

# An introduction to Green's function in many-body condensed-matter quantum systems

International summer School in electronic structure Theory: electron correlation in Physics and Chemistry

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June 17, 2015

# Part I

## Green's functions for non-interacting electrons

By non-interacting electrons, we mean systems described by one-body eigenstates  $\{\phi(\mathbf{r})\}$  obeying a one-body Schrödinger equation. This includes mean-field approaches such as density functional theory, Hartree-Fock and hybrids !

- ▶ From the evolution operator to the retarded Green's function
- ▶ Defining the Green's functions we need: retarded, advanced, time-ordered
- ▶ Basics of Green's function perturbation theory

# Green's function and inhomogeneous differential equations

(Wikipedia) George Green (14 July 1793 - 31 May 1841) was a British mathematical physicist who wrote *An Essay on the Application of Mathematical Analysis to the Theories of Electricity and Magnetism* (Green, 1828). In mathematics, a Green's function is the impulse response of an inhomogeneous differential equation, namely:

$$\mathcal{L}(x, d_x, ..)\phi(x) = S(x) \quad (1)$$

where  $S(x)$  is known and  $\phi(x)$  to be found. We define the Green's function as the solution of:

$$\mathcal{L}(x, d_x, ..)G(x, x_0) = \delta(x - x_0) \quad (2)$$

The importance of the Green's function is that it can yields the solution of the inhomogeneous differential equation for any source  $S$  (Exercise):

$$\phi(x) = \int dx_0 G(x, x_0)S(x_0) \quad (3)$$

# Green's function for the Laplacian

Consider Laplace's (3D) equation with right-hand side delta-function:

$$\nabla^2 G(\mathbf{r}, \mathbf{r}_0) = \Delta G(\mathbf{r}, \mathbf{r}_0) = \delta(\mathbf{r} - \mathbf{r}_0).$$

It can be shown that:

$$G(\mathbf{r}, \mathbf{r}_0) = \frac{-1}{4\pi|\mathbf{r} - \mathbf{r}_0|}.$$

The solution of the Poisson equation in electrostatics:

$$\Delta V(\mathbf{r}) = -\rho(\mathbf{r})/\epsilon_0$$

( $\rho$  charge density) is thus as expected:

$$V(\mathbf{r}) = \frac{1}{4\pi\epsilon_0} \int d\mathbf{r}_0 \frac{\rho(\mathbf{r}_0)}{|\mathbf{r} - \mathbf{r}_0|}.$$

# Quantum mechanics reminder: the evolution operator U

The time evolution operator relates a quantum state at time (t) with the same quantum state at time ( $t_0$ ):  $|\psi(t)\rangle = \hat{U}(t, t_0)|\psi(t_0)\rangle$ .

Plugging  $|\psi(t)\rangle$  into Schrödinger equation:

$$i\hbar \frac{\partial}{\partial t} \hat{U}(t, t_0)|\psi(t_0)\rangle = \hat{H}(t)\hat{U}(t, t_0)|\psi(t_0)\rangle \Rightarrow i\hbar \frac{\partial}{\partial t} \hat{U}(t, t_0) = \hat{H}(t)\hat{U}(t, t_0)$$

This implies using a Taylor expansion:

$$\hat{U}(t + dt, t) = \hat{U}(t, t) - \frac{i}{\hbar} \hat{H}(t)\hat{U}(t, t)dt = 1 - \frac{i}{\hbar} \hat{H}(t)dt$$

One therefore have (Exercise):  $\hat{U}(t + dt, t)\hat{U}^\dagger(t + dt, t) = 1$  (unitarity).

If the Hamiltonian is time-independent:  $\hat{U}(t, t_0) = e^{-i\hat{H}(t-t_0)/\hbar}$ .

# Quantum mechanics reminder: the propagator $K$

We look for an operator  $K$  such that:

$$\psi(\mathbf{r}_2 t_2) = \int d\mathbf{r}_1 K(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) \psi(\mathbf{r}_1 t_1)$$

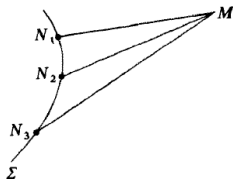
We just need to introduce the closure relation in position representation:

$$\int d\mathbf{r}_1 |\mathbf{r}_1\rangle \langle \mathbf{r}_1| = 1$$

into the definition of  $\hat{U}$  to obtain (Exercise):

$$\psi(\mathbf{r}_2 t_2) = \int \langle \mathbf{r}_2 | \hat{U}(t_2, t_1) | \mathbf{r}_1 \rangle \psi(\mathbf{r}_1 t_1) d\mathbf{r}_1 \Rightarrow K(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \langle \mathbf{r}_2 | \hat{U}(t_2, t_1) | \mathbf{r}_1 \rangle$$

The propagator  $K$  propagates the probability amplitude: if we know the amplitude of probability for the system to be in state  $\psi(\mathbf{r}_1 t_1)$  (for all  $\mathbf{r}_1$ ), then we know the amplitude of probability for the system to be in  $\psi(\mathbf{r}_2 t_2)$ .



**Figure.** Huygens principle of the field in  $M$  as the contribution from secondary sources on surface  $\Sigma$ . From Quantum Mechanics, Chap. III, Complement  $J_{III}$ , Cohen-Tannoudji, Diu, Lalo  .

