

The GW approximation in 2×90 minutes + additional time

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Outline

I. Introduction: going beyond DFT

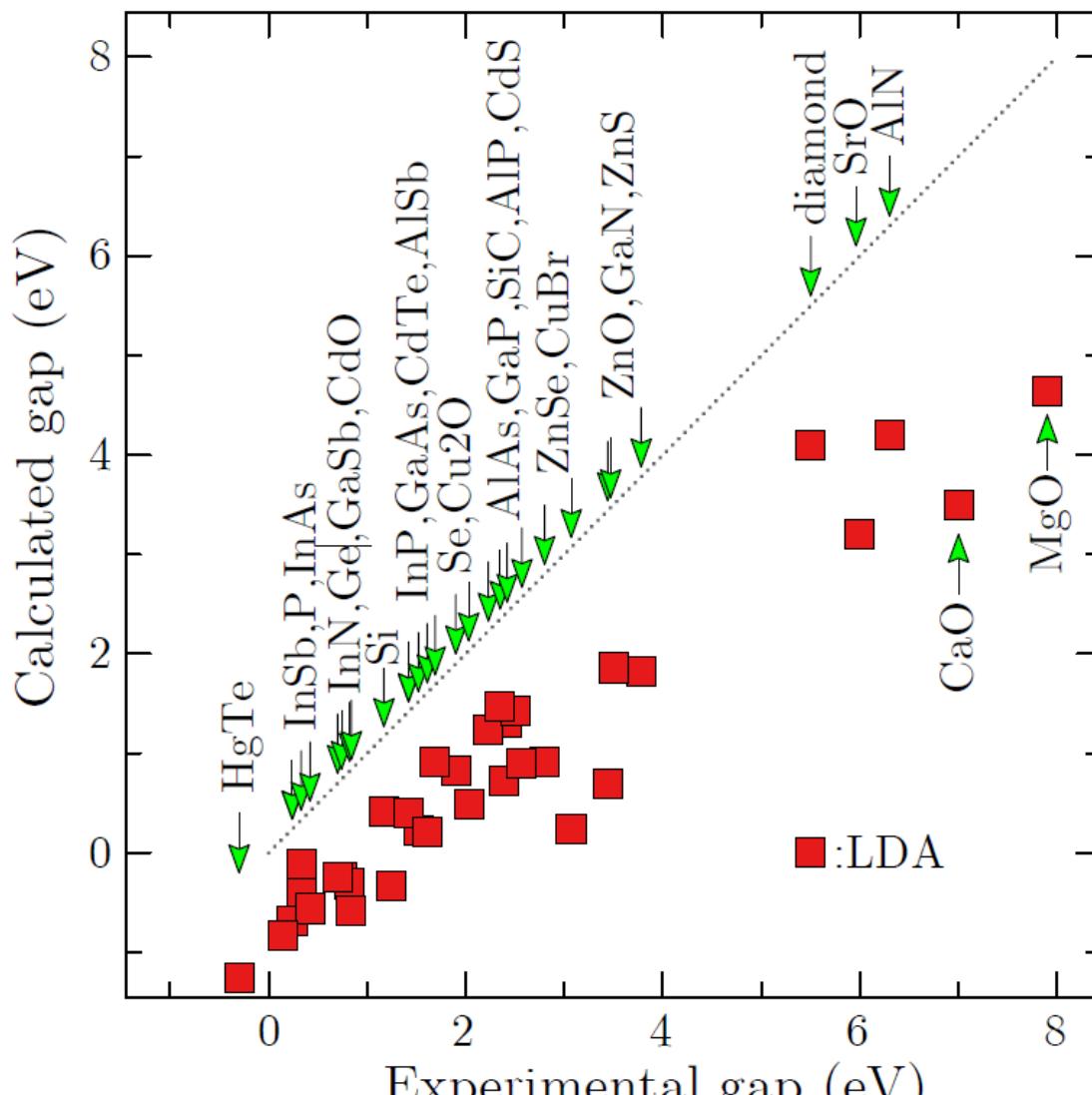
II. Introduction of the Green's function

III. Exact Hedin's equations and the GW approximation

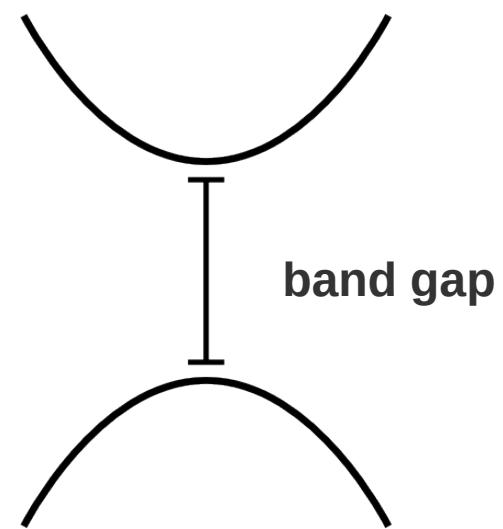
IV. Calculating the GW self-energy in practice

V. Applications

Standard DFT has unfortunately some shortcomings



after van Schilfgaarde et al PRL 96 226402 (2008)

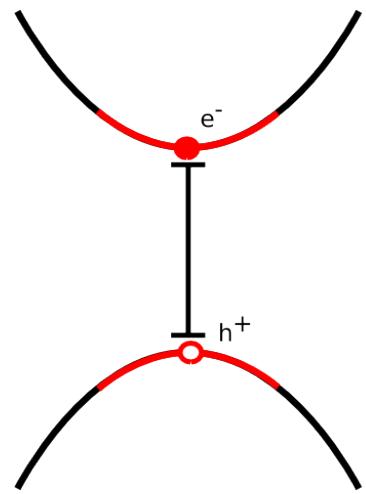


Band gap problem!

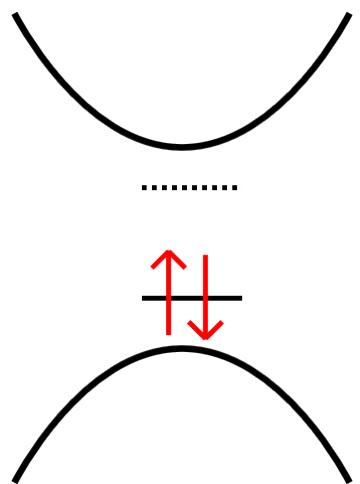


A pervasive problem

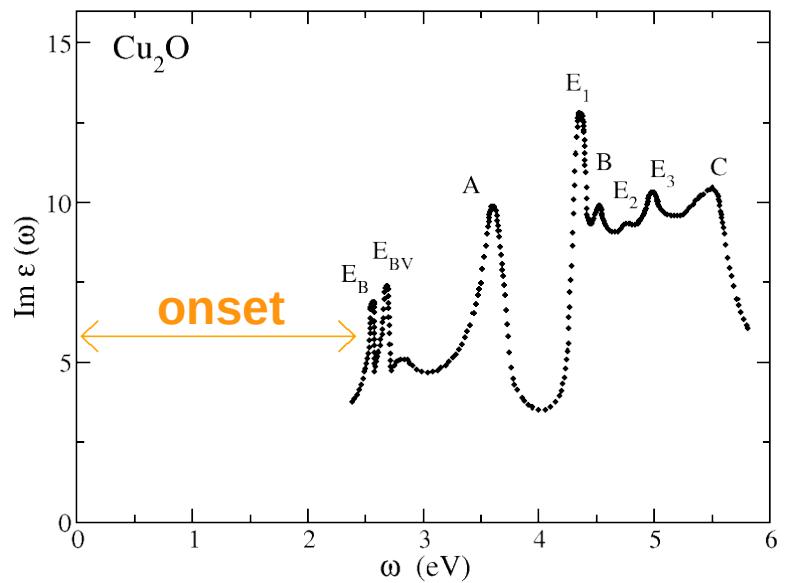
Effective masses
for transport in semiconductors



Defect formation energy,
dopant solubility



Optical absorption



Photoemission

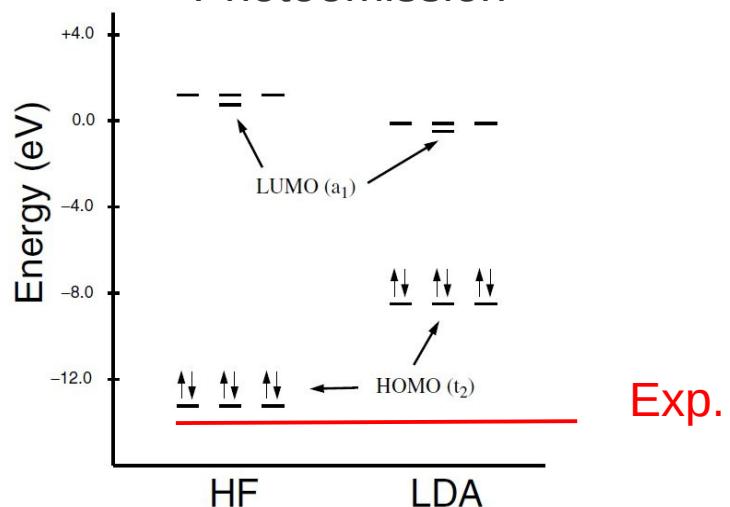


FIG. 1. Single-particle Hartree-Fock and local density approximation eigenvalue spectra (eV) for the SiH_4 molecule.

Gap re-normalization by a (metallic) substrate

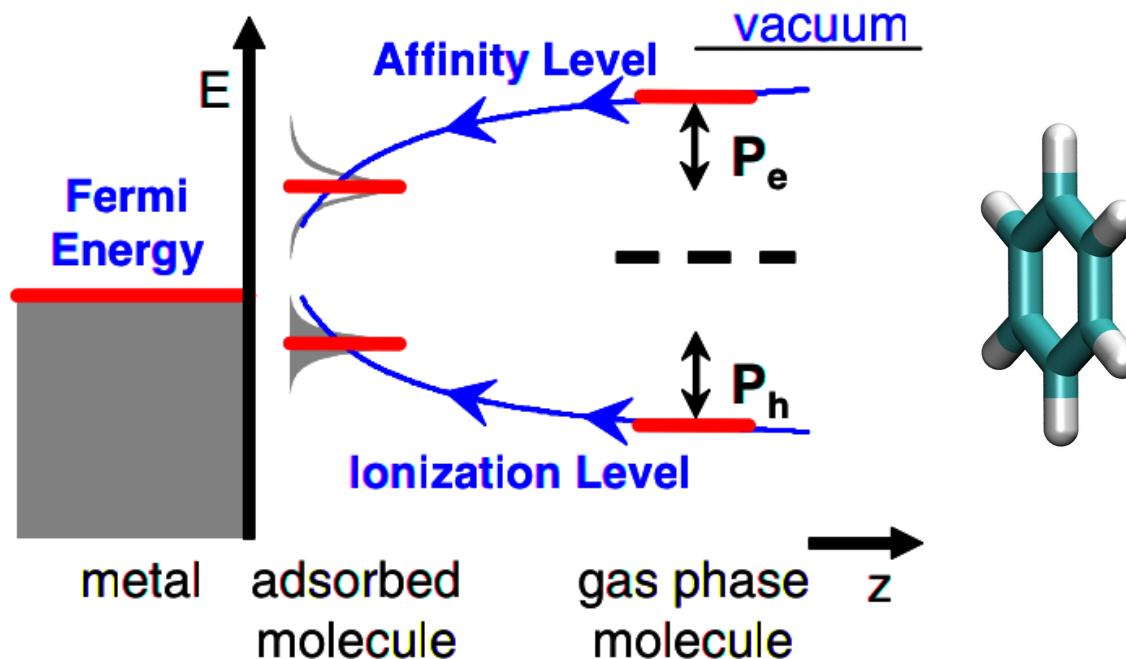


FIG. 1 (color online). Schematic energy level diagram indicating polarization shifts in the frontier energy levels (ionization and affinity) of a molecule upon adsorption on a metal surface.

Benzene deposited on copper, gold, graphite

Neaton, Hybertsen, Louie PRL (2006)

How do go beyond within the DFT framework?

Not easy to find improvement within DFT framework
There is no such thing as a perturbative expansion
Perdew's Jacob's ladder does not help for the band gap

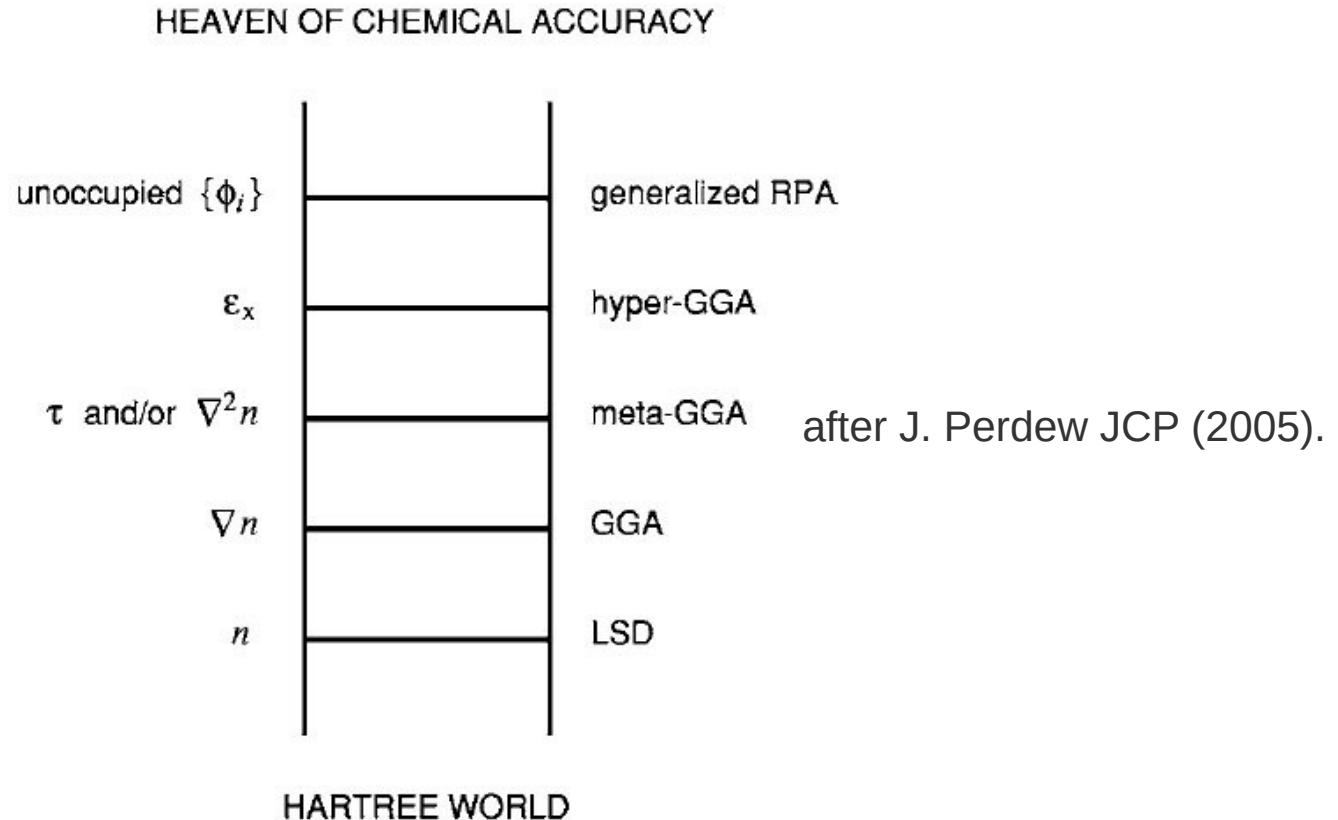


FIG. 1. Jacob's ladder of density functional approximations to the exchange-correlation energy.

Need to change the overall framework!

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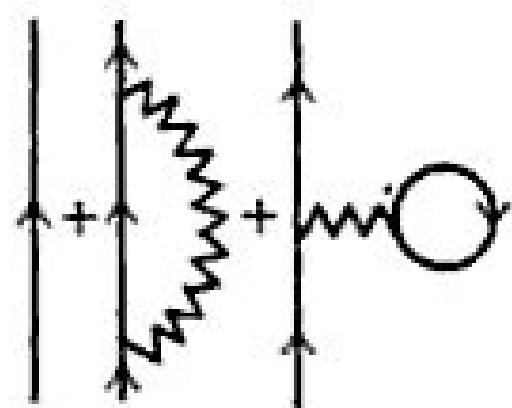
Many-body perturbation theory

Historically older than the DFT (from the 40-50's)!

Big names: Feynman, Schwinger, Hubbard, Hedin, Lundqvist

Green's functions
= propagator

$$G(\mathbf{r} t, \mathbf{r}' t') =$$



The Green's function

Exact ground state wavefunction:

$$|N,0\rangle$$

Creation, annihilation operator: $\Psi^\dagger(\mathbf{r} t)$, $\Psi(\mathbf{r} t)$

1

$$\Psi^\dagger(\mathbf{r} t)|N,0\rangle$$

is a (N+1) electron wavefunction
not necessarily in the ground state

2

$$\Psi^\dagger(\mathbf{r}' t')|N,0\rangle$$

is another (N+1) electron wavefunction

Let's compare the two of them!

Green's function definition

$$\langle N,0 | \Psi(\mathbf{r} t) \Psi^\dagger(\mathbf{r}' t') | N,0 \rangle$$

The expression $\Psi(\mathbf{r} t) \Psi^\dagger(\mathbf{r}' t')$ is bracketed by a curly brace. This brace is divided into two segments: an orange segment on the left and a green segment on the right. The orange segment connects to a yellow circle containing the number '1'. The green segment connects to a green circle containing the number '2'.

$$= i G^e(\mathbf{r} t, \mathbf{r}' t') \quad \text{for } t > t'$$

Measures how an extra electron propagates from $(r't')$ to (rt) .

Green's function definition

$$\langle N,0 | \Psi^\dagger(\mathbf{r}'t') \Psi(\mathbf{r}t) | N,0 \rangle$$

The diagram illustrates the components of the Green's function. A green curly brace groups the creation operator $\Psi^\dagger(\mathbf{r}'t')$ and the annihilation operator $\Psi(\mathbf{r}t)$. Below this brace is a green circle containing the number '2'. An orange curly brace groups the entire expression $\langle N,0 | \Psi^\dagger(\mathbf{r}'t') \Psi(\mathbf{r}t) | N,0 \rangle$ and the circle below it. Below this brace is an orange circle containing the number '1'.

$$= i G^h(\mathbf{r}'t', \mathbf{r}t) \quad \text{for } t' > t$$

Measures how a missing electron (= a hole) propagates from (rt) to $(r't')$.

