

***Density matrix embedding theory:
A one-electron reduced density matrix functional perspective***

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Flagship School

International summer School in electronic structure Theory: electron correlation in Physics and Chemistry (ISTPC)

June 16, 2024 - June 29, 2024

Registration deadline: June 1, 2024

Location: CECAM-FR-RA, CNRS Center Paul Langevin, Aussois, Savoie, France.

Multinodal event: CECAM-FR-RA, CECAM-FR-GSO

Description

Participants

Participate

Organisers

- Emmanuel Fromager (University of Strasbourg)
- Pierre-Francois Loos (CNRS)
- Vincent Robert (Institut de Chimie de Strasbourg)
- Pina Romaniello (Université de Toulouse)
- Julien Toulouse (Sorbonne Université)

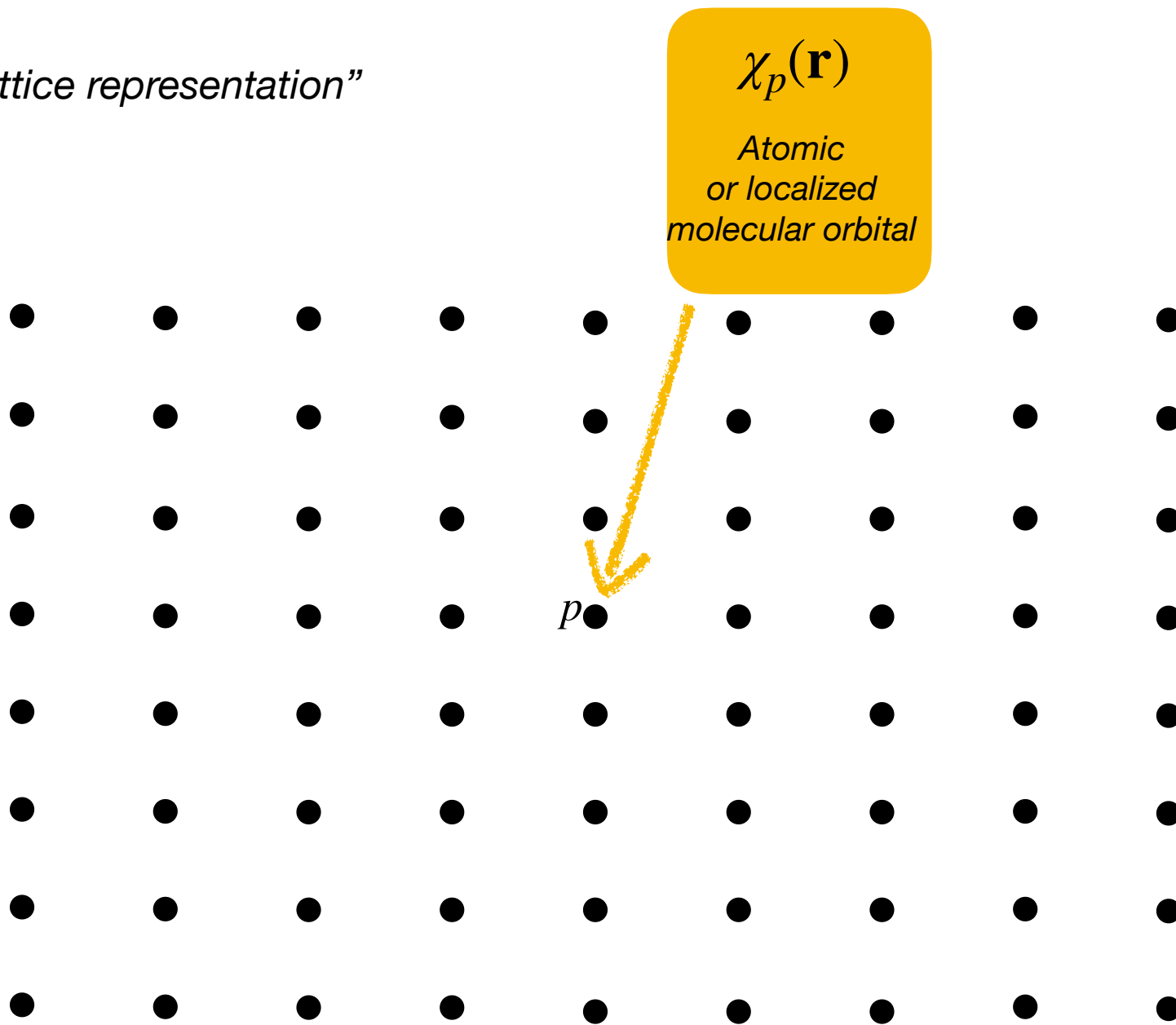
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On the quantum embedding of (strongly correlated) electrons

Keywords: *Second quantization, exponential wall, localised orbitals, fragmentation.*

Local evaluation of the energy (in a *localised spin-orbital basis*)

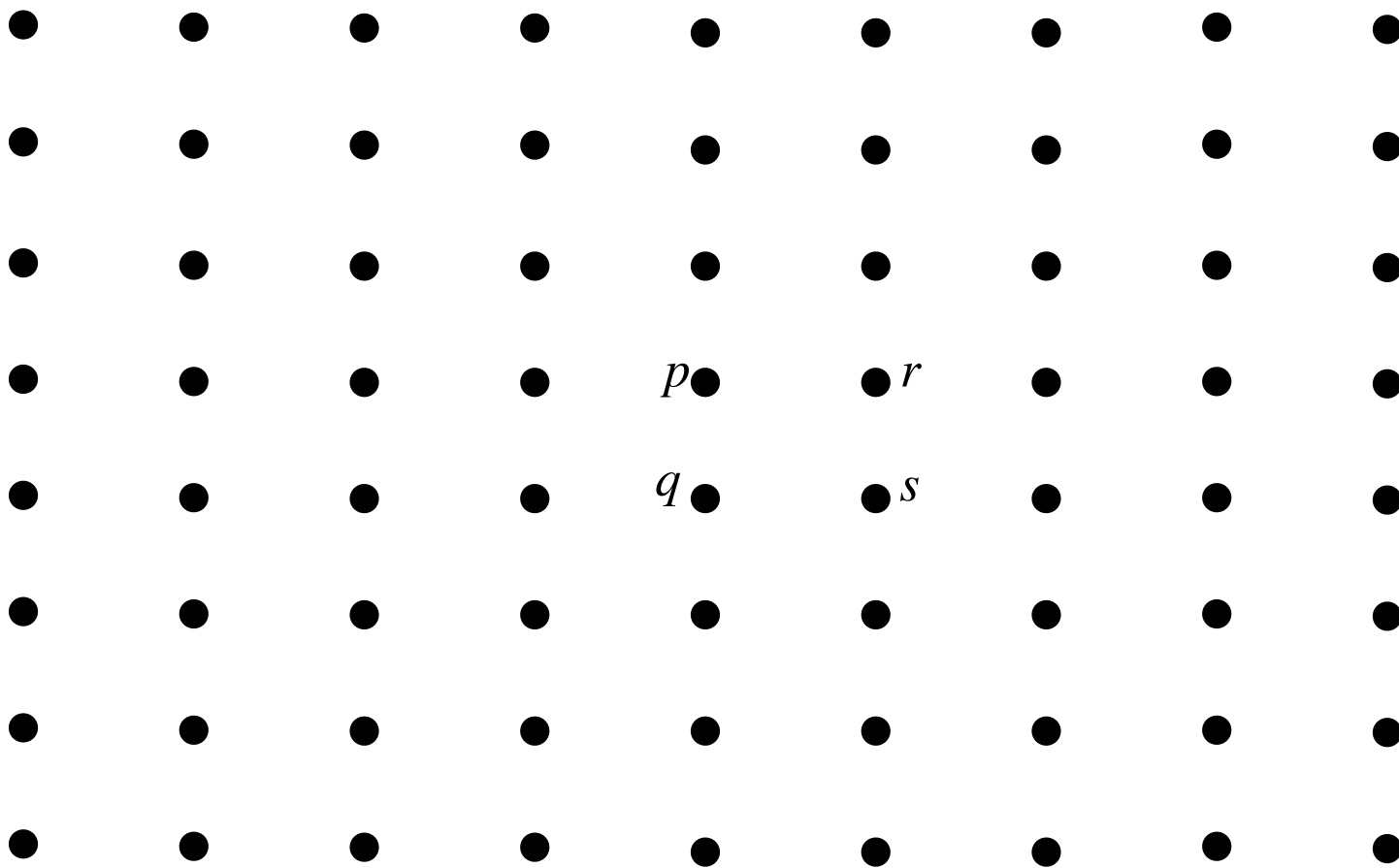
So-called “lattice representation”



Local evaluation of the energy (in a **localised** spin-orbital basis)

So-called “lattice representation”

$$\langle \hat{H} \rangle = \sum_{pq} h_{pq} \underbrace{\langle \hat{c}_p^\dagger \hat{c}_q \rangle}_{\text{One-electron density matrix (1RDM)}} + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \underbrace{\langle \hat{c}_p^\dagger \hat{c}_q^\dagger \hat{c}_s \hat{c}_r \rangle}_{\text{Two-electron density matrix (2RDM)}}$$



Schrödinger equation in second quantization

$$\hat{H}|\Psi_0\rangle = E_0|\Psi_0\rangle$$

Step 1: Choose a *one-electron basis* of molecular spin orbitals $\{\varphi_P\}_{P=1,2,3,\dots,\mathcal{M}}$

Step 2: Implement the Hamiltonian in second quantization *in that basis*

$$\hat{H} \equiv \sum_{PQ} \langle \varphi_P | \hat{h} | \varphi_Q \rangle \hat{c}_P^\dagger \hat{c}_Q + \frac{1}{2} \sum_{PQRS} \langle \varphi_P \varphi_Q | \hat{g} | \varphi_R \varphi_S \rangle \hat{c}_P^\dagger \hat{c}_Q^\dagger \hat{c}_S \hat{c}_R$$

See the video* for further explanations

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creation operator

annihilation operator

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$$\int d\mathbf{x} \varphi_P(\mathbf{x}) \left(-\frac{1}{2} \nabla_{\mathbf{r}}^2 + v_{\text{elec-nuclei}}(\mathbf{x}) \right) \varphi_Q(\mathbf{x}) \quad \text{One-electron integrals}$$

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Two-electron integrals

$$\int d\mathbf{x}_1 \int d\mathbf{x}_2 \varphi_P(\mathbf{x}_1) \varphi_Q(\mathbf{x}_2) \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} \varphi_R(\mathbf{x}_1) \varphi_S(\mathbf{x}_2)$$

Evaluation of the energy from the reduced density matrices

$$E_0 = \langle \Psi_0 | \hat{H} | \Psi_0 \rangle \stackrel{\text{notation}}{=} \langle \hat{H} \rangle_{\Psi_0}$$

Evaluation of the energy from the reduced density matrices

$$\begin{aligned} E_0 &= \langle \hat{H} \rangle_{\Psi_0} \\ &= \sum_{PQ} h_{PQ} \langle \hat{c}_P^\dagger \hat{c}_Q \rangle_{\Psi_0} + \frac{1}{2} \sum_{PQRS} g_{PQRS} \langle \hat{c}_P^\dagger \hat{c}_Q^\dagger \hat{c}_S \hat{c}_R \rangle_{\Psi_0} \end{aligned}$$

Evaluation of the energy from the reduced density matrices

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One-electron reduced
density matrix (1RDM)

$$\gamma_{PQ} = \langle \hat{c}_P^\dagger \hat{c}_Q \rangle_{\Psi_0}$$

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One-electron reduced density matrix (1RDM)

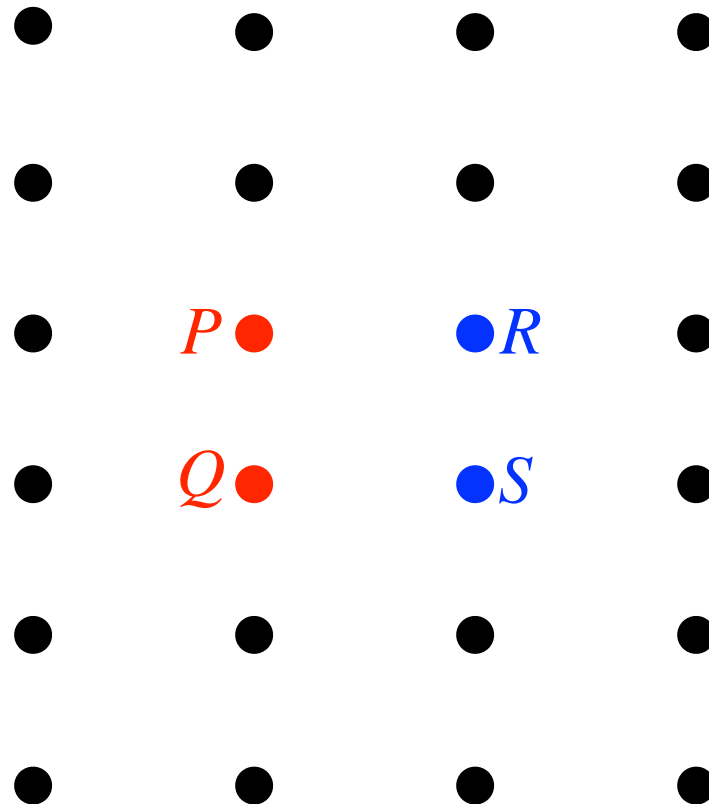
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Two-electron reduced density matrix (2RDM)


$$\Gamma_{PQRS} = \langle \hat{c}_P^\dagger \hat{c}_Q^\dagger \hat{c}_S \hat{c}_R \rangle_{\Psi_0}$$

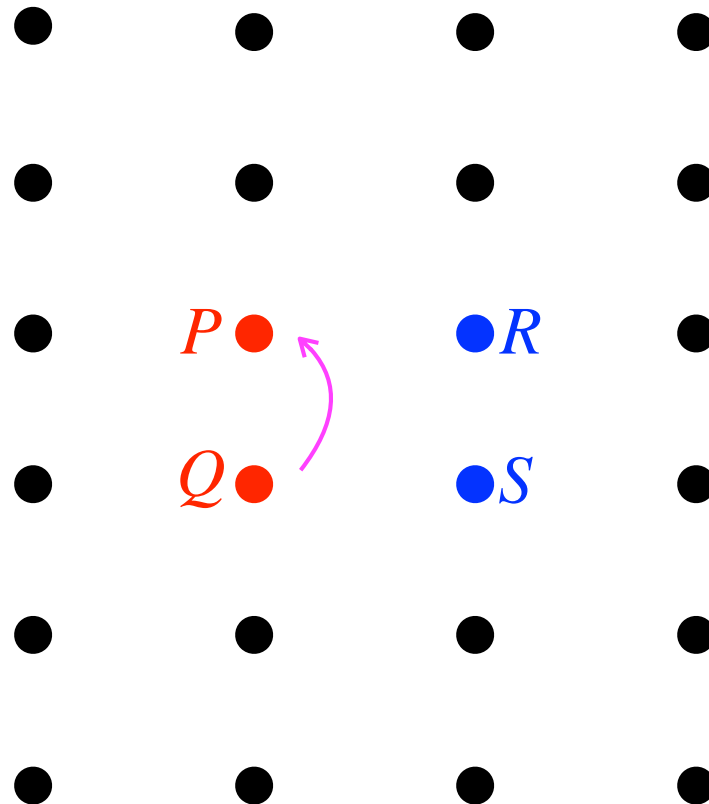
Meaning of the reduced density matrices

Let's consider a 2D lattice of localised spin-orbitals




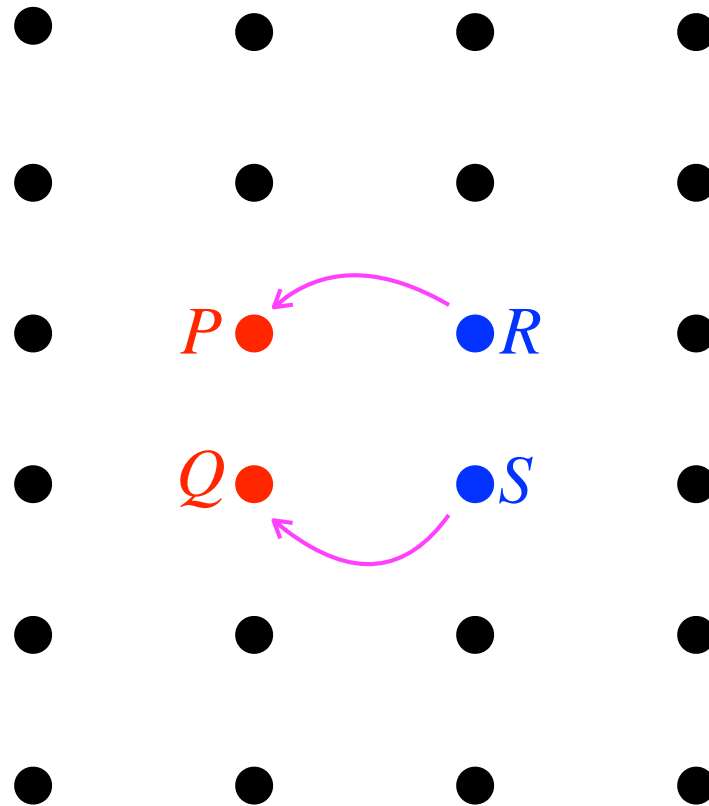
Meaning of the reduced density matrices

$$\gamma_{PQ} = \langle \Psi_0 | \hat{c}_P^\dagger \hat{c}_Q | \Psi_0 \rangle$$





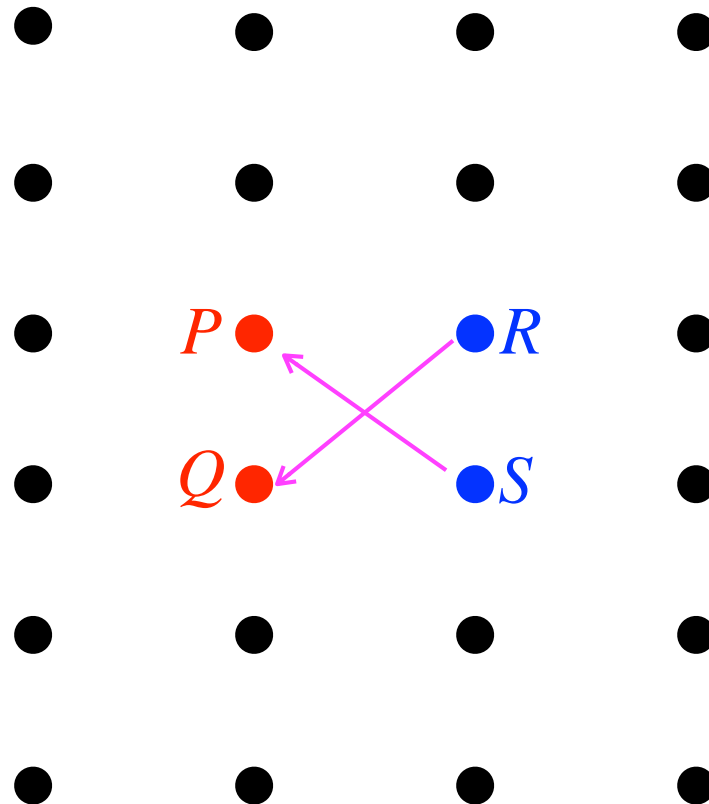
Meaning of the reduced density matrices

$$\Gamma_{PQSR} = \langle \Psi_0 | \hat{c}_P^\dagger \hat{c}_Q^\dagger \hat{c}_S \hat{c}_R | \Psi_0 \rangle$$




Meaning of the reduced density matrices

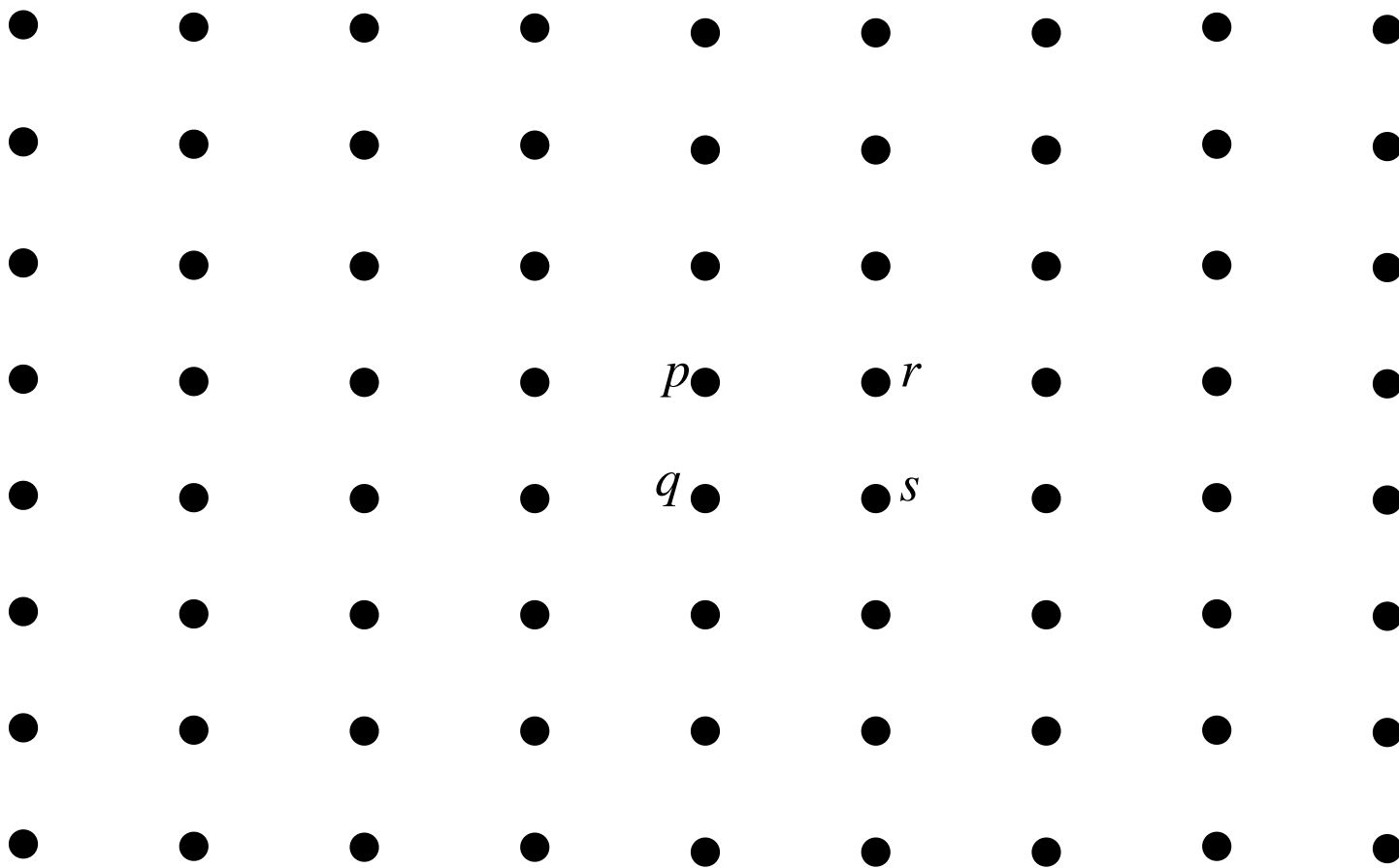
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Local evaluation of the energy (in a **localised** spin-orbital basis)