# The retarded propagator $K^r$

We now define the retarded propagator, deciding that  $\psi(\mathbf{r}_2 t_2)$  can only depend on the  $\psi(\mathbf{r}_1 t_1)$  for times ( $t_1 \leq t_2$ ). We introduce the step (or Heaviside) function:  $\theta(t_2 - t_1)$  which is equal to 1 for ( $t_1 \leq t_2$ ), and zero elsewhere. We then write, with the subscript (r) for retarded:

$$K^r(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \theta(t_2 - t_1) \langle \mathbf{r}_2 | \hat{U}(t_2, t_1) | \mathbf{r}_1 \rangle$$

To study the properties of  $K^r$ , we consider the case of a time independent Hamiltonian so that, introducing the closure relation over the  $\{\varepsilon_n, \phi_n\}$  Hamiltonian stationary eigenstates:

$$\hat{U}(t_2, t_1) = e^{-i\hat{H}(t_2-t_1)/\hbar} = \sum_n |\phi_n\rangle \langle \phi_n| e^{-i\varepsilon_n(t_2-t_1)/\hbar}$$

with the related expression for  $K^r$  :

$$K^r(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \theta(t_2 - t_1) \sum_n \phi_n(\mathbf{r}_2) \phi_n^*(\mathbf{r}_1) e^{-i\varepsilon_n(t_2-t_1)/\hbar}$$

# The retarded propagator $K^r$ as a Green's function

An important property of  $K^r$  that has been allowed by plugging the  $\theta(t_2 - t_1)$  factor is that  $K^r$  verifies the following equation (**Exercise**):

$$\left( i\hbar \frac{\partial}{\partial t_2} - \hat{H}(\mathbf{r}_2, \nabla_2) \right) K^r(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = i\hbar \delta(t_2 - t_1) \delta(\mathbf{r}_2 - \mathbf{r}_1)$$

where we have used the property:  $\partial \theta(t_2 - t_1) / \partial t_2 = \delta(t_2 - t_1)$ .

The retarded propagator is thus the solution of the Schrödinger equation with "delta" source terms in the right-hand-side: it is reminiscent of the definition of Green's functions in mathematics. To avoid the  $(i\hbar)$  term in the right-hand-side, it is customary to define the quantum retarded Green's function as:

$$i\hbar G^r(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = K^r(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \theta(t_2 - t_1) \langle \mathbf{r}_2 | \hat{U}(t_2, t_1) | \mathbf{r}_1 \rangle$$



## Exercise

$$\begin{aligned}\left(\frac{\partial}{\partial t_2}\theta(t_2 - t_1)\right) \sum_n \phi_n(\mathbf{r}_2)\phi_n^*(\mathbf{r}_1)e^{-i\varepsilon_n(t_2-t_1)/\hbar} \\&= \delta(t_2 - t_1) \sum_n \phi_n(\mathbf{r}_2)\phi_n^*(\mathbf{r}_1)e^{-i\varepsilon_n(t_2-t_1)/\hbar} \\&= \delta(t_2 - t_1) \sum_n \phi_n(\mathbf{r}_2)\phi_n^*(\mathbf{r}_1) \\&= \delta(t_2 - t_1)\delta(\mathbf{r}_2 - \mathbf{r}_1)\end{aligned}$$

and:

$$\left(i\hbar\frac{\partial}{\partial t_2} - \hat{H}(\mathbf{r}_2, \nabla_2)\right) \phi_n(\mathbf{r}_2)e^{-i\varepsilon_n t_2/\hbar} = 0$$

The delta-functions come from the Heaviside term.

## Complement: The advanced Green's function

We can also define an advanced Green's function such that:

$$i\hbar G^a(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = -\theta(t_1 - t_2) \langle \mathbf{r}_2 | \hat{U}(t_2, t_1) | \mathbf{r}_1 \rangle$$

which is non-zero for  $t_1 \geq t_2$ . Then:

$$i\hbar G^a(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = -\theta(t_1 - t_2) \sum_n \phi_n(\mathbf{r}_2) \phi_n^*(\mathbf{r}_1) e^{-i\varepsilon_n(t_2 - t_1)/\hbar}$$

$$\left( i\hbar \frac{\partial}{\partial t_2} - \hat{H}(\mathbf{r}_2, \nabla_2) \right) G^a(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \delta(t_2 - t_1) \delta(\mathbf{r}_2 - \mathbf{r}_1)$$

$G^r$  and  $G^a$  satisfy the very same equation, but with different "boundary conditions" on time.

## Complement: The time-ordered Green's function

Let's play a bit to show that we have many choices to define Green's function that can be useful to extract quantities we may need. We define now, introducing the chemical potential  $\mu$ :

$$\begin{aligned} i\hbar G^T(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = & \theta(t_2 - t_1) \sum_n \theta(\varepsilon_n - \mu) \phi_n(\mathbf{r}_2) \phi_n^*(\mathbf{r}_1) e^{-i\varepsilon_n(t_2 - t_1)/\hbar} \\ & - \theta(t_1 - t_2) \sum_n \theta(\mu - \varepsilon_n) \phi_n(\mathbf{r}_2) \phi_n^*(\mathbf{r}_1) e^{-i\varepsilon_n(t_2 - t_1)/\hbar} \end{aligned}$$

Then again:  $\left(i\hbar \frac{\partial}{\partial t_2} - \hat{H}(\mathbf{r}_2, \nabla_2)\right) G^T(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \delta(t_2 - t_1) \delta(\mathbf{r}_2 - \mathbf{r}_1)$

A nice thing with  $G^T$  is that we have separated occupied and unoccupied (virtual) states thanks to the  $\theta(\mu - \varepsilon_n)$  factor. As a consequence:

$$-i\hbar G^T(\mathbf{r}_2 t_2, \mathbf{r}_1 t_1) = \theta(\mu - \varepsilon_n) \sum_n \phi_n(\mathbf{r}_2) \phi_n^*(\mathbf{r}_1), \quad \text{for } t_1 = t_2 + 0^+$$

which is nothing but the one-particle density matrix.