Final expression for the Green's function

$$i G(\mathbf{r} t, \mathbf{r}' t') = \langle N, 0 | T [\Psi(\mathbf{r} t) \Psi^\dagger(\mathbf{r}' t')] | N, 0 \rangle$$

↑
time-ordering operator

$$\begin{aligned} G(\mathbf{r} t, \mathbf{r}' t') &= G^e(\mathbf{r} t, \mathbf{r}' t') \\ &\quad - G^h(\mathbf{r}' t', \mathbf{r} t) \end{aligned}$$

Compact expression that describes both the propagation
of an extra electron and an extra hole

Lehman representation

$$iG(\mathbf{r}, \mathbf{r}', t - t') = \langle N, 0 | T[\Psi(\mathbf{r} t) \Psi^+(\mathbf{r}' t')] | N, 0 \rangle$$

Closure relation

$$\sum_{M,i} |M, i\rangle \langle M, i|$$

Lehman representation:

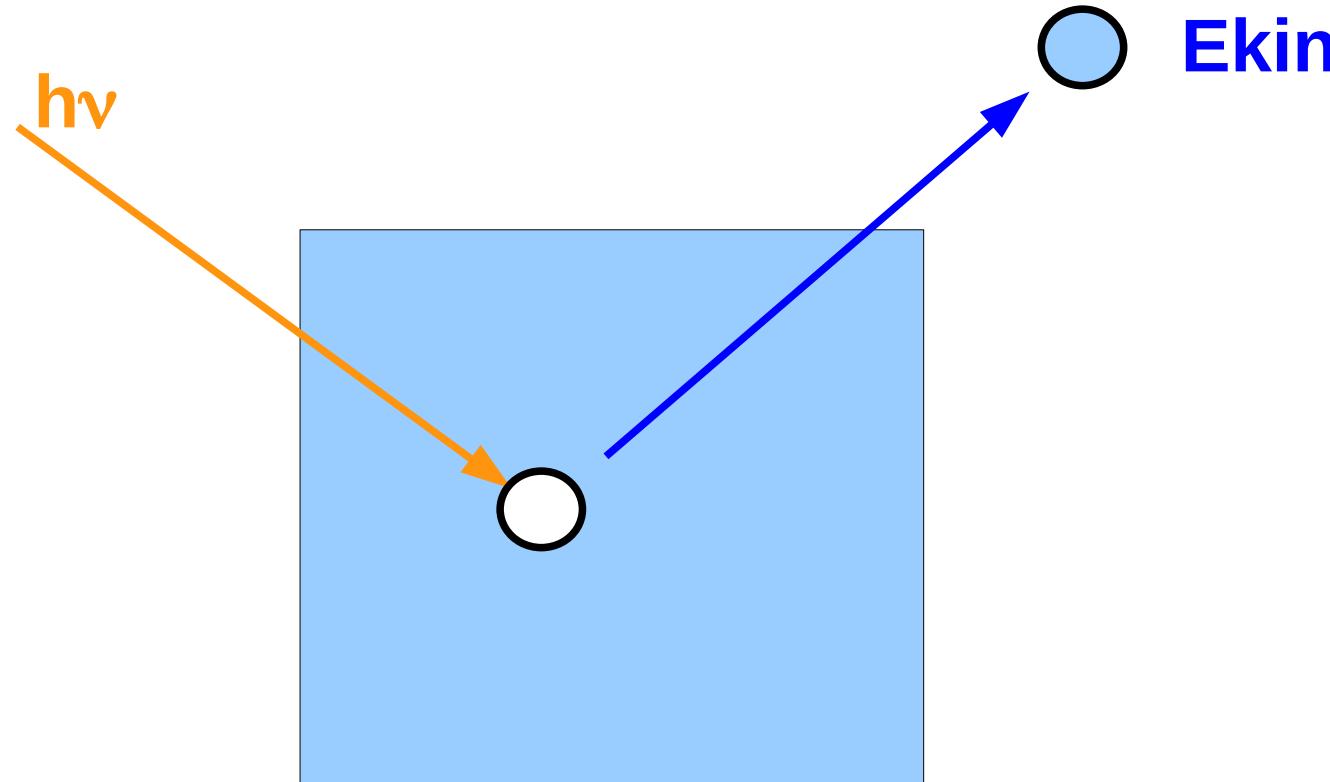
$$G(\mathbf{r}, \mathbf{r}', \omega) = \sum_i \frac{f_i(\mathbf{r}) f_i^*(\mathbf{r}')}{\omega - \epsilon_i \pm i\eta}$$

where

$$\epsilon_i = \begin{cases} E(N+1, i) - E(N, 0) \\ E(N, 0) - E(N-1, i) \end{cases}$$

Exact
excitation energies!

Related to photoemission spectroscopy



Energy conservation:

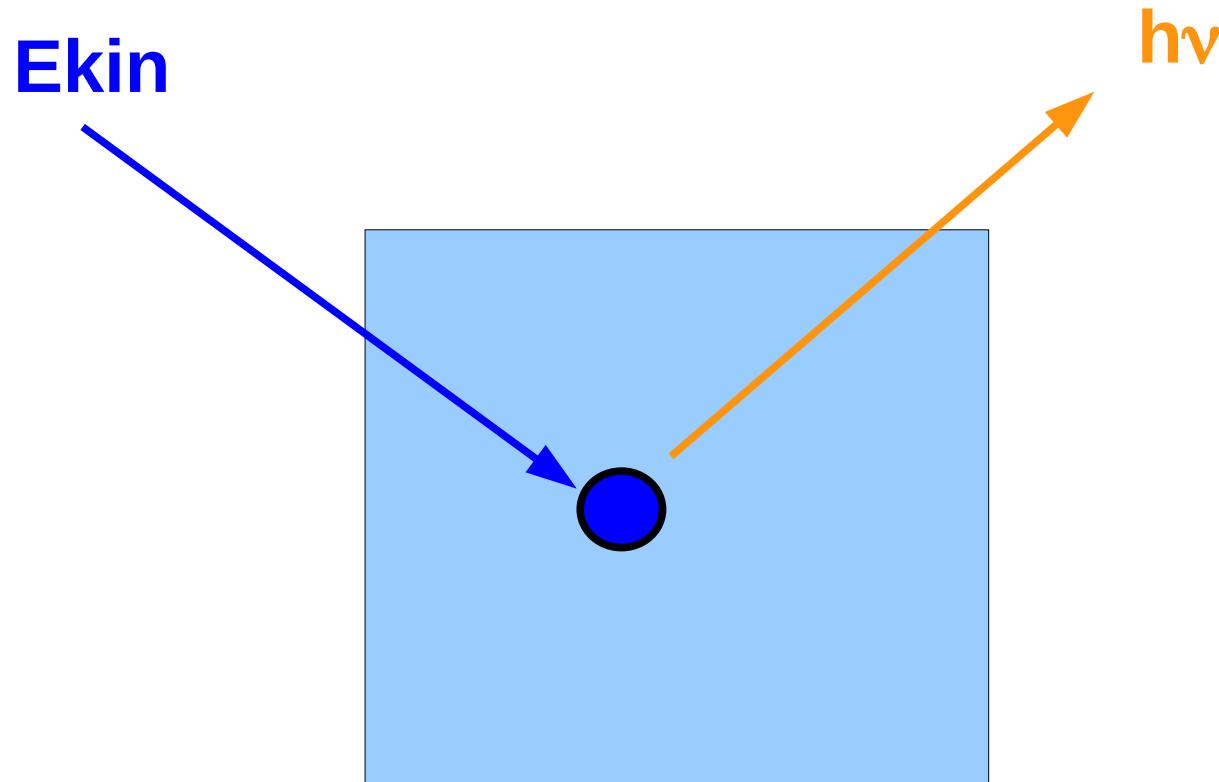
before

$$h\nu + E(N,0) = E_{kin} + E(N-1,i)$$

Quasiparticle energy:

$$\epsilon_i = E(N,0) - E(N-1,i) = E_{kin} - h\nu$$

And inverse photoemission spectroscopy



Energy conservation:

before

$$E_{kin} + E(N,0) = h\nu + E(N+1,i)$$

Quasiparticle energy:

$$\epsilon_i = E(N+1,i) - E(N,0) = E_{kin} - h\nu$$

Exact realization of the Lehman decomposition

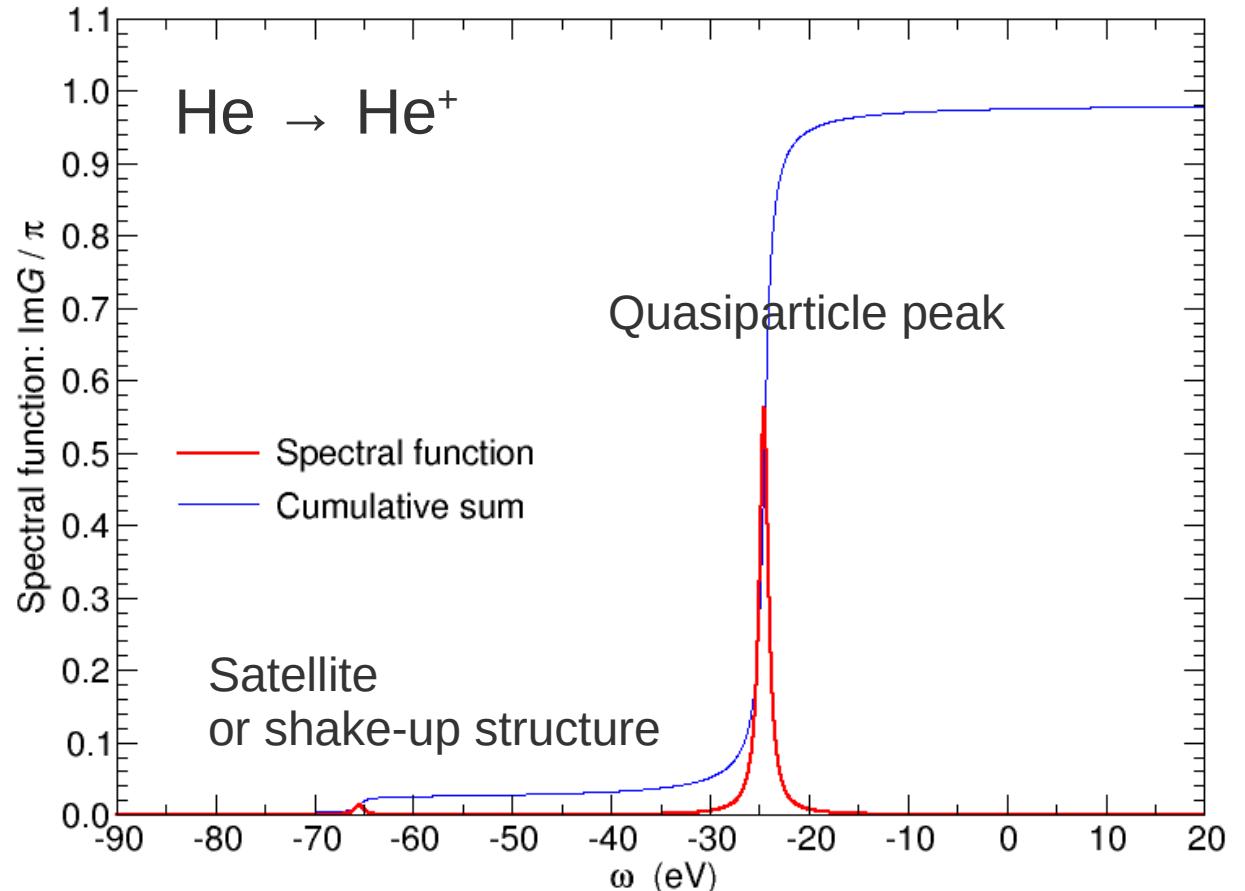
$$\langle m | G^h(\omega) | m \rangle = \sum_i \frac{\langle N0 | \hat{c}_m^+ | N-1i \rangle \langle N-1i | \hat{c}_m | N0 \rangle}{\omega - \epsilon_i - i\eta}$$

$N=2$

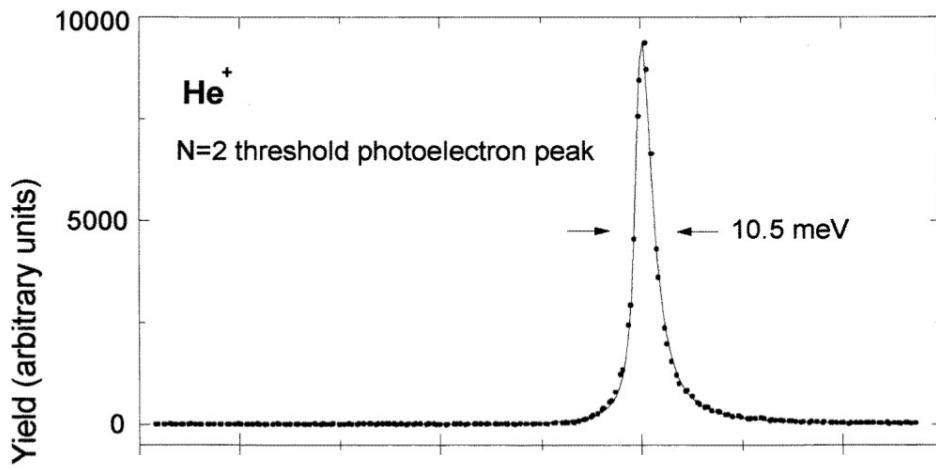
$N-1=1$

$m=1s$

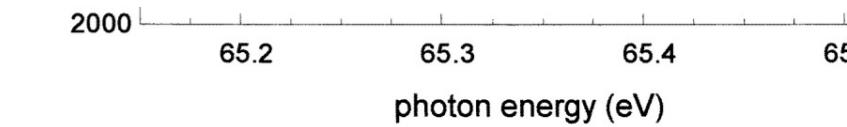
Obtained from FCI
calculations



Satellites in reality?

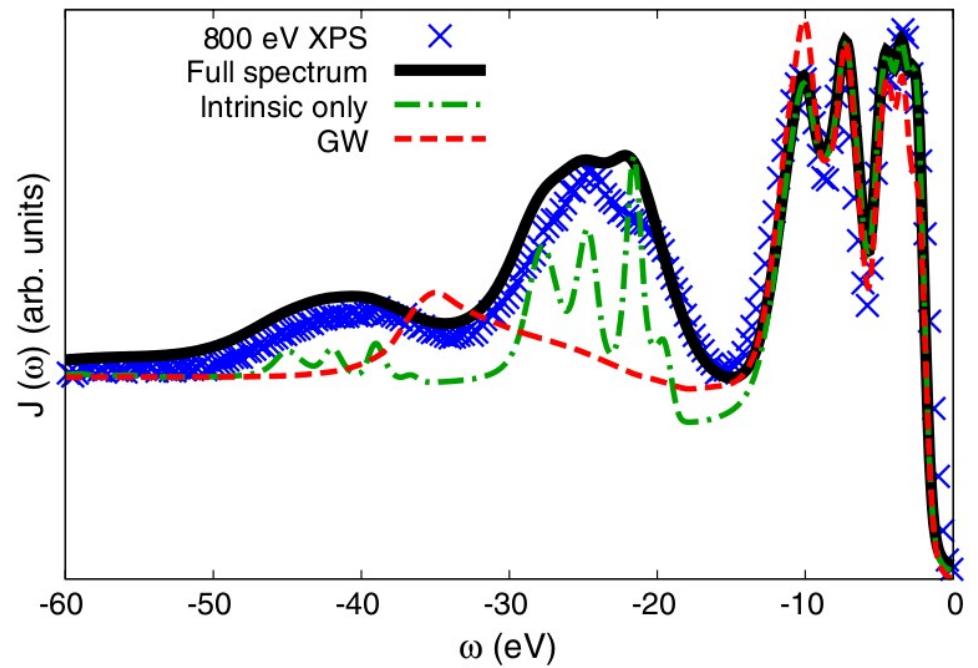


Helium gas
Thompson et al.
J. Phys. B: At. Mol. Opt. Phys. 1998



Silicon crystal

Guzzo et al. PRL 2011



Other properties of the Green's function

Get the electron density:

$$\rho(\mathbf{r}) = -i G(\mathbf{r}t^-, \mathbf{r}, t)$$

Galitskii-Migdal formula for the total energy:

$$E_{total} = \frac{1}{\pi} \int_{-\infty}^{\mu} d\omega \text{Tr} [(\omega - h_0) \text{Im} G(\omega)]$$

Expectation value of any 1 particle operator (local or non-local)

$$\langle O \rangle = \lim_{t \rightarrow t'} \text{Tr}[OG]$$

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Equation of motion of Green's functions: Dyson equation

Let us start with a non-interacting Green's function G_0 corresponding to a hamiltonian h_0

$$\int d\mathbf{r}_2 \delta(\mathbf{r}_1 - \mathbf{r}_2) [\omega - h_0(\mathbf{r}_2)] G_0(\mathbf{r}_2, \mathbf{r}_3, \omega) = \delta(\mathbf{r}_1 - \mathbf{r}_3)$$

In short:

$$[\omega - h_0] G_0 = 1 \quad \text{or} \quad G_0^{-1} = [\omega - h_0]$$

Imagine h_0 is Hartree and h_{KS} is Kohn-Sham

$$[\omega - h_{\text{KS}}] G_{\text{KS}} = 1$$

$$\hookrightarrow [\omega - h_0 - v_{xc}] G_{\text{KS}} = 1$$

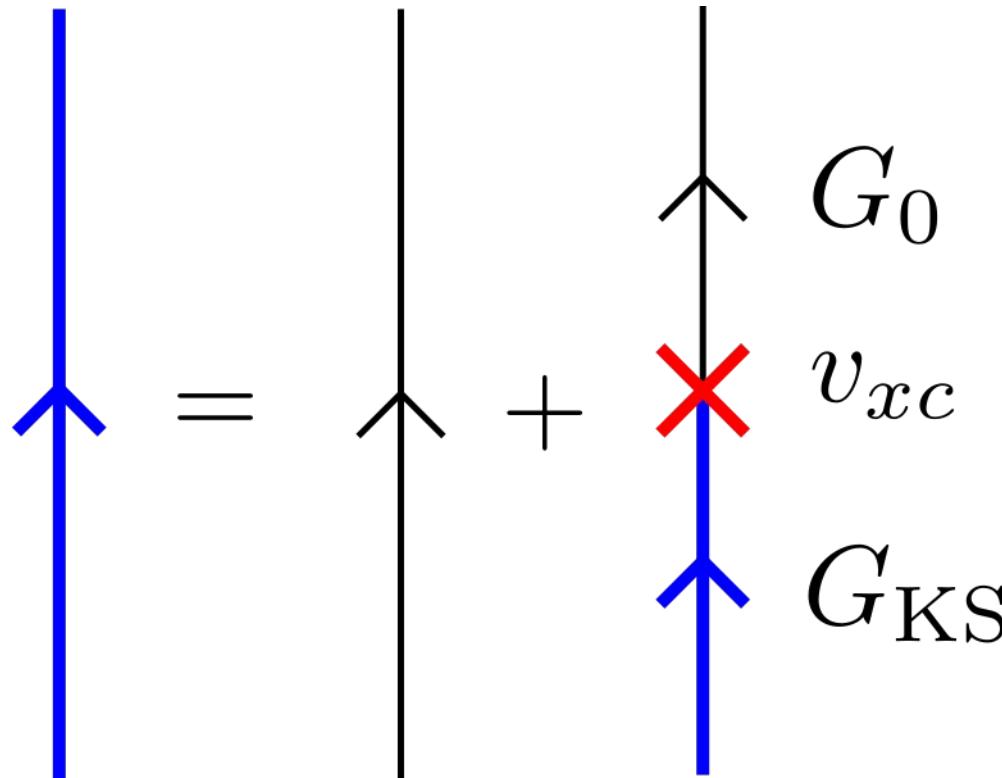
$$\hookrightarrow [G_0^{-1} - v_{xc}] G_{\text{KS}} = 1$$

$$\hookrightarrow G_{\text{KS}} = G_0 + G_0 v_{xc} G_{\text{KS}}$$

$$\hookrightarrow G_{\text{KS}} = G_0 + G_0 v_{xc} G_0 + G_0 v_{xc} G_0 v_{xc} G_0 + \dots$$

