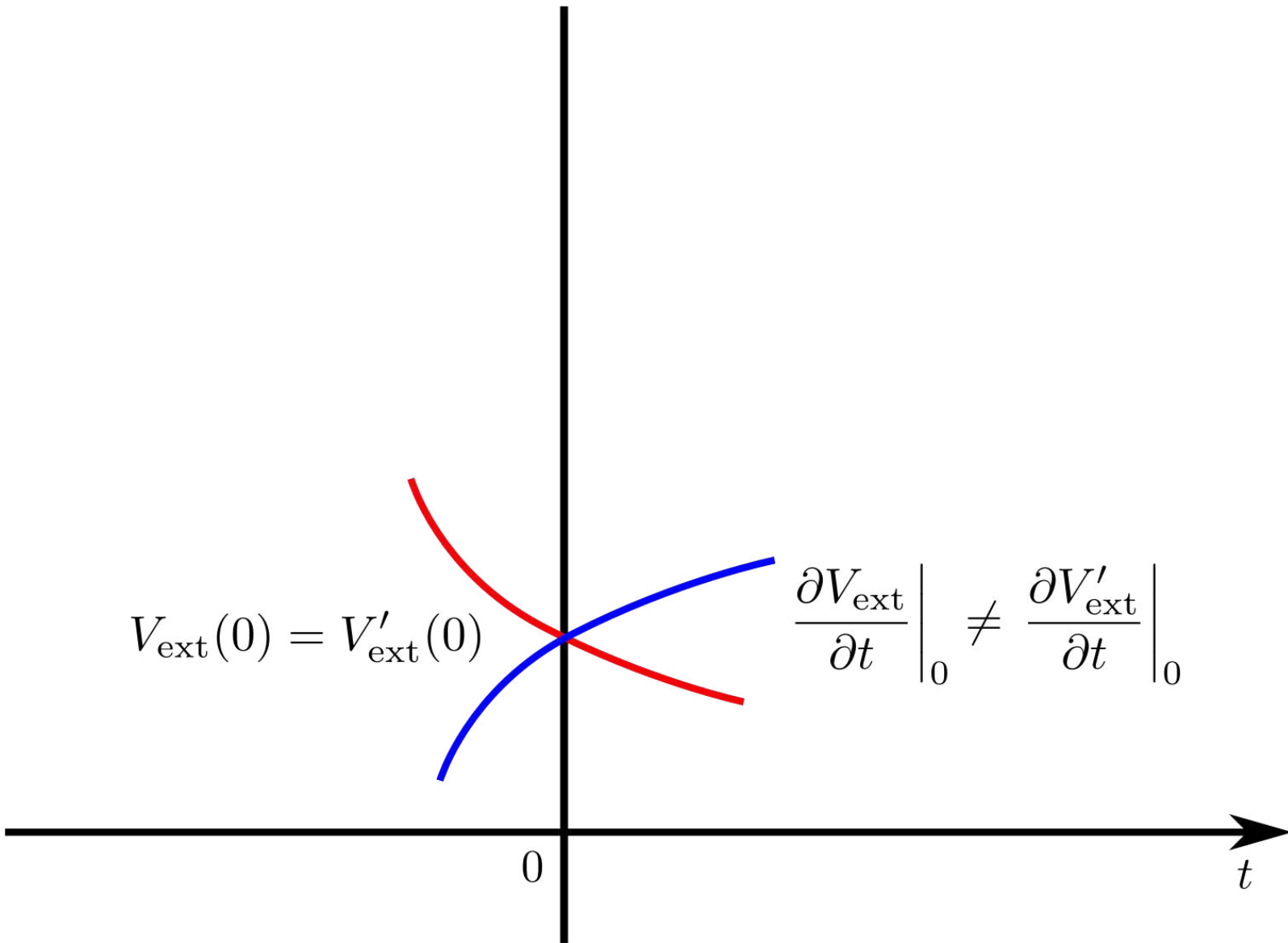
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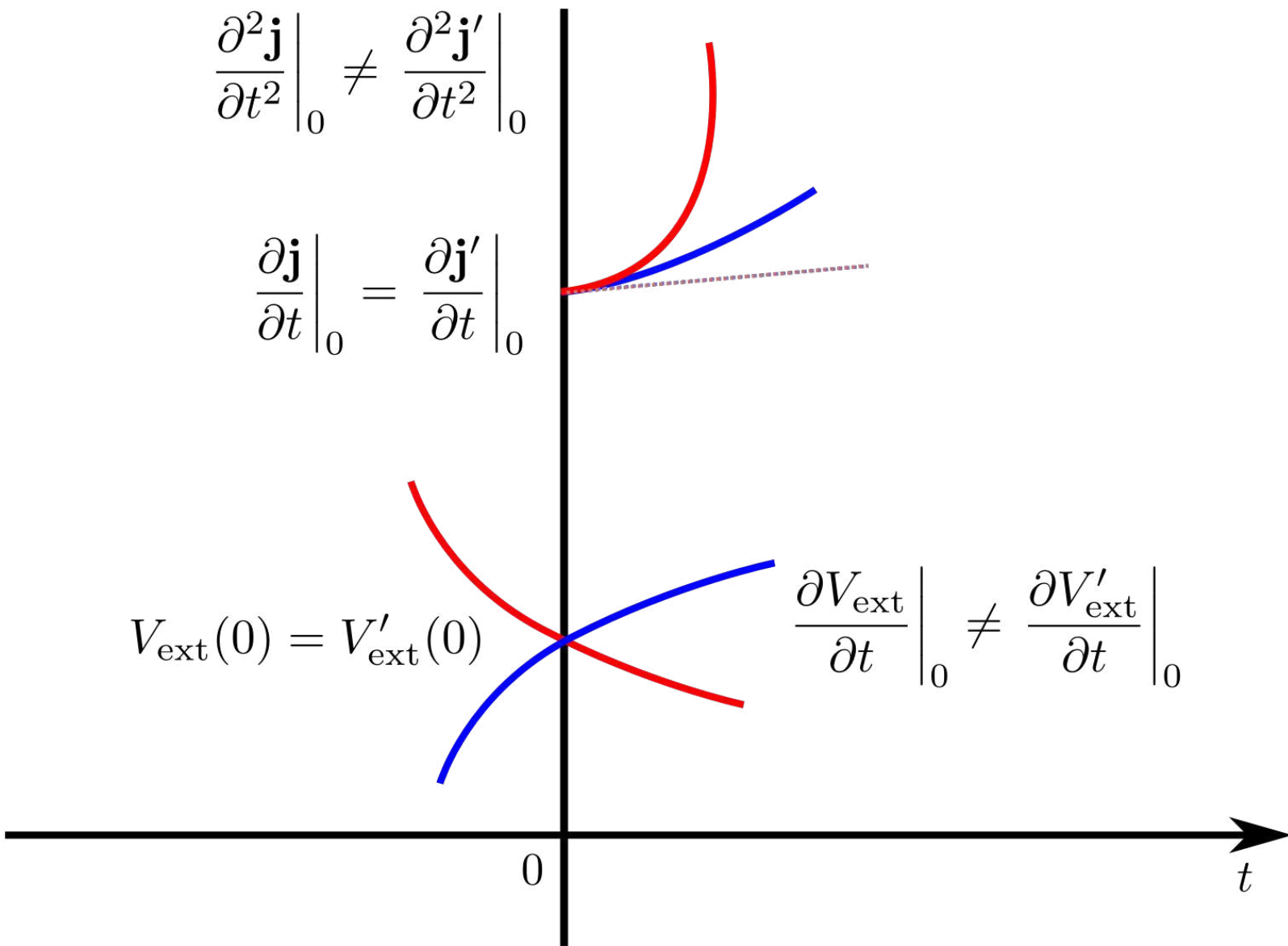
$$i \frac{\partial \langle [\mathbf{j}'(\mathbf{r}), H'(t)] \rangle}{\partial t} = \langle \Psi(t) | [[\mathbf{j}'(\mathbf{r}), H'(t)], H'(t)] | \Psi(t) \rangle$$