# Green's function perturbation theory basics

We consider the eigensolutions of the Schrödinger equation with/without a potential  $V$  that we consider as the "perturbation":

$$[i\partial_t - H_0(r, \nabla_r) - V(\mathbf{r})] \psi(\mathbf{r}t) = 0$$

$$[i\partial_t - H_0(r, \nabla_r)] \psi_0(\mathbf{r}t) = 0$$

and the corresponding Green's function:

$$[i\partial_t - H_0(r, \nabla_r) - V(r)] G(\mathbf{r}t, \mathbf{r}'t') = \delta(\mathbf{r} - \mathbf{r}')\delta(t - t')$$

$$[i\partial_t - H_0(r, \nabla_r)] G_0(\mathbf{r}t, \mathbf{r}'t') = \delta(\mathbf{r} - \mathbf{r}')\delta(t - t').$$

Then (Exercise):

$$\psi(\mathbf{r}t) = \psi_0(\mathbf{r}t) + \int \int d\mathbf{r}' dt' G_0(\mathbf{r}t, \mathbf{r}'t') V(\mathbf{r}') \psi(\mathbf{r}'t')$$

$$\psi(\mathbf{r}t) = \psi_0(\mathbf{r}t) + \int \int d\mathbf{r}' dt' G(\mathbf{r}t, \mathbf{r}'t') V(\mathbf{r}') \psi_0(\mathbf{r}'t')$$

# Perturbation theory and the Dyson equation

From the previous equations (dropping the integration variables) :

$$\begin{aligned}\psi &= \psi_0 + G_0 V(\psi_0 + G_0 V\psi) \\ &= \psi_0 + G_0 V\psi_0 + G_0 V G_0 V(\psi_0 + G_0 V\psi) \\ &= \psi_0 + (G_0 + G_0 V G_0 + G_0 V G_0 V G_0 + \dots) V\psi_0\end{aligned}$$

which lays the fundamentals of a perturbation theory in terms of successive orders of the "scattering potential"  $V$ . Comparing with the last equation of the previous slide, one ends up with the so-called Dyson equation:

$$G = G_0 + G_0 V G \quad \text{or symbolically:} \quad G^{-1} = G_0^{-1} - V.$$

namely, with e.g.  $1 = (\mathbf{r}_1 t_1)$  and  $V(34) = V(\mathbf{r}_3)\delta(\mathbf{r}_3 - \mathbf{r}_4)\delta(t_3 - t_4)$ :

$$G(12) = G_0(12) + \int \int d2d3 G_0(13)V(34)G(42),$$

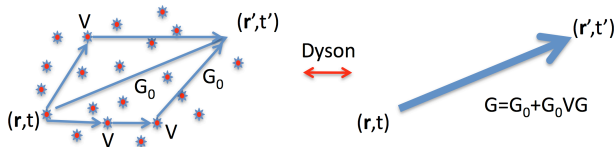
Note that  $G$  is known to all orders in  $V$  (assuming  $G_0$  and  $V$  known) !

# The quantum billiard

We have seen that:

$$G = G_0 + G_0 V G = G_0 + G_0 V G_0 + G_0 V G_0 V G_0 + \dots$$

This represents the amplitude of probability of going from  $(\mathbf{r}, t)$  to  $(\mathbf{r}', t')$  without "collision" ( $G_0$ ), with one collision ( $G_0 V G_0$ ), with two collisions ( $G_0 V G_0 V G_0$ ).



Note that contrary to a true billiard, the interaction can be long range.

## Part II: Green's functions for interacting electrons

- ▶ Second quantization: creation/destruction and field operators
- ▶ Schrödinger and Heisenberg representation
- ▶ Definition: the time-ordered one-particle Green's function

### References:

- ▶ A. L. Fetter and J. D. Walecka, Quantum Theory of Many-Particle Physics (McGraw-Hill, New York, 1971),
- ▶ R. D. Mattuck, A Guide to Feynman Diagrams in the Many-Body Problem, (McGraw-Hill, 1976) [reprinted by Dover, 1992].

# Creation/destruction operators

We define the "vacuum" state  $|0\rangle$  with zero particles.

We then define the "creation operator" ( $c_i^\dagger$ ) that puts one particle in orbital  $\phi_i$ :  $c_i^\dagger|0\rangle = |n_i = 1\rangle$ .

The destruction operator ( $c_i$ ) can remove the particle from this state:  $c_i c_i^\dagger|0\rangle = c_i|n_i = 1\rangle = |0\rangle$ .

To preserve the anti-symmetry of fermionic wavefunctions:

$$\{c_i^\dagger, c_j^\dagger\} = 0 \quad \text{and its adjoint } \{c_i, c_j\} = 0, \quad \text{with: } \{\hat{A}, \hat{B}\} = \hat{A}\hat{B} + \hat{B}\hat{A}.$$

In particular:  $c_i^\dagger c_i^\dagger = c_i c_i = 0$  (nul operator) since for fermions one cannot create two particles in the same quantum state.



# Creation/destruction operators (II)

Further:  $c_i^\dagger c_i |n_1, n_2, \dots, n_i, \dots\rangle = n_i |n_1, n_2, \dots, n_i, \dots\rangle$ .

$c_i^\dagger c_i = \hat{n}_i$  count the number of particles in orbital (i).

Consequently:  $\sum_i \hat{n}_i = \hat{N}$  counts the number of fermions in the system.