So-called “lattice representation”

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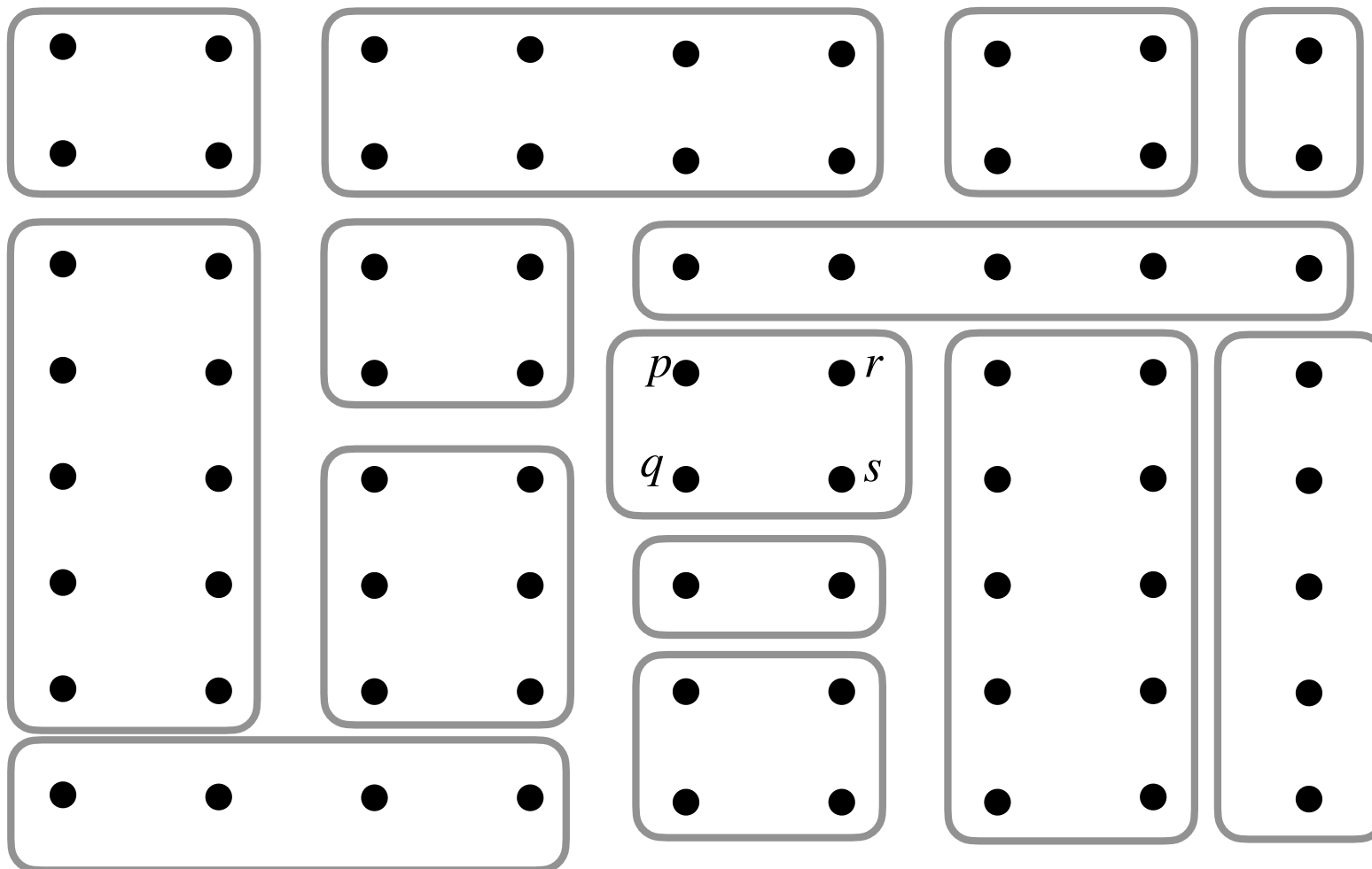
Local evaluation of the energy (in a **localised** spin-orbital basis)

Fragmentation

for treating **strong local electron correlations**

$$\langle \hat{H} \rangle = \sum_{pq} h_{pq} \langle \hat{c}_p^\dagger \hat{c}_q \rangle + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \langle \hat{c}_p^\dagger \hat{c}_q^\dagger \hat{c}_s \hat{c}_r \rangle$$

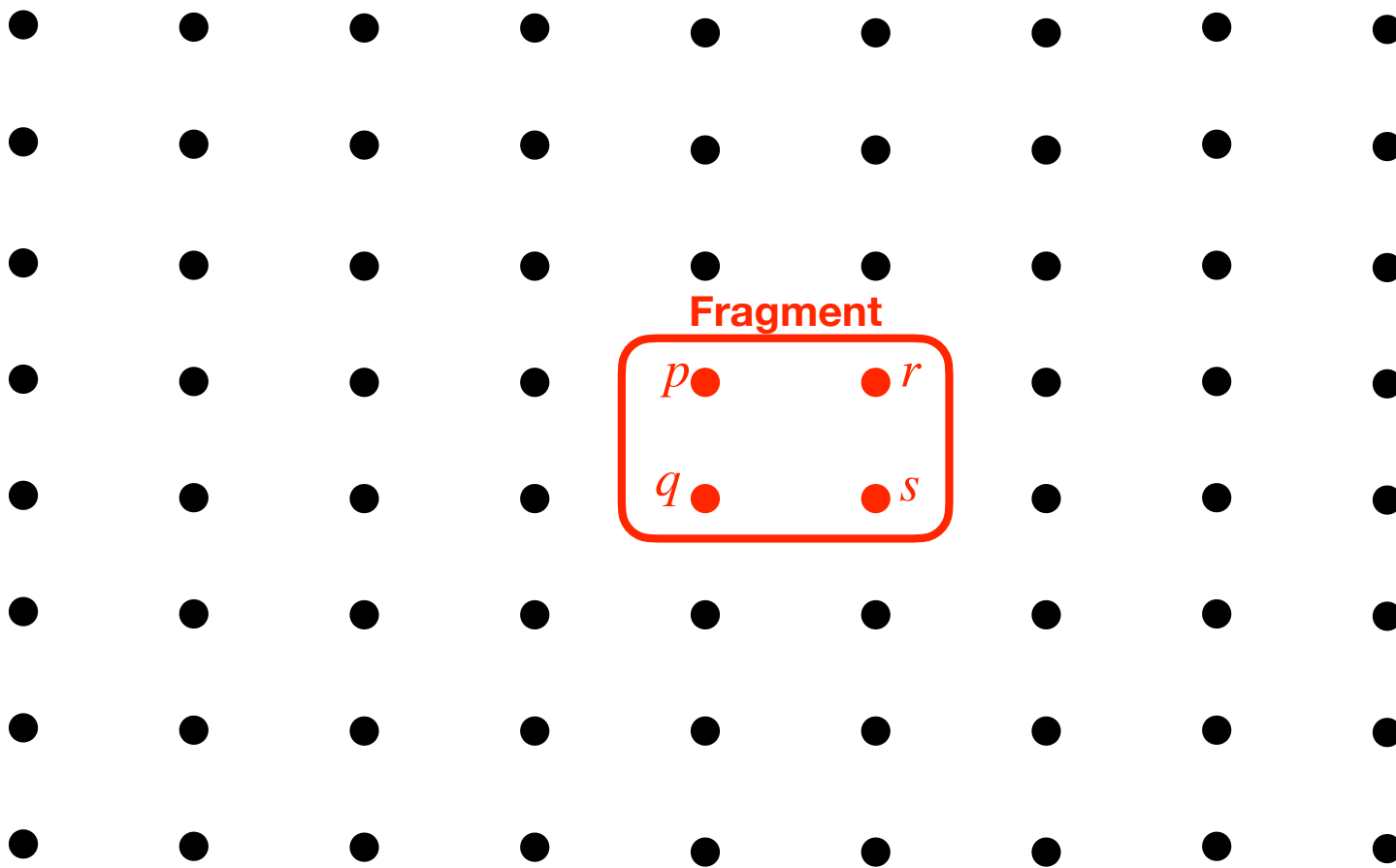
One-electron density matrix (1RDM)
Two-electron density matrix (2RDM)



Local evaluation of the energy (in a **localised** spin-orbital basis)

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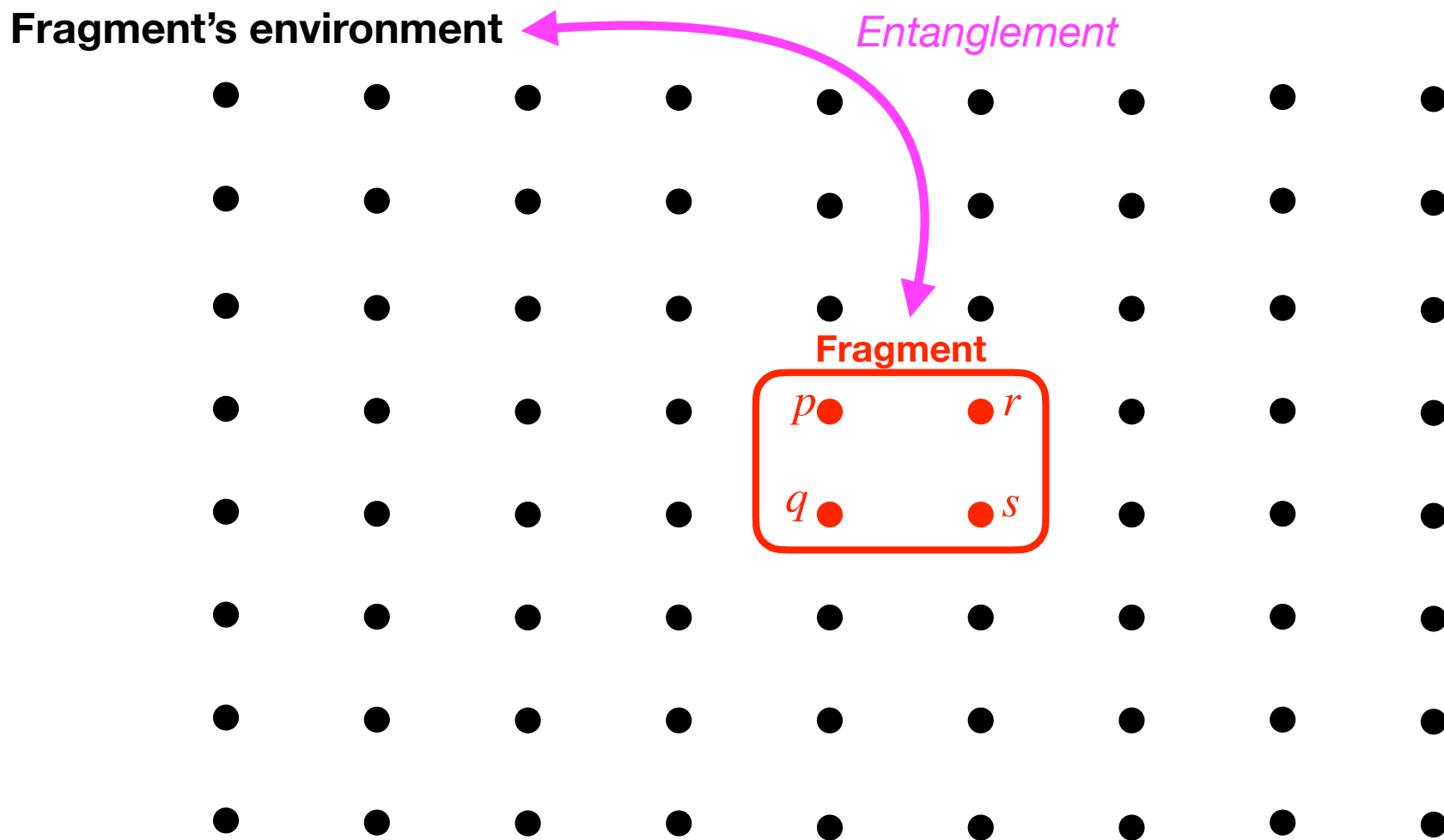
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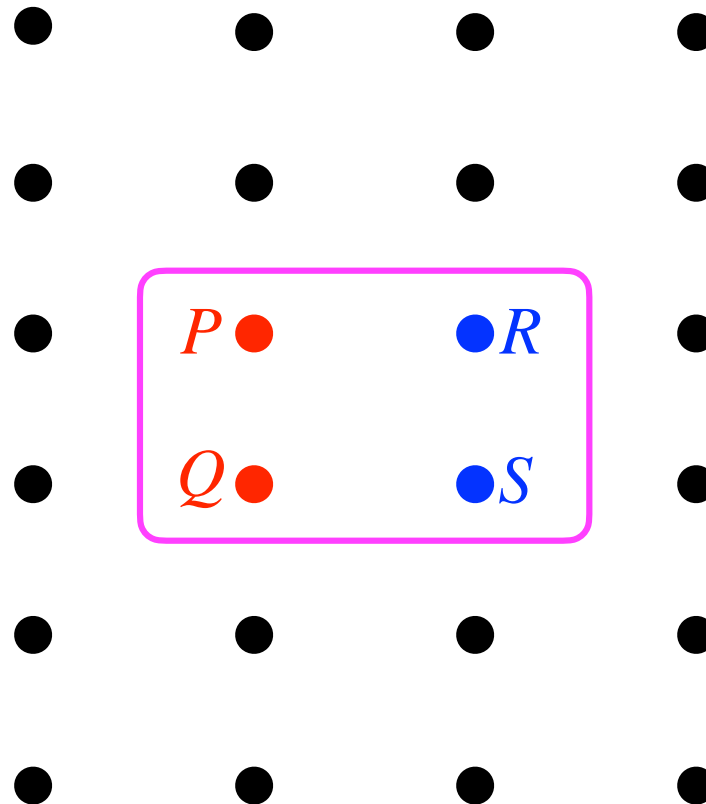
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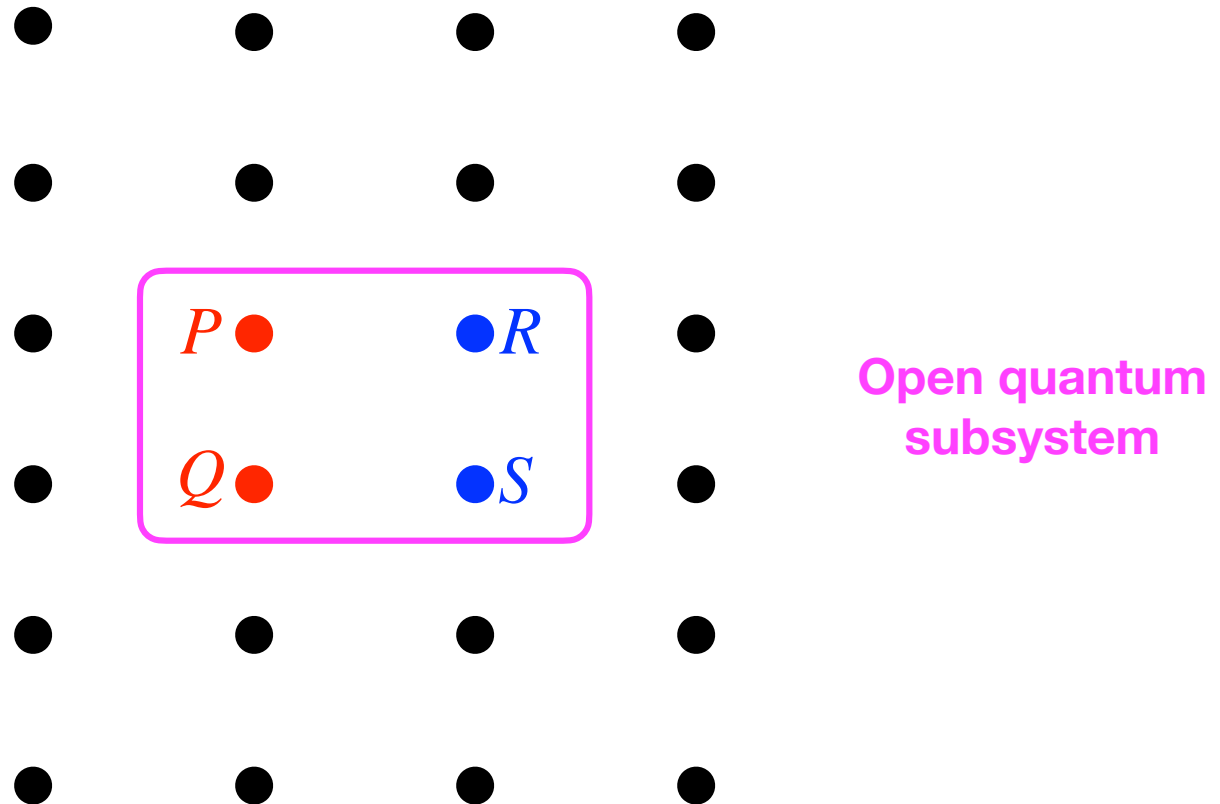
Quantum entanglement of a fragment with its environment

The *PQRS* orbital fragment is **NOT disconnected** from the other orbitals



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In principle, we need to **solve the Schrödinger equation** in order to evaluate the (ground-state) energy:

$$\hat{H} |\Psi_0\rangle = E_0 |\Psi_0\rangle$$

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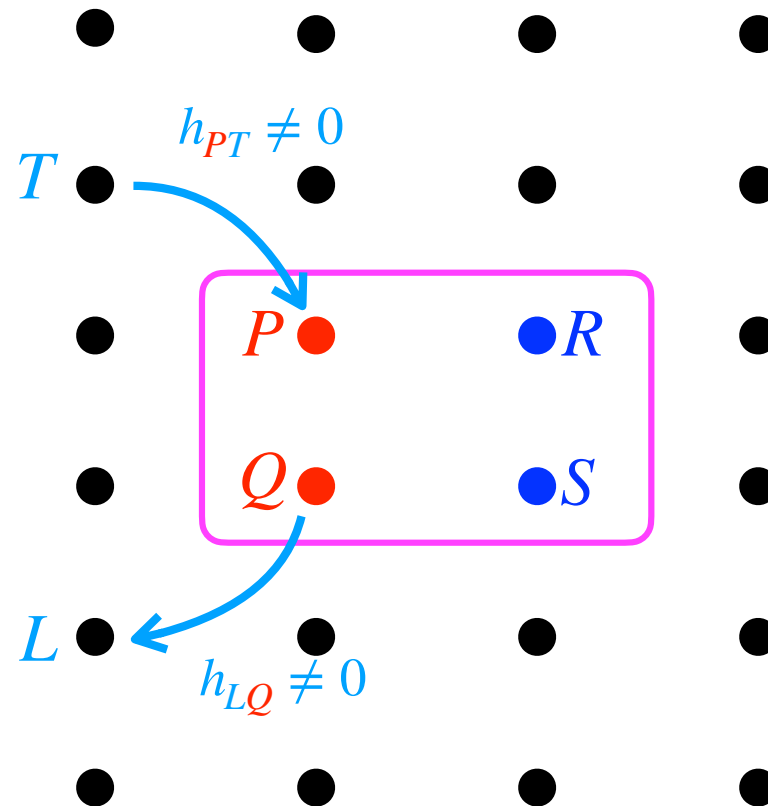
$$\hat{H} |\Psi_0\rangle = E_0 |\Psi_0\rangle$$

A $|\Psi_0\rangle$ consisting of electrons simply distributed among **disconnected fragments cannot** match $\hat{H} |\Psi_0\rangle$!