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$$\frac{\partial^2}{\partial t^2} [\mathbf{j}(\mathbf{r}, t) - \mathbf{j}'(\mathbf{r}, t)] \Big|_{t=t_0} = -n_0(\mathbf{r}) \nabla \frac{\partial}{\partial t} [V_{\text{ext}}(\mathbf{r}, t) - V'_{\text{ext}}(\mathbf{r}, t)] \Big|_{t=0}$$





$$i \frac{\partial \langle [\mathbf{j}(\mathbf{r}), H(t)] \rangle}{\partial t} = \langle \Psi(t) | [[\mathbf{j}(\mathbf{r}), H(t)], H] | \Psi(t) \rangle$$

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■
■
■

$$\left. \frac{\partial^{k+1}}{\partial t^{k+1}} [\mathbf{j}(\mathbf{r}, t) - \mathbf{j}'(\mathbf{r}, t)] \right|_{t=t_0} = -n_0(\mathbf{r}) \nabla \left. \frac{\partial^k}{\partial t^k} [V_{\text{ext}}(\mathbf{r}, t) - V'_{\text{ext}}(\mathbf{r}, t)] \right|_{t=0}$$

two different potentials will generate two different current densities

$$i \frac{\partial \langle [\mathbf{j}(\mathbf{r}), H(t)] \rangle}{\partial t} = \langle \Psi(t) | [[\mathbf{j}(\mathbf{r}), H(t)], H] | \Psi(t) \rangle$$

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v_{ext}
Taylor
expandable

$$\left. \frac{\partial^2}{\partial t^2} [\mathbf{j}(\mathbf{r}, t) - \mathbf{j}'(\mathbf{r}, t)] \right|_{t=t_0} = -n_0(\mathbf{r}) \nabla \left. \frac{\partial}{\partial t} [V_{\text{ext}}(\mathbf{r}, t) - V'_{\text{ext}}(\mathbf{r}, t)] \right|_{t=0}$$

⋮

$$\left. \frac{\partial^{k+1}}{\partial t^{k+1}} [\mathbf{j}(\mathbf{r}, t) - \mathbf{j}'(\mathbf{r}, t)] \right|_{t=t_0} = -n_0(\mathbf{r}) \nabla \left. \frac{\partial^k}{\partial t^k} [V_{\text{ext}}(\mathbf{r}, t) - V'_{\text{ext}}(\mathbf{r}, t)] \right|_{t=0}$$

two different potentials will generate two different current densities

Runge-Gross theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

Demonstration



$$V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) + c(t) \longleftrightarrow \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$$

$$\mathbf{2) } \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t) \longleftrightarrow n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$$

Demonstration of the Runge Gross theorem

$$\mathbf{2) } \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t) \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$$

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Demonstration of the Runge Gross theorem

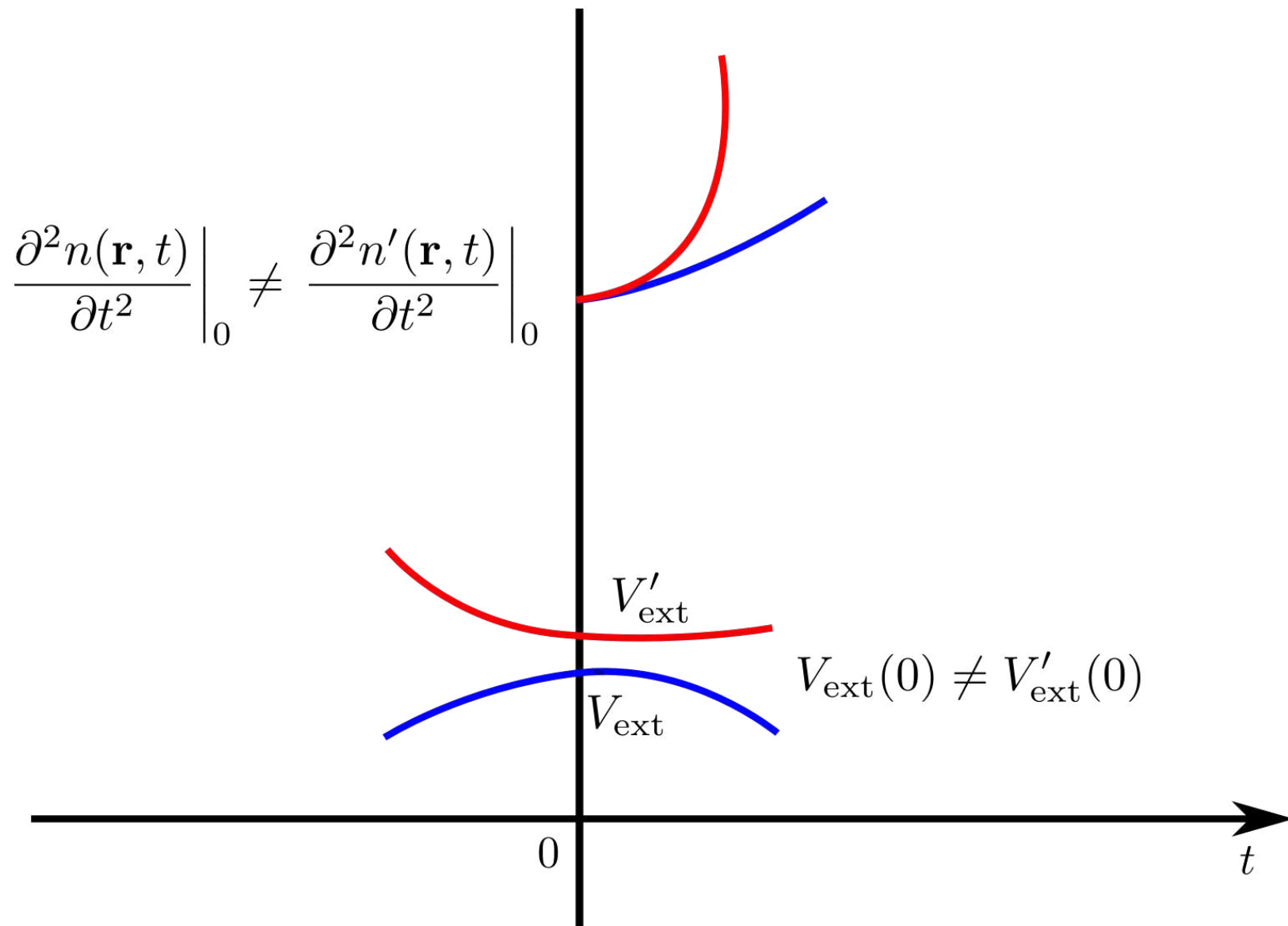
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Demonstration of the Runge Gross theorem

$$\mathbf{2) } \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t) \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$$

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two different potentials will generate two different densities

Demonstration of the Runge Gross theorem

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two different potentials will generate two different densities
provided that the divergence does not vanish

Runge-Gross Theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

$$\langle \Psi(t) | O(t) | \Psi(t) \rangle = O[n, \Psi^0](t)$$



Runge and Gross, Phys. Rev. Lett. **52**, 997 (1984)

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- Functional of the TD density $n(\mathbf{r}, t)$
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$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

$$\langle \Psi(t) | O(t) | \Psi(t) \rangle = O[n, \Psi^0](t)$$

- Functional of the TD density $n(\mathbf{r}, t)$
and of the initial state Ψ^0
- V_{ext} Taylor expandable
- $\nabla \cdot [n_0(\mathbf{r}) \nabla V_k] \neq 0$
non-vanishing divergence



Runge and Gross, Phys. Rev. Lett. **52**, 997 (1984)

Name of the game

TDDFT

Runge-Gross theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

$$\langle \Psi(t) | O(t) | \Psi(t) \rangle = O[n, \Psi^0](t)$$

is it true?