Considering all possible cases ( $n_i$  or  $n_j = 0, 1$  for fermions), one find that:

$$\{c_i^\dagger, c_j\} = \delta_{ij} \quad \text{namely : } c_i^\dagger c_j + c_j c_i^\dagger = \delta_{ij}.$$

which allows e.g. to demonstrate the normalization of:

$$|\dots n_i \dots\rangle = \prod_i (c_i^\dagger)^{n_i} |0\rangle$$

# Change of basis and the field operator

From the set of (creation/destruction) operators associated with a basis  $|\alpha\rangle$ , one can by using the closure relation:  $\sum_{\alpha} |\alpha\rangle\langle\alpha| = 1$  obtain the creation/destruction operators in another  $|\beta\rangle$  basis:

$$c_{\beta}^{\dagger}|0\rangle = |\beta\rangle = \sum_{\alpha} \langle\alpha|\beta\rangle |\alpha\rangle = \sum_{\alpha} \langle\alpha|\beta\rangle c_{\alpha}^{\dagger}|0\rangle$$

so that (with a similar demonstration for the destruction operator):

$$c_{\beta}^{\dagger} = \sum_{\alpha} \langle\alpha|\beta\rangle c_{\alpha}^{\dagger} \quad \text{and:} \quad c_{\beta} = \sum_{\alpha} \langle\beta|\alpha\rangle c_{\alpha}.$$

A special basis is given by the  $|\mathbf{r}\rangle$  position representation, yielding the field operators:

$$"c_{\mathbf{r}}" = \hat{\psi}(\mathbf{r}) = \sum_{\alpha} \langle\mathbf{r}|\alpha\rangle c_{\alpha} = \sum_{\alpha} \phi_{\alpha}(\mathbf{r}) c_{\alpha}$$

# Field operators: properties and interpretation

They verify the standard (fermionic) commutation relations:

$$\{\hat{\psi}(\mathbf{r}), \hat{\psi}(\mathbf{r}')\} = 0, \quad \text{and:} \quad \{\hat{\psi}(\mathbf{r}), \hat{\psi}^\dagger(\mathbf{r}')\} = \delta(\mathbf{r} - \mathbf{r}').$$

For an interpretation, let's act with the creation field onto the vacuum state and take the associated probability amplitude in  $(\mathbf{r}')$ :

$$\langle \mathbf{r}' | \hat{\psi}^\dagger(\mathbf{r}) | 0 \rangle = \langle \mathbf{r}' | \sum_{\alpha} \phi_{\alpha}^*(\mathbf{r}) c_{\alpha}^{\dagger} | 0 \rangle = \sum_{\alpha} \phi_{\alpha}^*(\mathbf{r}) \langle \mathbf{r}' | \alpha \rangle = \delta(\mathbf{r} - \mathbf{r}').$$

The field operator  $\hat{\psi}^\dagger(\mathbf{r})$  adds a particle in the "state"  $|\mathbf{r}\rangle$ , namely creates a particle (fermion) in  $(\mathbf{r})$  ! The destruction operator  $\hat{\psi}(\mathbf{r})$  destroys it. We can also define the number-of-particle operator:

$$\hat{N} = \sum_{\alpha} c_{\alpha}^{\dagger} c_{\alpha} = \int d\mathbf{r} \hat{\rho}(\mathbf{r}) \quad \text{with:} \quad \hat{\rho}(\mathbf{r}) = \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r})$$

which counts the number of particles in the  $(\alpha)$  states or as a function of their space location.  $\hat{\rho}(\mathbf{r})$  is the density operator.

# The (usual) Schrödinger representation

Assume the standard many-body Hamiltonian  $\hat{H}$ :

$$\hat{H} = \sum_{i=1}^N \frac{-\hbar^2 \nabla^2}{2m_e} + \sum_{I,i} \frac{1}{4\pi\epsilon_0} \frac{-Z_I}{|\mathbf{R}_I - \mathbf{r}_i|} + \frac{1}{2} \sum_{i \neq j} \frac{1}{4\pi\epsilon_0} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}$$

where  $\{\mathbf{R}_I, Z_I\}$  are the ions position and charge, while the  $\{\mathbf{r}_i\}$  ( $i=1,N$ ) indicate the position of the N-electrons in the system. Such an Hamiltonian is time-independent. On the contrary, the eigen-wavefunctions are time-dependent, satisfying the Schrödinger equation:

$$i\hbar \frac{d|\psi_S(t)\rangle}{dt} = \hat{H}\psi_S(t), \quad \Rightarrow |\psi_S(t)\rangle = e^{-i\hat{H}(t-t_0)/\hbar} |\psi_S(t_0)\rangle,$$

where we use the (S)-index for "Schrödinger".

# The Heisenberg representation

We now define the eigenstates in the Heisenberg representation:

$$|\psi_H(t)\rangle = \exp(i\hat{H}t/\hbar)|\psi_S(t)\rangle \Rightarrow i\hbar \frac{d|\psi_H(t)\rangle}{dt} = 0.$$

Concerning the operators in such a representation:

$$\begin{aligned}\langle \psi'_S | \hat{O}_S | \psi_S \rangle &= \langle \psi'_H | \exp(i\hat{H}t/\hbar) \hat{O}_S \exp(-i\hat{H}t/\hbar) | \psi_H \rangle \\ &= \langle \psi'_H | \hat{O}_H(t) | \psi_H \rangle,\end{aligned}$$

with:  $\hat{O}_H(t) = \exp(i\hat{H}t/\hbar) \hat{O}_S \exp(-i\hat{H}t/\hbar)$ , so that (Exercice):

$$i\hbar \frac{d\hat{O}_H(t)}{dt} = [\hat{O}_H(t), \hat{H}]. \quad \text{The time evolution is now in the operator !}$$