Exercice

A first contact with diagrams



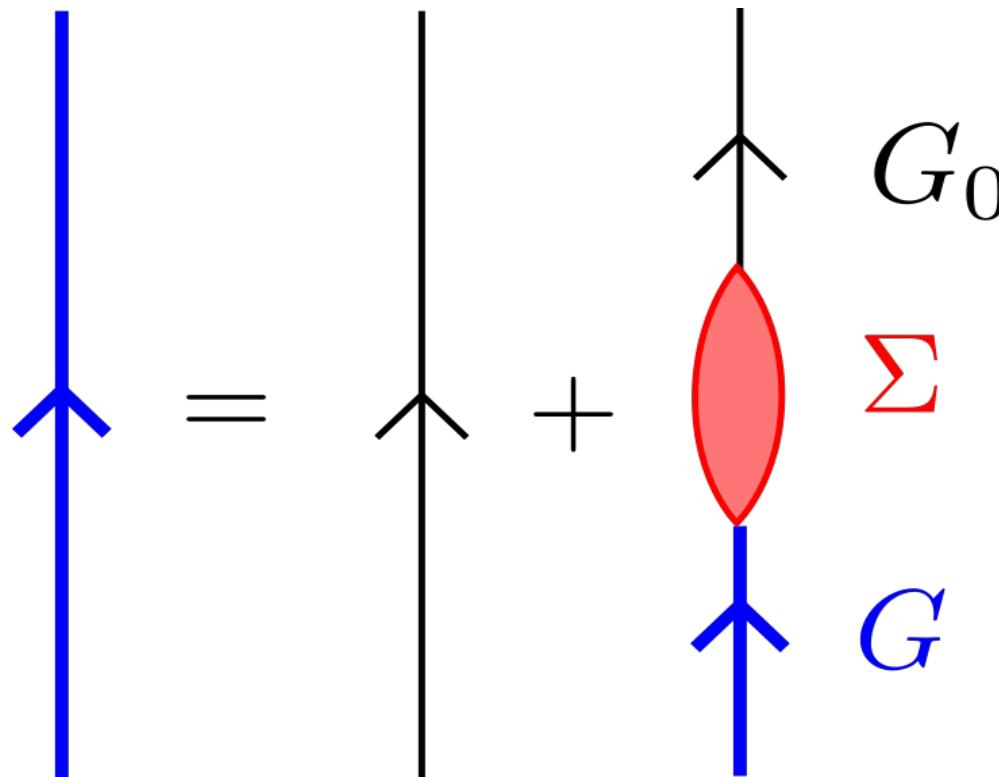
$$G_{\text{KS}}(1,2) = G_0(1,2) + \int d3 G_0(1,3) v_{xc}(3) G_{\text{KS}}(3,2)$$

Dyson equation connects the Green's functions arising from different approximations

What about the **exact Green's function?**

Dyson equation for the exact Green's function

Imagine there exists an operator that generates the exact G



$$G(1,2) = G_0(1,2) + \int d(34) G_0(1,3) \Sigma(3,4) G(4,2)$$

This operator is the famous “self-energy”:

- non-local in space
- time-dependent
- non-Hermitian

Everything else now deals with finding expressions for the self-energy!

A hierarchy of equations of motion

In fact there is an exact expression for the self-energy as a function of the **two-particle Green's function**

$$[G_0^{-1} - \Sigma]G = 1$$

$$[G_0^{-1} - G_2]G = 1$$

$$G_2(1,2;3,4) = \langle N,0 | T[\Psi(1)\Psi(2)\Psi^+(3)\Psi^+(4)] | N,0 \rangle$$

And try to guess the equation of motion for the two-particle Green's function?

G_2 needs G_3

G_3 needs G_4

G_4 needs G_5

.....

An expression for the self-energy

Trick due to Schwinger (1951):

- Introduce a small external potential U (that will be made equal to zero at the end)
- Calculate the variations of G with respect to U

$$G_2(1,3;2,3^+) = G(1,2)G(3,3^+) - \frac{\delta G(1,2)}{\delta U(3)}$$

Obtain a perturbation theory with basic ingredients G and v

1st order is Hartree-Fock

2nd order is MP2

However MP2 diverges for metals!

Trick due to Hubbard+Hedin (late 1950's – early 1960's):

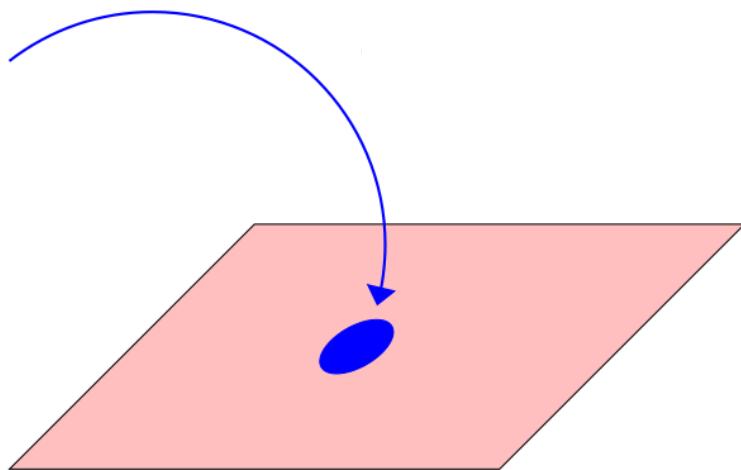
- Introduce the electrostatic response V to U $V(1) = U(1) - i \int d2 v(1,2) \delta G(2,2)$
- Calculate the variations of G with respect to V

Obtain **a new renormalized perturbation theory** with basic ingredients G and W

1st order is GW

Shifting from U to V

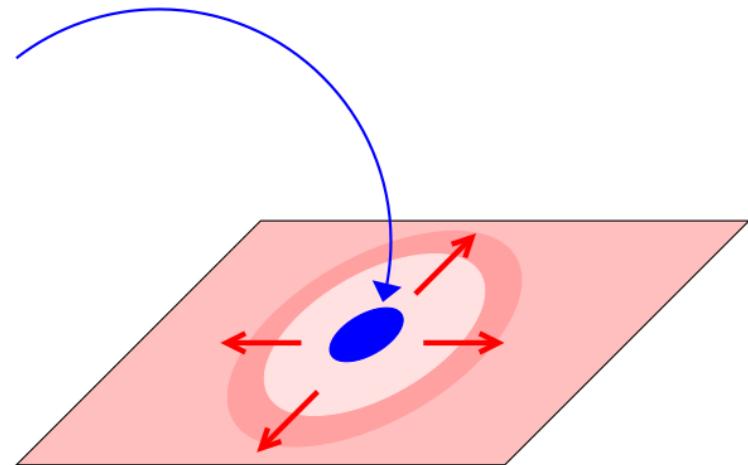
$$U(1) = \varepsilon \delta(\mathbf{r} - \mathbf{r}_1) \delta(t - t_1)$$



Everything is functional of U

$$G[U]$$

$$U(1) = \varepsilon \delta(\mathbf{r} - \mathbf{r}_1) \delta(t - t_1)$$



$$V(1) = U(1) + \int d\mathbf{r} v(r_1 - \mathbf{r}) \delta\rho(\mathbf{r})$$

V also includes the electrostatic response

Everything is functional of V
 $G[V]$

Hedin's coupled equations

5 coupled equations: $1 = (\mathbf{r}_1 t_1 \sigma_1)$ $2 = (\mathbf{r}_2 t_2 \sigma_2)$

$$\rightarrow G(1,2) = G_0(1,2) + \int d34 G_0(1,3) \Sigma(3,4) G(4,2) \quad \text{Dyson equation}$$

$$\Sigma(1,2) = i \int d34 G(1,3) W(1,4) \Gamma(4,2,3) \quad \text{self-energy}$$

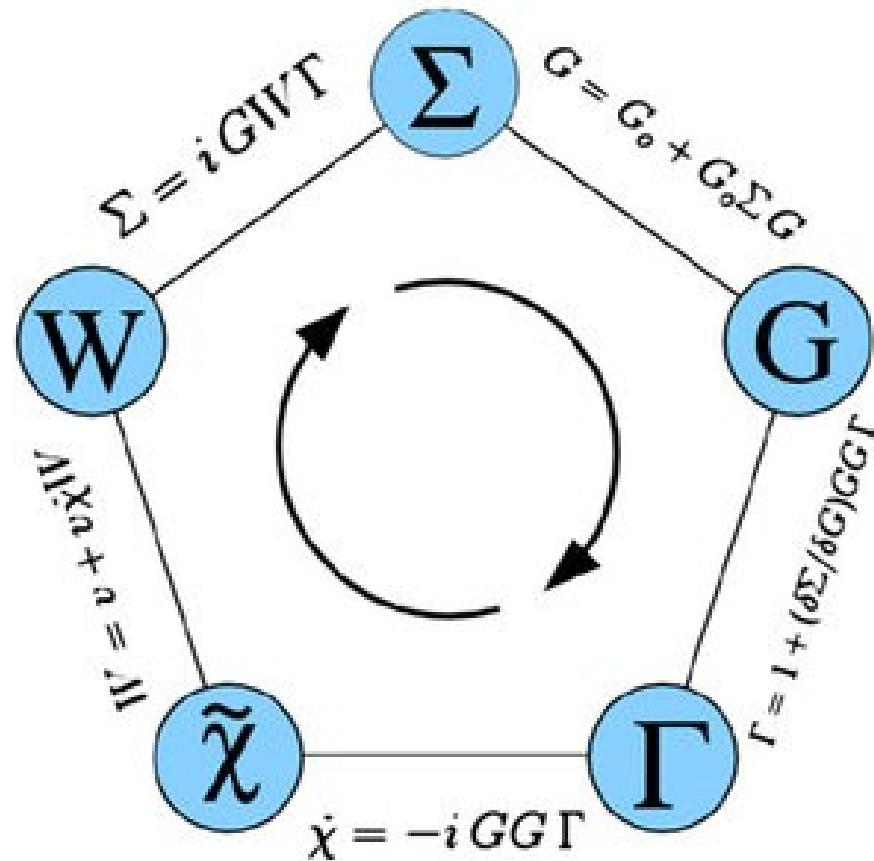
$$\Gamma(1,2,3) = \delta(1,2) \delta(1,3) + \int d4567 \frac{\delta \Sigma(1,2)}{\delta G(4,5)} G(4,6) G(5,7) \Gamma(6,7,3) \quad \text{vertex}$$

$$\chi_0(1,2) = -i \int d34 G(1,3) G(4,1) \Gamma(3,4,2) \quad \text{polarizability}$$

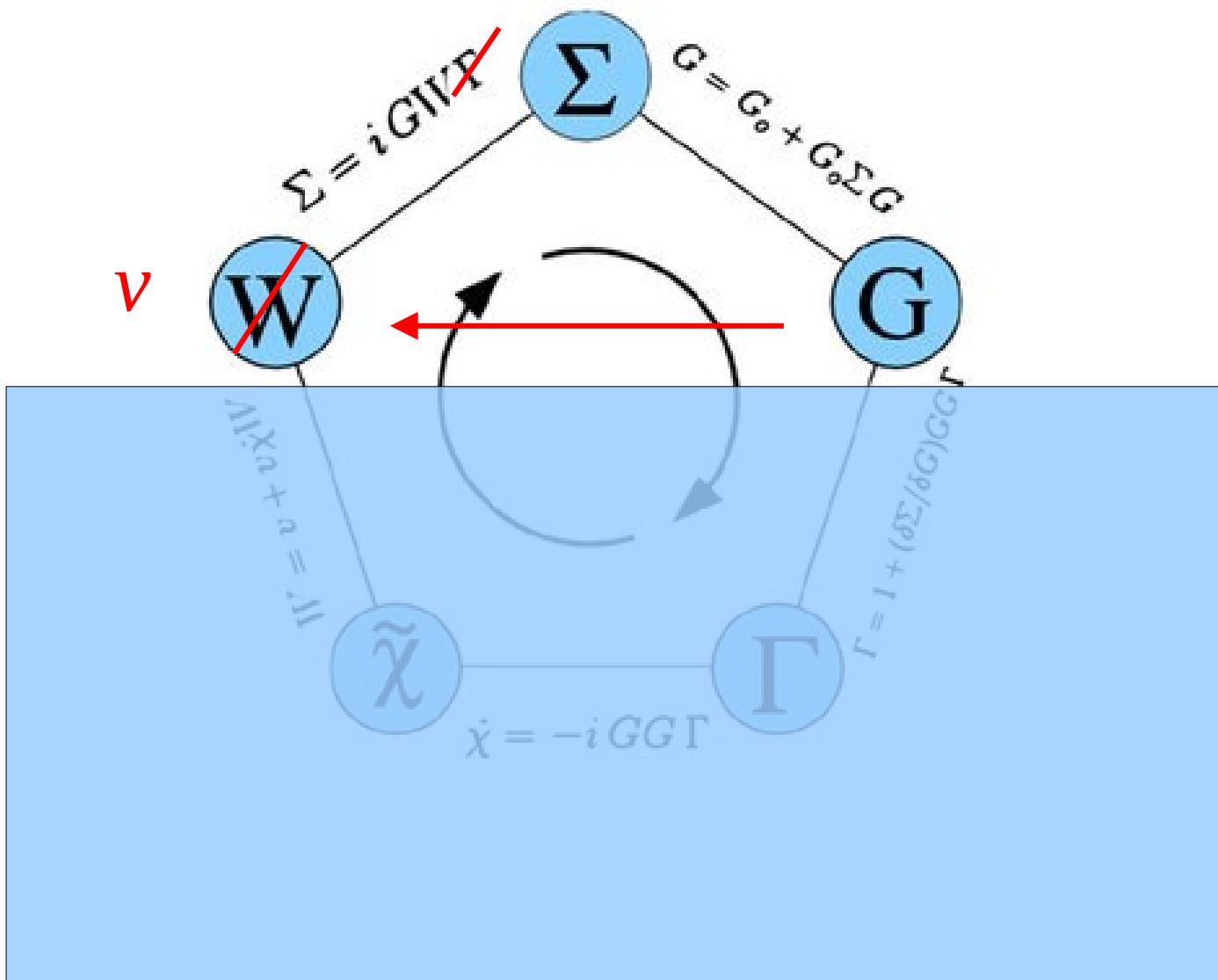
$$W(1,2) = v(1,2) + \int d34 v(1,3) \chi_0(3,4) W(4,2)$$

screened Coulomb interaction

Hedin's pentagram

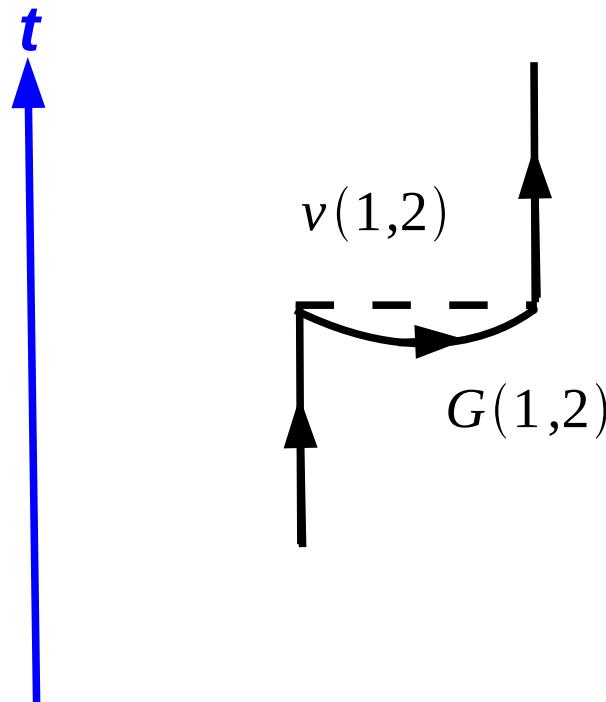


Hedin's pentagram approximated



Simplest approximation

$$\Sigma(1,2) = iG(1,2)v(1^+, 2) \quad \rightarrow \quad \text{Fock exchange}$$



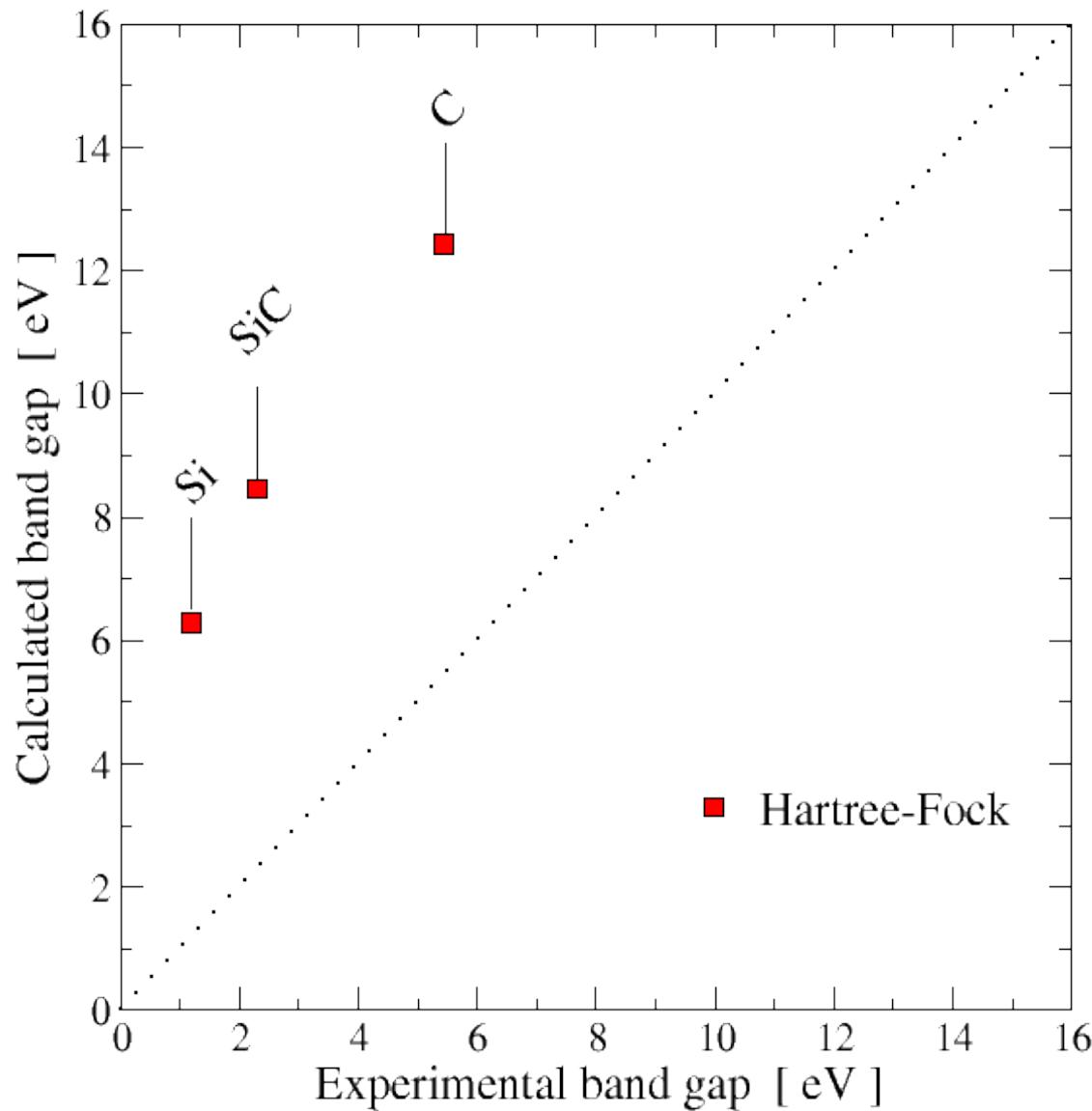
Dyson equation:

$$G = G_0 + G_0 \Sigma G$$

$$G = G_0 + G_0 \Sigma G_0 + \dots$$

Not enough: Hartree-Fock is known to perform poorly for solids

Hartree-Fock approximation for band gaps



Hedin's coupled equations

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$$\Gamma(1,2,3) = \delta(1,2) \delta(1,3) + \int d4567 \frac{\delta \Sigma(1,2)}{\delta G(4,5)} G(4,6) G(5,7) \Gamma(6,7,3) \quad \text{vertex}$$