Quantum entanglement of a fragment with its environment

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Summation running over the **full lattice!**

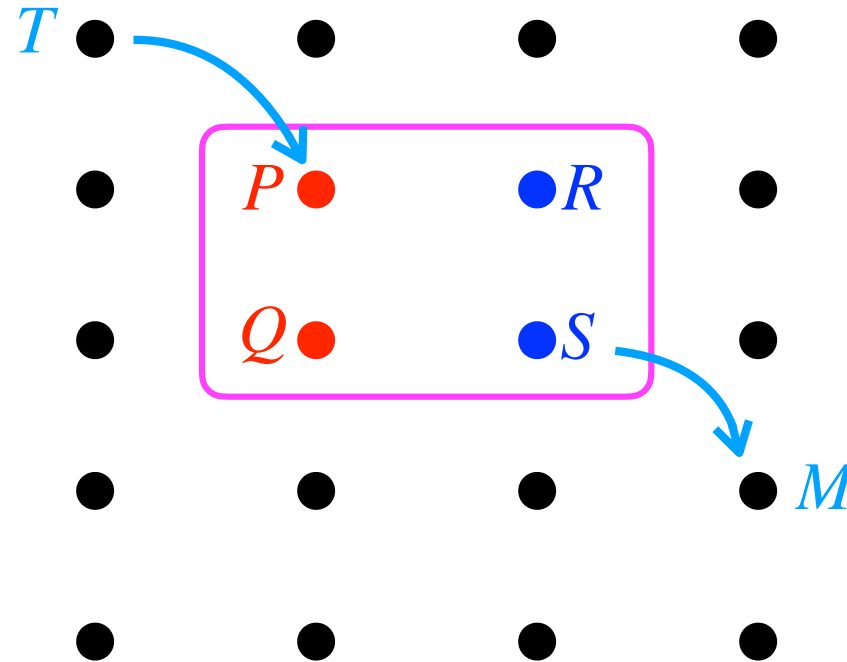


Entanglement

Quantum entanglement of a fragment with its environment

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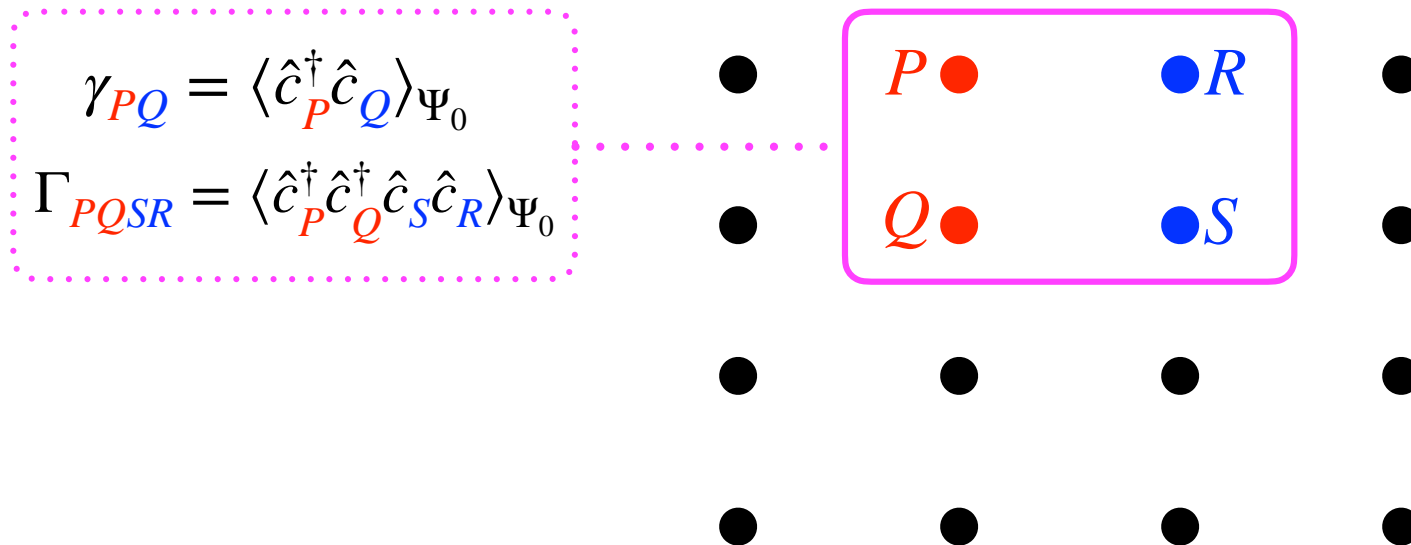


$g_{PMTS} \neq 0$

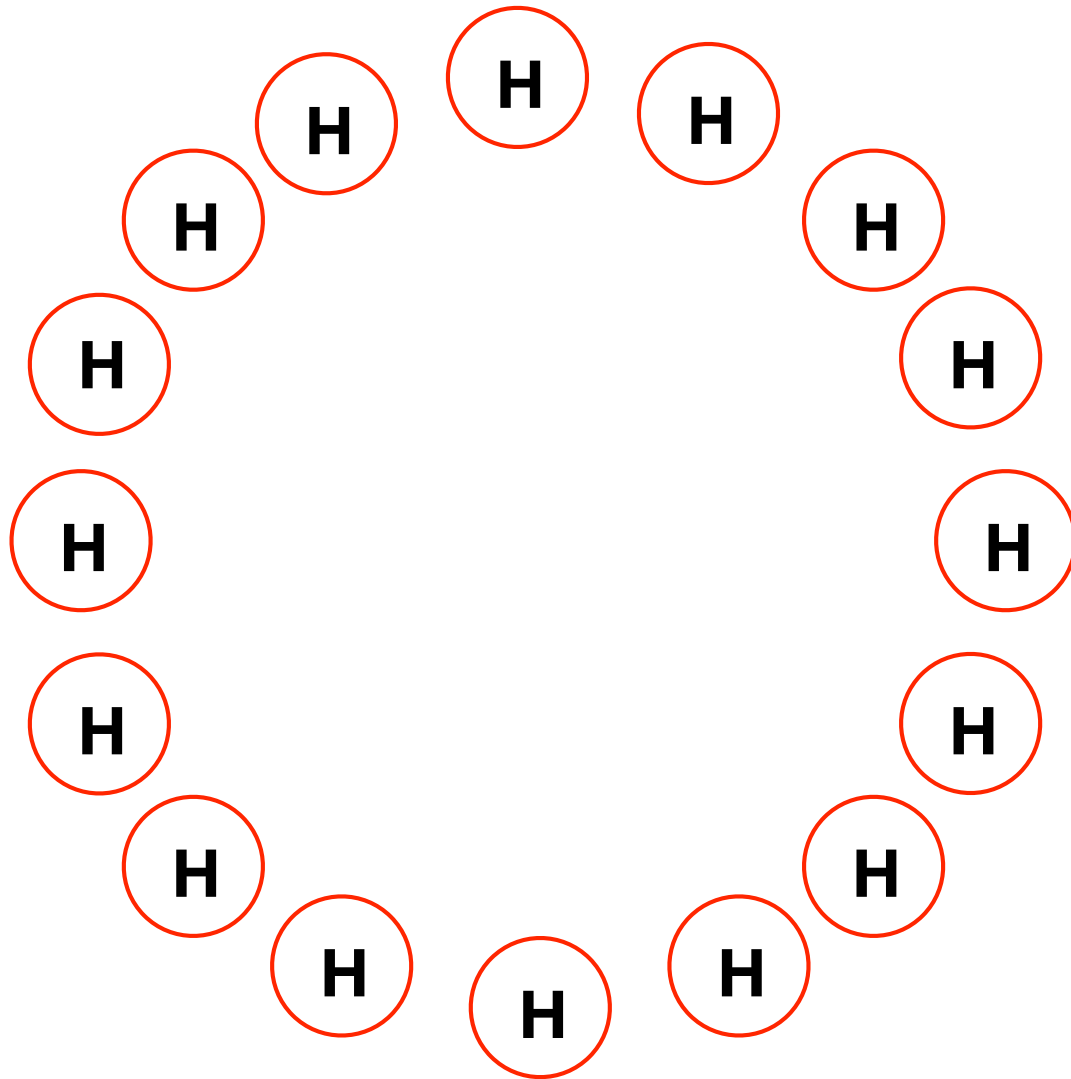
Entanglement

Quantum entanglement of a fragment with its environment

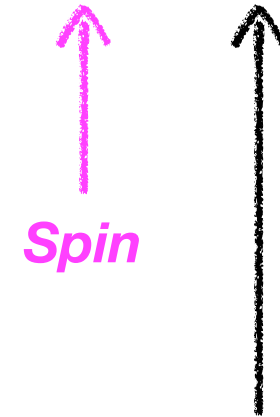
The evaluation of the RDMs requires, in principle, the **wave function** Ψ_0
of the entire system



How many localized configurations in total?
(in a minimal basis of $1s$ orbitals)



$$\mathcal{M} = 2 \times N$$



Number of atoms
=
number of electrons

How many localized configurations in total?

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$$\mathcal{M} = 2 \times N$$

$$N_{\text{conf.}} = \frac{\mathcal{M}!}{N!(\mathcal{M}-N)!} = \frac{(2N)!}{(N!)^2}$$

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$$N! \approx \sqrt{2\pi N} \left(\frac{N}{e}\right)^N$$

Stirling formula for large N values

$$\approx \frac{2^{2N}}{\sqrt{\pi N}} = \frac{e^{2N \ln 2}}{\sqrt{\pi N}}$$

How many localized configurations in total?
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$$N_{\text{conf.}} \approx \frac{e^{2N \ln 2}}{\sqrt{\pi N}}$$

The *to-be-diagonalized* Hamiltonian is a $N_{\text{conf.}} \times N_{\text{conf.}}$ matrix!

How many localized configurations in total?
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$$N_{\text{conf.}} \approx \frac{e^{2N \ln 2}}{\sqrt{\pi N}}$$

“Exponential wall”

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How many localized configurations in total?
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$$N_{\text{conf.}} \approx \frac{e^{2N \ln 2}}{\sqrt{\pi N}} \quad \begin{matrix} N=50 \\ \approx 10^{29} \end{matrix}$$

How many localized configurations in total?
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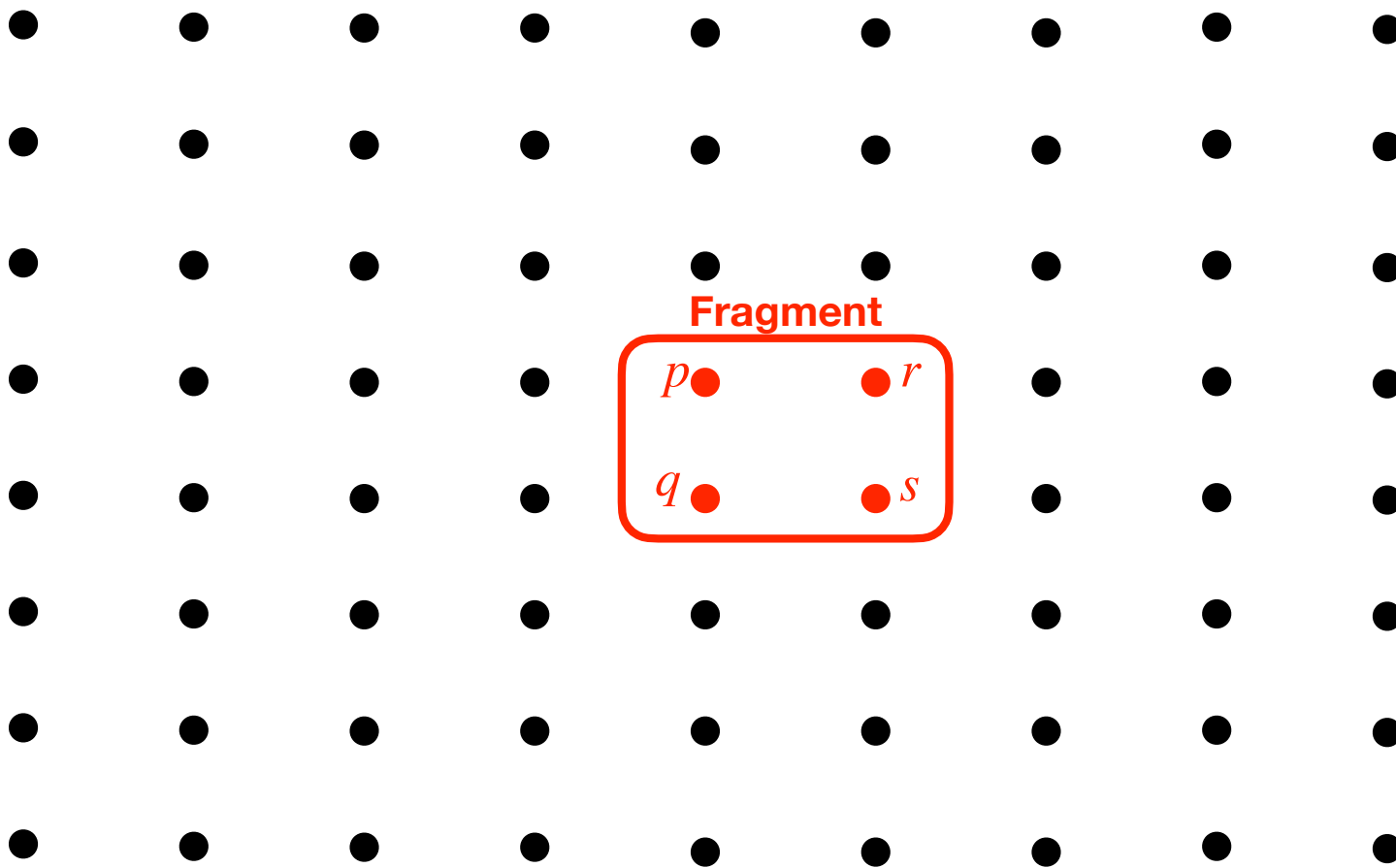
$$N_{\text{conf.}} \approx \frac{e^{2N \ln 2}}{\sqrt{\pi N}} \quad \stackrel{N=400}{\approx} 1.88 \times 10^{239}$$

Philosophy of density matrix embedding theory (DMET)

Local evaluation of the energy (in a **localised** spin-orbital basis)

So-called “lattice representation”

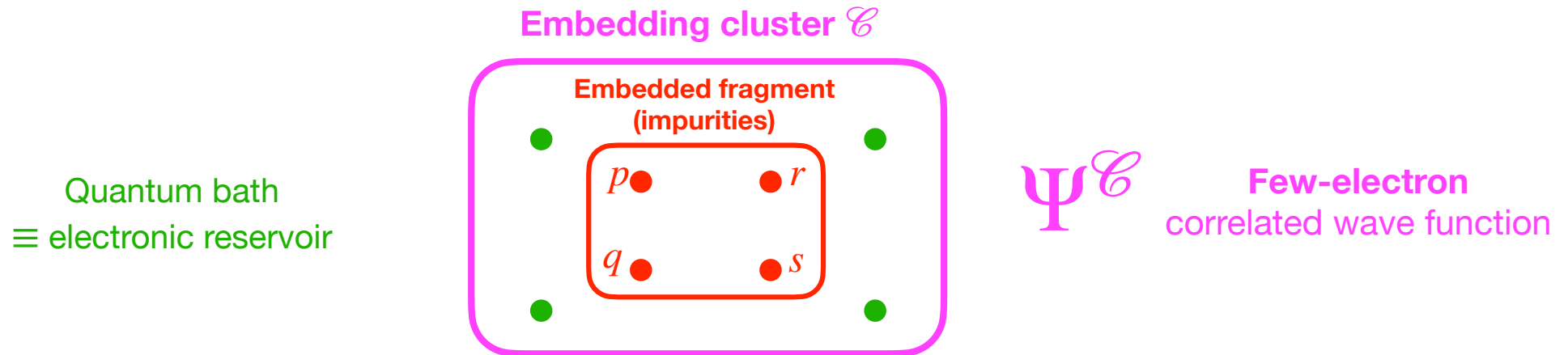
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What are we aiming at?

Reduction in size of the problem to be solved:

$$\langle \hat{c}_p^\dagger \hat{c}_q \rangle_{full\ system} \approx \langle \hat{c}_p^\dagger \hat{c}_q \rangle_{\Psi^{\mathcal{C}}}$$
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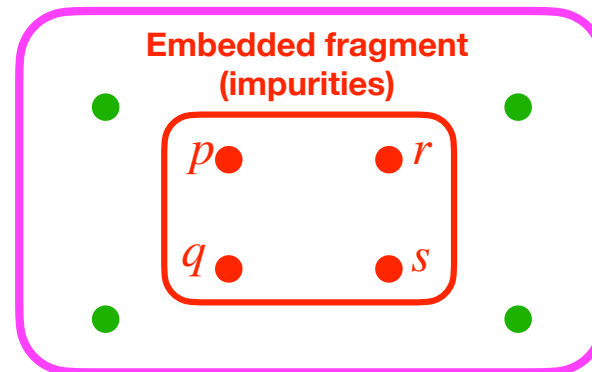
4 orbitals here:
Why and how?



Quantum bath

≡ electronic reservoir

Embedding cluster \mathcal{C}



$\Psi^{\mathcal{C}}$

Few-electron
correlated wave function

G. Knizia and G. K.-L. Chan, *Phys. Rev. Lett.* **109**, 186404 (2012).

S. Wouters, C. A. Jiménez-Hoyos, Q. Sun, and G. K.-L. Chan, *J. Chem. Theory Comput.* **12**, 2706 (2016).

S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, *Phys. Rev. B* **104**, 035121 (2021).

S. Sekaran, O. Bindech, and E. Fromager, *J. Chem. Phys.* **159**, 034107 (2023).

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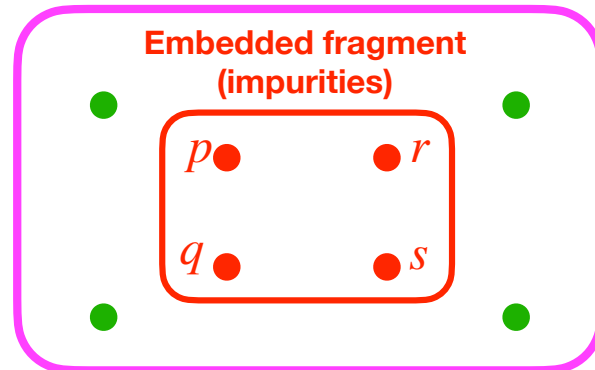
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**4 orbitals here:
Why and how?**



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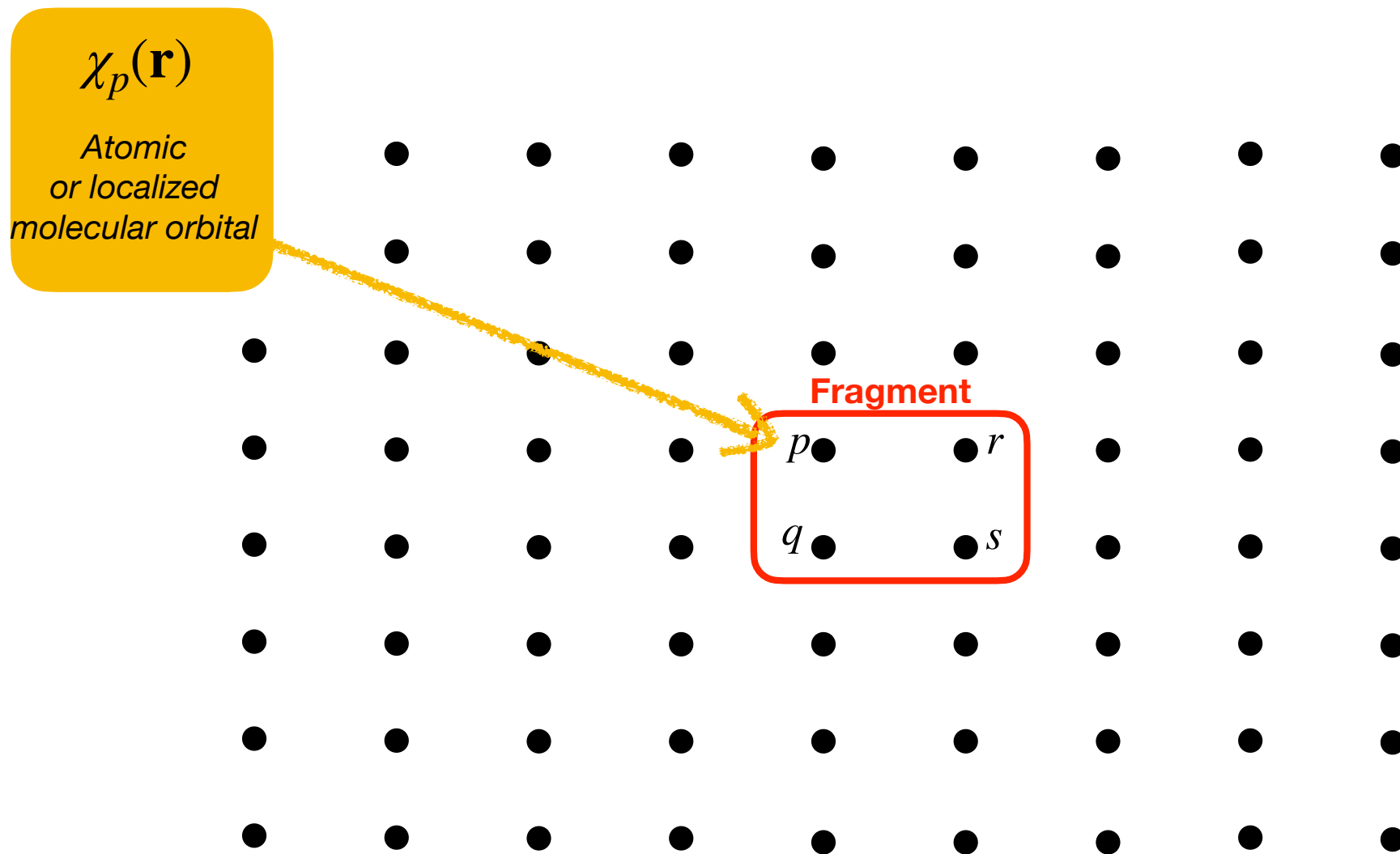
How many?