✓ Demonstration

but in practice?

KS equations

$$V_{\text{ext}}(\mathbf{r}, t) \longleftrightarrow n(\mathbf{r}, t) \quad \text{given } \Psi^0(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N, t = 0)$$

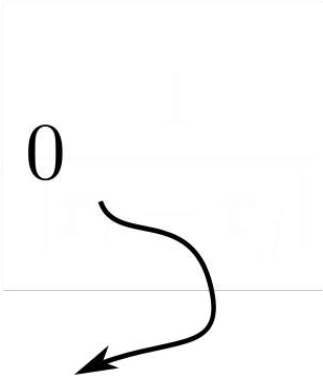
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$$V_{ee} = \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$


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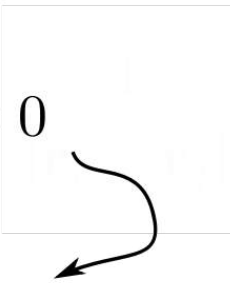
$$V_{ee} = 0$$

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$$V_{\text{KS}}([n, \Phi^0], \mathbf{r}, t) \longleftrightarrow n(\mathbf{r}, t) \quad \text{given } \Phi^0(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N, t = 0)$$

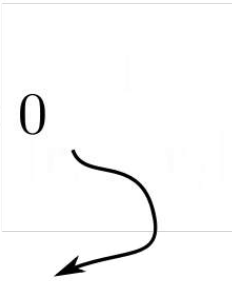
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$$V_{\text{KS}}([n, \Phi^0], \mathbf{r}, t) \longleftrightarrow n(\mathbf{r}, t) \quad \text{given } \Phi^0(\{\mathbf{r}_i\}, t = 0) = \frac{1}{\sqrt{N}} \begin{vmatrix} \psi_1(\mathbf{r}_1) & \psi_1(\mathbf{r}_2) & \dots & \psi_1(\mathbf{r}_N) \\ \psi_2(\mathbf{r}_1) & \psi_2(\mathbf{r}_2) & \dots & \psi_2(\mathbf{r}_N) \\ \dots & \dots & \dots & \dots \\ \psi_N(\mathbf{r}_1) & \psi_N(\mathbf{r}_2) & \dots & \psi_N(\mathbf{r}_N) \end{vmatrix}$$

$$n(\mathbf{r}, t) = \sum_{\text{occ}} |\psi_i(\mathbf{r}, t)|^2$$

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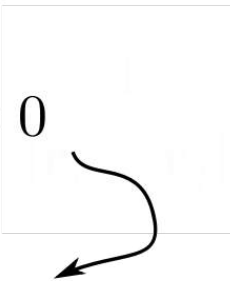
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$$V_{\text{KS}}[n, \Phi^0](\mathbf{r}, t) = V_{\text{ext}}[n, \Psi^0](\mathbf{r}, t) + \int \frac{n(\mathbf{r}', t)}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' + V_{\text{xc}}[n, \Psi^0, \Phi^0](\mathbf{r}, t)$$

Kohn-Sham
potential

$$V_{\text{ext}}(\mathbf{r}, t) \longleftrightarrow n(\mathbf{r}, t) \quad \text{given } \Psi^0(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N, t = 0)$$

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$$\left[-\frac{\nabla^2}{2} + V_{\text{KS}}[n, \Phi^0](\mathbf{r}, t) \right] \psi_i(\mathbf{r}, t) = i \frac{\partial \psi_i(\mathbf{r}, t)}{\partial t} \quad \text{Kohn-Sham equations}$$

Kohn-Sham Equations

$$\left[-\frac{\nabla^2}{2} + v_{\text{KS}}[n; \Phi^0](\mathbf{r}, t) \right] \psi_i(\mathbf{r}, t) = i \frac{\partial \psi_i(\mathbf{r}, t)}{\partial t}$$

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- No self-consistency
- No variational principle

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$$n(\mathbf{r}, t) = \sum_{\text{occ}} |\psi_i(\mathbf{r}, t)|^2$$

- No self-consistency
- No variational principle
- $V_{\text{xc}}[n, \Psi^0, \Phi^0](\mathbf{r}, t)$

(local in space and time) functionally non-local

non-interacting v-representability

non-interacting v -representability

van Leeuwen theorem

conditions for the existence of $V_{xc}[n, \Psi^0, \Phi^0](\mathbf{r}, t)$



R.van Leeuwen, Phys. Rev. Lett. **82**, 3863 (1999)

Name of the game

TDDFT

Runge-Gross theorem

$$V_{\text{ext}}(t) \longleftrightarrow n(t)$$

$$\langle \Psi(t) | O(t) | \Psi(t) \rangle = O[n, \Psi^0](t)$$

is it true?

✓ Demonstration

but in practice?

✓ KS equations

- 1 approximate $V_{xc}[n, \Psi^0, \Phi^0](\mathbf{r}, t)$
- 2 solve the TD Kohn-Sham equations
- C look at some observables

Approximations

$$V_{\text{xc}}[n(\mathbf{r}', t' < t), \Psi^0, \Phi^0](\mathbf{r}, t)$$

Approximations

$$V_{xc}[n(\mathbf{r}', \cancel{t'} \leq t), \cancel{\Psi}^{\theta}, \cancel{\Phi}^{\theta}](\mathbf{r}, t)$$

Approximations

$$V_{\text{xc}}[n(\mathbf{r}', \cancel{t'} \leq t), \cancel{\Psi}^0, \cancel{\Phi}^0](\mathbf{r}, t)$$

*Live in the present
or no grudge
approximation*

Approximations

- Adiabatic $V_{xc}^A[n(\mathbf{r}', t)](\mathbf{r}, t)$

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 - AGGA
 - Orbital dependent
- non-adiabatic (few examples like Vignale Kohn)

✓ approximate $V_{xc}[n, \Psi^0, \Phi^0](\mathbf{r}, t)$

2 solve the TD Kohn-Sham equations

C look at some observables

$$\left[-\frac{\nabla^2}{2} + V_{\text{KS}}[n](\mathbf{r}) \right] \psi_i(\mathbf{r}) = \varepsilon_i \psi_i(\mathbf{r}) \quad \Rightarrow \quad n(\mathbf{r})$$

KS equations




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Time evolution operator

$$i\frac{\partial\psi(t)}{\partial t} = H(t)\psi(t) \quad \longrightarrow \quad \psi(t) = U(t, t_0)\psi(t_0)$$

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$$U(t, t_0) = 1 - i \int_{t_0}^t d\tau_1 H(\tau_1)U(\tau_1, t_0)$$

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$$(-i)^3 \int_{t_0}^t d\tau_1 \int_{t_0}^{\tau_1} d\tau_2 \int_{t_0}^{\tau_2} d\tau_3 H(\tau_1) H(\tau_2) H(\tau_3) + \dots$$

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$$U(t, t_0) = 1 + \sum_{n=1}^{\infty} (-i)^n \int_{t_0}^t d\tau_1 \int_{t_0}^{\tau_1} d\tau_2 \cdots \int_{t_0}^{\tau_{n-1}} d\tau_n H(\tau_1)H(\tau_2) \cdots H(\tau_n)$$

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Time evolution operator

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$$U(t, t_0) = \mathcal{T} e^{-i \int_{t_0}^t d\tau H(\tau)}$$

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time integrators problem

second-order differencing
Crank-Nicholson implicit midpoint
predictor-corrector
splitting techniques
Magnus expansion
exponential midpoint

exponential operator

Taylor expansion
Chebychev polynomials
Lanczos iterative scheme



Castro *et al.* Lect. Notes Phys. **706**, 197 (2004)