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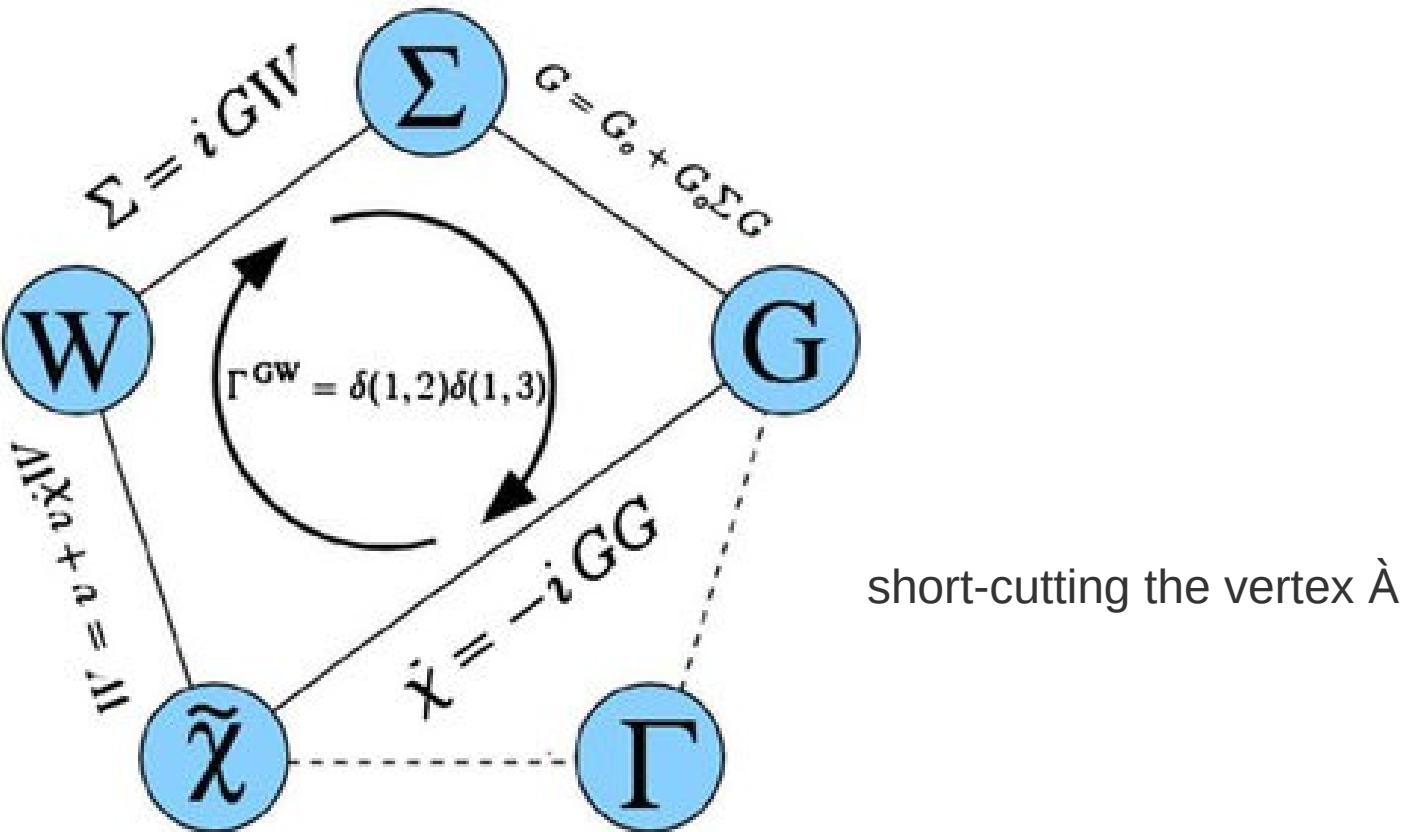
$$\Gamma(1,2,3) = \delta(1,2) \delta(1,3) + \int d4567 \frac{\delta \Sigma(1,2)}{\delta G(4,5)} G(4,6) G(5,7) \Gamma(6,7,3) \quad \text{vertex}$$

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$$W(1,2) = v(1,2) + \int d34 v(1,3) \chi_0(3,4) W(4,2)$$

screened Coulomb interaction

Truncated Hedin's pentagram



Here comes the GW approximation

$$\Sigma(1,2) = iG(1,2)W(1,2)$$

GW approximation

$$\chi_0(1,2) = -iG(1,2)G(2,1)$$

RPA approximation

$$W(1,2) = v(1,2) + \int d34 v(1,3)\chi_0(3,4)W(4,2)$$

Dyson-like equation

Let us draw some diagrams

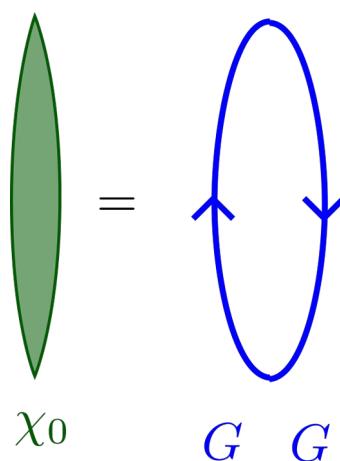
$$\chi_0(1,2) = -i G(1,2)G(2,1)$$

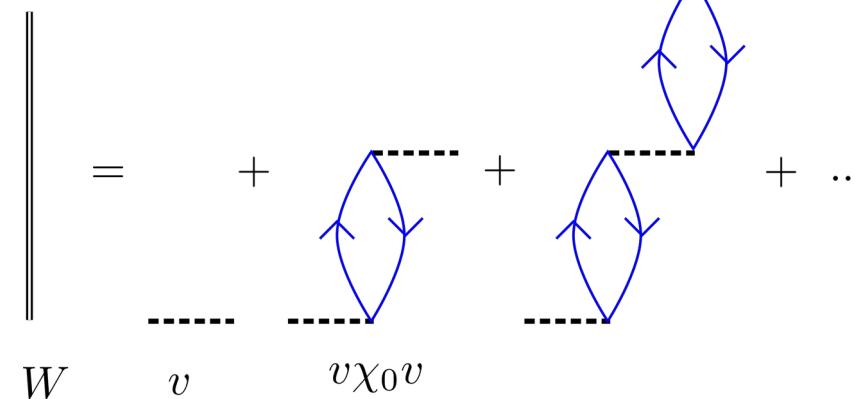
$$W = v + v\chi_0 W$$

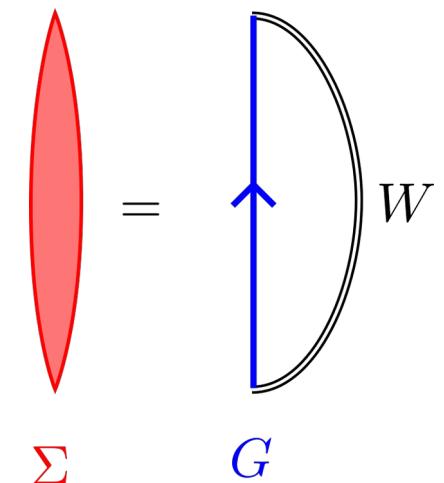
$$= v + v\chi_0 v + v\chi_0 v\chi_0 v + \dots$$

$$= \left[\sum_{n=0}^{\infty} (v\chi_0)^n \right] v = [1 - v\chi_0]^{-1} v$$

$$\Sigma(1,2) = i G(1,2)W(1,2)$$


$$\chi_0 = G G$$

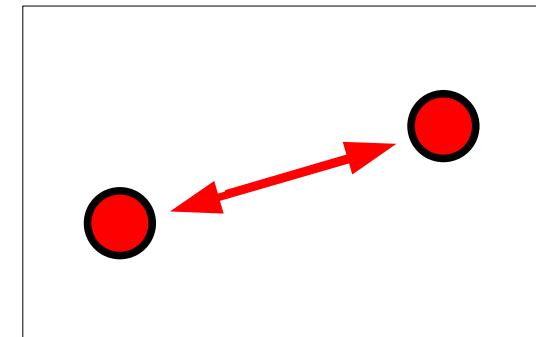

$$W = v + v\chi_0 v + \dots$$


$$\Sigma = G W$$

What is W?

Interaction between electrons in vacuum:

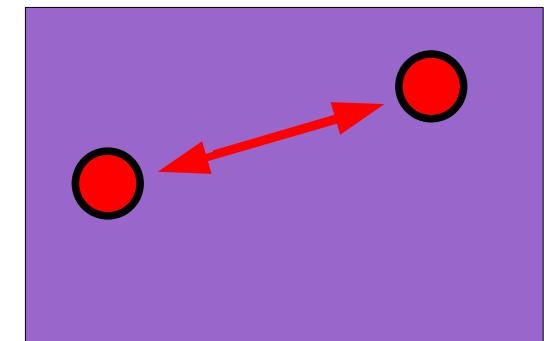
$$v(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi\epsilon_0} \frac{e^2}{|\mathbf{r} - \mathbf{r}'|}$$



Interaction between electrons in a homogeneous polarizable medium:

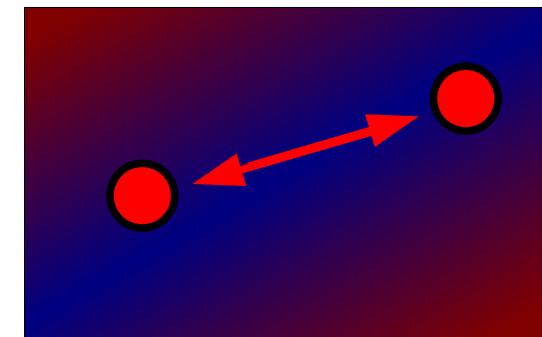
$$W(\mathbf{r}, \mathbf{r}') = \frac{1}{4\pi\epsilon_0\epsilon_r} \frac{e^2}{|\mathbf{r} - \mathbf{r}'|}$$

Dielectric constant
of the medium



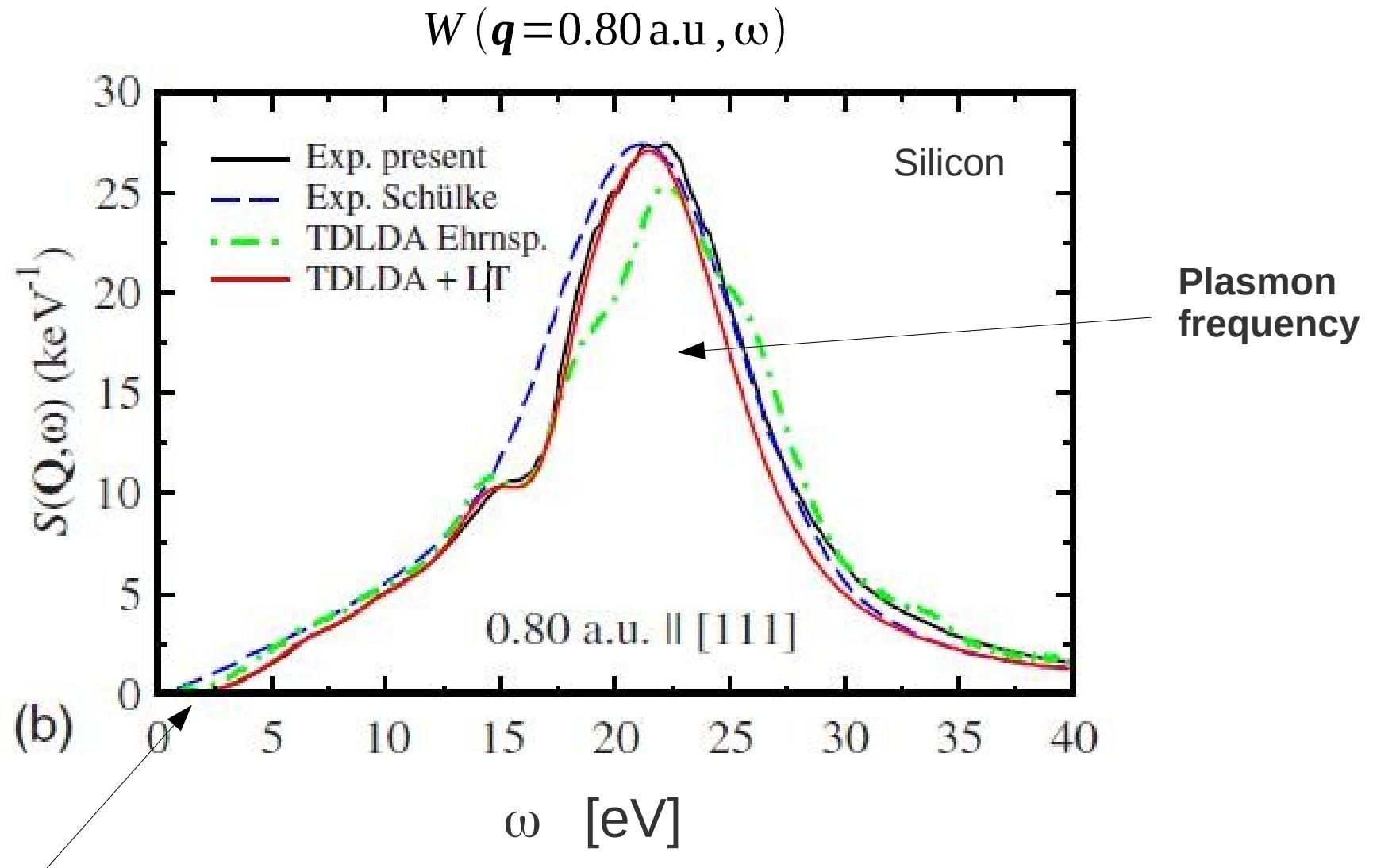
Dynamically screened interaction between electrons
in a general medium:

$$W(\mathbf{r}, \mathbf{r}', \omega) = \frac{e^2}{4\pi\epsilon_0} \int d\mathbf{r}''' \frac{\epsilon^{-1}(\mathbf{r}, \mathbf{r}''', \omega)}{|\mathbf{r}''' - \mathbf{r}'|}$$



W is frequency dependent

W can be measured directly by Inelastic X-ray Scattering



Zero below the band gap

H-C Weissker et al. PRB (2010)

Summary

GW viewed as a “super” Hartree-Fock

Hartree-Fock Approximation

$$\Sigma_x(\mathbf{r}_1, \mathbf{r}_2) = \frac{i}{2\pi} \int_{-\infty}^{\mu} d\omega' G(\mathbf{r}_1, \mathbf{r}_2, \omega') v(\mathbf{r}_1, \mathbf{r}_2)$$

= bare exchange

GW Approximation

$$\Sigma_{xc}(\mathbf{r}_1, \mathbf{r}_2, \omega) = \frac{i}{2\pi} \int d\omega' G(\mathbf{r}_1, \mathbf{r}_2, \omega + \omega') W(\mathbf{r}_2, \mathbf{r}_1, \omega')$$

$\Sigma_x(\mathbf{r}_1, \mathbf{r}_2)$
Bare exchange

$\Sigma_c(\mathbf{r}_1, \mathbf{r}_2, \omega)$
+ correlation

GW is nothing else but a “screened” version of Hartree-Fock.