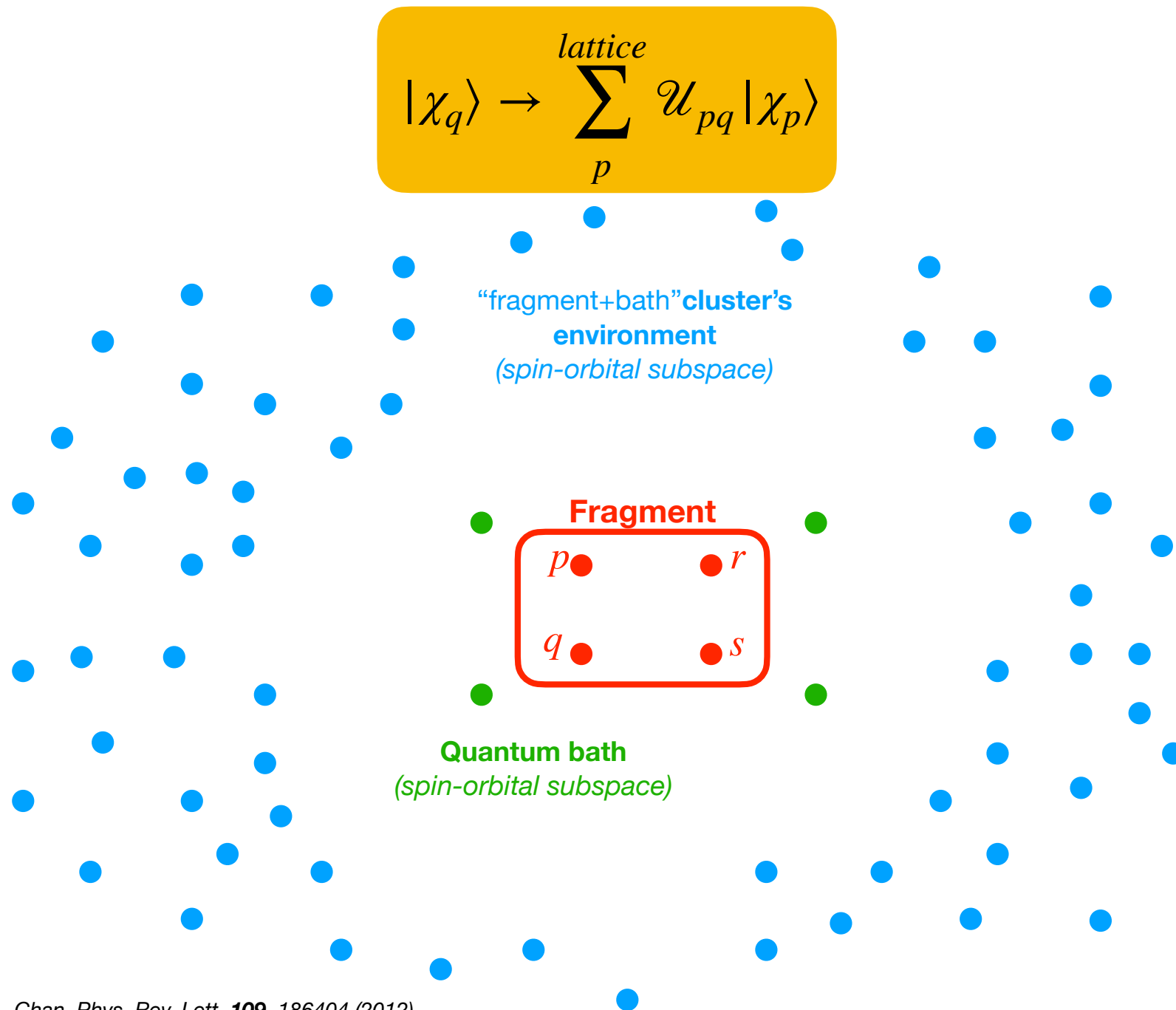
Few-electron
correlated wave function

Clusterization through a unitary one-electron transformation

So-called “lattice representation”

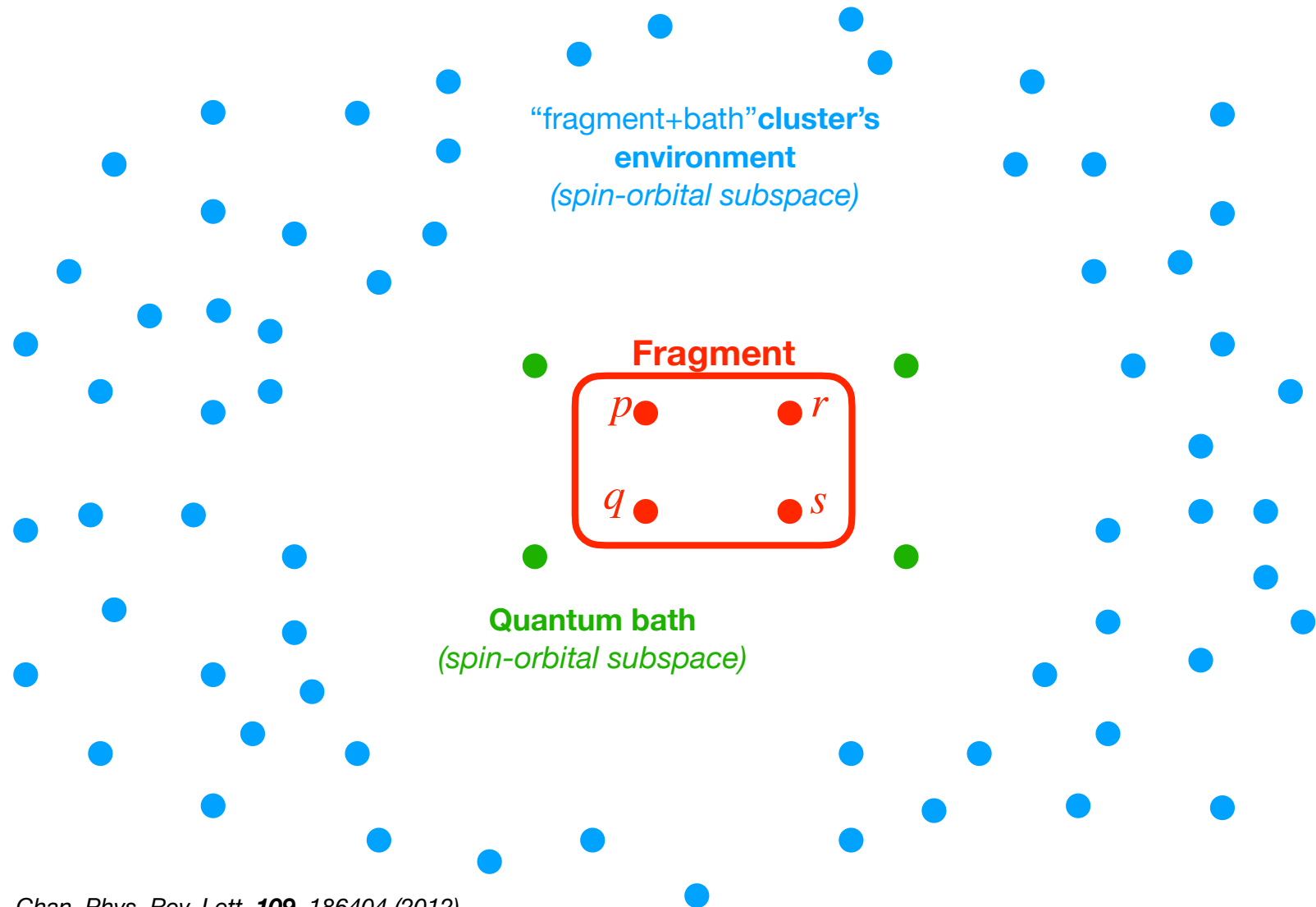


Clusterization through a unitary one-electron transformation

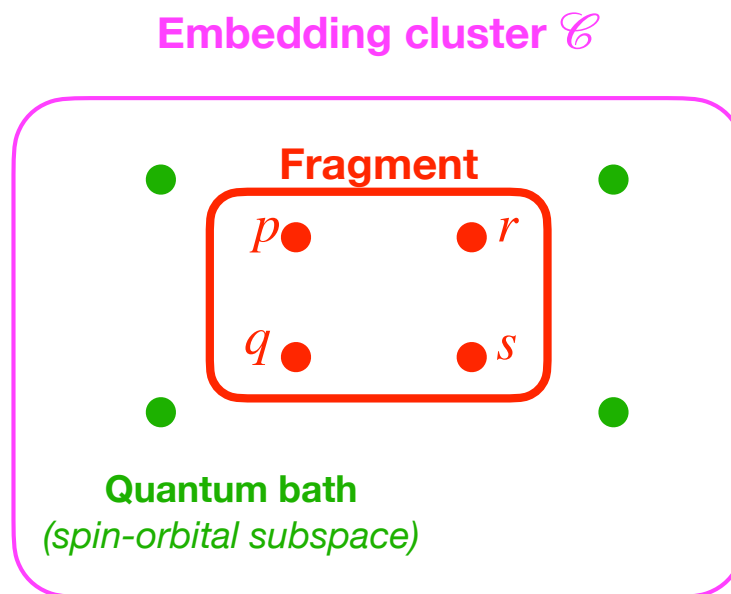


$$|\chi_q\rangle \rightarrow \sum_p^{\text{lattice}} \mathcal{U}_{pq} |\chi_p\rangle$$

???

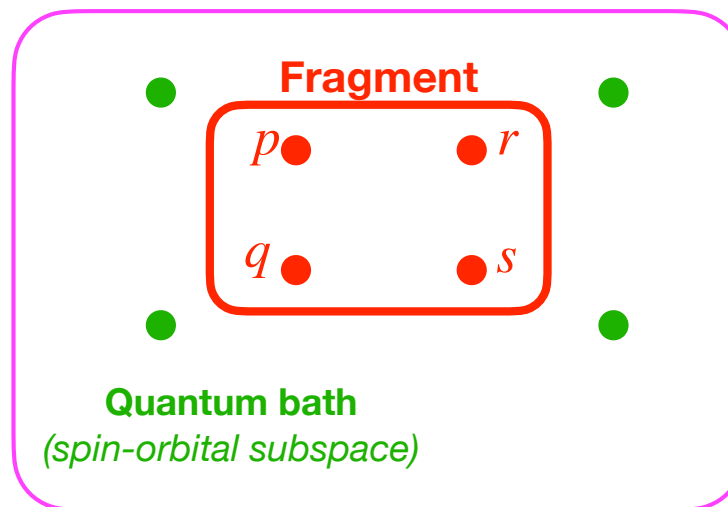


Clusterization through a unitary one-electron transformation



Clusterization through a unitary one-electron transformation

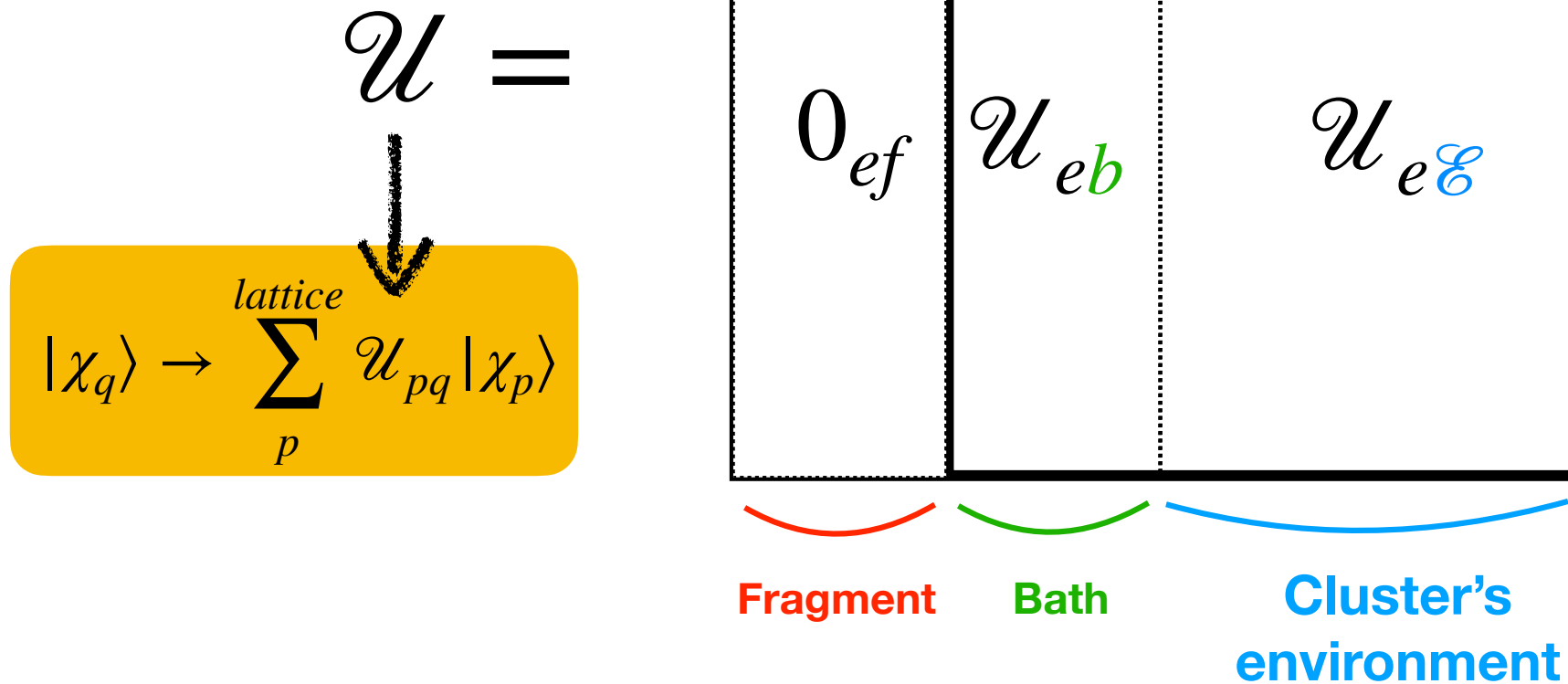
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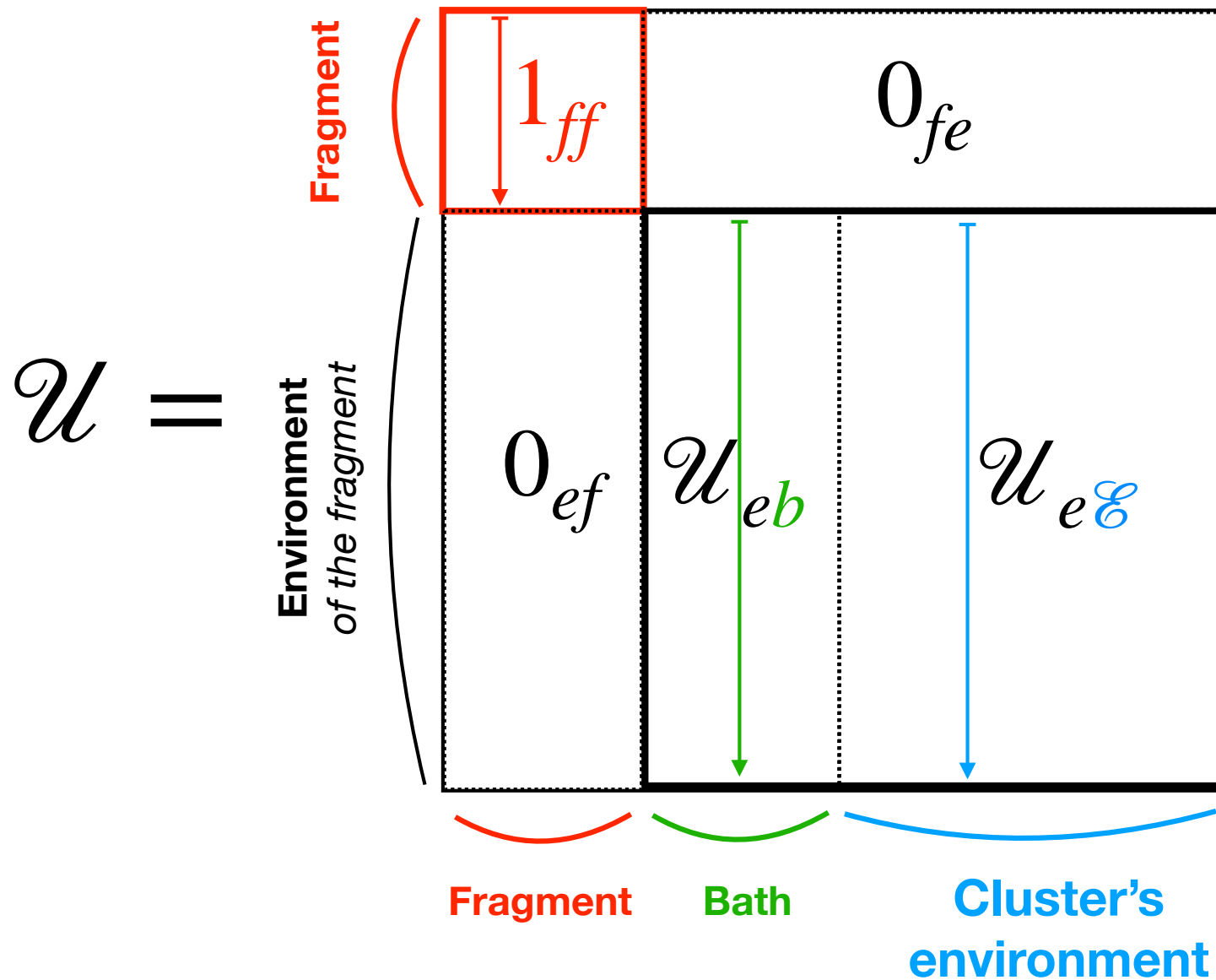
How much information do we lose?

Mathematical construction of the quantum bath

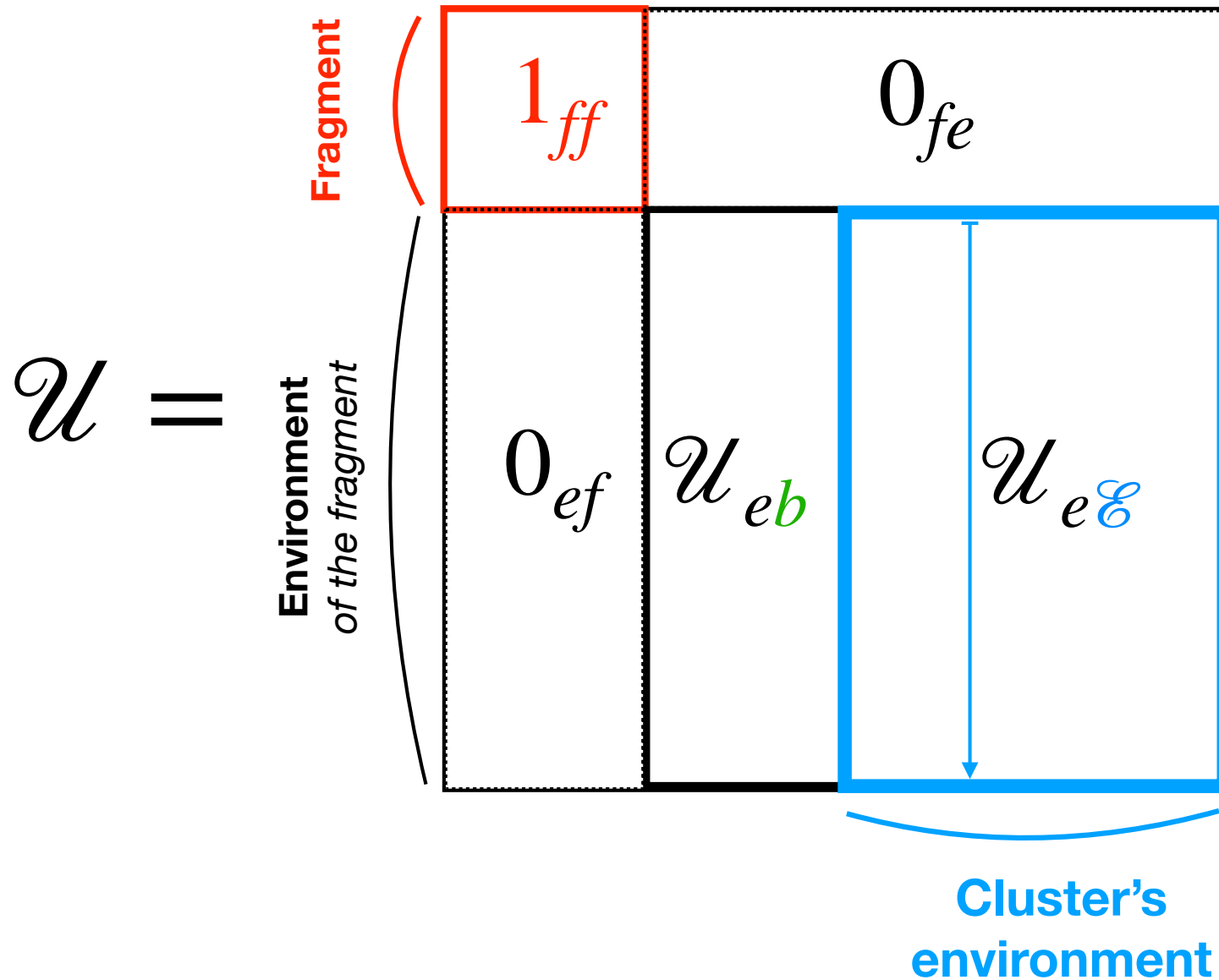
Quantum bath seen as a functional of the density matrix (1RDM)