# The time-ordered single-particle Green's function

We DEFINE the time-ordered single-particle Green's function as follows:

$$i\hbar G(\mathbf{r}t, \mathbf{r}'t') = \frac{\langle \psi_H^0 | T [\hat{\psi}_H(\mathbf{r}t) \hat{\psi}_H^\dagger(\mathbf{r}'t')] | \psi_H^0 \rangle}{\langle \psi_H^0 | \psi_H^0 \rangle},$$

where:

- ▶  $|\psi_H^0\rangle$  is the ground-state many-body wave function in the Heisenberg representation (time-independent),
- ▶  $\hat{\psi}_H(\mathbf{r}t)$  and  $\hat{\psi}_H^\dagger(\mathbf{r}'t')$  are the destruction/creation field operators in the Heisenberg representation (time-dependent),
- ▶  $T$  is the time-ordering operator, that orders the operators from left to right according to decreasing time (earliest on the right) with a  $(-1)$  factor for each permutation needed (for fermions).

# The time-ordered single-particle Green's function (II)

We use the definition of the time-ordering operator:

$$\begin{aligned} i\hbar G(\mathbf{r}t, \mathbf{r}'t') &= \frac{\langle \psi_H^0 | \hat{\psi}_H(\mathbf{r}t) \hat{\psi}_H^\dagger(\mathbf{r}'t') | \psi_H^0 \rangle}{\langle \psi_H^0 | \psi_H^0 \rangle} \quad t \geq t', \\ &= - \frac{\langle \psi_H^0 | \hat{\psi}_H^\dagger(\mathbf{r}'t') \hat{\psi}_H(\mathbf{r}t) | \psi_H^0 \rangle}{\langle \psi_H^0 | \psi_H^0 \rangle} \quad t < t'. \end{aligned}$$

or with:  $|\psi_H^0\rangle = e^{i\hat{H}t/\hbar} |\psi_S^0(t)\rangle$  and  $\hat{O}_H(\mathbf{r}t) = e^{i\hat{H}t/\hbar} \hat{O}_S(\mathbf{r}) e^{-i\hat{H}t/\hbar}$ :

$$\begin{aligned} i\hbar G(\mathbf{r}t, \mathbf{r}'t') &= \theta(t - t') \langle \psi_S^0(t) | \hat{\psi}_S(\mathbf{r}) e^{-i\hat{H}(t-t')/\hbar} \hat{\psi}_S^\dagger(\mathbf{r}') | \psi_S^0(t') \rangle \\ &\quad - \theta(t' - t) \langle \psi_S^0(t') | \hat{\psi}_S^\dagger(\mathbf{r}') e^{-i\hat{H}(t'-t)/\hbar} \hat{\psi}_S(\mathbf{r}) | \psi_S^0(t) \rangle, \end{aligned}$$

where we have taken  $|\psi_H^0\rangle$  to be normalised.

# The electron-propagator

Can we understand the following term ?

$$i\hbar G(\mathbf{r}t, \mathbf{r}'t') = \theta(t - t') \langle \psi_S^0(t) | \hat{\psi}_S(\mathbf{r}) e^{-i\hat{H}(t-t')/\hbar} \hat{\psi}_S^\dagger(\mathbf{r}') | \psi_S^0(t') \rangle$$

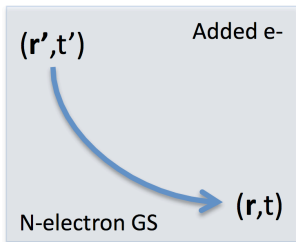
- ▶  $\hat{\psi}_S^\dagger(\mathbf{r}') | \psi_S^0(t') \rangle$  represents a state with one electron added in  $(\mathbf{r})'$  to the N-electron ground-state at time  $(t')$ ,
- ▶  $e^{i\hat{H}(t-t')/\hbar}$  propagates this state from time  $(t')$  to time  $(t)$ ,
- ▶ finally, one project this state onto  $\langle \psi_S^0(t) | \hat{\psi}_S(\mathbf{r})$  that is the bra of the  $\hat{\psi}_S^\dagger(\mathbf{r}) | \psi_S^0(t) \rangle$  ket, a state with one electron added in  $(\mathbf{r})$  to the N-electron ground-state at time  $(t)$ .

The final projection measures how much the  $\hat{\psi}_S^\dagger(\mathbf{r}') | \psi_S^0(t') \rangle$  (N+1)-electron-state overlaps after a  $(t-t')$  delay with the  $\hat{\psi}_S^\dagger(\mathbf{r}) | \psi_S^0(t) \rangle$  (N+1)-electron-state.



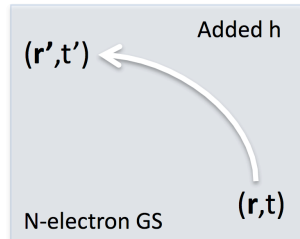
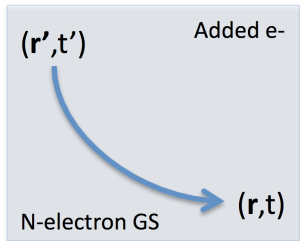
## The electron-propagator (II)

Remember that a wave function  $\psi(\mathbf{r}_1, \mathbf{r}_2, \dots)$  represents the amplitude of probability of finding an electron in  $(\mathbf{r}_1)$ , another one in  $(\mathbf{r}_2)$ , etc. As such, the process described here above can be interpreted as the amplitude of probability of finding an additional electron in  $(\mathbf{r}t)$  - additional to the N-electron ground-state - having previously added an additional electron in  $(\mathbf{r}'t')$  to the N-electron ground-state.