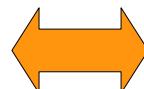
Non Hermitian dynamic

Exercice

Summary: DFT vs GW

Electronic density

$$\rho(\mathbf{r})$$



Green's function

$$G(\mathbf{r}t, \mathbf{r}'t')$$

Local and static



Non-local, dynamic
Depends onto empty states



exchange-correlation potential

$$v_{xc}(\mathbf{r})$$



**exchange-correlation operator
= self-energy**

$$\Sigma_{xc}(\mathbf{r}t, \mathbf{r}'t')$$

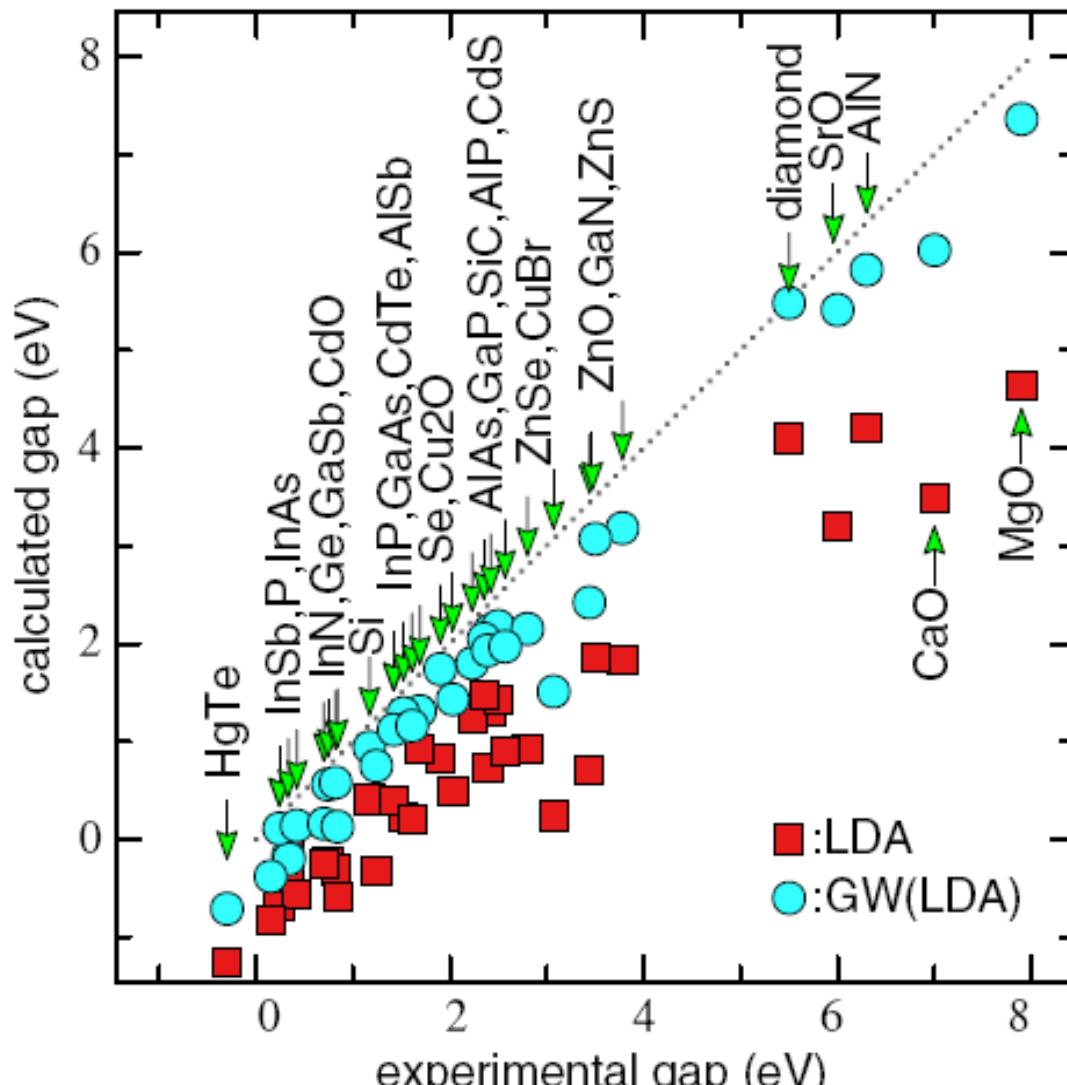
Approximations:

LDA, GGA, hybrids

GW approximation

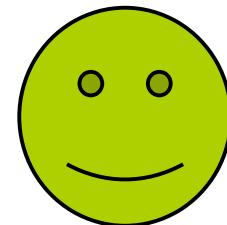
$$\Sigma_{GW}(\mathbf{r}t, \mathbf{r}'t') = iG(\mathbf{r}t, \mathbf{r}', t')W(\mathbf{r}t, \mathbf{r}'t')$$

GW approximation gets good band gap



after van Schilfgaarde *et al* PRL 96 226402 (2008)

No band gap
problem anymore!



Outline

I. Introduction: going beyond DFT

II. Introduction of the Green's function

III. Exact Hedin's equations and the GW approximation

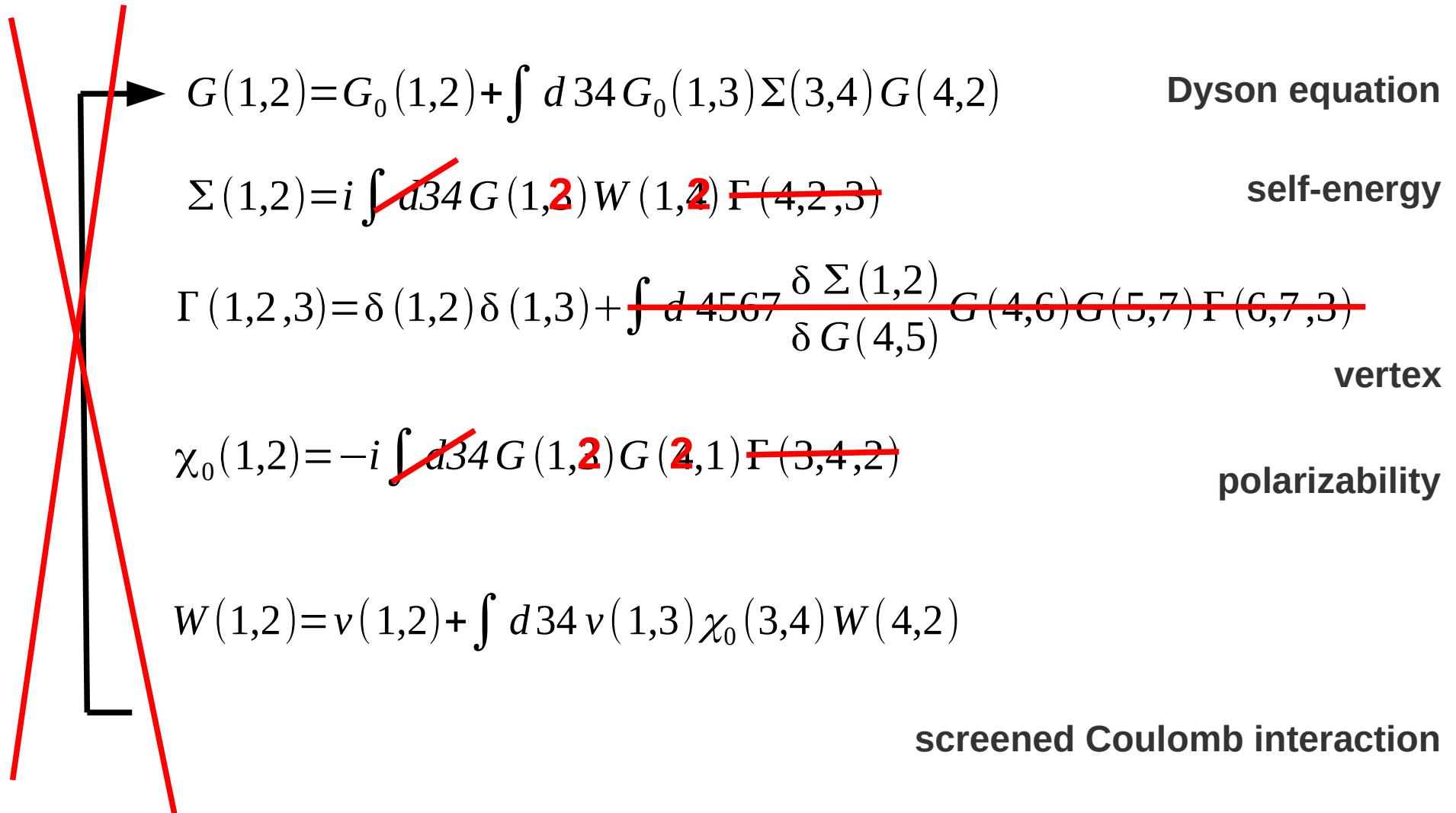
IV. Calculating the GW self-energy in practice

V. Applications

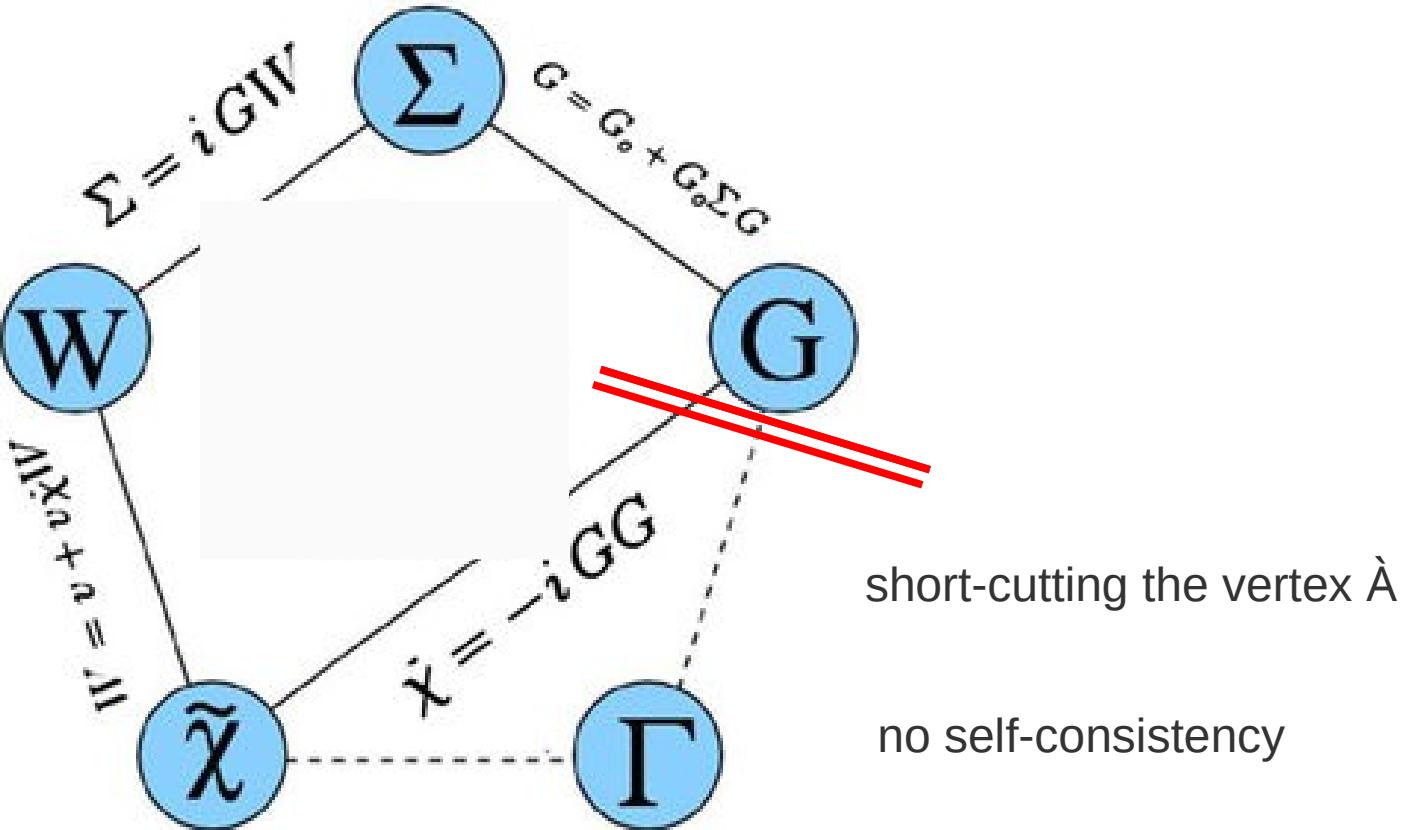
Hedin's coupled equations

5 coupled equations:

$$1 = (\mathbf{r}_1 t_1 \sigma_1) \quad 2 = (\mathbf{r}_2 t_2 \sigma_2)$$



Super-truncated Hedin's pentagram



Historical recap of GW calculations

- 1965: Hedin's calculations for the homogeneous electron gas
Phys Rev 2201 citations
- 1967: Lundqvist's calculations for the homogeneous electron gas
Physik der Kondensierte Materie 299 citations
- 1982: Strinati, Mattausch, Hanke for real semiconductors but within tight-binding
PRB 154 citations
- 1985: Hybertsen, Louie for real semiconductors with ab initio LDA
PRL 711 citations & PRB 1737 citations
- 1986: Godby, Sham, Schlüter for real semiconductors to get accurate local potential
PRL 544 citations & PRB 803 citations
- ~2001: First publicly available *GW* code in ABINIT
- 2003: Arnaud, Alouani for extension to Projector Augmented Wave
PRB 102 citations
- 2006: Shishkin, Kresse for extension to Projector Augmented Wave (again)
PRB 256 citations

GW approximation in practice

- For periodic solids: Abinit, BerkeleyGW, VASP, Yambo
based on plane-waves (with pseudo or PAW)



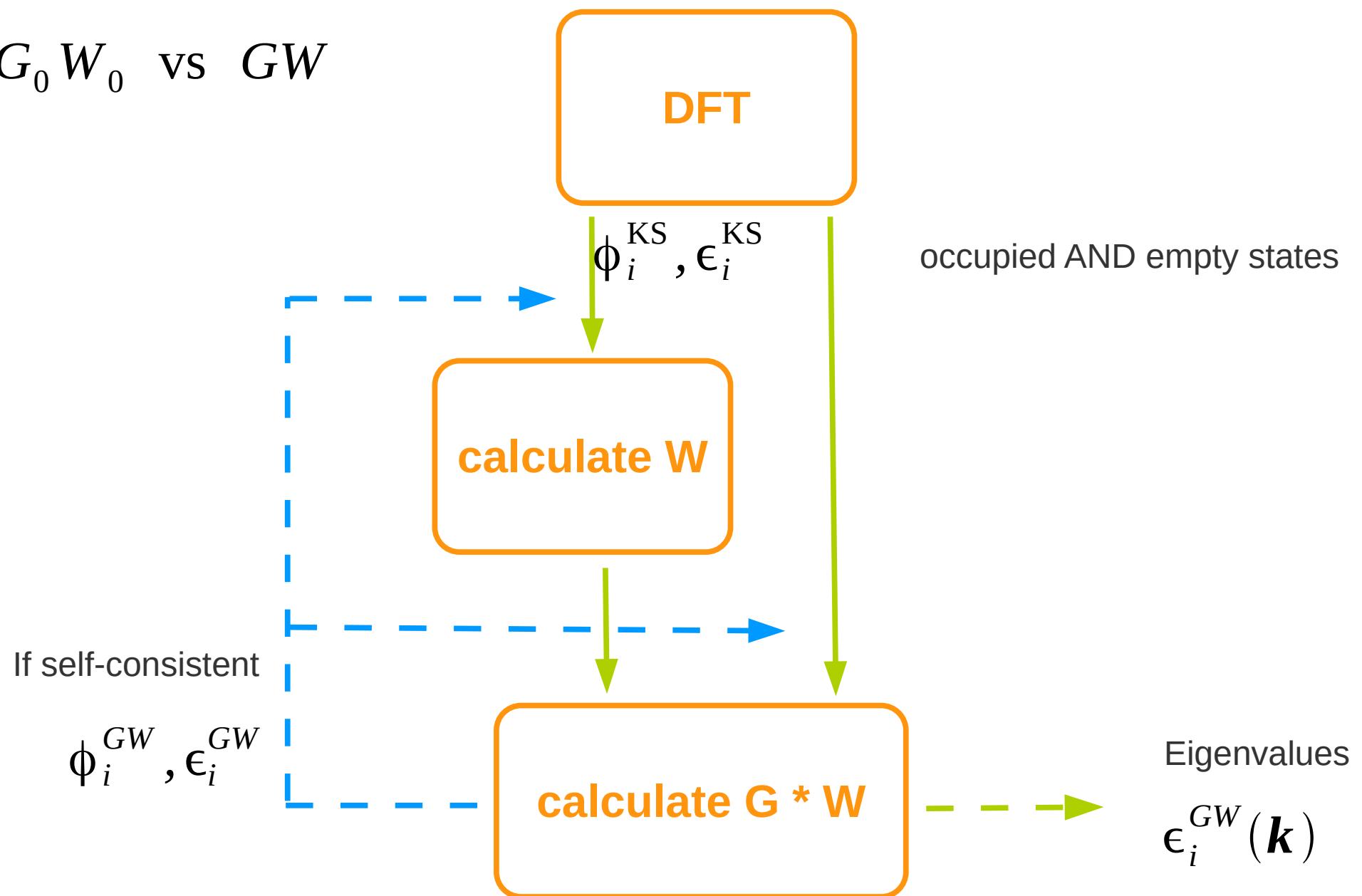
- For finite systems: MOLGW, Fiesta, FHI-AIMS
based on localized orbitals (Gaussians or Slater or other)



What is common to all implementations

Workflow of a typical GW calculation

$G_0 W_0$ vs GW



How to get G ?

From Kohn-Sham DFT

Remember

$$[\omega - h_{KS}] G_{KS} = 1$$

which means



$$G^{KS}(\mathbf{r}, \mathbf{r}', \omega) = \sum_i \frac{\phi_i^{KS}(\mathbf{r}) \phi_i^{KS*}(\mathbf{r}')}{\omega - \epsilon_i^{KS} \pm i\eta}$$



This expression will be used to get W and Σ

How to get W ?

From the RPA equation

$$\chi_0(1,2) = -iG(1,2)G(2,1)$$

which translates into

Exercice

$$\begin{aligned}\chi_0(\mathbf{r}_1, \mathbf{r}_2, \omega) &= \sum_{\substack{i \text{ occ} \\ j \text{ virt}}} \phi_i(\mathbf{r}_1) \phi_i^*(\mathbf{r}_2) \phi_j(\mathbf{r}_2) \phi_j^*(\mathbf{r}_1) \\ &\times \left[\frac{1}{\omega - (\epsilon_j - \epsilon_i) - i\eta} - \frac{1}{\omega - (\epsilon_i - \epsilon_j) + i\eta} \right]\end{aligned}$$

This is the Alder-Wiser formula or the SOS formula

It involves empty states!