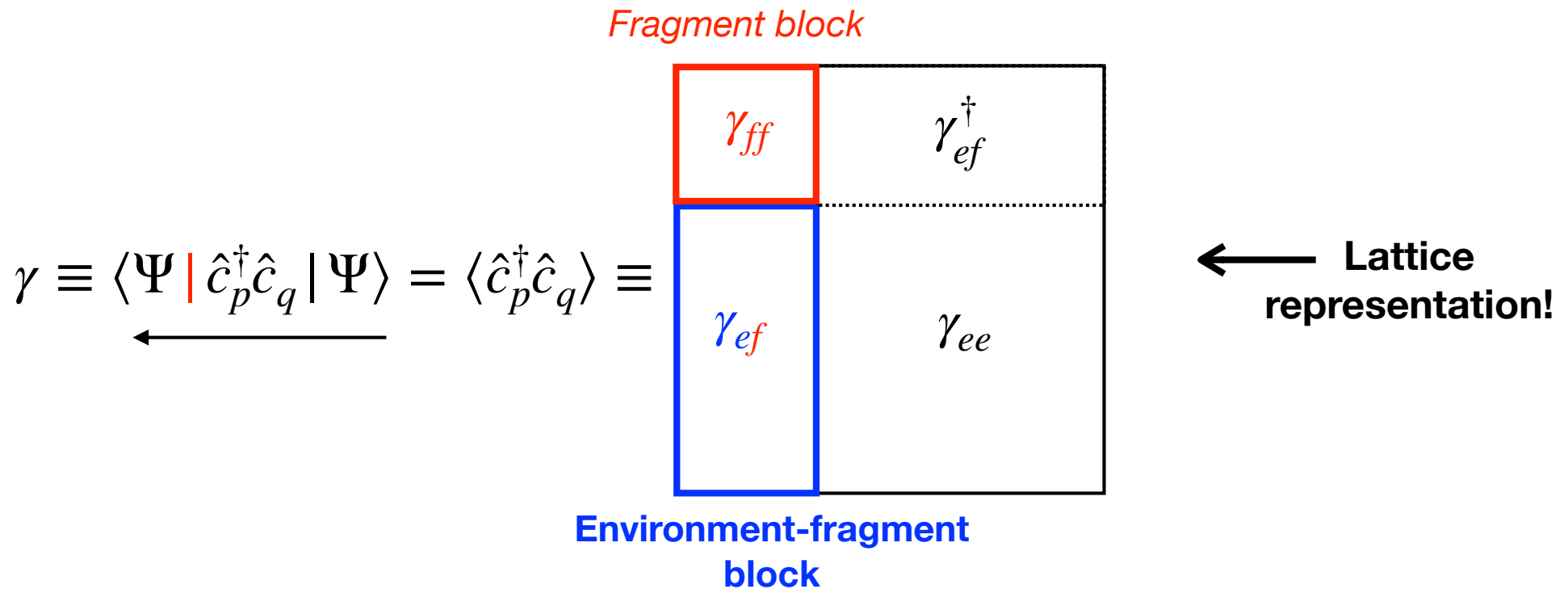
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Quantum bath seen as a functional of the density matrix (1RDM)



Quantum bath seen as a functional of the density matrix (1RDM)



Quantum bath seen as a functional of the density matrix (1RDM)

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Env.-fragment block

$$\mathcal{U} = \begin{array}{|c|c|c|} \hline 1_{ff} & 0_{fe} & \\ \hline 0_{ef} & \mathcal{U}_{eb} & \mathcal{U}_{e\mathcal{E}} \\ \hline & & \underbrace{\hspace{2cm}} \\ & & \text{Cluster's environment} \\ \hline \end{array}$$

Implicit (but much simpler) definition of the cluster's environment:

$$\gamma_{ef}^\dagger \mathcal{U}_{e\mathcal{E}} \equiv 0$$

Cluster's environment

Will be justified later on...

Quantum bath seen as a functional of the density matrix (1RDM)

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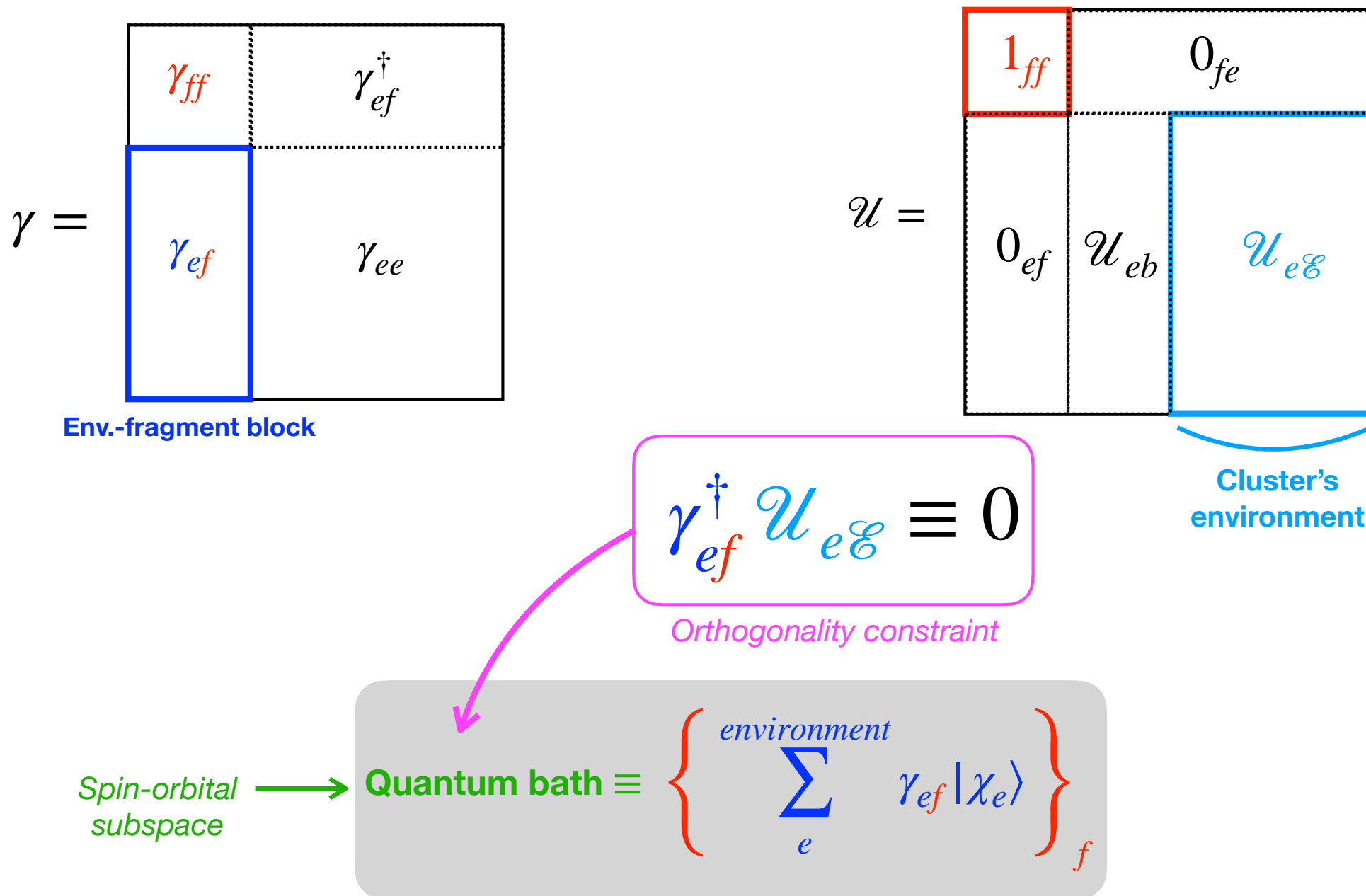
$$\mathcal{U} = \begin{array}{|c|c|c|} \hline 1_{ff} & 0_{fe} & \\ \hline 0_{ef} & \mathcal{U}_{eb} & \mathcal{U}_{e\mathcal{E}} \\ \hline & & \mathcal{U}_{e\mathcal{E}} \\ \hline \end{array}$$

Cluster's environment

$$\gamma_{ef}^\dagger \mathcal{U}_{e\mathcal{E}} \equiv 0$$

Orthogonality constraint

Quantum bath seen as a functional of the density matrix (1RDM)



Quantum bath seen as a functional of the density matrix (1RDM)

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Env.-fragment block

$$\text{Quantum bath} \equiv \left\{ \sum_e^{\text{environment}} \gamma_{ef} |\chi_e\rangle \right\}_f$$

Quantum bath seen as a functional of the density matrix (1RDM)

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Env.-fragment block

In principle as many bath spin-orbitals
as the dimension of the fragment
(number of "impurities")

$$\text{Quantum bath} \equiv \left\{ \sum_e^{\text{environment}} \gamma_{ef} |\chi_e\rangle \right\}_f$$



Quantum bath seen as a functional of the density matrix (1RDM)

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Env.-fragment block

To-be orthonormalized
(SVD, Householder transformation, ...)

$$\text{Quantum bath} \equiv \left\{ \sum_e^{\text{environment}} \gamma_{ef} |\chi_e\rangle \right\}_f$$

Quantum bath seen as a functional of the density matrix (1RDM)

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Env.-fragment block

$$\mathcal{U} = \begin{array}{|c|c|c|} \hline 1_{ff} & 0_{fe} & \\ \hline 0_{ef} & \mathcal{U}_{eb} & \mathcal{U}_{e\mathcal{E}} \\ \hline & & \underbrace{\hspace{10em}} \\ \hline \end{array}$$

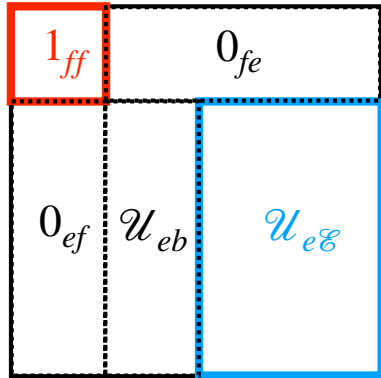
Cluster's environment

$$\gamma_{ef}^\dagger \mathcal{U}_{e\mathcal{E}} \equiv 0$$

Orthogonality constraint

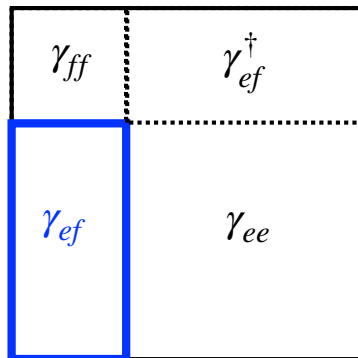


Unitary transformed density matrix



$$\tilde{\gamma} = \mathcal{U}^\dagger \gamma \mathcal{U} \equiv$$

Let's visualize the clusterization in the 1RDM...



Unitary transformed density matrix

“**fragment+bath**”
embedding cluster

Orthogonality constraint

$$\tilde{\gamma} = \mathcal{U}^\dagger \gamma \mathcal{U} \equiv$$

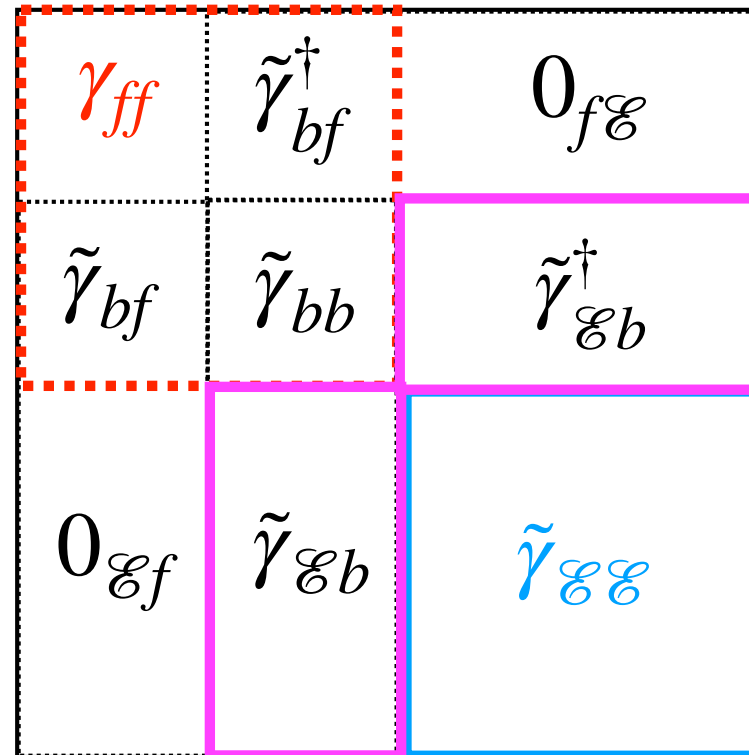
γ_{ff}	$\tilde{\gamma}_{bf}^\dagger$	$\gamma_{ef}^\dagger \mathcal{U}_{e\mathcal{E}} = 0$
$\tilde{\gamma}_{bf}$	$\tilde{\gamma}_{bb}$	$\tilde{\gamma}_{\mathcal{E}b}^\dagger$
$\mathcal{U}_{e\mathcal{E}}^\dagger \gamma_{ef}$ 0	$\tilde{\gamma}_{\mathcal{E}b}$	$\tilde{\gamma}_{\mathcal{E}\mathcal{E}}$

Orthogonality constraint

Unitary transformed density matrix

“**fragment**+bath”
embedding cluster

$$\tilde{\gamma} = \mathcal{U}^\dagger \gamma \mathcal{U} \equiv$$



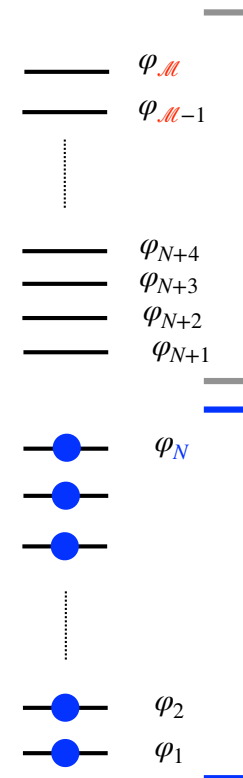
Cluster's
environment

Entanglement

What if the full-system density matrix is idempotent?

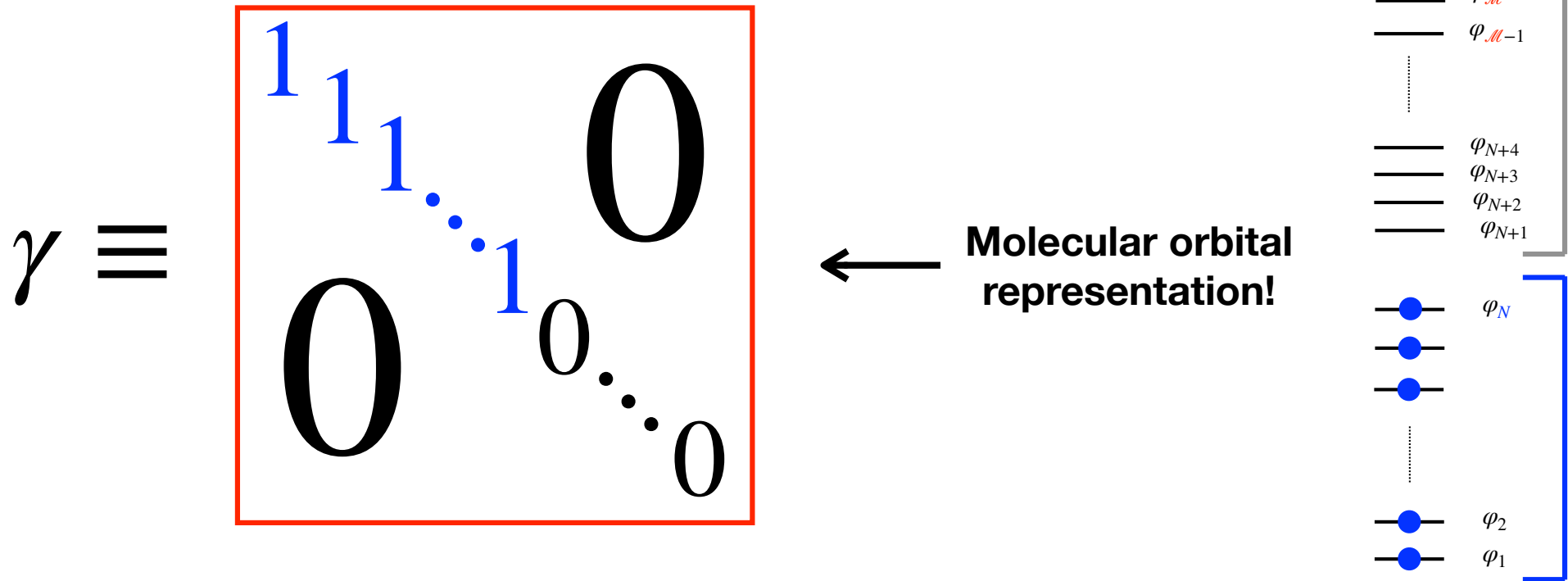
$$\gamma \equiv \begin{bmatrix} 1 & & & & & & & & & \\ & 1 & & & & & & & & \\ & & 1 & & & & & & & \\ & & & \ddots & & & & & & \\ & & & & 1 & & & & & \\ & & & & & \ddots & & & & \\ & & & & & & 1 & & & \\ & & & & & & & 0 & & \\ & 0 & & & & & & & & \\ & & & & & & & & & \\ & & & & & & & & & \ddots & \\ & & & & & & & & & & 0 \end{bmatrix}$$

← Molecular orbital representation!



*Mean-field (HF)
or Kohn-Sham DFT*

What if the full-system density matrix is idempotent?



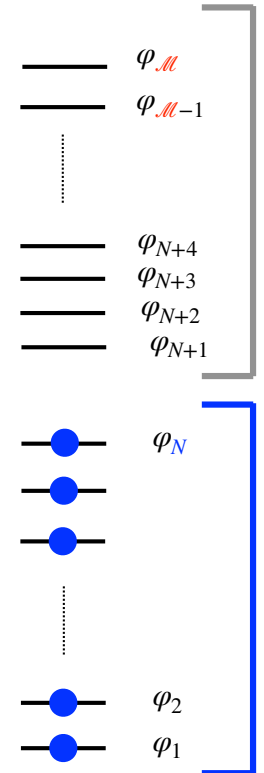
Note that $\text{Tr } \gamma = N$ \leftarrow Total number of electrons (in the full system)

Mean-field (HF)
or Kohn-Sham DFT

What if the full-system density matrix is *idempotent*?

$$\gamma \equiv \begin{bmatrix} 1 & & & & & & \\ & 1 & & & & & \\ & & 1 & & & & \\ & & & \dots & & & \\ & & & & 1 & & \\ & & & & & & 0 \\ 0 & & & & & & \\ & 0 & & & & & \\ & & & & & & \\ & & & & & & \dots \\ & & & & & & & 0 \end{bmatrix}$$
$$\equiv \gamma^2$$

← Molecular orbital representation!