✓ approximate $V_{xc}[n, \Psi^0, \Phi^0](\mathbf{r}, t)$

✓ solve the TD Kohn-Sham equations

C look at some observables

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$$\left[-\frac{\nabla^2}{2} + V_{\text{KS}}[n, \Phi^0](\mathbf{r}, t) \right] \psi_i(\mathbf{r}, t) = i \frac{\partial \psi_i(\mathbf{r}, t)}{\partial t}$$

$$n(\mathbf{r}, t) = \sum_{\text{occ}} |\psi_i(\mathbf{r}, t)|^2$$

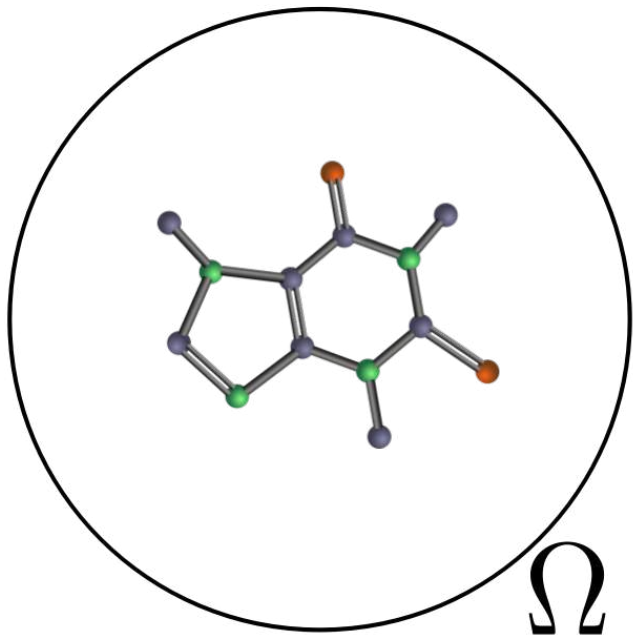
Time resolved
X-ray Crystallography
of a protein

 Schotte *et al.* Science **300**, 1944(2003)

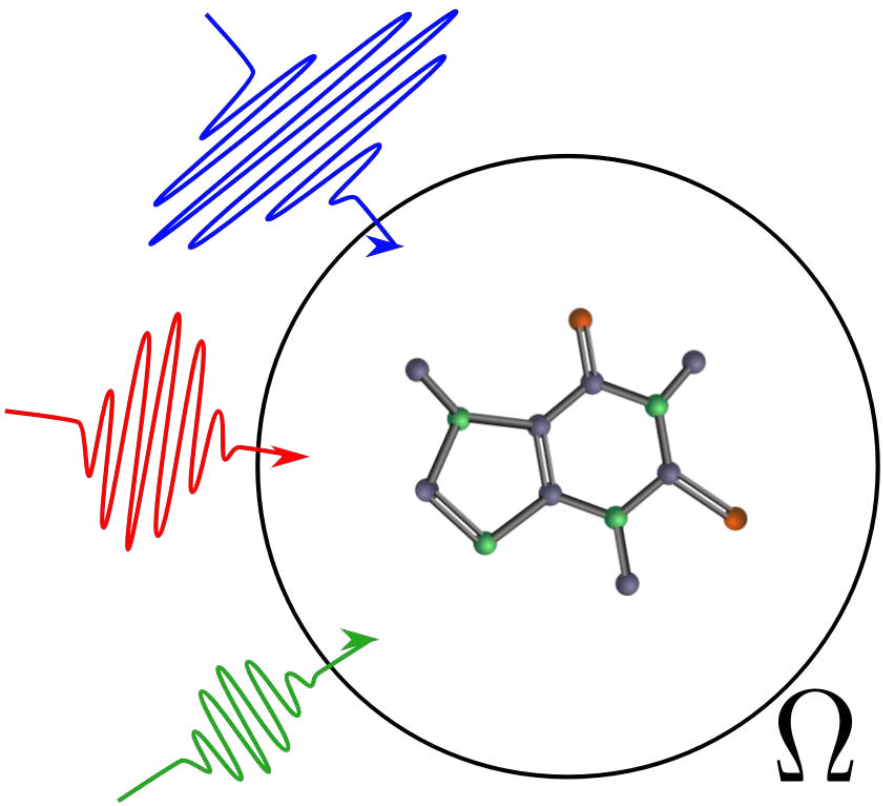
$$n(\mathbf{r}, t)$$

$$\int d\mathbf{r} n(\mathbf{r}, t) = N_{\text{electrons}}$$

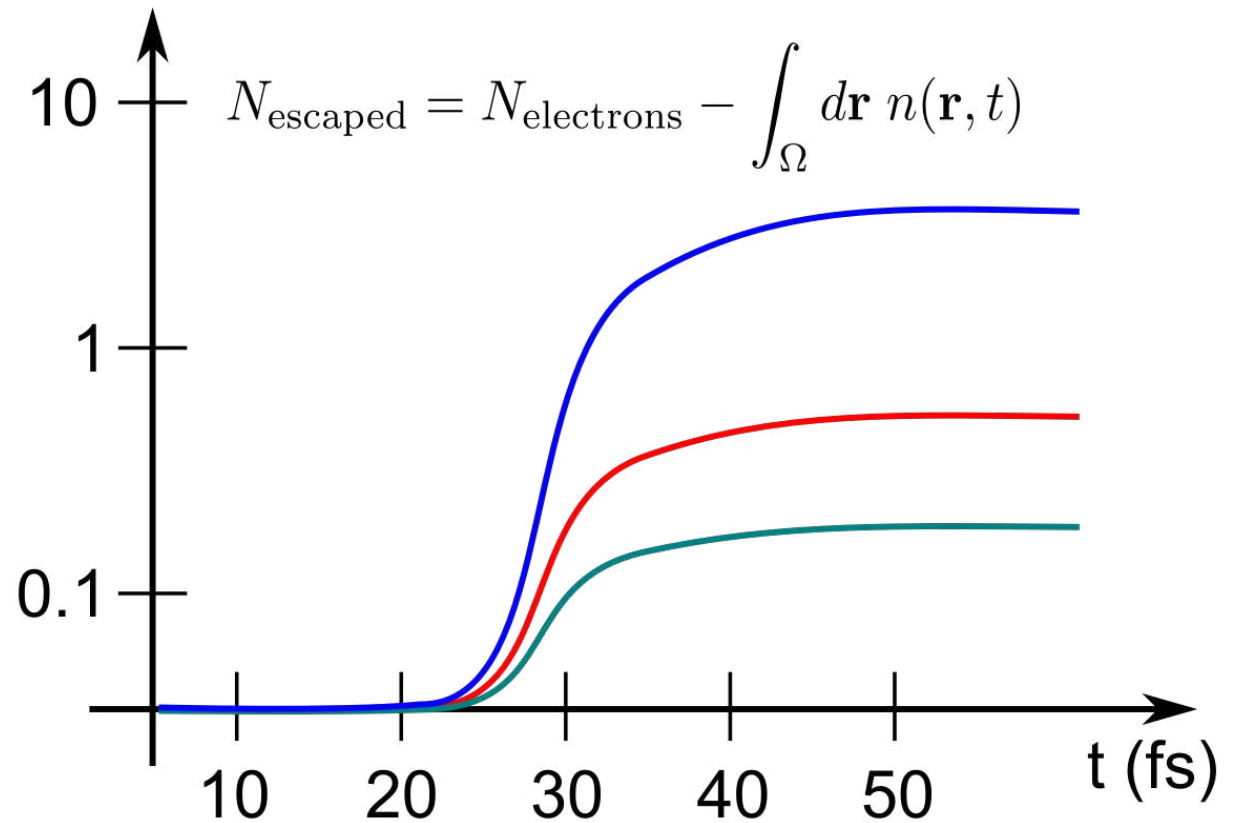
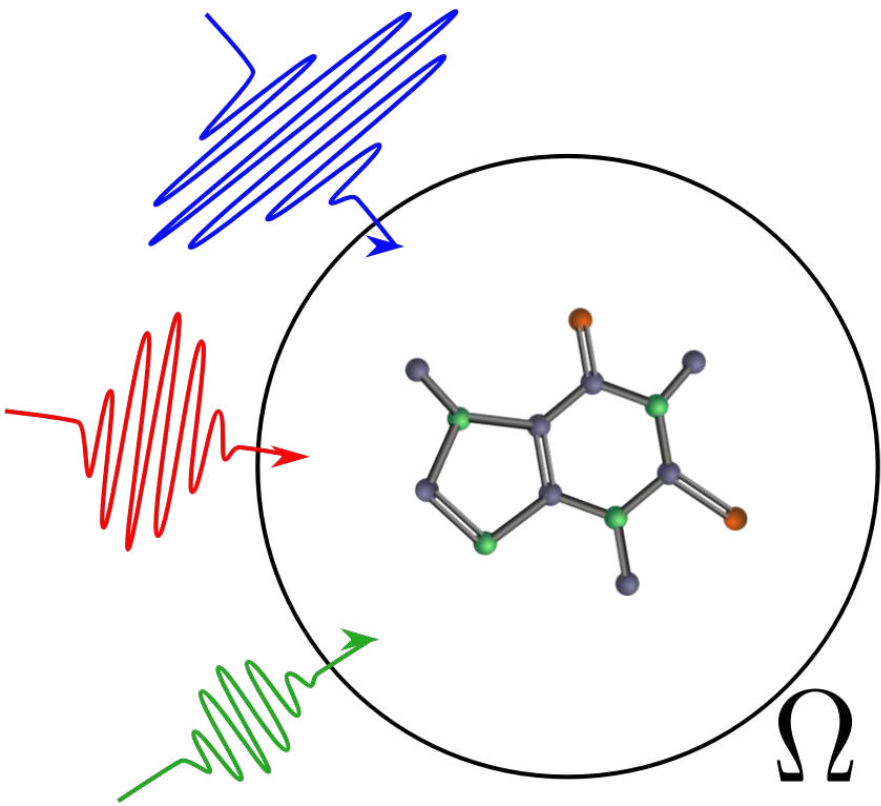
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Time Dependent ELF