Then $\chi_0(1,2)$  $W(1,2)$

differs with implementations

Diagonal self-energy correction approximation

Dyson equation: $G^{-1} = G^{\text{KS}} - 1 - (\Sigma - v_{xc})$

And remember: $G^{\text{KS}} - 1(\mathbf{r}, \mathbf{r}', \omega) = \sum_i \phi_i^{\text{KS}}(\mathbf{r}) [\omega - \epsilon_i^{\text{KS}}] \phi_i^{\text{KS}*}(\mathbf{r}')$

G^{KS} is **diagonal** in KS basis

$$G_{ij}^{\text{KS}} - 1(\omega) = \delta_{ij} (\omega - \epsilon_i^{\text{KS}})$$

Approximation: $\langle i | \Sigma(\omega) - v_{xc} | j \rangle \approx \delta_{ij} \langle i | \Sigma(\omega) - v_{xc} | i \rangle$

Hence G is **diagonal** in KS basis

$$G_{ij}^{-1}(\omega) \approx \delta_{ij} (\omega - \epsilon_i^{\text{KS}} - \langle i | \Sigma(\omega) - v_{xc} | i \rangle)$$

Diagonal self-energy correction approximation

G is **diagonal** in KS basis

$$G_{ij}^{-1}(\omega) \approx \delta_{ij} \left(\omega - \epsilon_i^{\text{KS}} - \langle i | \Sigma(\omega) - v_{\text{xc}} | i \rangle \right)$$

Excitation energies are the poles of G or the zeros of G^{-1}

$$G^{-1}(\epsilon_i^{\text{GW}}) = 0$$

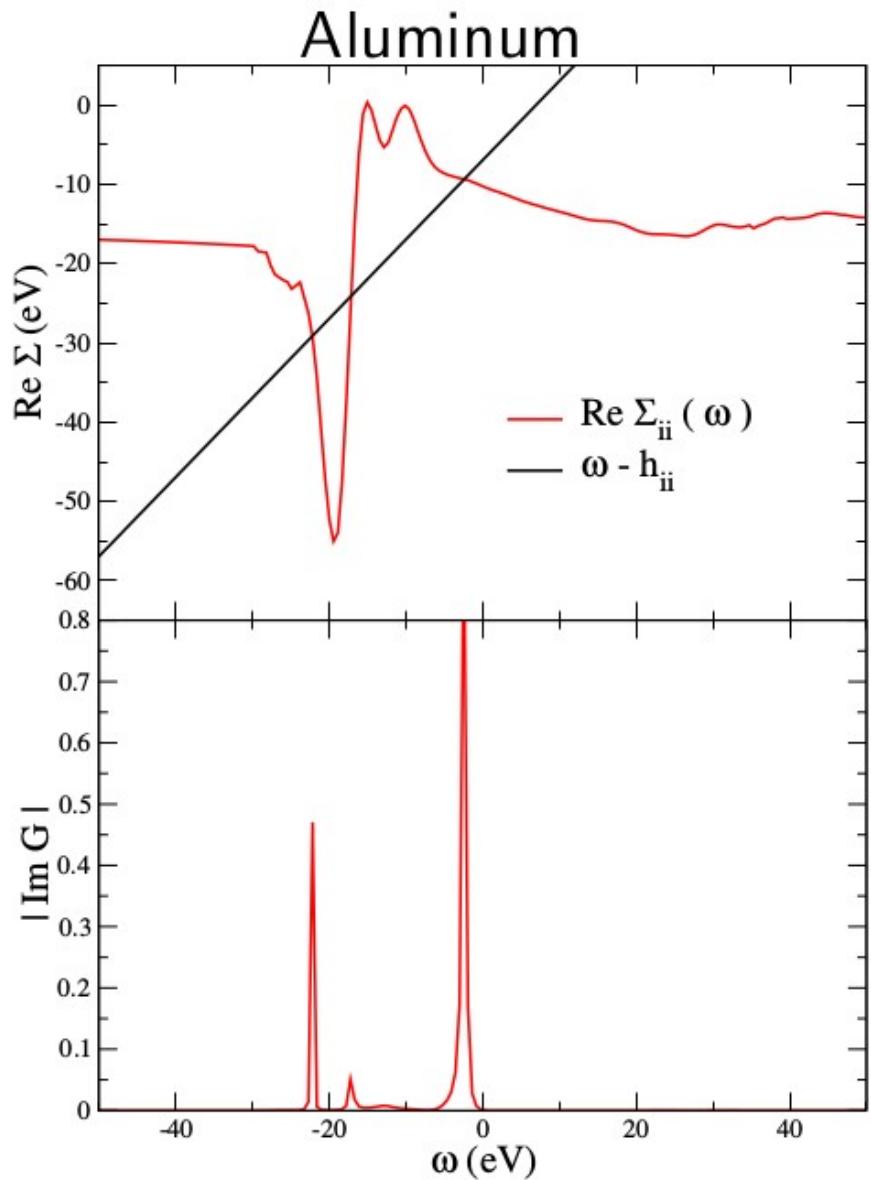
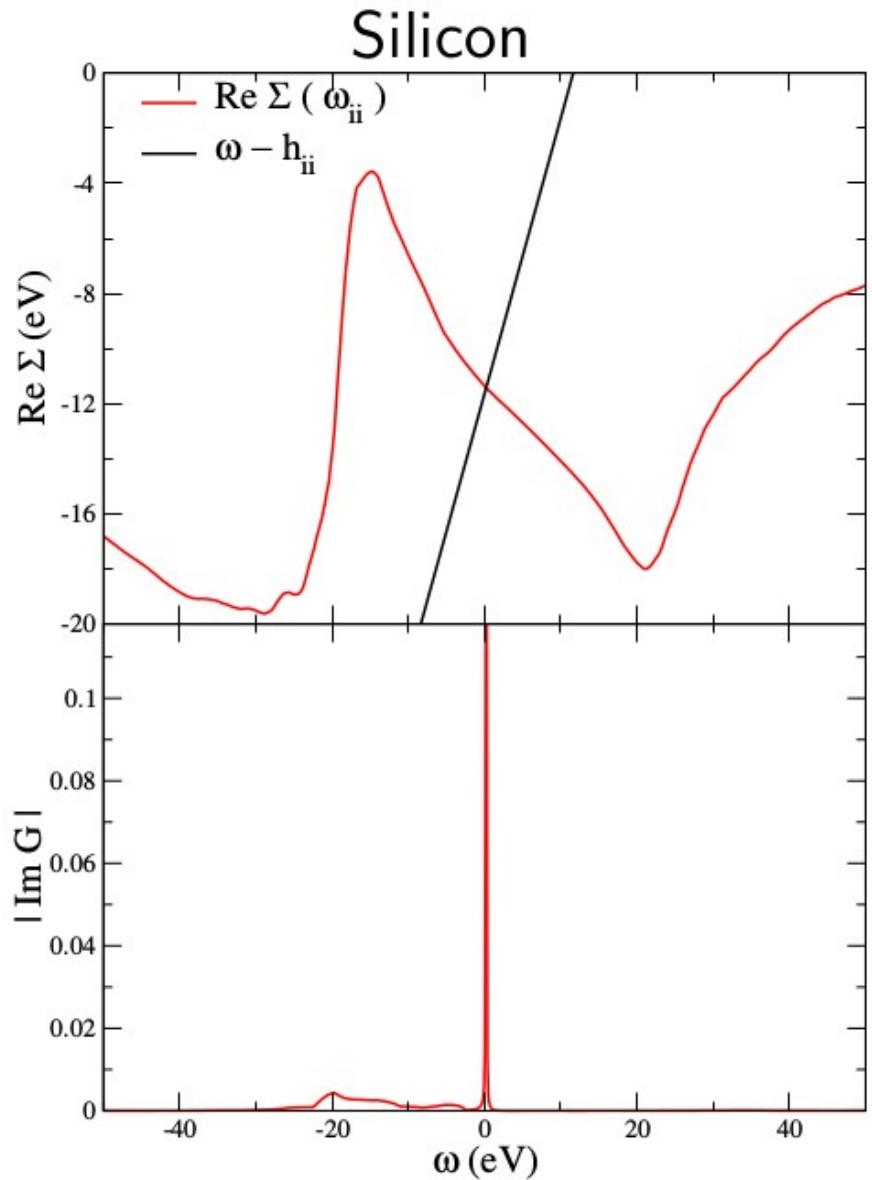


Quasiparticle energies:

$$\epsilon_i^{\text{GW}} = \epsilon_i^{\text{KS}} + \langle i | \Sigma(\epsilon_i^{\text{GW}}) - v_{\text{xc}} | i \rangle$$

Full quasiparticle solution

$$\epsilon_i^{GW} - \epsilon_i^{\text{KS}} + \langle \phi_i^{\text{KS}} | v_{xc} | \phi_i^{\text{KS}} \rangle = \langle \phi_i^{\text{KS}} | \sum (\epsilon_i^{GW}) | \phi_i^{\text{KS}} \rangle$$



Linearization of the energy dependance

$$\epsilon_i^{GW} - \epsilon_i^{KS} = \left\langle \phi_i^{KS} \left| \left[\Sigma(\epsilon_i^{GW}) - v_{xc} \right] \right| \phi_i^{KS} \right\rangle$$

Not yet known

Taylor expansion:

$$\Sigma(\epsilon_i^{GW}) = \Sigma(\epsilon_i^{KS}) + (\epsilon_i^{GW} - \epsilon_i^{KS}) \frac{\partial \Sigma}{\partial \epsilon} + \dots$$

Final result:

$$\epsilon_i^{GW} = \epsilon_i^{KS} + Z_i \left\langle \phi_i^{KS} \left| \left[\Sigma(\epsilon_i^{KS}) - v_{xc} \right] \right| \phi_i^{KS} \right\rangle$$

where

$$Z_i = 1 / \left(1 - \left\langle i \left| \frac{\partial \Sigma}{\partial \epsilon} \right| i \right\rangle \right)$$

Quasiparticle equation

A typical ABINIT ouptput for Silicon at Gamma point

| k = | 0.000 | 0.000 | 0.000 | | | | | | | |
|------|-------------|---------|----------|--------|----------|--------|---------|--------|-------|--|
| Band | E0 <VxcLDA> | SigX | SigC(E0) | Z | dSigC/dE | Sig(E) | E-E0 | E | | |
| 4 | 0.506 | -11.291 | -12.492 | 0.744 | 0.775 | -0.291 | -11.645 | -0.354 | 0.152 | |
| 5 | 3.080 | -10.095 | -5.870 | -3.859 | 0.775 | -0.290 | -9.812 | 0.283 | 3.363 | |

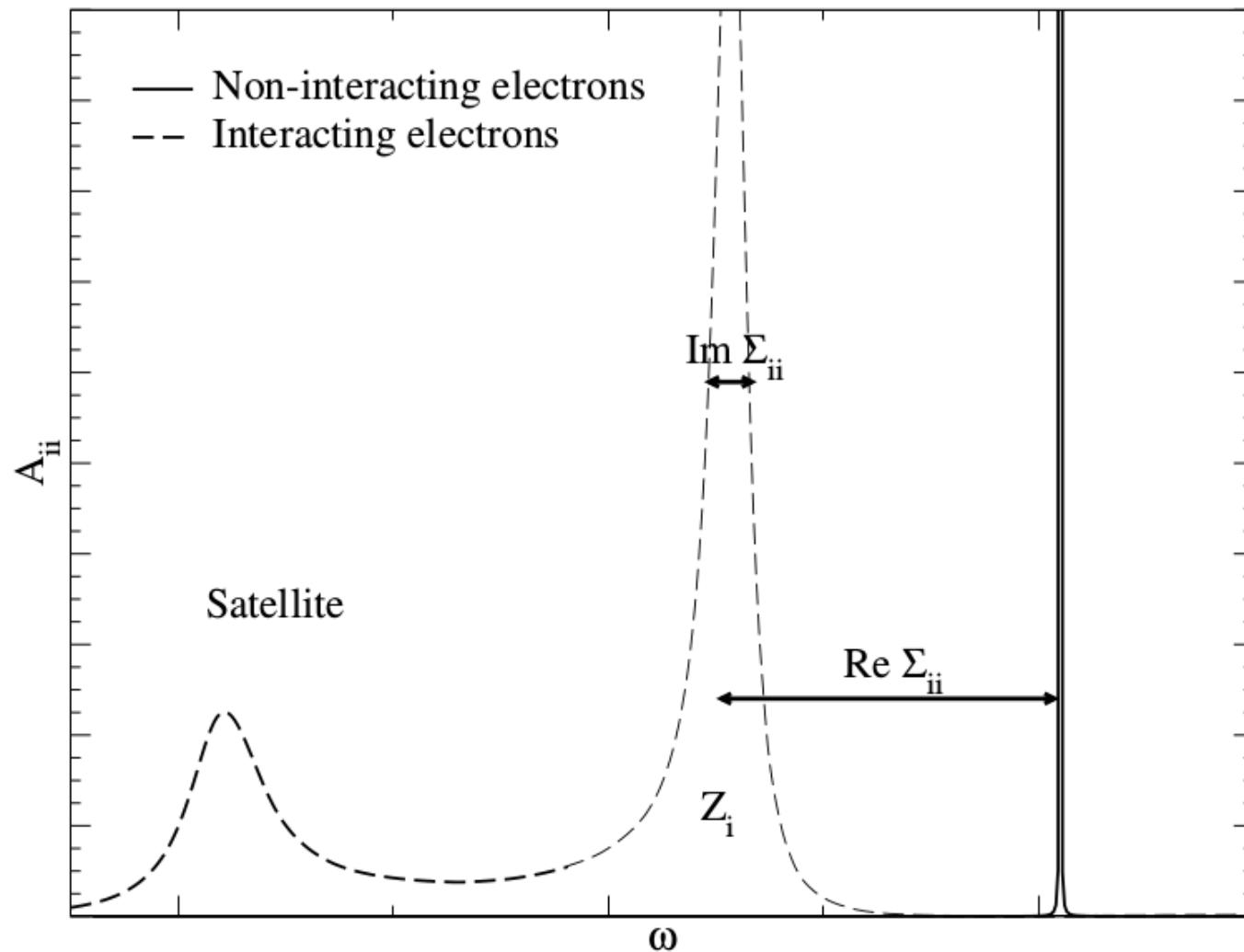
E^0_{gap}
 E^{GW}_{gap}

2.574
3.212

$$\epsilon_i^{GW} = \epsilon_i^{\text{LDA}} + Z_i \left\langle \Phi_i^{\text{LDA}} \right| \left[\sum_{xc} (\epsilon_i^{\text{LDA}}) - v_{xc}^{\text{LDA}} \right] \left| \Phi_i^{\text{LDA}} \right\rangle$$

Spectral function

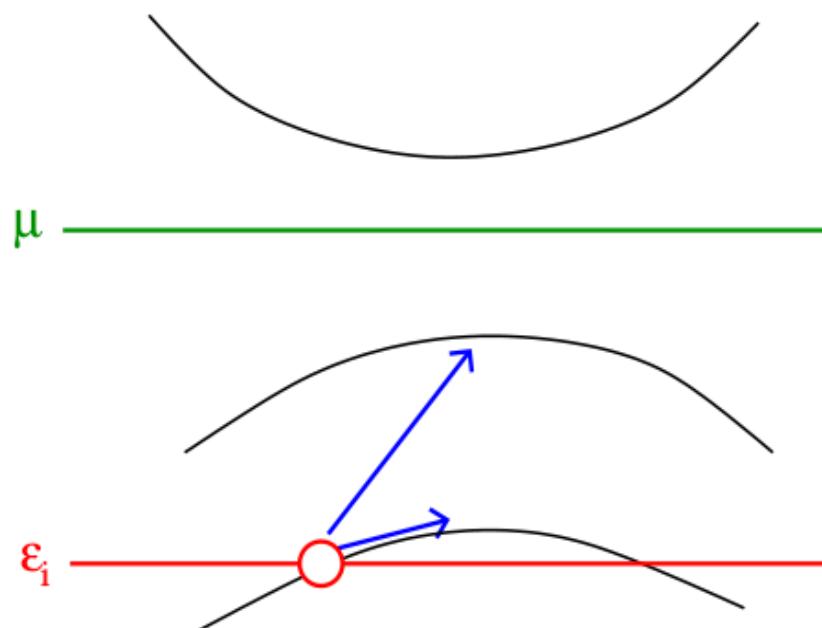
$$A(\omega) = |\text{Im } G(\omega)| / \pi$$



Excitation lifetime

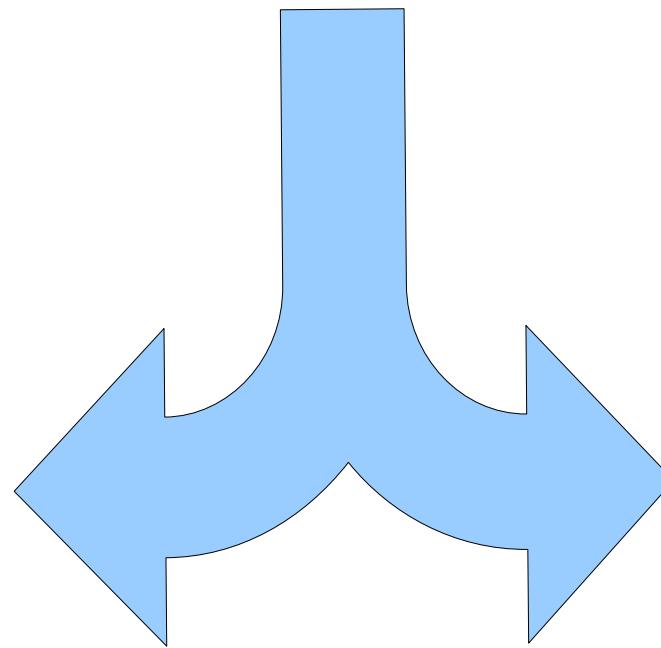
Hole self-energy:

$$\begin{aligned}\text{Im}\{\langle i | \Sigma(\epsilon_i) | i \rangle\} = & - \sum_{j \mathbf{q} \mathbf{G} \mathbf{G}'} M_{ij}(\mathbf{q} + \mathbf{G}) M_{ij}^*(\mathbf{q} + \mathbf{G}') \\ & \times \text{Im}(W - \nu)_{\mathbf{G} \mathbf{G}'}(\mathbf{q}, \epsilon_j - \epsilon_i) \\ & \times \theta(\mu - \epsilon_j) \theta(\epsilon_j - \epsilon_i)\end{aligned}$$



When the paths split

$$\Sigma(\mathbf{r}_1, \mathbf{r}_2, \omega) = \frac{i}{2\pi} \int d\omega' G(\mathbf{r}_1, \mathbf{r}_2, \omega + \omega') W(\mathbf{r}_2, \mathbf{r}_1, \omega')$$



Periodic systems

Finite systems

Self energy evaluation in GW

Correlation part of the GW self energy requires a convolution in frequency:

$$\Sigma_c(\omega) = \frac{i}{2\pi} \int_{-\infty}^{+\infty} d\omega' G(\omega + \omega') W_p(\omega')$$



$$G(\omega) = \sum_i \frac{\phi_i(\mathbf{r}) \phi_i^*(\mathbf{r}')}{\omega - \epsilon_i \pm i\eta}$$



How to deal with the frequency dependence in W ?