The one-body Green's function can be interpreted as a propagator of the added electron. Note that while describing the evolution of "one" electron from  $(\mathbf{r}'t')$  to  $(\mathbf{r}t)$ , it is a true many-body quantity accounting for all interactions (including the exchange!)

# The hole-propagator



We now examine  $G(\mathbf{r}t, \mathbf{r}'t')$  for  $t < t'$ .

$$i\hbar G(\mathbf{r}t, \mathbf{r}'t') = -\theta(t' - t) \times$$

$$\langle \psi_S^0(t') | \hat{\psi}_S^\dagger(\mathbf{r}') e^{-i\hat{H}(t'-t)/\hbar} \hat{\psi}_S(\mathbf{r}) | \psi_S^0(t) \rangle$$

Here we create a hole in  $(\mathbf{r})$  at time  $(t < t')$  in the N-electron ground-state and propagate this (N-1)-electron state from  $(t)$  to  $(t')$  where we project it onto the (N-1)-electron state where a hole has been created in  $(\mathbf{r}')$ . Again this is associated with the amplitude of probability for the hole to move from  $(\mathbf{r}t)$  to  $(\mathbf{r}'t')$ .

# Lehman amplitudes

Let's consider:

$$i\hbar G^>(\mathbf{r}t, \mathbf{r}'t') = \langle \psi_S^0(t) | \hat{\psi}_S(\mathbf{r}) e^{-i\hat{H}(t-t')/\hbar} \hat{\psi}_S^\dagger(\mathbf{r}') | \psi_S^0(t') \rangle$$

With  $\{E_n^{N+1}, \psi_H^{n,N+1}\}$  the eigenstates of the (N+1) electron system:

$$e^{-i\hat{H}(t-t')/\hbar} = \sum_n e^{-iE_n^{N+1}(t-t')/\hbar} |\psi_H^{n,N+1}\rangle \langle \psi_H^{n,N+1}|$$

$$\text{and } |\psi_S^0(t')\rangle = e^{-iE_0^N t'/\hbar} |\psi_H^0\rangle, \quad \langle \psi_S^0(t)| = \langle \psi_H^0| e^{iE_0^N t/\hbar}$$

we obtain (with the "overbar" for the complex conjugate) :

$$\langle \psi_S^0(t) | \hat{\psi}_S(\mathbf{r}) e^{-i\hat{H}(t-t')/\hbar} \hat{\psi}_S^\dagger(\mathbf{r}') | \psi_S^0(t') \rangle = \sum_n f_n^{N+1}(\mathbf{r}) \bar{f}_n^{N+1}(\mathbf{r}') e^{-i\varepsilon_n^{N+1}(t-t')/\hbar}$$

$f_n^{N+1}(\mathbf{r}) = \langle \psi_H^0 | \hat{\psi}_S(\mathbf{r}) | \psi_H^{n,N+1} \rangle$  is called an (addition) Lehman amplitude.

$\varepsilon_n^{N+1} = (E_n^{N+1} - E_0^N)$  is an addition energy.

## Lehman amplitudes (II)

We can proceed similarly with the hole-related part of the Green's function to obtain:

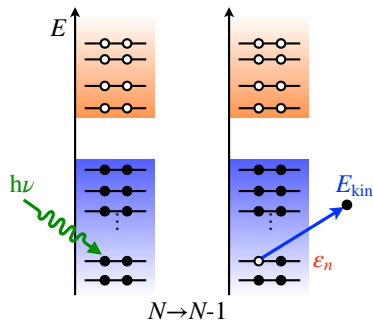
$$\begin{aligned} i\hbar G(\mathbf{r}t, \mathbf{r}'t') = & \theta(t - t') \sum_n f_n^{N+1}(\mathbf{r}) \bar{f}_n^{N+1}(\mathbf{r}') e^{-i\varepsilon_n^{N+1}(t-t')/\hbar} \\ & - \theta(t' - t) \sum_n f_n^{N-1}(\mathbf{r}) \bar{f}_n^{N-1}(\mathbf{r}') e^{-i\varepsilon_n^{N-1}(t-t')/\hbar} \end{aligned}$$

where we have introduced the Lehman removal amplitude and removal energies:

$$f_n^{N-1}(\mathbf{r}) = \langle \psi_H^{n, N-1} | \hat{\psi}_S(\mathbf{r}) | \psi_H^0 \rangle \quad \text{and} \quad \varepsilon_n^{N-1} = (E_0^N - E_n^{N-1})$$

This form is very reminiscent of the independent electron Green's function, but one should not identify the Lehman amplitudes as one-body wavefunctions (except for non-interacting electron systems, see below).

# Addition/removal energies and photoemission experiments

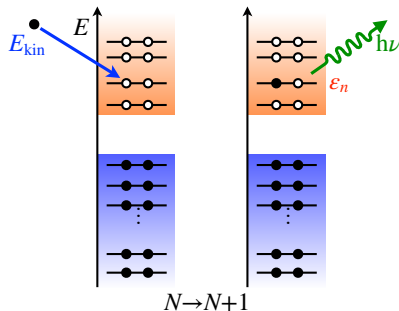


Energy conservation:

$$h\nu + E_0^N = E_{kin} + E_n^{N-1}$$

Identify:

$$\epsilon_n^{N-1} = E_0^N - E_n^{N-1} (< \mu).$$



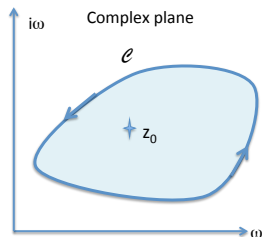
Energy conservation:

$$E_{kin} + E_0^N = h\nu + E_n^{N-1}$$

Identify:

$$\epsilon_n^{N+1} = E_n^{N+1} - E_0^N (> \mu).$$

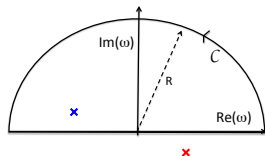
# Parenthesis: the residue theorem



If the function of the complex variable  $f(z)$  has no poles inside the contour  $\mathcal{C}$ , then the residue theorem states that:

$$\oint_{\mathcal{C}} dz \frac{f(z)}{(z - z_0)} = 2i\pi f(z_0)$$

Corollary. Consider the function  $(g)$  with  $(a, b, \eta)$  positive numbers (A/B spectral weights):



$$g(\omega) = \frac{A}{\omega + a - i\eta} + \frac{B}{\omega - b + i\eta}$$

$$\text{Then: } \frac{1}{2i\pi} \int_{-\infty}^{\infty} d\omega e^{i\omega 0^+} g(\omega) = A$$

With  $e^{i\omega 0^+}$ , the integrand goes to zero on the  $(R \rightarrow \infty)$  semi-circle.

# Time-ordered Green's function in the frequency domain

Defining the Fourier transform :  $g(\omega) = \int d\tau e^{i\omega\tau} g(\tau)$ , with (use complex integration and residue theorem):

$$\theta(\pm\tau) = \mp \lim_{\eta \rightarrow 0^+} \frac{1}{2i\pi} \int_{-\infty}^{+\infty} d\omega \frac{e^{-i\omega\tau}}{\omega \pm i\eta}, \quad \text{one obtains (Exercise):}$$

$$G(\mathbf{r}, \mathbf{r}'; \omega) = \sum_n \frac{f_n(\mathbf{r}) f_n^*(\mathbf{r}')}{\hbar\omega - \varepsilon_n + i\eta \hbar \operatorname{sgn}(\varepsilon_n - \mu)}$$

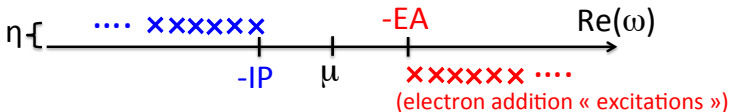
where the  $\phi_n$  and  $\varepsilon_n$  are the addition/removal Lehman amplitudes and energies depending on the sign of  $(\varepsilon_n - \mu)$ .

# Poles of the time-ordered Green's function

The Green's function has poles at the:

- (1) "electron addition energies":  $\hbar\omega = (E_n^{N+1} - E_0^N) - i\eta$ ,
- (2) "electron removal energies":  $\hbar\omega = (E_0^N - E_n^{N-1}) + i\eta$ .

(hole addition « excitations »)



On this graph, we have added:

- ▶ the ionisation potential:  $IP = (E_0^{N-1} - E_0^N)$ ,
- ▶ the electronic affinity:  $AE = (E_0^N - E_0^{N+1})$ ,
- ▶ and the gap in between with the chemical potential  $\mu$ .



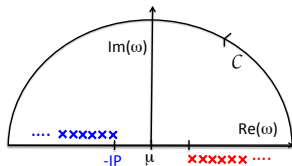
# The charge density from $G^T$

Let's verify a relation demonstrated in the non-interacting case:

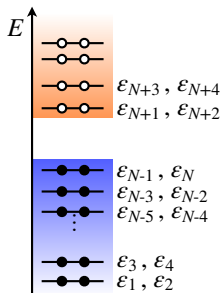
$$\begin{aligned}
 -i\hbar G^T(\mathbf{r}t, \mathbf{r}(t+0^+)) &= \theta(t' - t) \sum_n f_n^{N-1}(\mathbf{r}) \bar{f}_n^{N-1}(\mathbf{r}) e^{-i\varepsilon_n^{N-1}(-0^+)/\hbar} \\
 &= \sum_n \langle \psi_H^0 | \hat{\psi}_S^\dagger(\mathbf{r}) | \psi_H^{n, N-1} \rangle \langle \psi_H^{n, N-1} | \hat{\psi}_S(\mathbf{r}) | \psi_H^0 \rangle \\
 &= \langle \psi_H^0 | \hat{\psi}_S^\dagger(\mathbf{r}) \hat{\psi}_S(\mathbf{r}) | \psi_H^0 \rangle = n(\mathbf{r})
 \end{aligned}$$

Using now the frequency domain and the "residue theorem":

$$\begin{aligned}
 \frac{1}{2i\pi} \int_{-\infty}^{\infty} d\omega e^{i\omega 0^+} G^T(\mathbf{r}, \mathbf{r}; \omega) &= n(\mathbf{r}) \\
 &= \sum_n \langle \psi_0^N | \psi_S^\dagger(\mathbf{r}') | \psi_n^{N-1} \rangle \langle \psi_n^{N-1} | \psi_S(\mathbf{r}) | \psi_0^N \rangle \\
 &= \langle \psi_0^N | \psi_S^\dagger(\mathbf{r}) \psi_S(\mathbf{r}) | \psi_0^N \rangle = \langle \psi_0^N | \hat{n}(\mathbf{r}) | \psi_0^N \rangle
 \end{aligned}$$



# Systems described by a single Slater determinant



$$|\psi_0^N\rangle = |n_1, n_2, n_3, \dots, n_N, 0, 0, \dots\rangle$$

$$|\psi_n^{N+1}\rangle = |n_1, n_2, n_3, \dots, n_N, 0, 0, \dots, n_{N+n}, \dots\rangle$$

$$\hat{\psi}_S(\mathbf{r}) = \sum_k \phi_k(\mathbf{r}) \hat{c}_k$$

where all  $\{n_i\}$  are equal to 1 and "populate" the  $\phi_i$  orbitals.

$$\text{Then: } f_n^{N+1}(\mathbf{r}) = \langle \psi_0^N | \psi_S(\mathbf{r}) | \psi_n^{N+1} \rangle = (-1)^N \phi_{N+n}(\mathbf{r}).$$

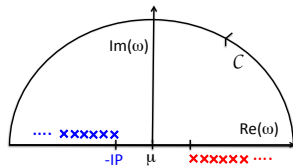
If the  $\{\phi_n\}$  are the one-body Hamiltonian eigenstates then the Lehman amplitudes can be identified to the Hamiltonian eigen-wavefunctions.