$$ELF(\mathbf{r}, t) = \left[1 + D^0 \left(\sum_i |\nabla \psi_i(\mathbf{r}, t)| - \frac{1}{4} \frac{[\nabla n(\mathbf{r}, t)]^2}{n(\mathbf{r}, t)} - \frac{1}{2} \frac{j^2(\mathbf{r}, t)}{n(\mathbf{r}, t)} \right)^2 \right]^{-1}$$



T. Burnus, M. A. L. Marques, and E. K. U. Gross, Phys. Rev. A **71**, 010501(R) (2005)

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T. Burnus, M. A. L. Marques, and E. K. U. Gross, Phys. Rev. A **71**, 010501(R) (2005)

One-particle operator

$$\langle \Psi(t) | \hat{O} | \Psi(t) \rangle = \int O(\mathbf{r}) n(\mathbf{r}, t) d\mathbf{r}$$

Some observables

$$\alpha(t) = \int \mathbf{r} n(\mathbf{r}, t) d\mathbf{r}$$

$$\sigma(\omega) = \frac{4\pi\omega}{c} \alpha(\omega)$$

Photo-absorption cross section

Some observables

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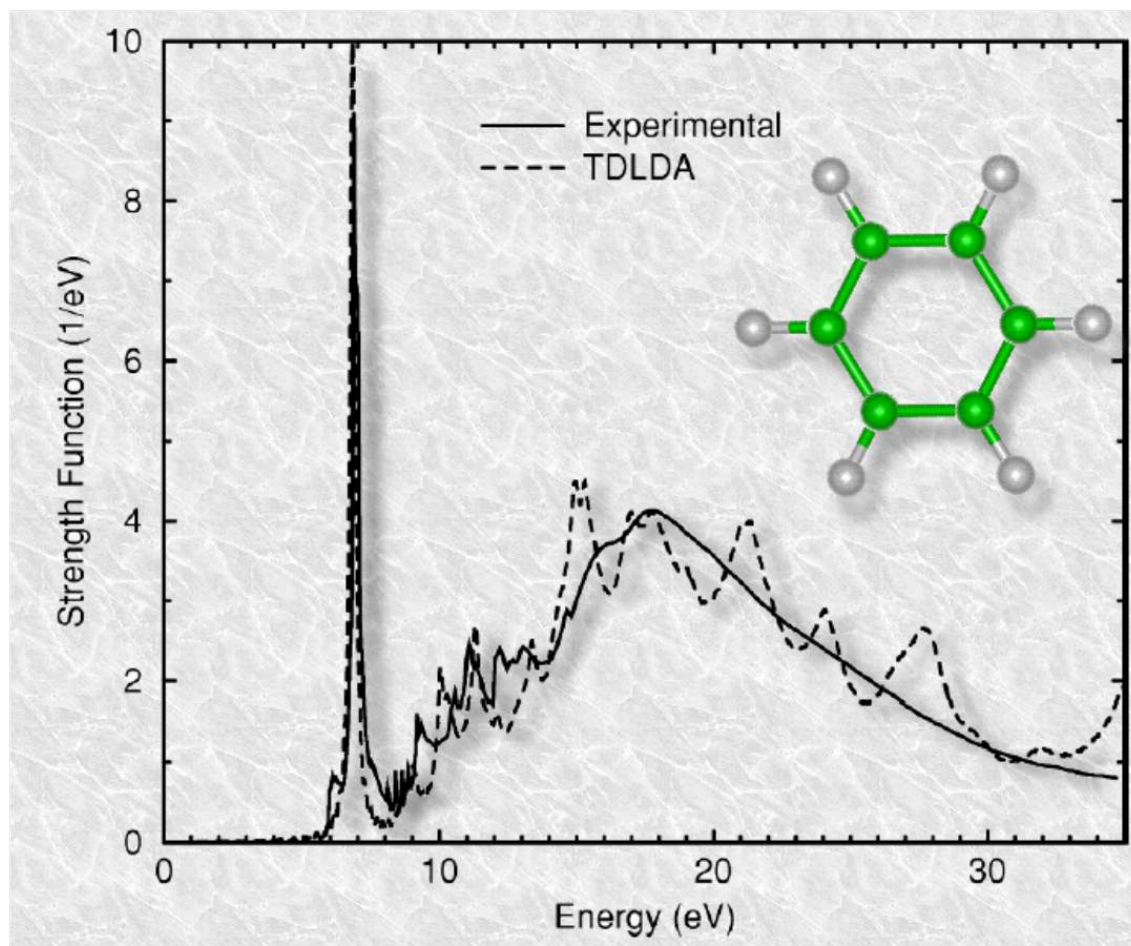
Photo-absorption cross section

$$\sigma(\omega) = \frac{4\pi\omega}{c} \alpha(\omega)$$

$$M_{lm}(t) = \int r^l Y_{lm}(r) n(\mathbf{r}, t) d\mathbf{r} \quad \text{Multipoles}$$