**How do we perform the convolution?
How do we treat the frequency dependence in W ?**

Dealing with two-point functions in reciprocal space

Remember 1-point functions are

$$\phi_{\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{\Omega}} \sum_{\mathbf{k}\mathbf{G}} c_{\mathbf{k}}(\mathbf{G}) e^{i(\mathbf{k}+\mathbf{G}).\mathbf{r}}$$

1 vector of coefficients per k-point in the Brillouin zone

Then 2-point functions are

$$W(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{\Omega} \sum_{\mathbf{q}\mathbf{G}\mathbf{G}'} e^{i(\mathbf{q}+\mathbf{G}).\mathbf{r}_1} W_{\mathbf{GG}'}(\mathbf{q}) e^{-i(\mathbf{q}+\mathbf{G}').\mathbf{r}_2}$$

a matrix of coefficients per q-point in the BZ due to translational symmetry:

$$W(\mathbf{r}_1, \mathbf{r}_2) = W(\mathbf{r}_1 + \mathbf{R}, \mathbf{r}_2 + \mathbf{R})$$

W in plane-waves and frequency space

$$(1) \quad \chi_0(\mathbf{r}_1, \mathbf{r}_2, \omega) = \sum_{\substack{i \text{ occ} \\ j \text{ virt}}} \phi_i(\mathbf{r}_1) \phi_i^*(\mathbf{r}_2) \phi_j(\mathbf{r}_2) \phi_j^*(\mathbf{r}_1) \\ \times \left[\frac{1}{\omega - (\epsilon_j - \epsilon_i) - i\eta} - \frac{1}{\omega - (\epsilon_i - \epsilon_j) + i\eta} \right]$$

$$(2) \quad \varepsilon(1,2) = \delta(1,2) - \int d3 v(1,3) \chi_0(3,2)$$

$$(3) \quad W(1,2) = \int d3 \varepsilon^{-1}(1,3) v(3,2)$$

$$(1) \quad \chi_{0GG'}(\mathbf{q}, \omega) = \sum_{\substack{\mathbf{k} \\ i \text{ occ} \\ j \text{ virt}}} \langle j\mathbf{k} - \mathbf{q} | e^{-i(\mathbf{q} + \mathbf{G}) \cdot \mathbf{r}_1} | i\mathbf{k} \rangle \langle i\mathbf{k} | e^{i(\mathbf{q} + \mathbf{G}') \cdot \mathbf{r}_2} | j\mathbf{k} - \mathbf{q} \rangle \\ \times \left[\frac{1}{\omega - (\epsilon_j - \epsilon_i) - i\eta} - \frac{1}{\omega - (\epsilon_i - \epsilon_j) + i\eta} \right]$$

$$(2) \quad \varepsilon_{GG'}(\mathbf{q}, \omega) = \delta_{G,G'} - \sum_{G''} v_{GG''}(\mathbf{q}) \chi_{0G''G'}(\mathbf{q}, \omega) \quad \longleftrightarrow \quad v_{GG''}(\mathbf{q}) = \frac{4\pi}{|\mathbf{q} + \mathbf{G}|^2} \delta_{G,G''}$$

$$(3) \quad W_{GG'}(\mathbf{q}, \omega) = \varepsilon_{GG'}^{-1}(\mathbf{q}, \mathbf{G}') v_{G'}(\mathbf{q}) \quad \longleftrightarrow \quad \text{matrix inversion}$$

Analytic structure of $W(\omega)$

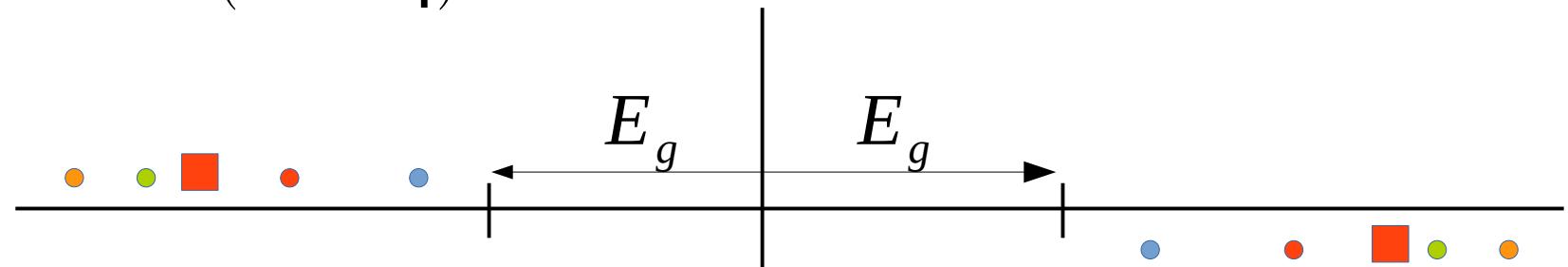
- Time ordered response function:

Many poles which go by pairs: $\pm(\tilde{\omega}_i - i\eta)$

- Plasmon-pole model:

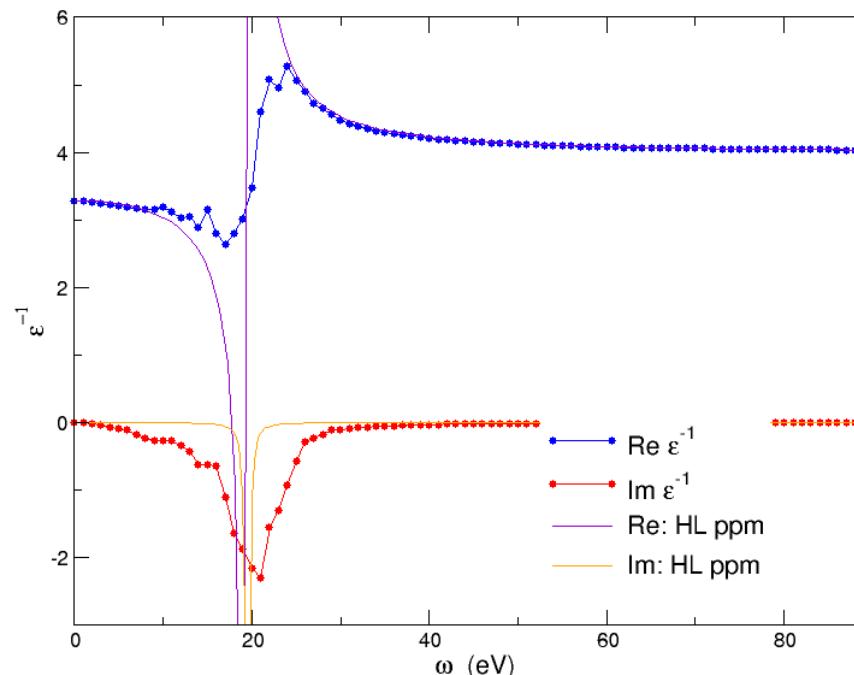
One pair of poles: $\pm(\tilde{\omega} - i\eta)$

Complex plane:



Silicon:

For a given $\mathbf{q} + \mathbf{G}$:



Plasmon-Pole Models in GW

Correlation part of the GW self energy requires a convolution in frequency:

$$\Sigma_c(\omega) = \frac{i}{2\pi} \int_{-\infty}^{+\infty} d\omega' G(\omega + \omega') W_p(\omega')$$

Generalized Plasmon-Pole Model:

$$\varepsilon^{-1}(\omega') - 1 = \frac{\Omega^2}{2\tilde{\omega}} \left[\frac{1}{\omega' - \tilde{\omega} + i\eta} - \frac{1}{\omega' + \tilde{\omega} - i\eta} \right]$$

Amplitude of the pole Position of the pole small real number

2 parameters need two constraints:

- Hybertsen-Louie (HL): $\varepsilon^{-1}(0)$ and f sum rule

$$\int_0^{+\infty} \omega \operatorname{Im} \varepsilon^{-1}(\omega) = -\frac{\pi}{2} \omega_p^2$$

- Godby-Needs (GN): $\varepsilon^{-1}(0)$ and $\varepsilon^{-1}(i\omega)$

Silicon band gap with PPM

Silicon unit cell:

k-points: 5x5x5

bands: 190 empty states

cutoff energy for epsilon: 8 Ry

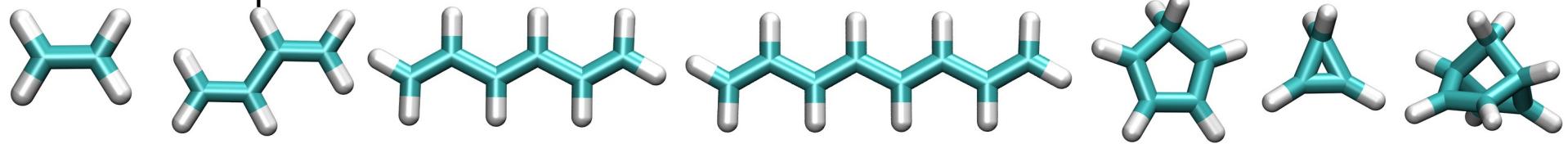
| | HL | GN | Expt. |
|-----------------|------|------|---------|
| Γ_v | 5.45 | 5.65 | |
| Γ_c | 8.71 | 8.87 | |
| Direct Band gap | 3.26 | 3.22 | 3.40 eV |

Band gaps are almost the same

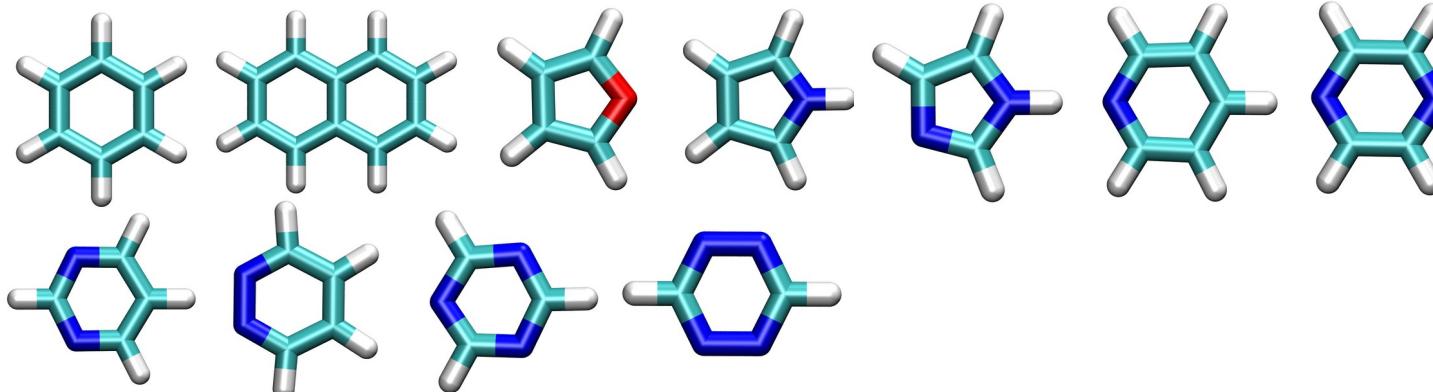
However, the absolute positioning of the bands is not (**0.2 eV difference!**)

Molecular systems

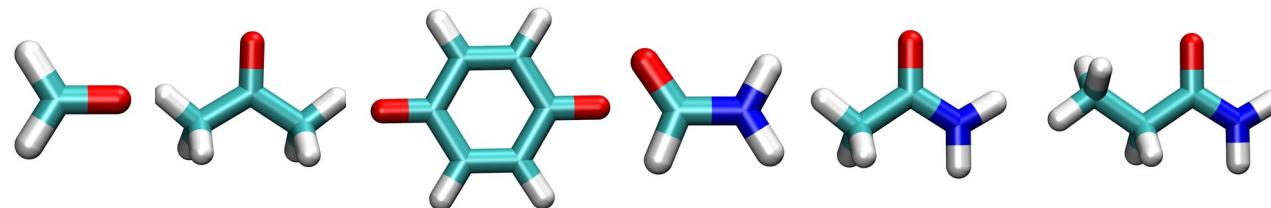
Unsaturated Aliphatic Hydrocarbons



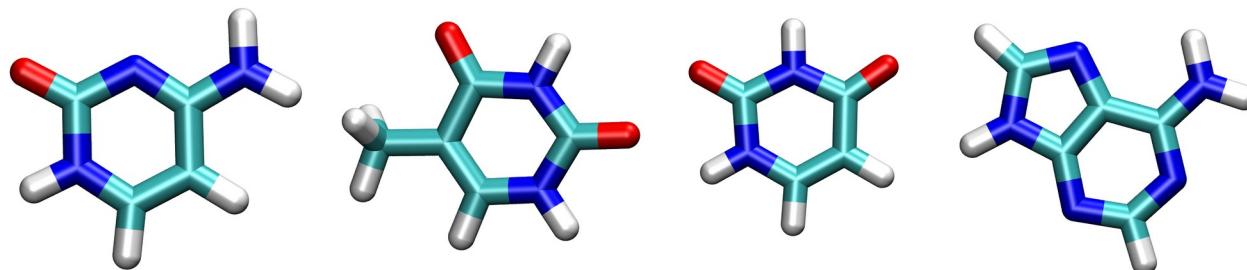
Aromatic Hydrocarbons and Heterocycles



Aldehydes, Ketones and Amides



Nucleobases



RPA in Linear Response

RPA screening equation:

$$W_p(\omega) \text{ needs } \chi(\omega) = \chi_0(\omega) + \chi_0(\omega)v\chi(\omega) \iff \chi^{-1}(\omega) = \chi_0^{-1}(\omega) - v$$

In transition space:

$$\chi^{-1}(\omega) = \omega I + \begin{pmatrix} \epsilon_j - \epsilon_i & & \\ & \ddots & \\ & & \ddots & \ddots & \\ & & & \ddots & \ddots \\ & & & & \ddots & \ddots \end{pmatrix} - \begin{pmatrix} (ij|\frac{1}{r}|kl) \end{pmatrix}$$

Transition space:

if i is occupied, then j is empty
if j is occupied, then i is empty

Diagonalization instead of an inversion for each ω

Obtain left and right eigenvectors L_s , R_s
and excitation energies Ω_s

TD-DFT in Linear Response

TD-DFT screening equation:

$$\chi(\omega) = \chi_0(\omega) + \chi_0(\omega)(v + f_{xc})\chi(\omega) \quad \leftrightarrow \quad \chi^{-1}(\omega) = \chi_0^{-1}(\omega) - v - f_{xc}$$

In transition space:

$$\chi^{-1}(\omega) = \omega I + \langle i j | \left(\epsilon_j - \epsilon_i \begin{matrix} \ddots \\ \ddots \\ \ddots \\ \ddots \end{matrix} \right) - \left(i j | \frac{1}{r} | k l \right) - \left(i j | f_{xc} | k l \right) | k l \rangle$$

Transition space:

- if i is occupied, then j is empty
- if j is occupied, then i is empty

Diagonalization instead of an inversion for each ω

Obtain left and right eigenvectors L_s , R_s and excitation energies Ω_s

Bethe-Salpeter Equation in Linear Response

BSE screening equation:

$$\chi(\omega) = \chi_0(\omega) + \chi_0(\omega)(v - W)\chi(\omega) \iff \chi^{-1}(\omega) = \chi_0^{-1}(\omega) - v + W$$

In transition space:

$$\chi^{-1}(\omega) = \omega I + \begin{pmatrix} \epsilon_j - \epsilon_i & & \\ \vdots & \ddots & \\ & \ddots & \ddots & \ddots \\ & & & \ddots & \ddots \end{pmatrix} - \left(i j | \frac{1}{r} | k l \right) + \left(i j | W | k l \right)$$

A red arrow points from the term $\langle i j |$ to the matrix element $(i j | \frac{1}{r} | k l)$.

Transition space:

- if i is occupied, then j is empty
- if j is occupied, then i is empty

Diagonalization instead of an inversion for each ω

Obtain left and right eigenvectors L_s , R_s and excitation energies Ω_s

Self energy evaluation in GW

Correlation part of the GW self energy requires a convolution in frequency:

$$\Sigma_c(\omega) = \frac{i}{2\pi} \int_{-\infty}^{+\infty} d\omega' G(\omega + \omega') W_p(\omega')$$



$$G(\omega) = \sum_i \frac{\phi_i(\mathbf{r})\phi_i(\mathbf{r}')}{\omega - \epsilon_i \pm i\eta}$$

$$W_p(\omega) = \sum_s \frac{R_s(\mathbf{r})R_s(\mathbf{r}')}{\omega - \Omega_s \pm i\eta}$$

Residue theorem yields the result straightforwardly.

Outline

I. Introduction: going beyond DFT

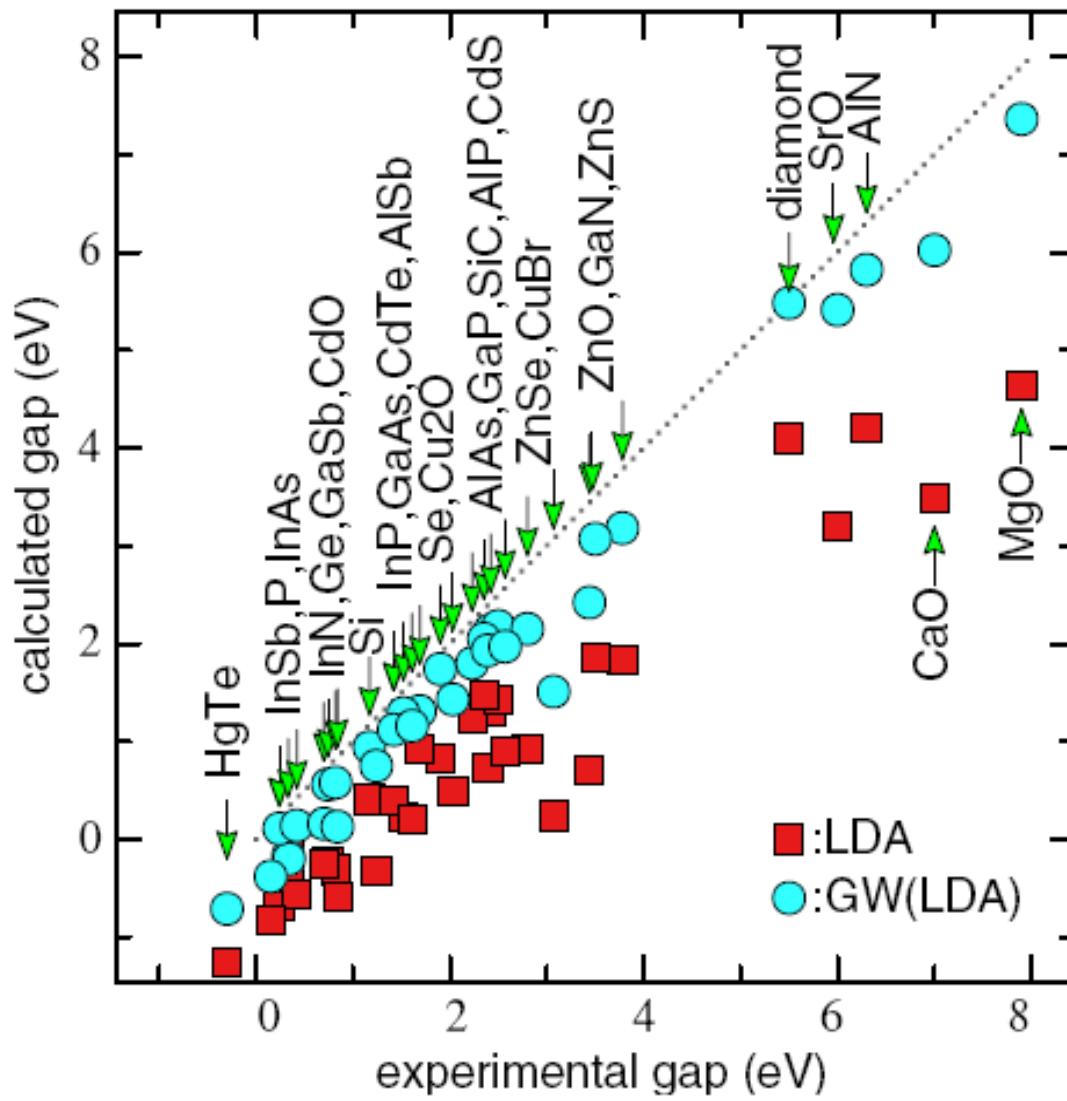
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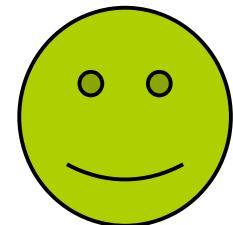
V. Applications

GW approximation gets good band gap



van Schilfgaarde et al PRL 96 226402 (2008)

No more a band gap problem !