To conclude:  $\Sigma^X = iGV^C$  as an introduction to  $GW$

Let's consider the integral:

$$\frac{i}{2\pi} \int_C d\omega e^{i\omega 0^+} G^T(\mathbf{r}, \mathbf{r}'; \omega) V^C(\mathbf{r}, \mathbf{r}')$$

with  $(0^+)$  an infinitesimal positive and  $V^C$  the Coulomb potential, where the contour  $\mathcal{C}$  is in the upper half-plane.



We can then use the residue theorem to obtain:

$$\begin{aligned} \frac{i}{2\pi} \int_{-\infty}^{\infty} d\omega e^{i\omega\eta} G^T(\mathbf{r}, \mathbf{r}'; \omega) V^C(\mathbf{r}, \mathbf{r}') &= \frac{i}{2\pi} (2i\pi) \sum_n^{\text{occupied}} \phi_n(\mathbf{r}) \phi_n^*(\mathbf{r}') V^C(\mathbf{r}, \mathbf{r}') \\ &= - \sum_n^{\text{occupied}} \frac{\phi_n(\mathbf{r}) \phi_n^*(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} \end{aligned}$$

which is the exchange Fock operator (putting back properly the spin !).

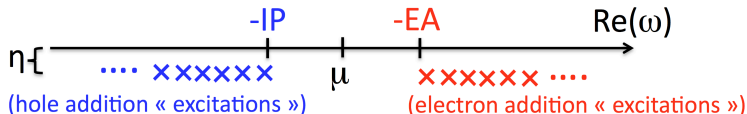
# Complement: Retarded Green's function

We define the retarded single-particle Green's function as follows:

$$i\hbar G^R(\mathbf{r}t, \mathbf{r}'t') = \theta(t - t') \langle \psi_H^0 | \{ \hat{\psi}_H(\mathbf{r}t), \hat{\psi}_H^\dagger(\mathbf{r}'t') \} | \psi_H^0 \rangle$$

where the time-ordering operator has been removed. The retarded Green's function has all poles in the lower half complex plane:

- (1) "electron addition energies":  $\hbar\omega = (E_n^{N+1} - E_0^N) - i\eta$ ,
- (2) "electron removal energies":  $\hbar\omega = (E_0^N - E_n^{N-1}) - i\eta$ .



# Complement: Advanced Green's function

We define the advanced single-particle Green's function as follows:

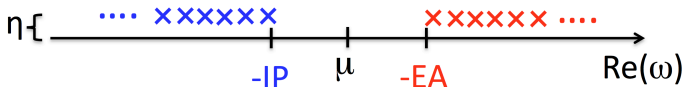
$$i\hbar G^A(\mathbf{r}t, \mathbf{r}'t') = -\theta(t' - t) \langle \psi_H^0 | \{ \hat{\psi}_H(\mathbf{r}t), \hat{\psi}_H^\dagger(\mathbf{r}'t') \} | \psi_H^0 \rangle$$

The advanced Green's function has all poles in the upper half complex plane:

- (1) "electron addition energies":  $\hbar\omega = (E_n^{N+1} - E_0^N) + i\eta$ ,
- (2) "electron removal energies":  $\hbar\omega = (E_0^N - E_n^{N-1}) + i\eta$ .

(hole addition « excitations »)

(electron addition « excitations »)



## Complement: Spectral functions

Using the relation:  $\text{Im} \left( \frac{1}{\omega \pm i\eta} \right) = \mp \pi \delta(\omega)$ , one finds:

$$\left( \frac{-1}{\pi} \right) \text{Im} G^R(\mathbf{r}, \mathbf{r}'; \omega) = A(\mathbf{r}, \mathbf{r}'; \omega) + B(\mathbf{r}, \mathbf{r}'; \omega) \quad \text{with:}$$

$$A(\mathbf{r}, \mathbf{r}'; \omega) = \sum_n f_n^{N+1}(\mathbf{r}) [f_n^{N+1}(\mathbf{r}')]^* \delta(\omega - (E_n^{N+1} - E_O^N))$$

$$B(\mathbf{r}, \mathbf{r}'; \omega) = \sum_n f_n^{N-1}(\mathbf{r}) [f_n^{N-1}(\mathbf{r}')]^* \delta(\omega - (E_N^0 - E_n^{N-1}))$$

In return:  $G^R(\mathbf{r}, \mathbf{r}'; \omega) = \int d\omega' \frac{[A(\mathbf{r}, \mathbf{r}'; \omega') + B(\mathbf{r}, \mathbf{r}'; \omega')]}{\omega - \omega' + i\eta}$ . Further:

$$\int d\omega' [A(\mathbf{r}, \mathbf{r}'; \omega') + B(\mathbf{r}, \mathbf{r}'; \omega')] = \langle \psi_0^N | \{ \hat{\psi}(\mathbf{r}), \hat{\psi}^\dagger(\mathbf{r}') \} | \psi_0^N \rangle = \delta(\mathbf{r} - \mathbf{r}')$$

The spectral functions are related to local density of states.