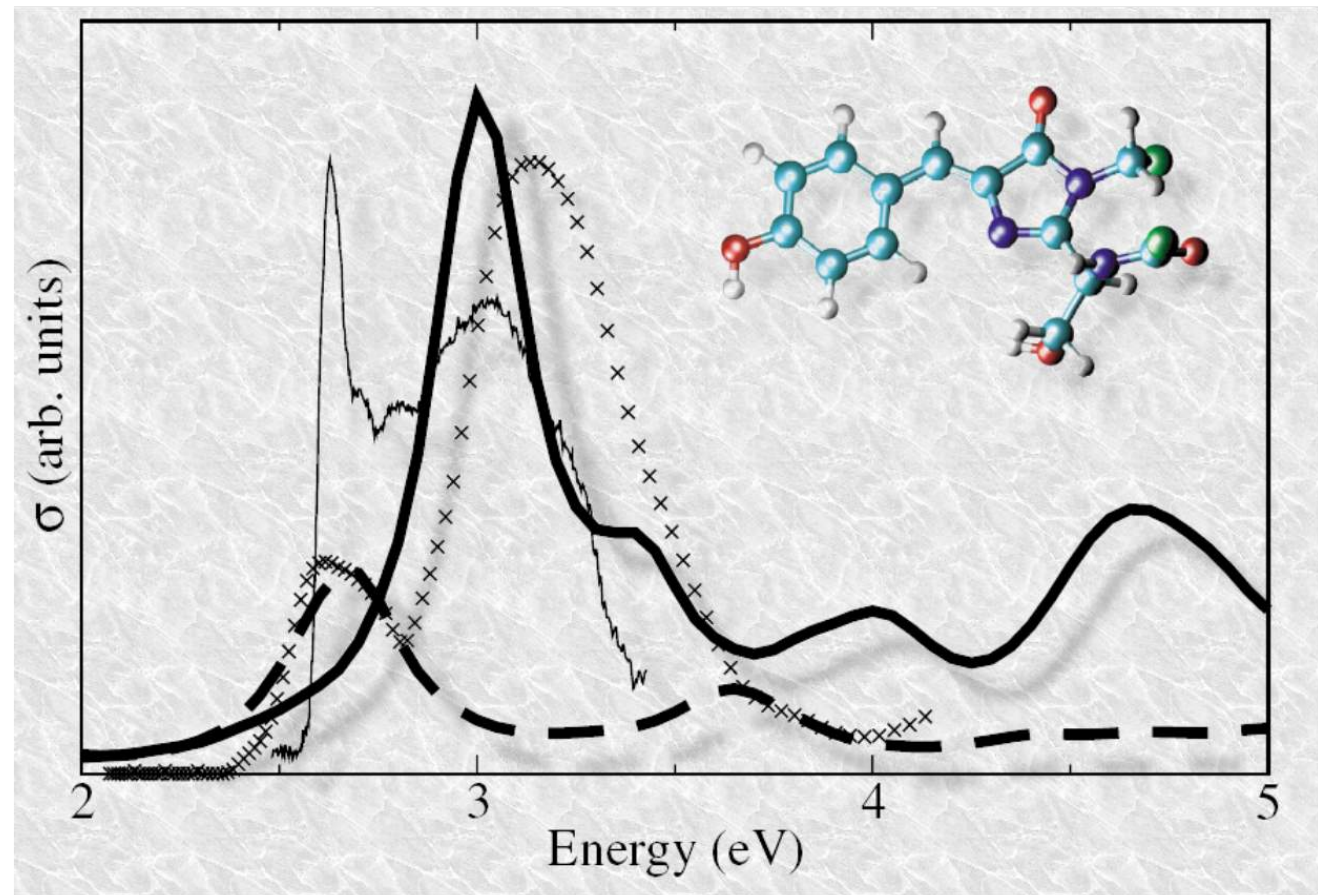
$$L_z(t) = \sum_i \int \psi_i(\mathbf{r}, t) i(\mathbf{r} \times \nabla)_z \psi_i(\mathbf{r}, t) d\mathbf{r} \quad \text{Angular Momentum}$$

Benzene



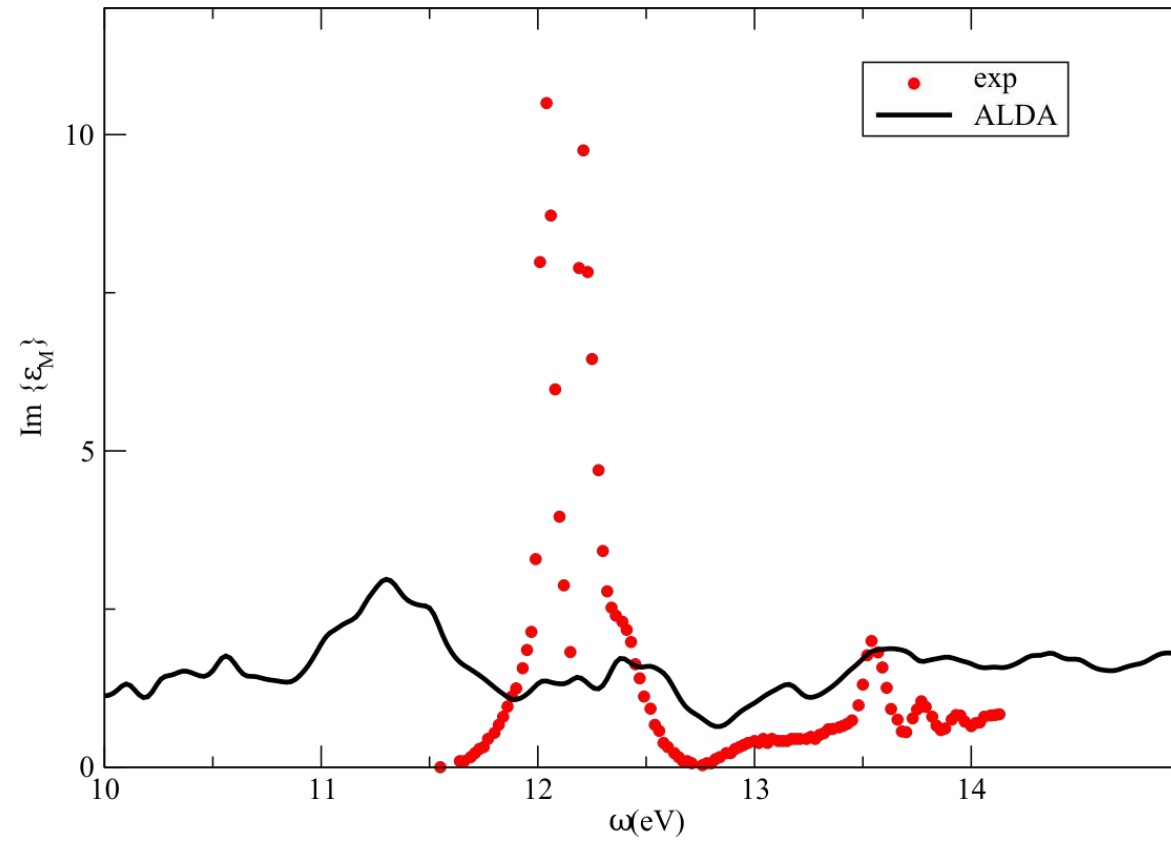
Yabana and Bertsch *Int.J.Mod.Phys.* **75**, 55 (1999)

GFP



M.Marques *et al.* Phys.Rev.Lett. **90**, 258101 (2003)