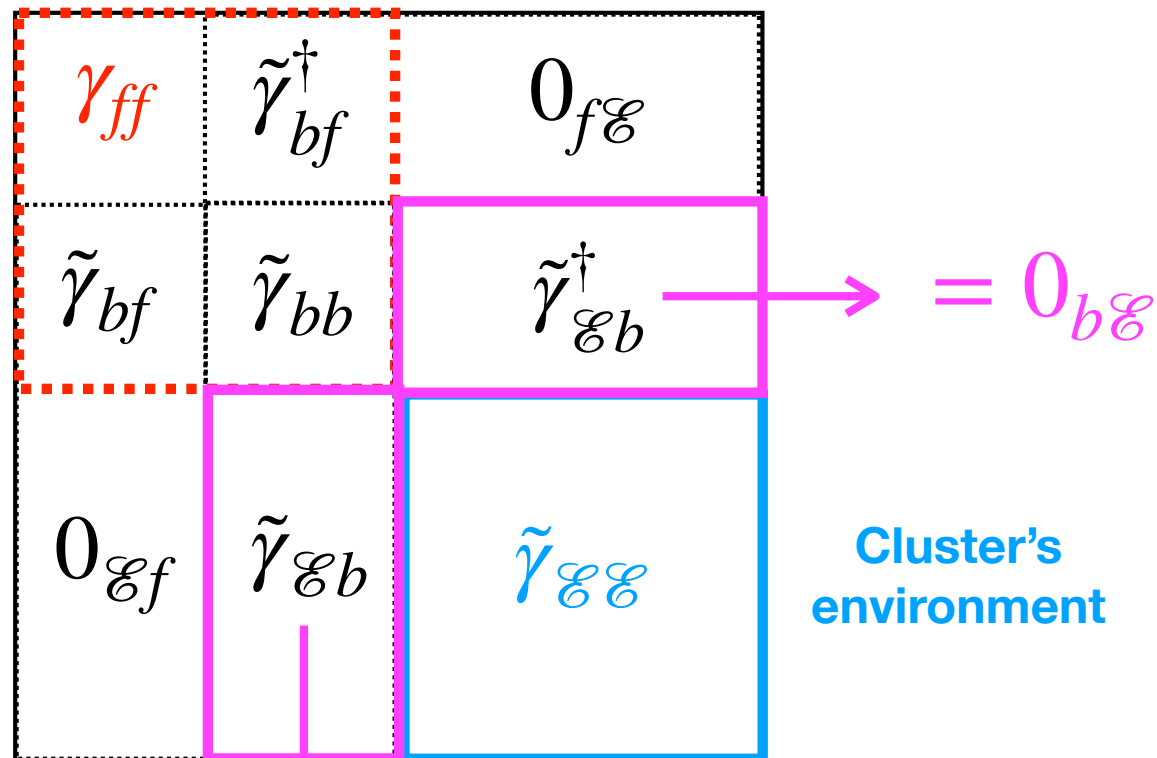


*Mean-field (HF)
or Kohn-Sham DFT*

Unitary transformed density matrix

“**fragment**+bath”
embedding cluster

$$\tilde{\gamma} = \mathcal{U}^\dagger \gamma \mathcal{U} \equiv$$



if $\gamma^2 = \gamma$ then
idempotency

$$0_{Ef} \tilde{\gamma}_{bf}^{-1} = 0_{Eb}$$

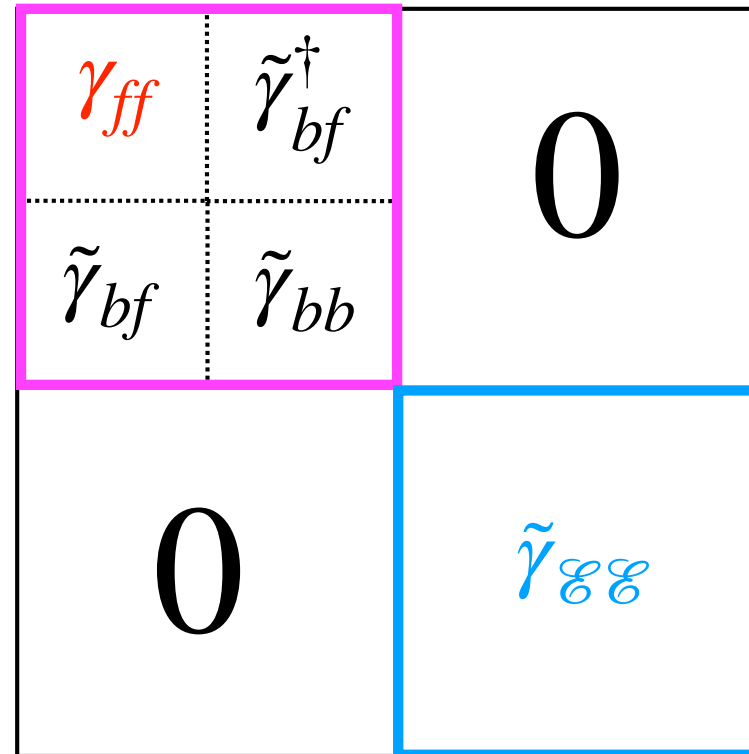
Unitary transformed density matrix

Disconnected
embedding cluster



$$\tilde{\gamma}^2 = \tilde{\gamma} = \mathcal{U}^\dagger \gamma \mathcal{U} \equiv$$

idempotency



Cluster's
environment

Unitary transformed density matrix

$$\gamma_{ff} + \tilde{\gamma}_{bf}^{-1} \tilde{\gamma}_{bb} \tilde{\gamma}_{bf} = \mathbf{1}_{ff} \leftarrow \begin{array}{l} \gamma^2 = \gamma \\ \text{idempotency} \end{array}$$

γ_{ff}	$\tilde{\gamma}_{bf}^\dagger$	$\mathbf{0}$	
$\tilde{\gamma}_{bf}$	$\tilde{\gamma}_{bb}$	$\mathbf{0}$	
$\mathbf{0}$		$\tilde{\gamma}_{\mathcal{E}\mathcal{E}}$	

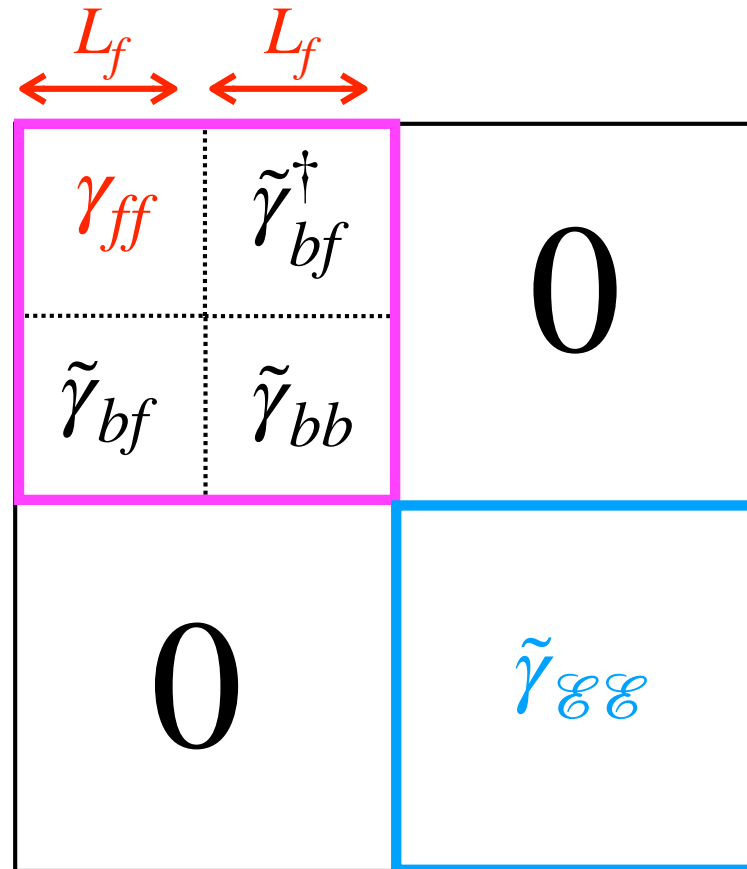
Cluster's environment

Unitary transformed density matrix

$$\gamma_{ff} + \tilde{\gamma}_{bf}^{-1} \tilde{\gamma}_{bb} \tilde{\gamma}_{bf} = \mathbf{1}_{ff} \leftarrow \begin{array}{l} \gamma^2 = \gamma \\ \text{idempotency} \end{array}$$



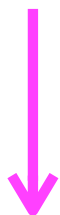
$$\text{Tr}[\gamma_{ff}] + \text{Tr}[\tilde{\gamma}_{bb}] = L_f$$



Cluster's
environment

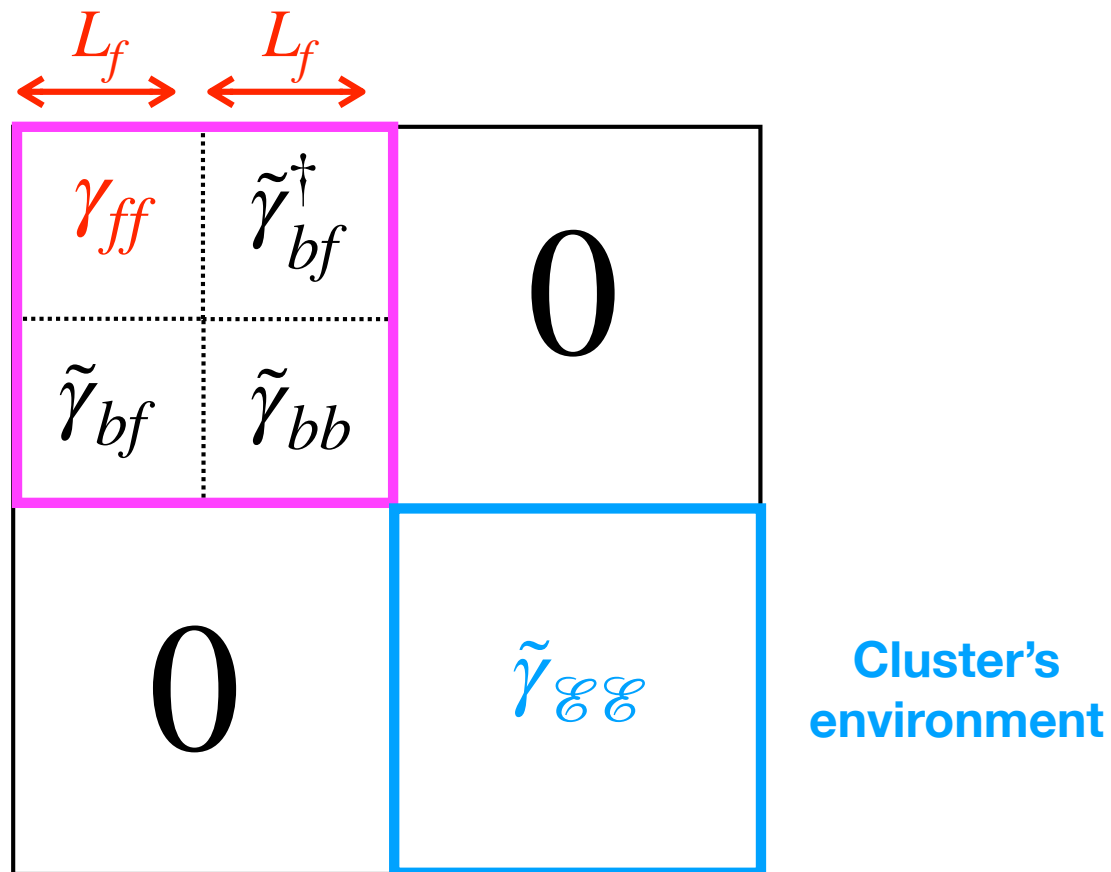
Unitary transformed density matrix

$$\gamma_{ff} + \tilde{\gamma}_{bf}^{-1} \tilde{\gamma}_{bb} \tilde{\gamma}_{bf} = \mathbf{1}_{ff} \leftarrow \begin{array}{l} \gamma^2 = \gamma \\ \text{idempotency} \end{array}$$



$$\text{Tr}[\gamma_{ff}] + \text{Tr}[\tilde{\gamma}_{bb}] = L_f$$

The number of electrons in the cluster equals the number of embedded impurities



Starting a DMET calculation...

Density matrix of the **full system**

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array} = ???$$

Starting a DMET calculation...

Density matrix of the **full system**

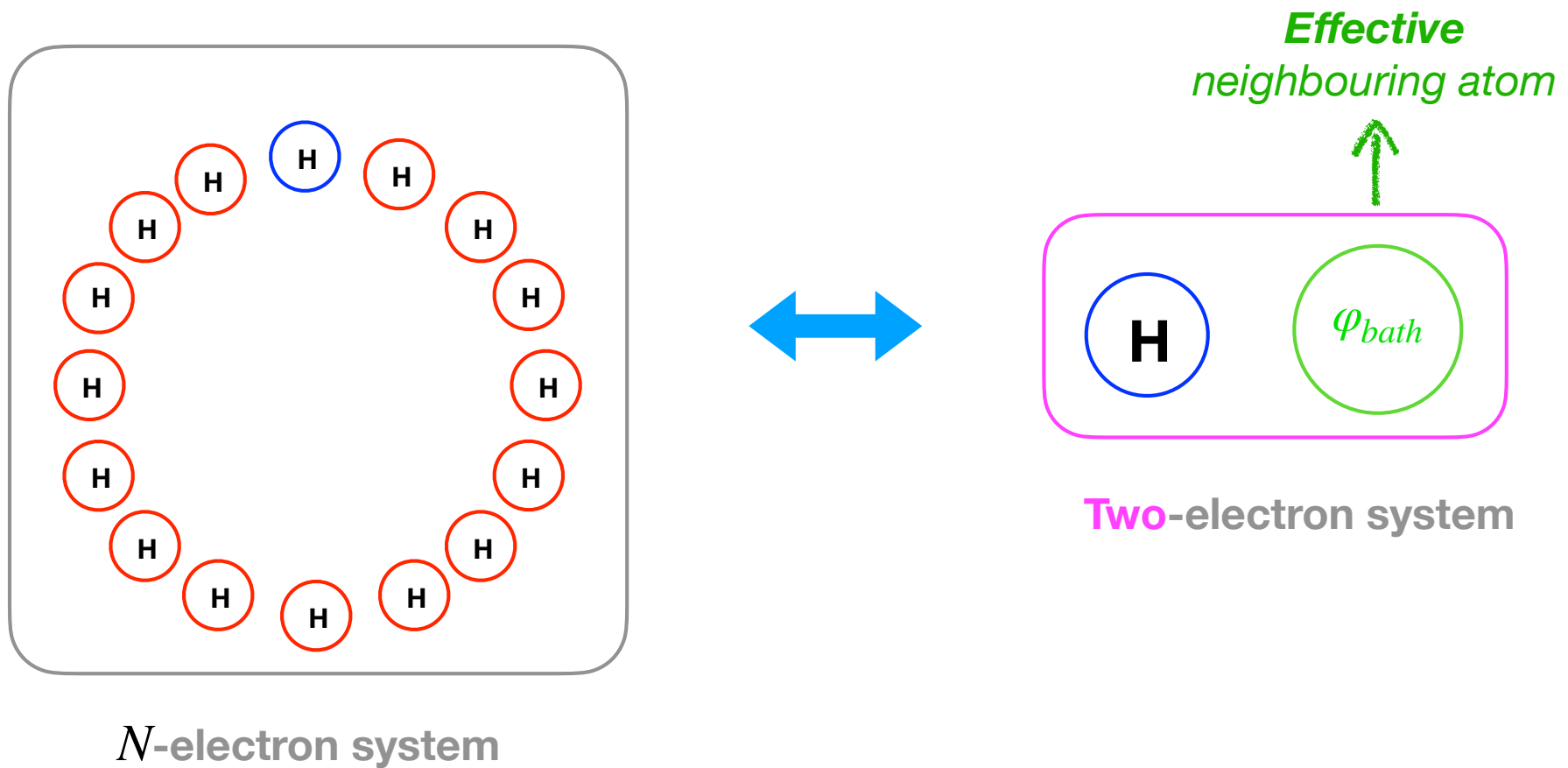
$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Mean-field evaluation in practice

Idempotent ($\gamma^2 = \gamma$)

Illustrative example

Hubbard model for rings of hydrogen atoms



$$\hat{H} = \sum_{\sigma=\uparrow,\downarrow} \sum_{i=0}^{L-1} -t \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{(i+1)\sigma} + \hat{c}_{(i+1)\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_{i=0}^{L-1} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{i\uparrow}$$

G. Knizia and G. K.-L. Chan, *Phys. Rev. Lett.* **109**, 186404 (2012).

S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, *Phys. Rev. B* **104**, 035121 (2021).

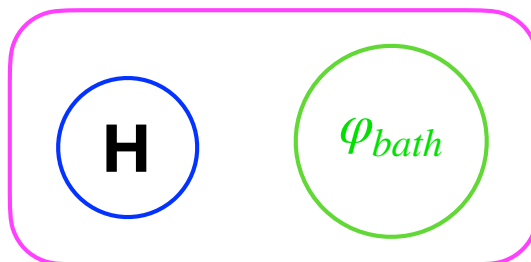
S. Sekaran, M. Saubanère, and E. Fromager, *Computation* **2022**, 10, 45.

Approximate embedding of interacting Hamiltonians

One-electron Hamiltonian
of the cluster



\hat{h}^c



Exact non-interacting (i.e., for $U = 0$)
embedding

S. Wouters, C. A. Jiménez-Hoyos, Q. Sun, and G. K.-L. Chan, *J. Chem. Theory Comput.* **12**, 2706 (2016).

S. Sekaran, M. Tsuchiizu, M. Saubanère, and E. Fromager, *Phys. Rev. B* **104**, 035121 (2021).

S. Sekaran, M. Saubanère, and E. Fromager, *Computation* **2022**, 10, 45.

Approximate embedding of interacting Hamiltonians

One-electron Hamiltonian
of the cluster



$\hat{h}^{\mathcal{C}}$ *single impurity*

\rightarrow

$\hat{h}^{\mathcal{C}}$

+



$\langle pp | pp \rangle$

$\hat{c}_{p\uparrow}^\dagger \hat{c}_{p\downarrow}^\dagger \hat{c}_{p\downarrow} \hat{c}_{p\uparrow}$

Two-electron repulsion
on the impurity



Exact non-interacting
embedding

Approximate embedding of interacting Hamiltonians

One-electron Hamiltonian
of the cluster

$$\hat{h}^{\mathcal{C}} \xrightarrow{\text{single impurity}} \hat{h}^{\mathcal{C}} + \overbrace{\langle pp | pp \rangle}^U \hat{c}_{p\uparrow}^\dagger \hat{c}_{p\downarrow}^\dagger \hat{c}_{p\downarrow} \hat{c}_{p\uparrow}$$

Exact non-interacting
embedding

Approximate embedding of interacting Hamiltonians

One-electron Hamiltonian
of the cluster

Chemical potential
on the impurity

$$\hat{h}^{\mathcal{C}} \xrightarrow{\text{single impurity}} \hat{h}^{\mathcal{C}} + \overbrace{\langle pp | pp \rangle}^U \hat{c}_{p\uparrow}^\dagger \hat{c}_{p\downarrow}^\dagger \hat{c}_{p\downarrow} \hat{c}_{p\uparrow} - \tilde{\mu}^{imp} \sum_{\sigma=\uparrow,\downarrow} \hat{c}_{p\sigma}^\dagger \hat{c}_{p\sigma}$$

Exact non-interacting
embedding

Approximate embedding of interacting Hamiltonians

One-electron Hamiltonian
of the cluster

$$\hat{h}^{\mathcal{C}} \xrightarrow{\text{single impurity}}$$



Exact non-interacting
embedding

Chemical potential
on the impurity

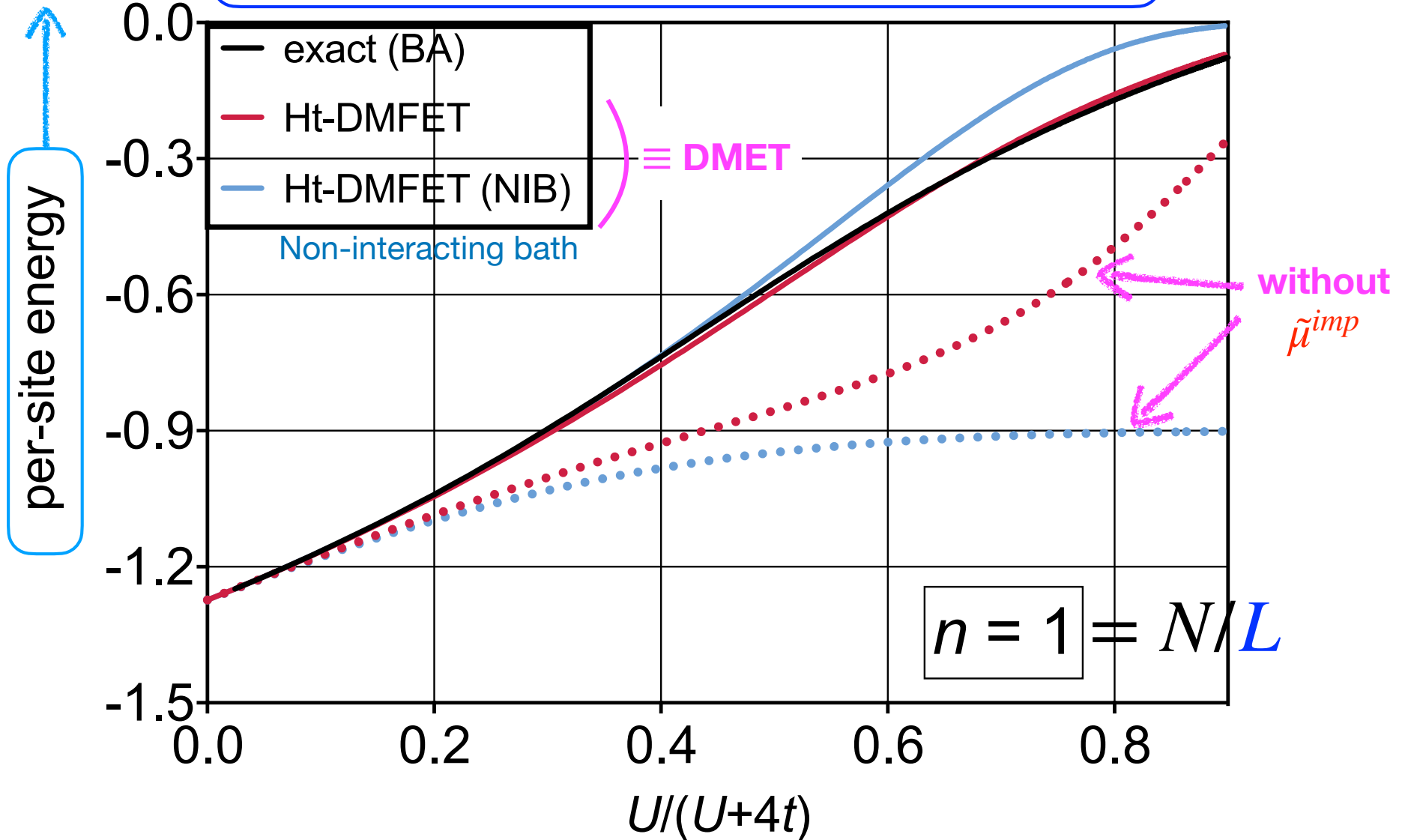
$$\hat{h}^{\mathcal{C}} + \overbrace{\langle pp | pp \rangle}^U \hat{c}_{p\uparrow}^\dagger \hat{c}_{p\downarrow}^\dagger \hat{c}_{p\downarrow} \hat{c}_{p\uparrow} - \tilde{\mu}^{imp} \sum_{\sigma=\uparrow,\downarrow} \hat{c}_{p\sigma}^\dagger \hat{c}_{p\sigma}$$