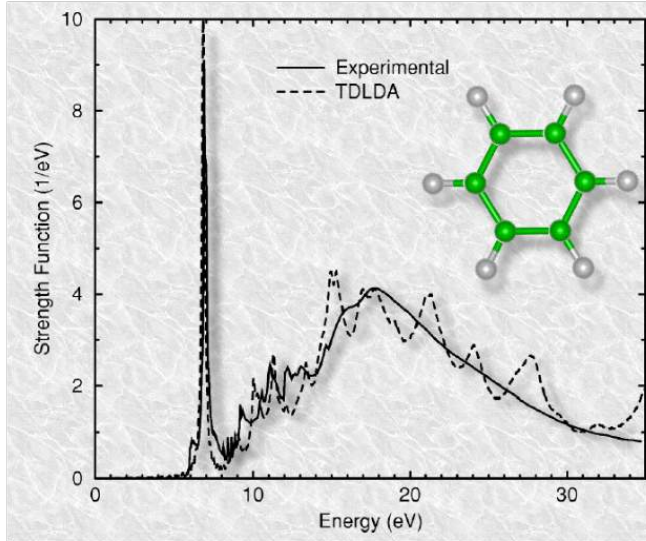
Solid Argon



Marsili *et al.* Phys. Rev. B **76**, 161101(R) (2007)

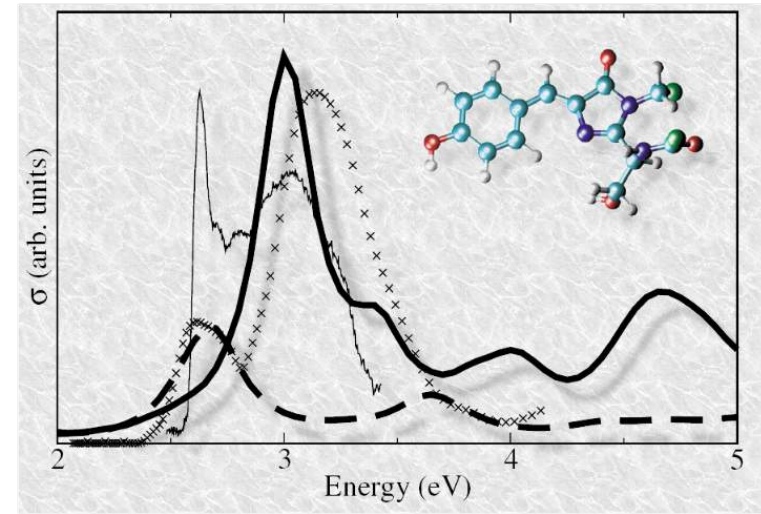
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 Yabana and Bertsch *Int.J.Mod.Phys.* **75**, 55 (1999)

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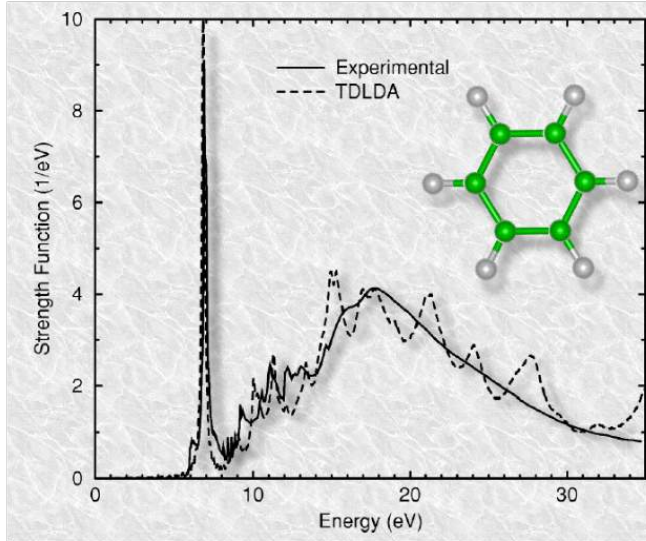
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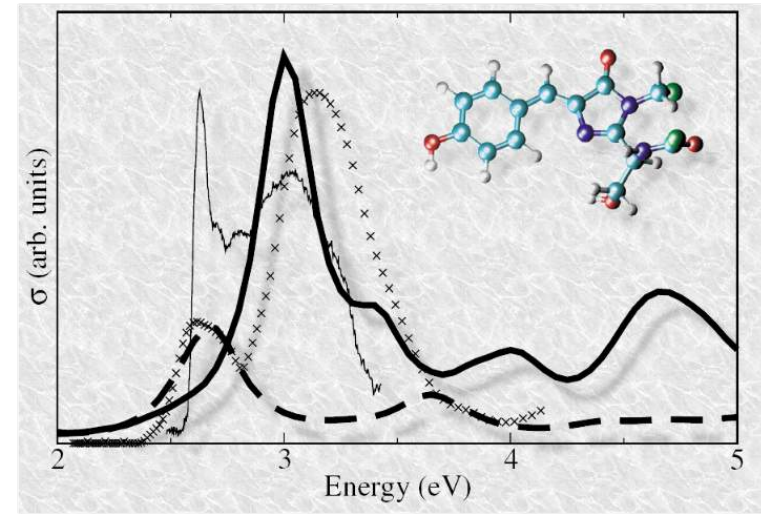
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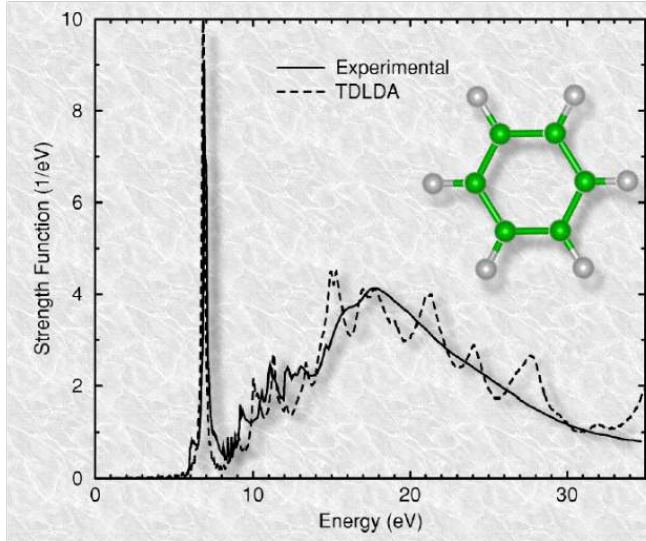
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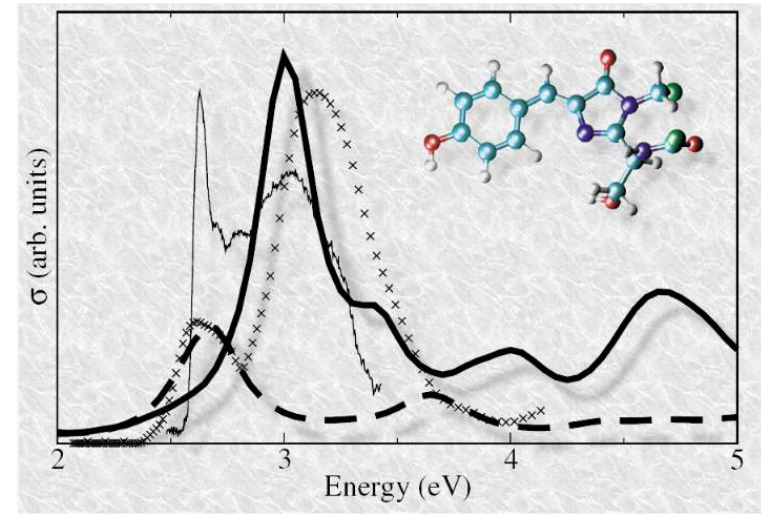
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 Yabana and Bertsch *Int.J.Mod.Phys.* **75**, 55 (1999)