Approximate interacting
embedding

Half-filled uniform Hubbard ring with $L = 400$ atomic sites

E/L

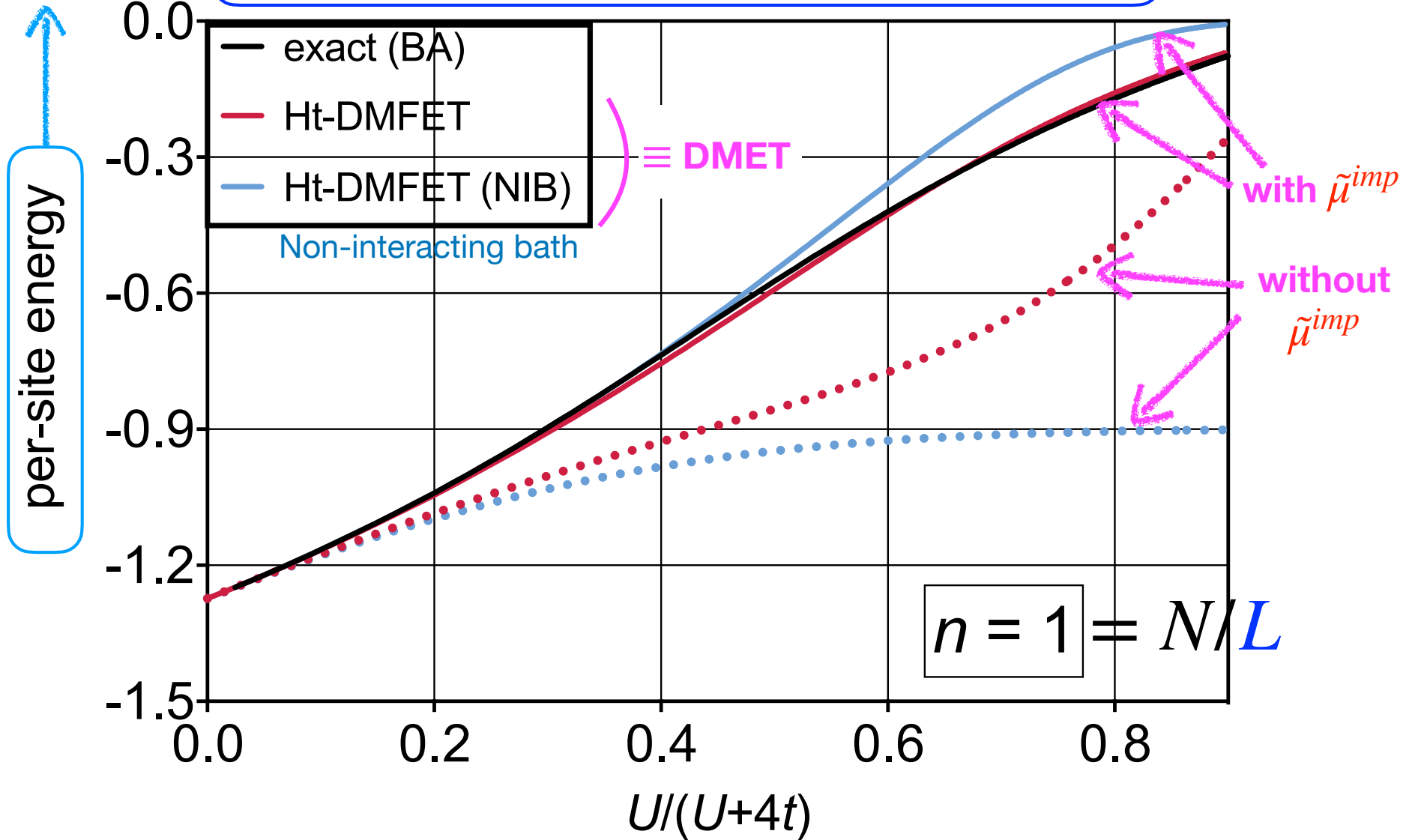
$$\hat{H} = \sum_{\sigma=\uparrow,\downarrow} \sum_{i=0}^{L-1} -t \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{(i+1)\sigma} + \hat{c}_{(i+1)\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_{i=0}^{L-1} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{i\uparrow}$$



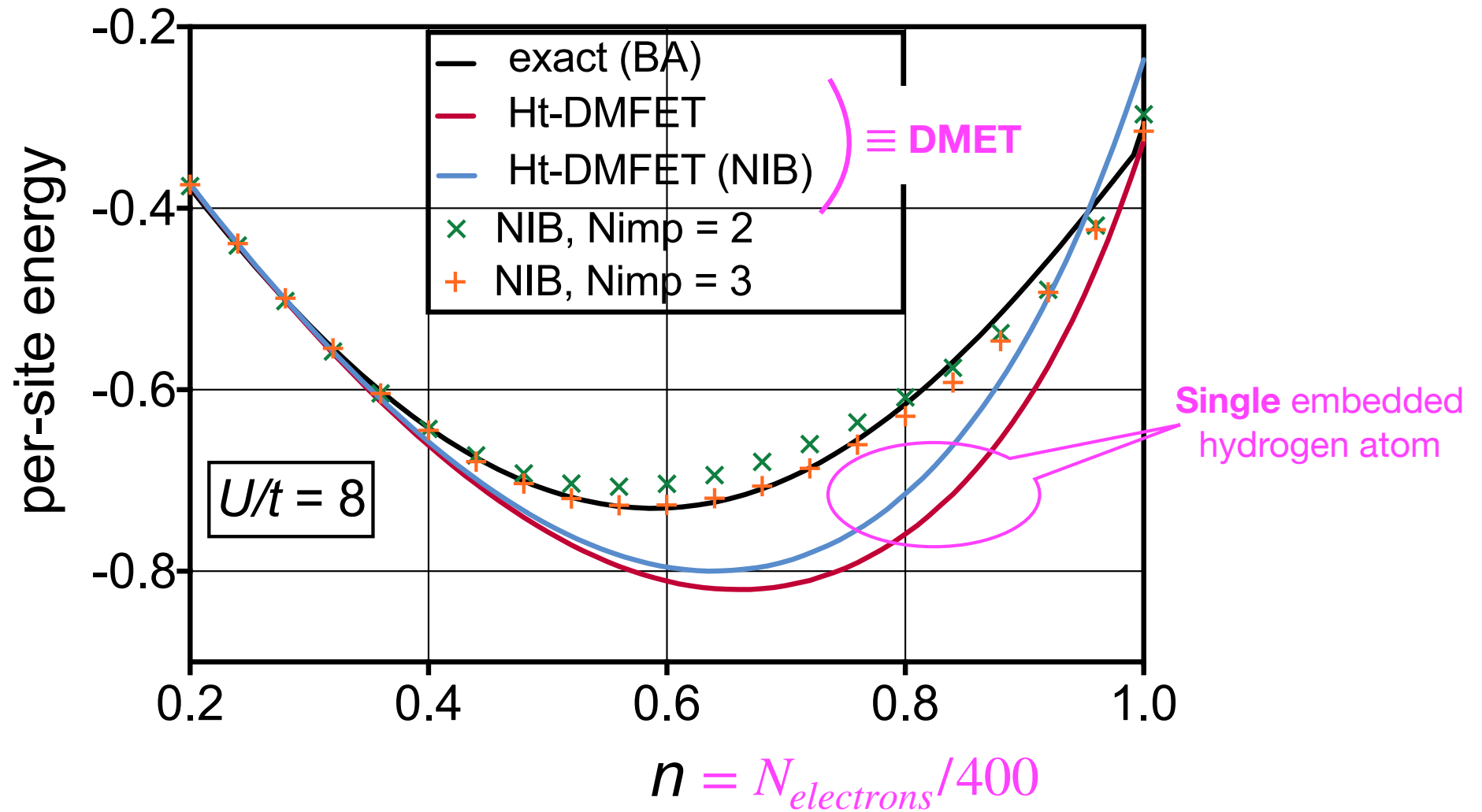
Half-filled uniform Hubbard ring with $L = 400$ atomic sites

E/L

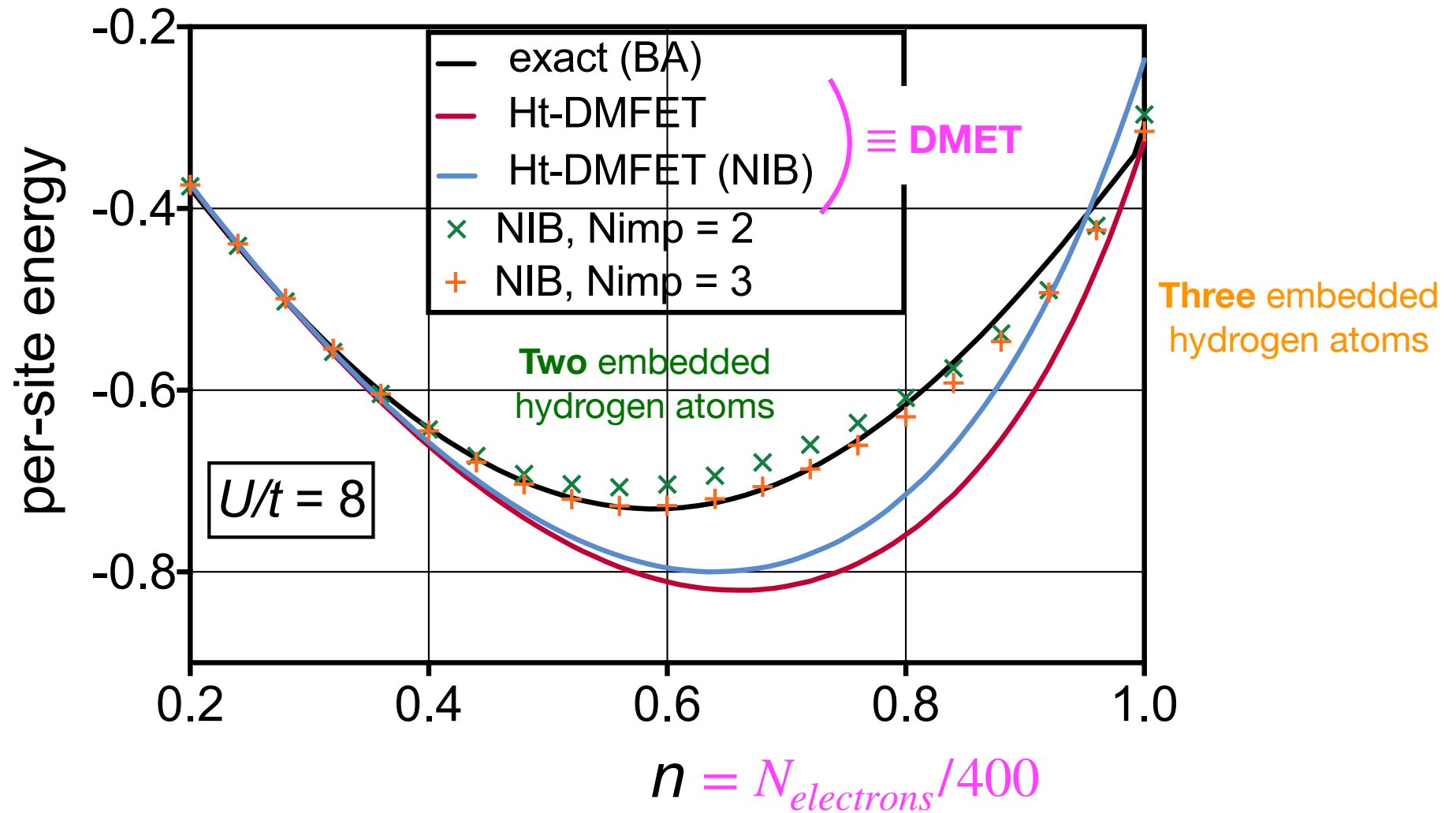
$$\hat{H} = \sum_{\sigma=\uparrow,\downarrow} \sum_{i=0}^{L-1} -t \left(\hat{c}_{i\sigma}^\dagger \hat{c}_{(i+1)\sigma} + \hat{c}_{(i+1)\sigma}^\dagger \hat{c}_{i\sigma} \right) + U \sum_{i=0}^{L-1} \hat{c}_{i\uparrow}^\dagger \hat{c}_{i\downarrow}^\dagger \hat{c}_{i\downarrow} \hat{c}_{i\uparrow}$$



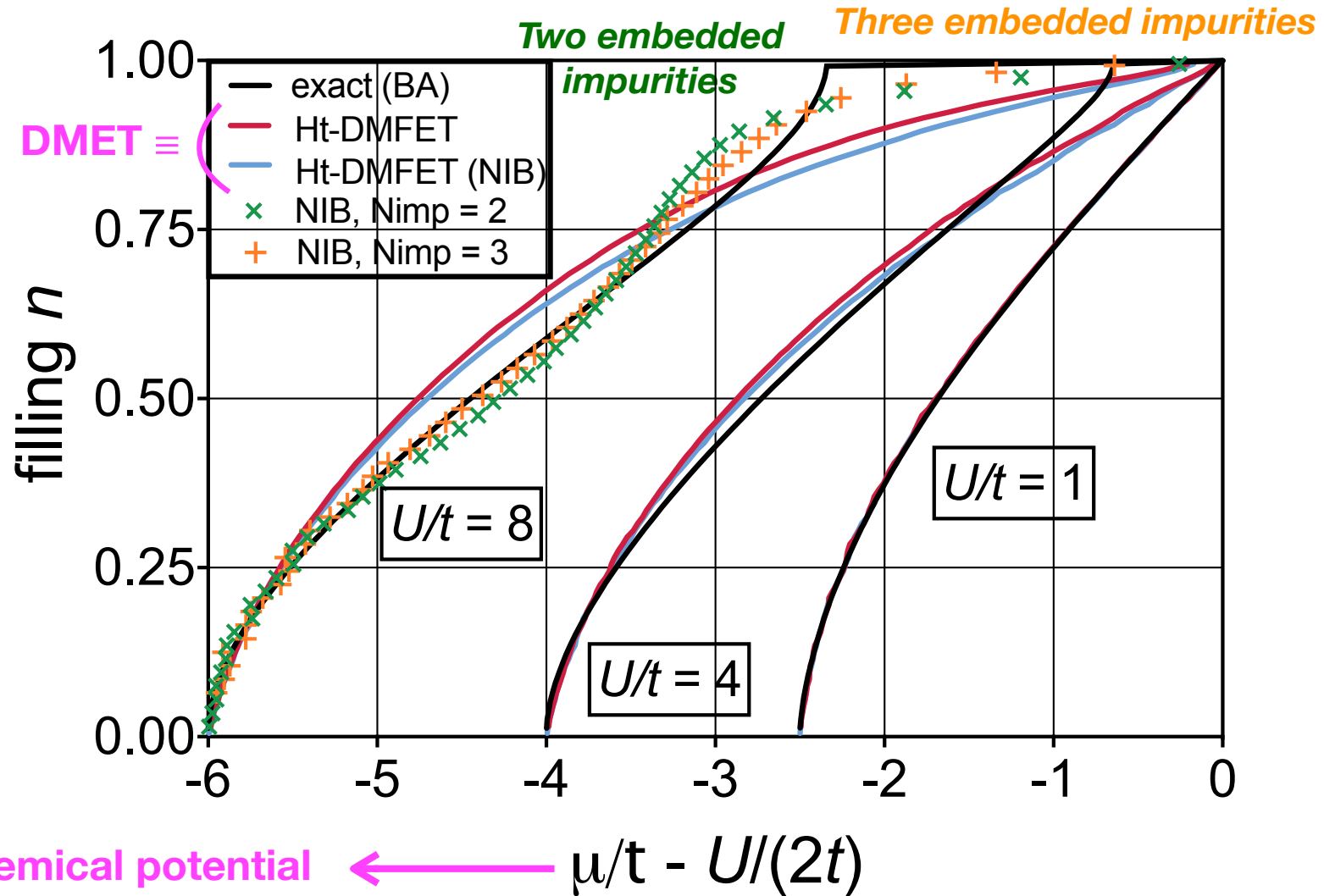
(Hubbard) model of a stretched 400-atom hydrogen ring



(Hubbard) model of a stretched 400-atom hydrogen ring



Mott-Hubbard density-driven transition and multiple impurities






$$\mu \equiv \mu(n) = \frac{1}{L} \frac{\partial E(n)}{\partial n}$$

Recent developments and open questions

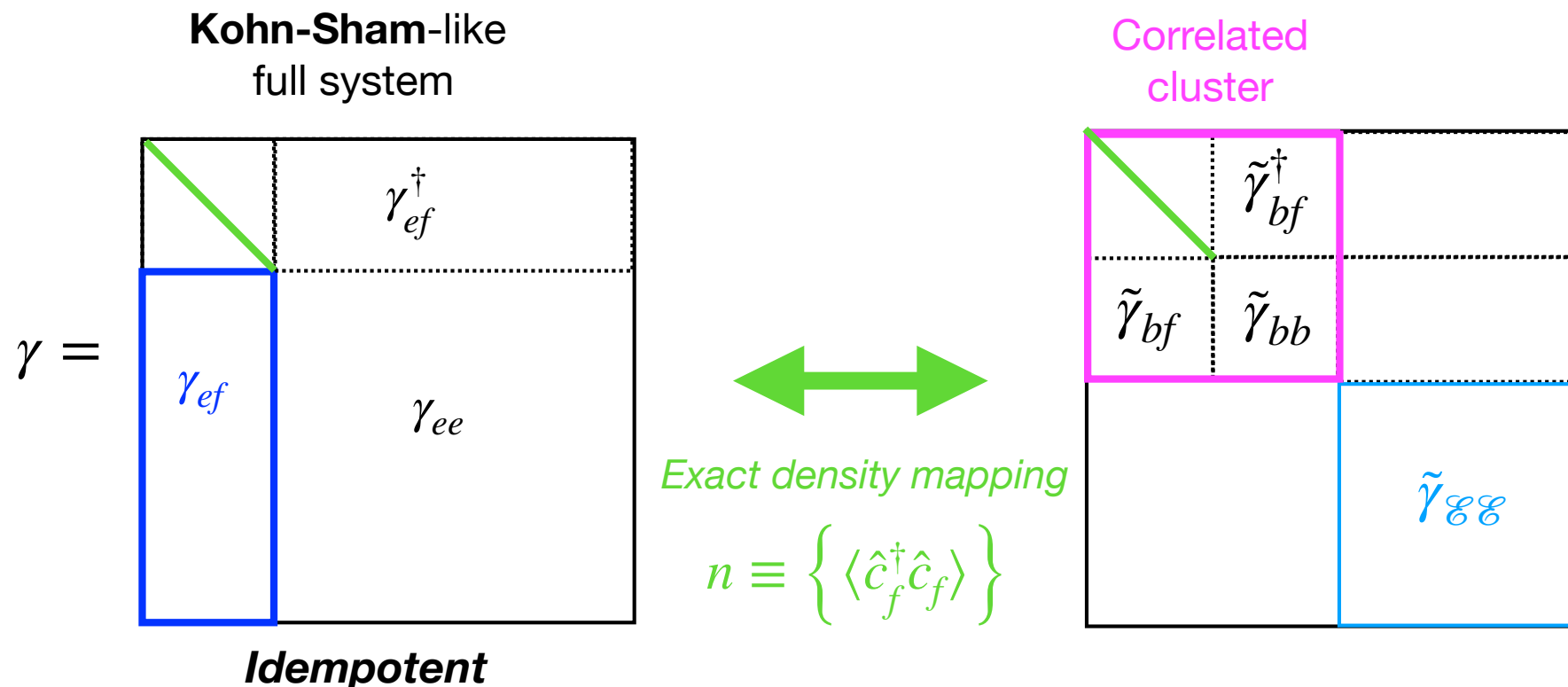
Self-consistency and formal connection with DFT

Article

Local Potential Functional Embedding Theory: A Self-Consistent Flavor of Density Functional Theory for Lattices without Density Functionals

Sajanthan Sekaran ^{1,*} , Matthieu Saubanère ²  and Emmanuel Fromager ¹ 

Citation: Sekaran, S.; Saubanère, M.; Fromager, E. Local Potential Functional Embedding Theory: A Self-Consistent Flavor of Density Functional Theory for Lattices without Density Functionals. *Computation* **2022**, *10*, 45. <https://doi.org/10.3390/computation10030045>