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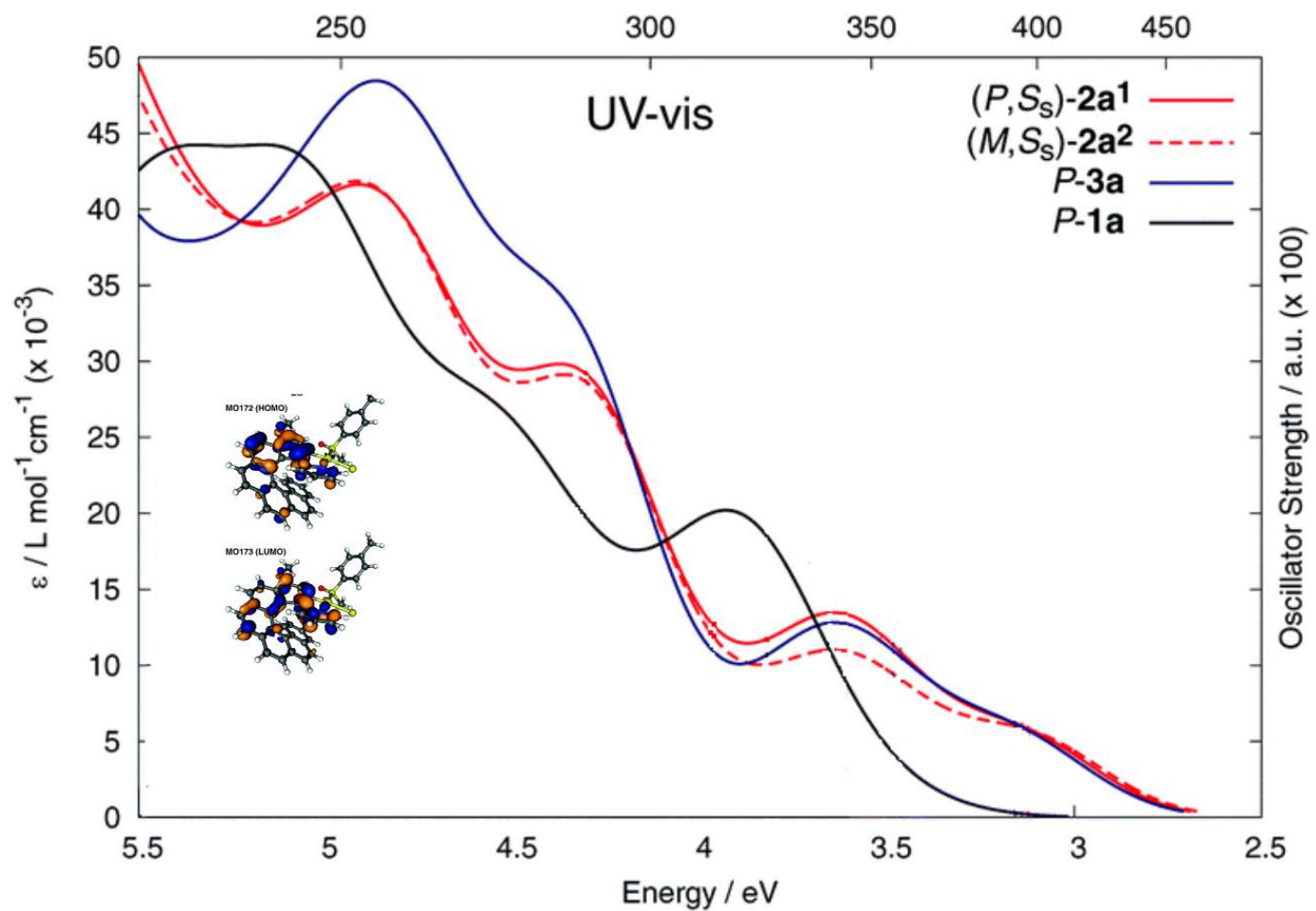
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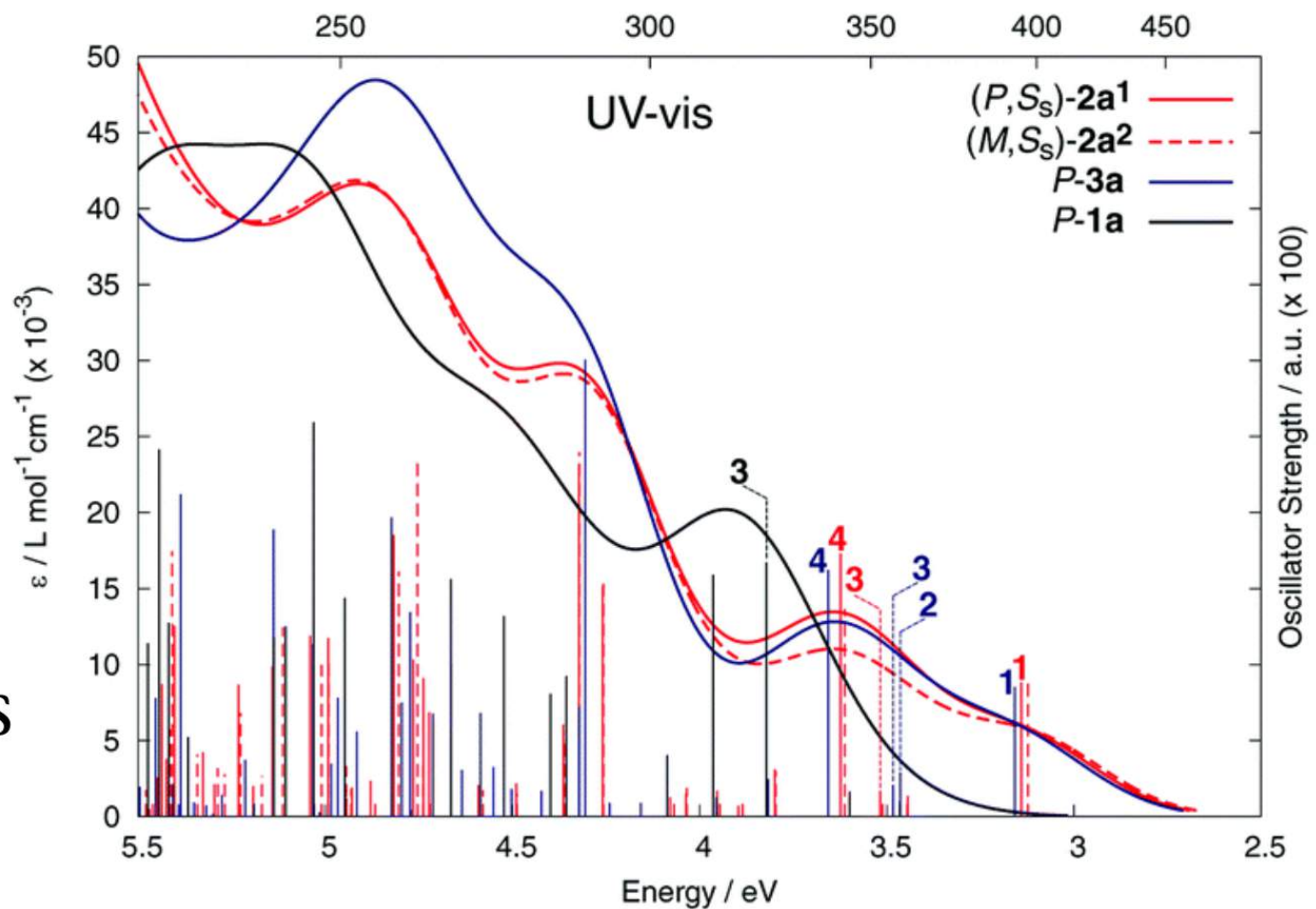
$$V_{\text{ext}}(\mathbf{r}, t) = V_{\text{ext}}^{\text{nucl}}(\mathbf{r}) + \delta(t)\eta$$

Absorption of cycloplatinated helicenes



Shen *et al.* Chem. Sci. **5**, 1915 (2014)

Absorption of cycloplatinated helicenes



excitations
energies



Shen *et al.* Chem. Sci. **5**, 1915 (2014)

can we exploit perturbation theory? $\delta V_{\text{ext}} \rightarrow 0$

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