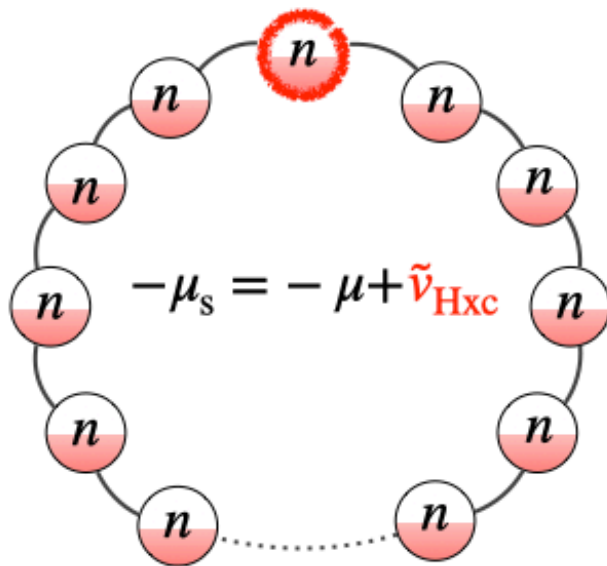
Local potential-functional embedding theory (LPFET)

$$v_{\text{Hxc}}(n) \approx \tilde{\mu}^{\text{imp}}(n)$$



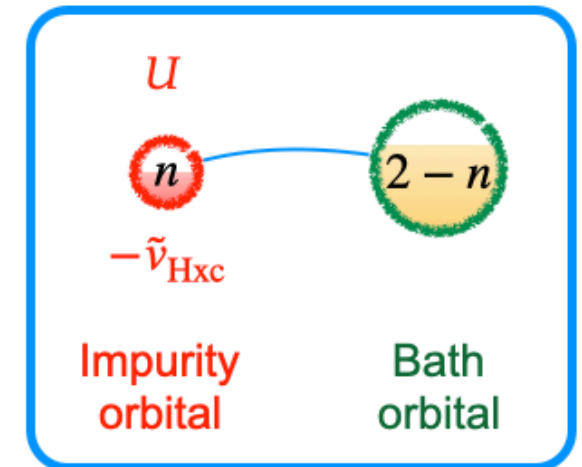
Self-consistency loop

Kohn-Sham lattice

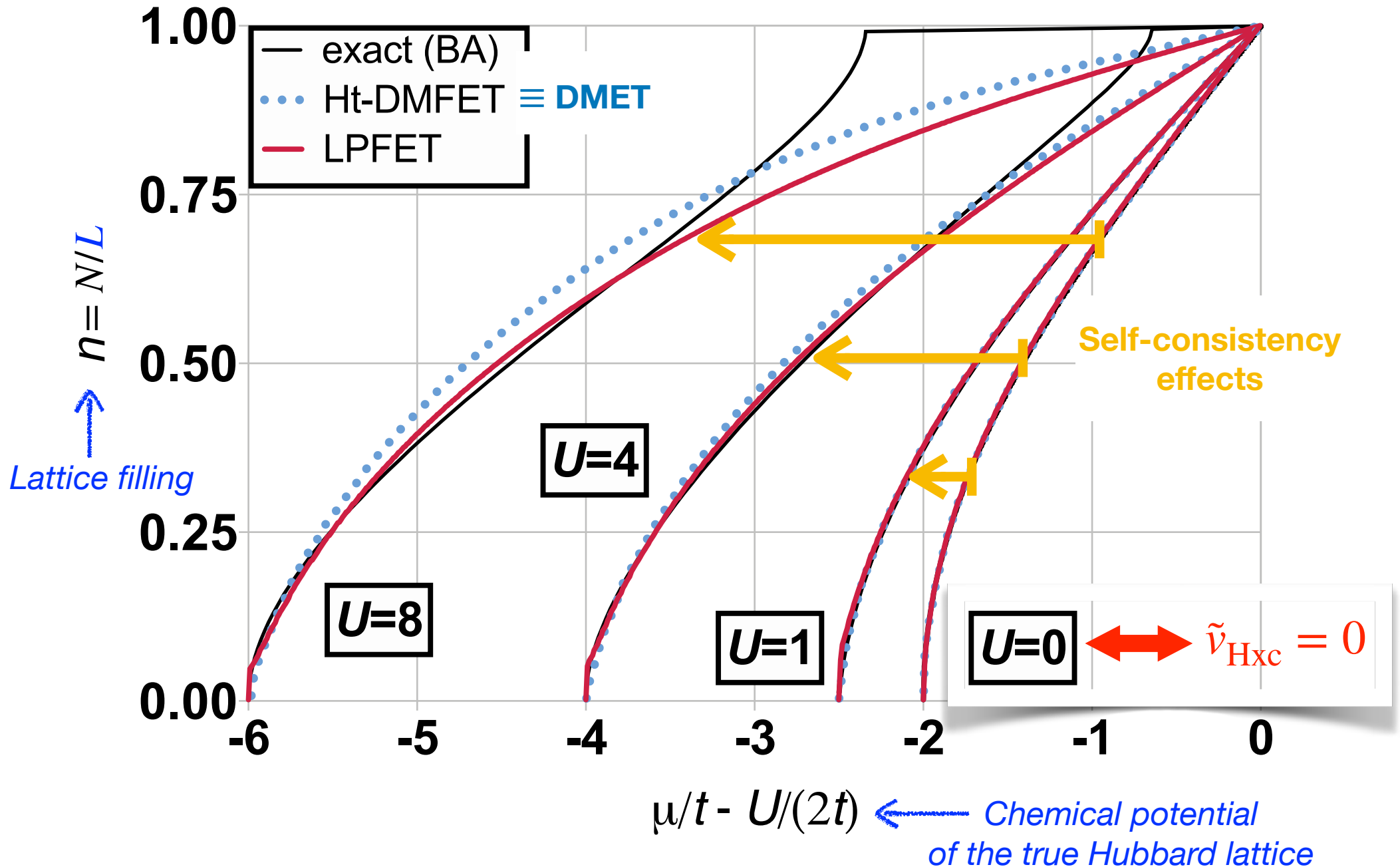


$$n_{\Phi_0^{\text{KS}}}[\tilde{v}_{\text{Hxc}}] = n_{\Psi_0^{\text{cluster}}}[\tilde{v}_{\text{Hxc}}]$$

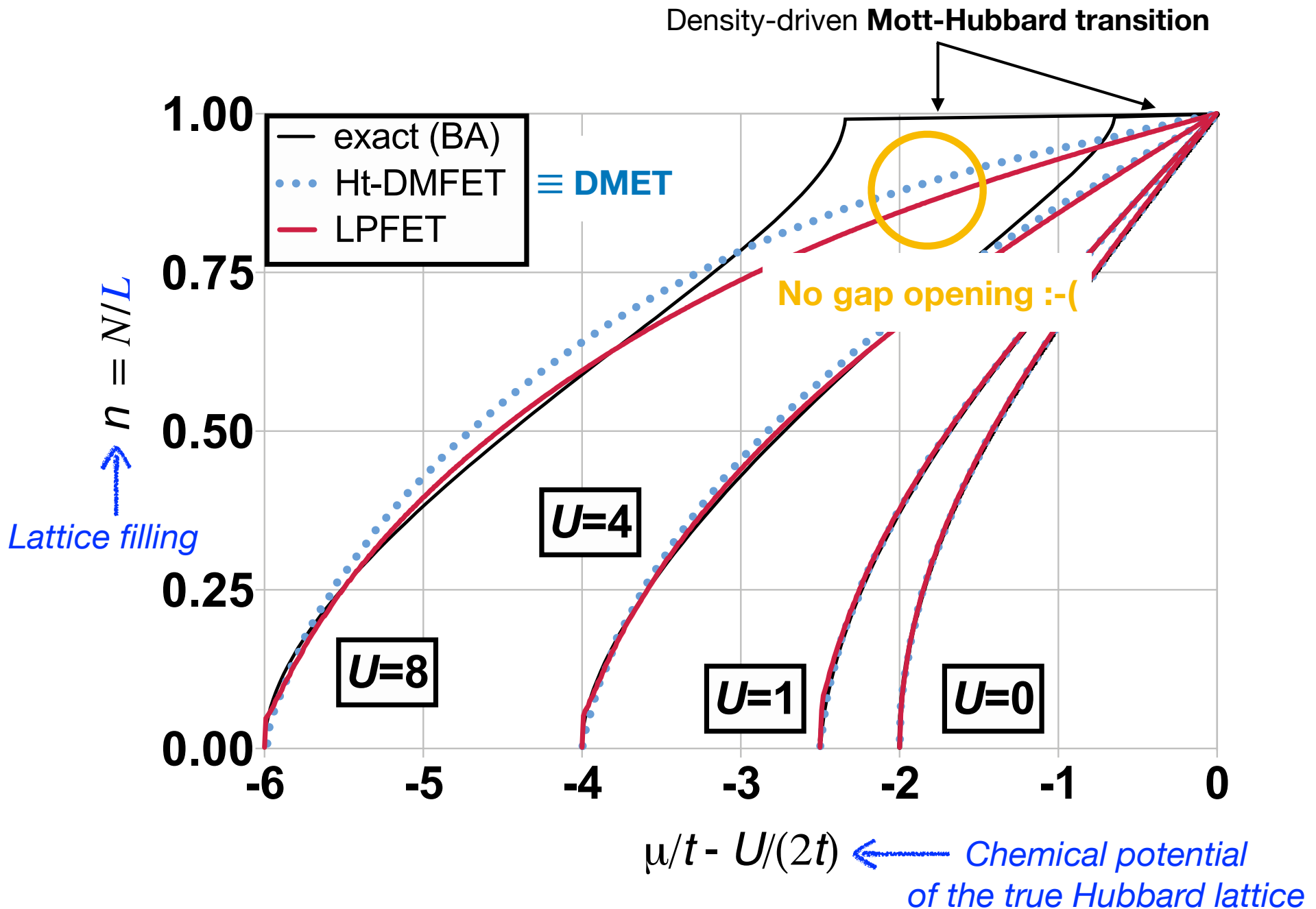
Embedding cluster



Local potential-functional embedding theory (LPFET)



Local potential-functional embedding theory (LPFET)



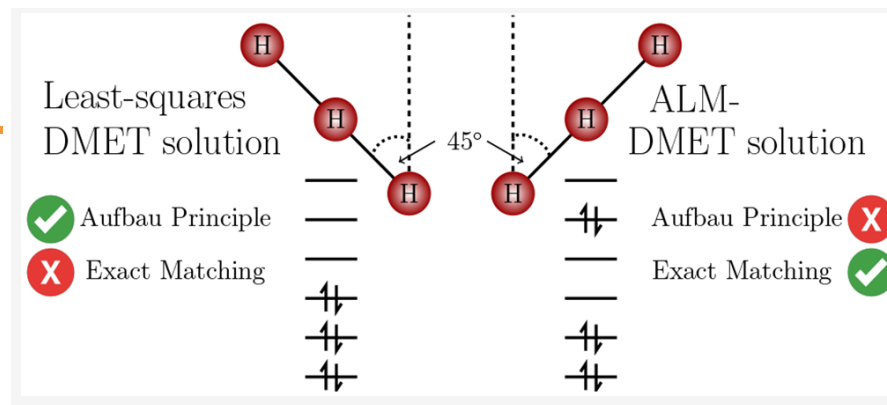
The “idempotency” problem

Pure State v -Representability of Density Matrix Embedding Theory

Fabian M. Faulstich,[⊥] Raehyun Kim,[⊥] Zhi-Hao Cui, Zaiwen Wen, Garnet Kin-Lic Chan, and Lin Lin*



Cite This: *J. Chem. Theory Comput.* 2022, 18, 851–864



Kohn-Sham-like
full system?

$$\gamma = \begin{array}{|c|c|} \hline \gamma_{ff} & \gamma_{ef}^\dagger \\ \hline \gamma_{ef} & \gamma_{ee} \\ \hline \end{array}$$

Idempotent

?

↔

Density matrix mapping

Correlated
cluster

$$\tilde{\gamma} = \begin{array}{|c|c|c|} \hline \gamma_{ff} & \tilde{\gamma}_{bf}^\dagger & \\ \hline \tilde{\gamma}_{bf} & \tilde{\gamma}_{bb} & \\ \hline & & \tilde{\gamma}_{ee} \\ \hline \end{array}$$

Non-idempotent

Non-Hermitian quantum mechanics?

Non-Hermitian but idempotent density matrix, static self-energy, ...

<https://www.youtube.com/watch?v=8zgMa-MhoZg>

<https://www.youtube.com/watch?v=mDkzmSJwwkQ&t=726s>



Using an enlarged bath (ghost orbitals)?

N. Lanatà, Phys. Rev. B 108, 235112 (2023).

The N-representability problem

Effective Reconstruction of Expectation Values from Ab Initio Quantum Embedding

Max Nusspickel, Basil Ibrahim, and George H. Booth*



Cite This: *J. Chem. Theory Comput.* 2023, 19, 2769–2791



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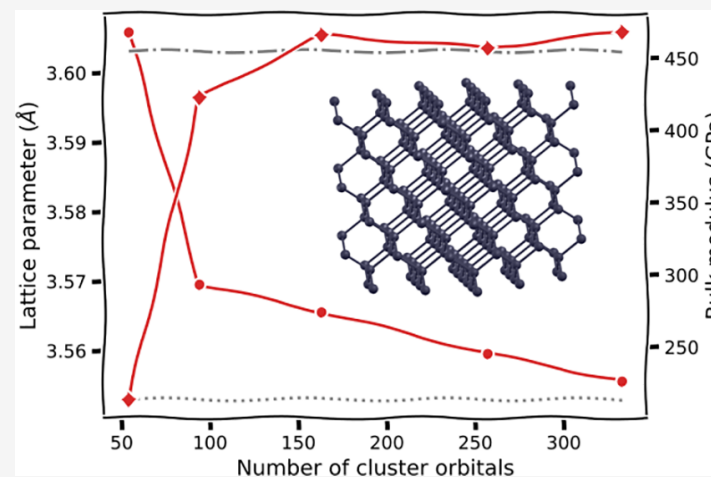


Article Recommendations



Supporting Information

ABSTRACT: Quantum embedding is an appealing route to fragment a large interacting quantum system into several smaller auxiliary “cluster” problems to exploit the locality of the correlated physics. In this work, we critically review approaches to recombine these fragmented solutions in order to compute nonlocal expectation values, including the total energy. Starting from the democratic partitioning of expectation values used in density matrix embedding theory, we motivate and develop a number of alternative approaches, numerically demonstrating their efficiency and improved accuracy as a function of increasing cluster size for both energetics and nonlocal two-body observables in molecular and solid state systems. These approaches consider the N -representability of the resulting expectation values via an implicit global wave function across the clusters, as well as the importance of including contributions to expectation values spanning multiple fragments simultaneously, thereby alleviating the fundamental locality approximation of the embedding. We clearly demonstrate the value of these introduced functionals for reliable extraction of observables and robust and systematic convergence as the cluster size increases, allowing for significantly smaller clusters to be used for a desired accuracy compared to traditional approaches in *ab initio* wave function quantum embedding.



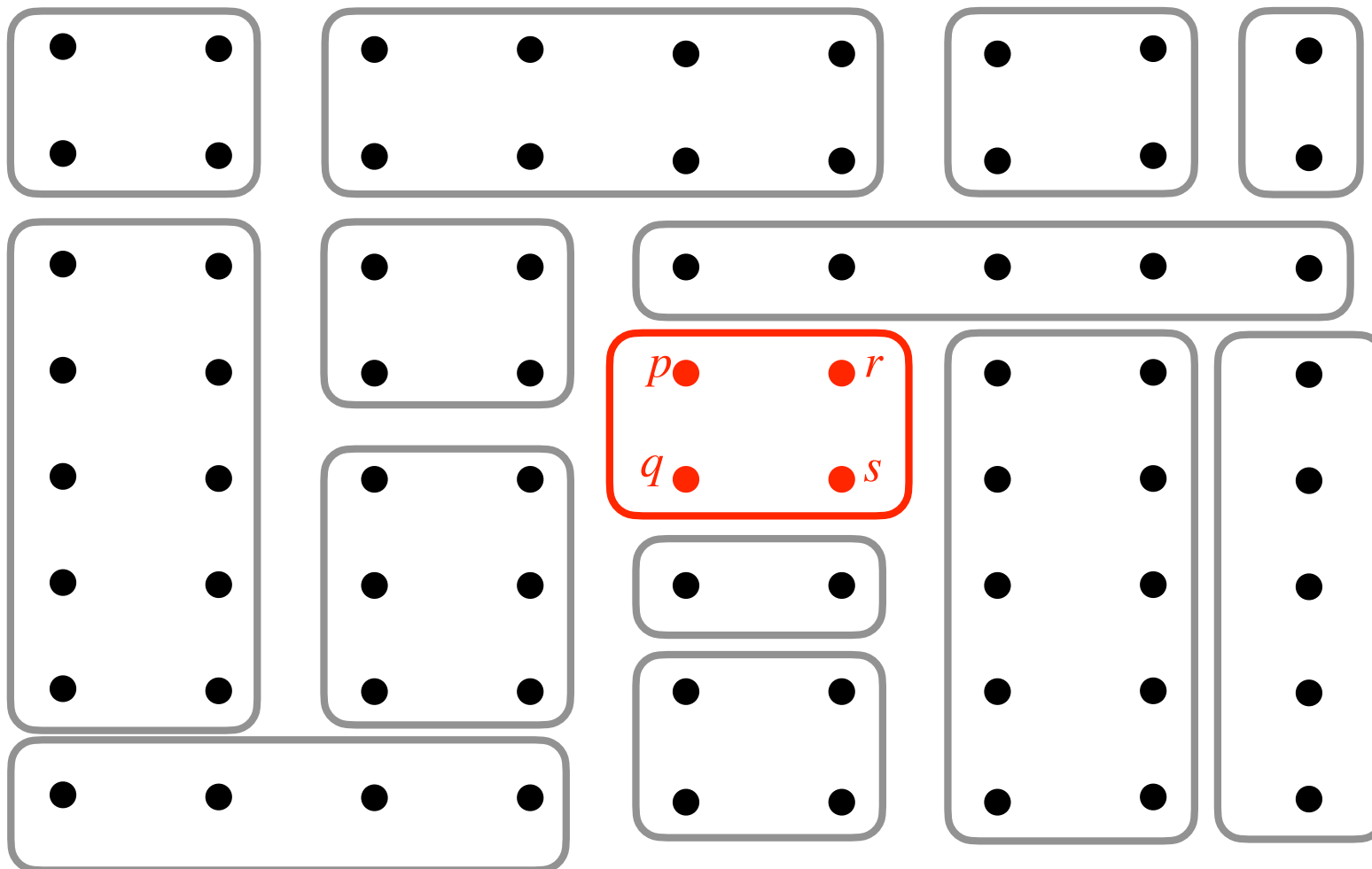
Local evaluation of the energy (in a **localised** spin-orbital basis)

So-called “lattice representation”

$$\langle \hat{H} \rangle = \sum_{pq} h_{pq} \langle \hat{c}_p^\dagger \hat{c}_q \rangle + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \langle \hat{c}_p^\dagger \hat{c}_q^\dagger \hat{c}_s \hat{c}_r \rangle$$

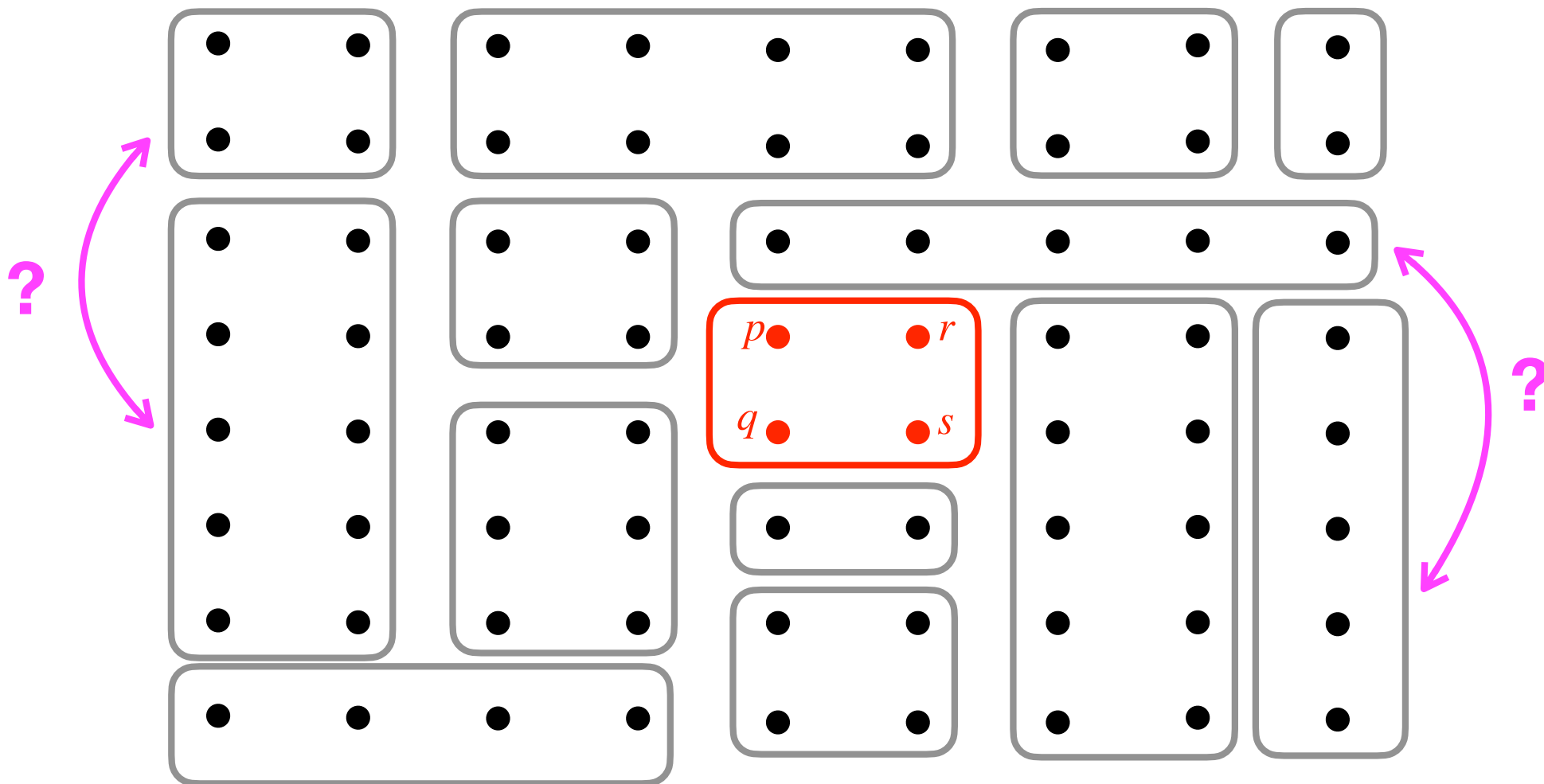
One-electron density matrix (1RDM)
Two-electron density matrix (2RDM)

Fragmentation



$$\langle \hat{H} \rangle = \sum_{pq} h_{pq} \langle \hat{c}_p^\dagger \hat{c}_q \rangle + \frac{1}{2} \sum_{pqrs} \langle pq | rs \rangle \langle \hat{c}_p^\dagger \hat{c}_q^\dagger \hat{c}_s \hat{c}_r \rangle$$

One-electron density matrix (1RDM)
Two-electron density matrix (2RDM)



N-representability problem

$$\langle \hat{c}_p^\dagger \hat{c}_q \rangle_{clusters} \stackrel{?}{=} \langle \Psi | \hat{c}_p^\dagger \hat{c}_q | \Psi \rangle$$

$$\langle \hat{c}_p^\dagger \hat{c}_q^\dagger \hat{c}_r \hat{c}_s \rangle_{clusters} \stackrel{?}{=} \langle \Psi | \hat{c}_p^\dagger \hat{c}_q^\dagger \hat{c}_r \hat{c}_s | \Psi \rangle$$