Exact realization of the Lehman decomposition

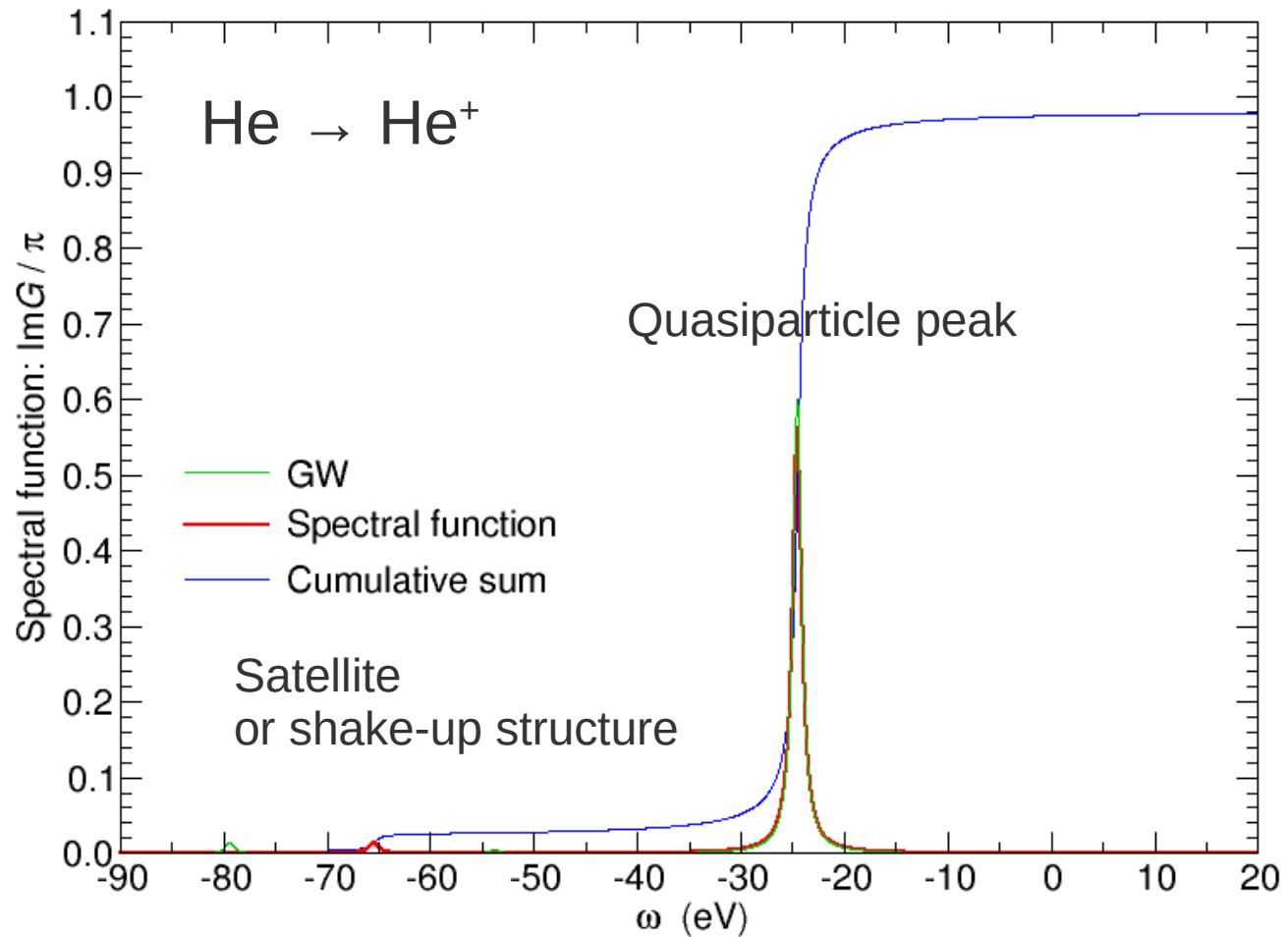
$$\langle m | G^h(\omega) | m \rangle = \sum_i \frac{\langle N0 | \hat{c}_m^+ | N-1i \rangle \langle N-1i | \hat{c}_m | N0 \rangle}{\omega - \epsilon_i - i\eta}$$

$N=2$

$N-1=1$

$m=1s$

Obtained from FCI
calculations



What is the best starting point for G_0W_0 ?

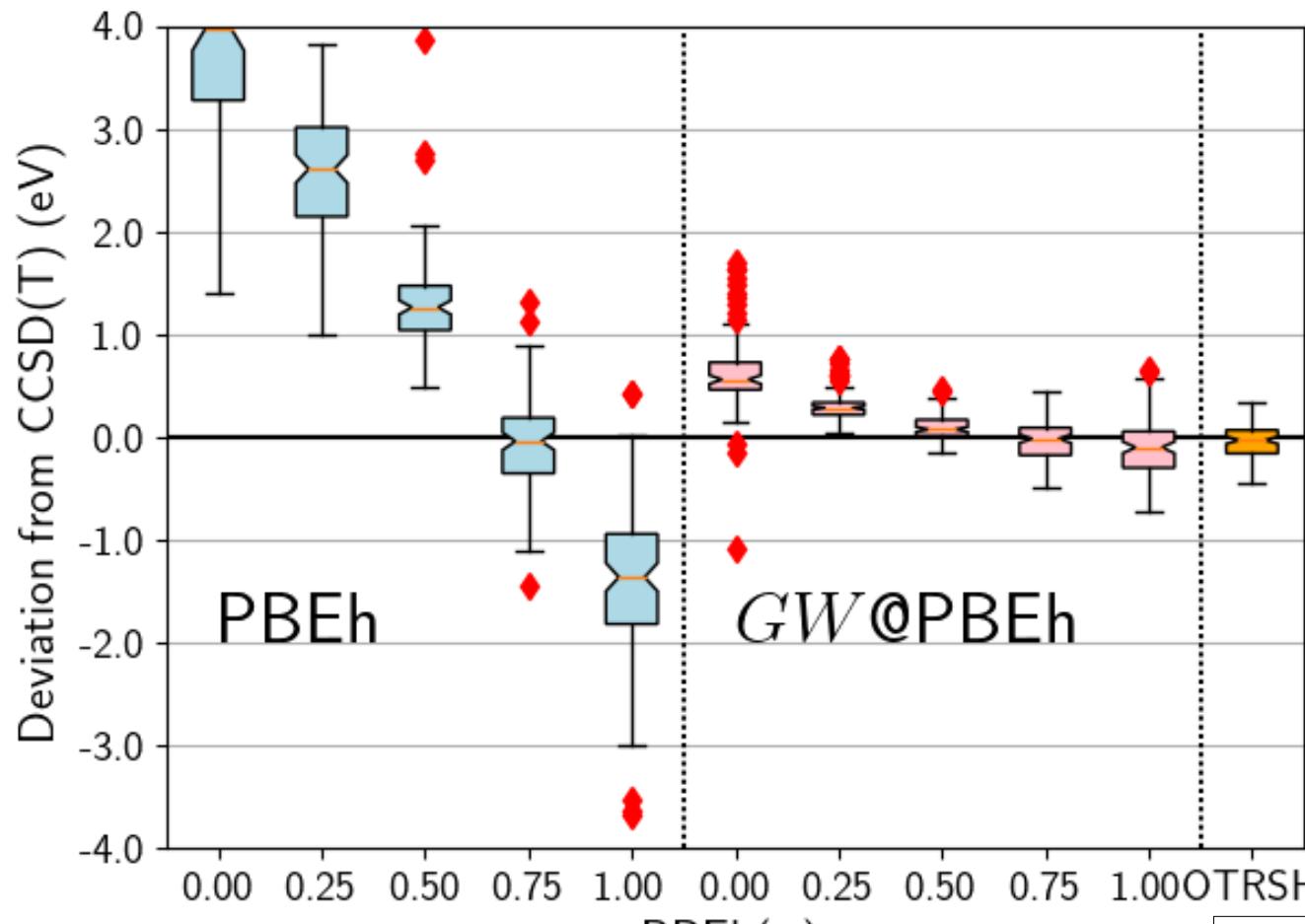
Ionization potential of 100 small molecules

van Setten et al. JCTC (2015)

but containing difficult elements: Rb, Cs, Br, As etc...

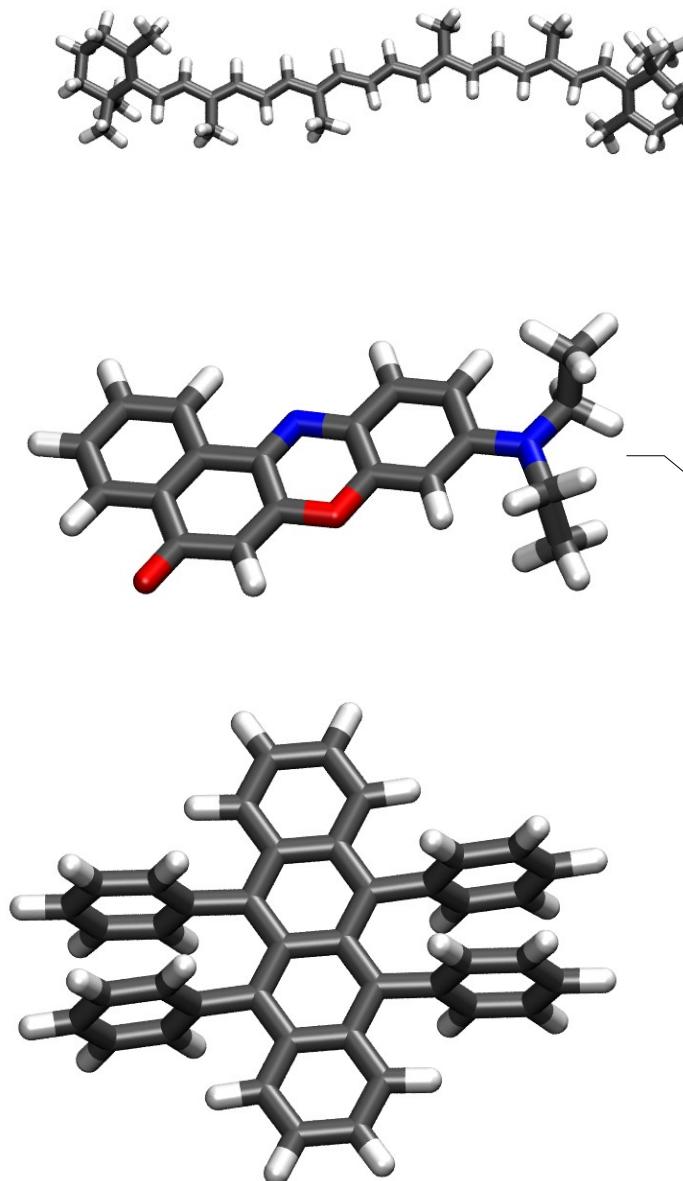
<https://gw100.wordpress.com/>

GW versus Coupled-cluster $E_{CCSD(T)}(X^0) - E_{CCSD(T)}(X^+)$



Large acceptor/dye molecules

Bruneval et al. JCTC 2020

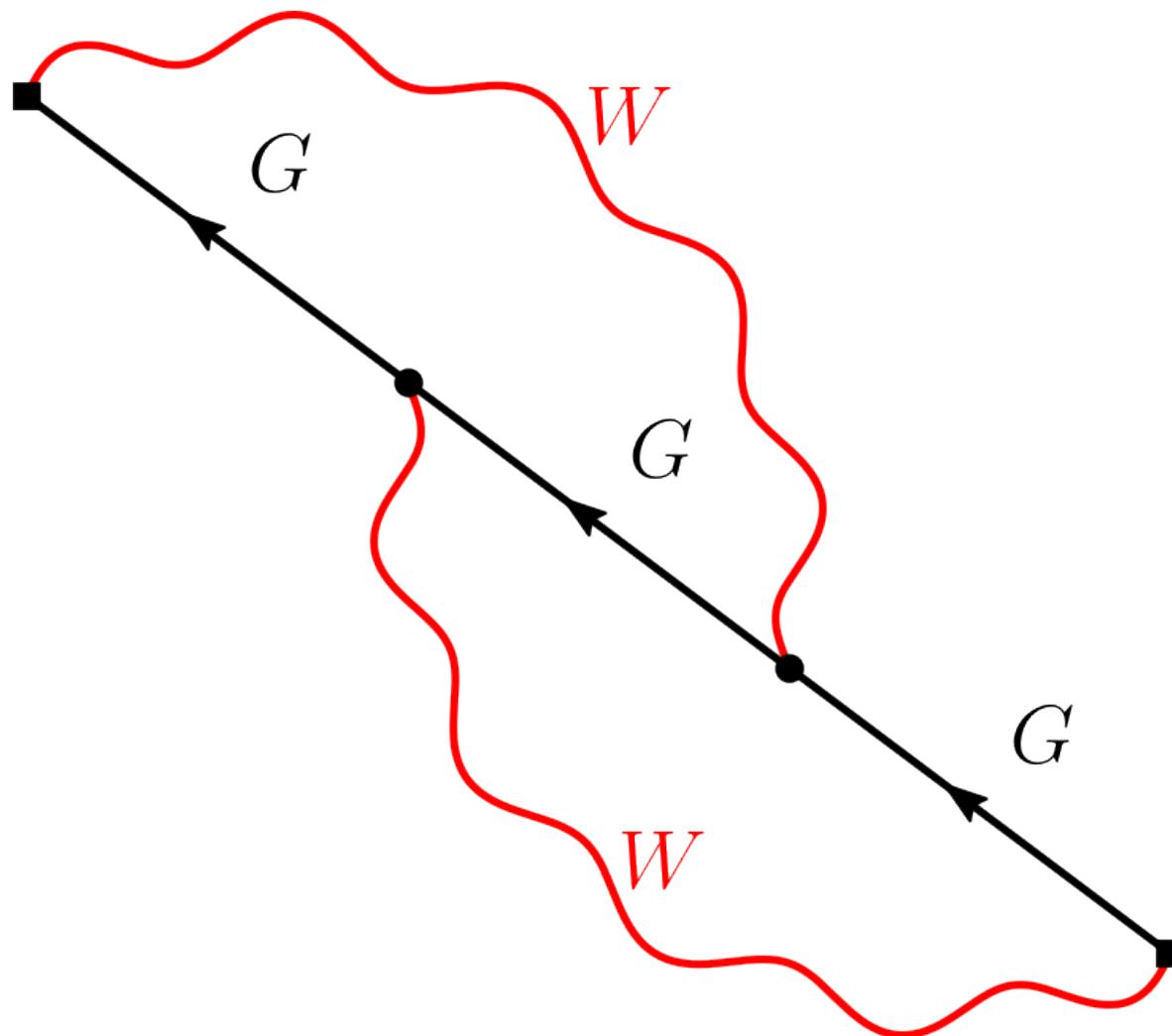


| molecule | formula | CAS number | IP (eV) GW@BHLYP | expt | EA (eV) GW@BHLYP | expt |
|----------------------|---|------------|---------------------|------|---------------------|-------|
| TCNQ | C ₁₂ H ₄ N ₄ | 1518-16-7 | 9.87 | 9.61 | 3.52 | 2.80 |
| F4-TCNQ | C ₁₂ F ₄ N ₄ | 29261-33-4 | 10.27 | | 4.05 | |
| anthracene | C ₁₄ H ₁₀ | 120-12-7 | 7.55 | 7.44 | 0.57 | 0.53 |
| Nile red | C ₂₀ H ₁₈ N ₂ O ₂ | 7385-67-3 | 7.35 | | 1.47 | |
| coronene | C ₂₄ H ₁₂ | 191-07-18 | 7.37 | 7.21 | 0.69 | 0.47- |
| PTCDA | C ₂₄ H ₈ O ₆ | 128-69-8 | 8.30 | 8.2 | 3.34 | |
| pigment red 179 | C ₂₆ H ₁₄ N ₂ O ₄ | 5521-31-3 | 7.79 | | 2.91 | |
| β carotene | C ₄₀ H ₅₆ | 7235-40-7 | 6.66 | 6.5 | 1.19 | |
| rubrene | C ₄₂ H ₂₈ | 517-51-1 | 6.36 | 6.41 | 1.52 | |
| buckminsterfullerene | C ₆₀ | 99685-96-8 | 7.66 | 7.6 | 2.61 | 2.70 |

0.1 - 0.2 eV accuracy wrt expt

Beyond GW: G3W2

Bruneval, Förster JCTC 2023



Beyond GW: G3W2

$$\Sigma_{pq}^{ooo}(\omega) = \sum_{ts} \sum_{ijk} \frac{w_t^{pi} \cdot w_t^{jk} \cdot w_s^{qk} \cdot w_s^{ij}}{(\omega - \epsilon_i + \Omega_t - 2i\eta) \cdot (\omega - \epsilon_j + \Omega_t + \Omega_s - 3i\eta) \cdot (\omega - \epsilon_k + \Omega_s - 2i\eta)} \quad (13a)$$

$$\Sigma_{pq}^{vvv}(\omega) = \sum_{ts} \sum_{abc} \frac{w_t^{pa} \cdot w_t^{bc} \cdot w_s^{qc} \cdot w_s^{ab}}{(\omega - \epsilon_a - \Omega_t + 2i\eta) \cdot (\omega - \epsilon_b - \Omega_t - \Omega_s + 3i\eta) \cdot (\omega - \epsilon_c - \Omega_s + 2i\eta)} \quad (13b)$$

$$\Sigma_{pq}^{voo+ooe}(\omega) = \sum_{ts} \sum_{ajk} \frac{w_t^{pa} \cdot w_t^{jk} \cdot w_s^{qk} \cdot w_s^{aj}}{(\omega - \epsilon_k + \Omega_s - 2i\eta) \cdot (\Omega_s + \epsilon_a - \epsilon_j - 3i\eta)} \times \left[\frac{2}{(\omega - \epsilon_a - \Omega_t + 2i\eta)} - \frac{2}{\omega - \epsilon_j + \Omega_s + \Omega_t - 3i\eta} \right] \quad (13c)$$

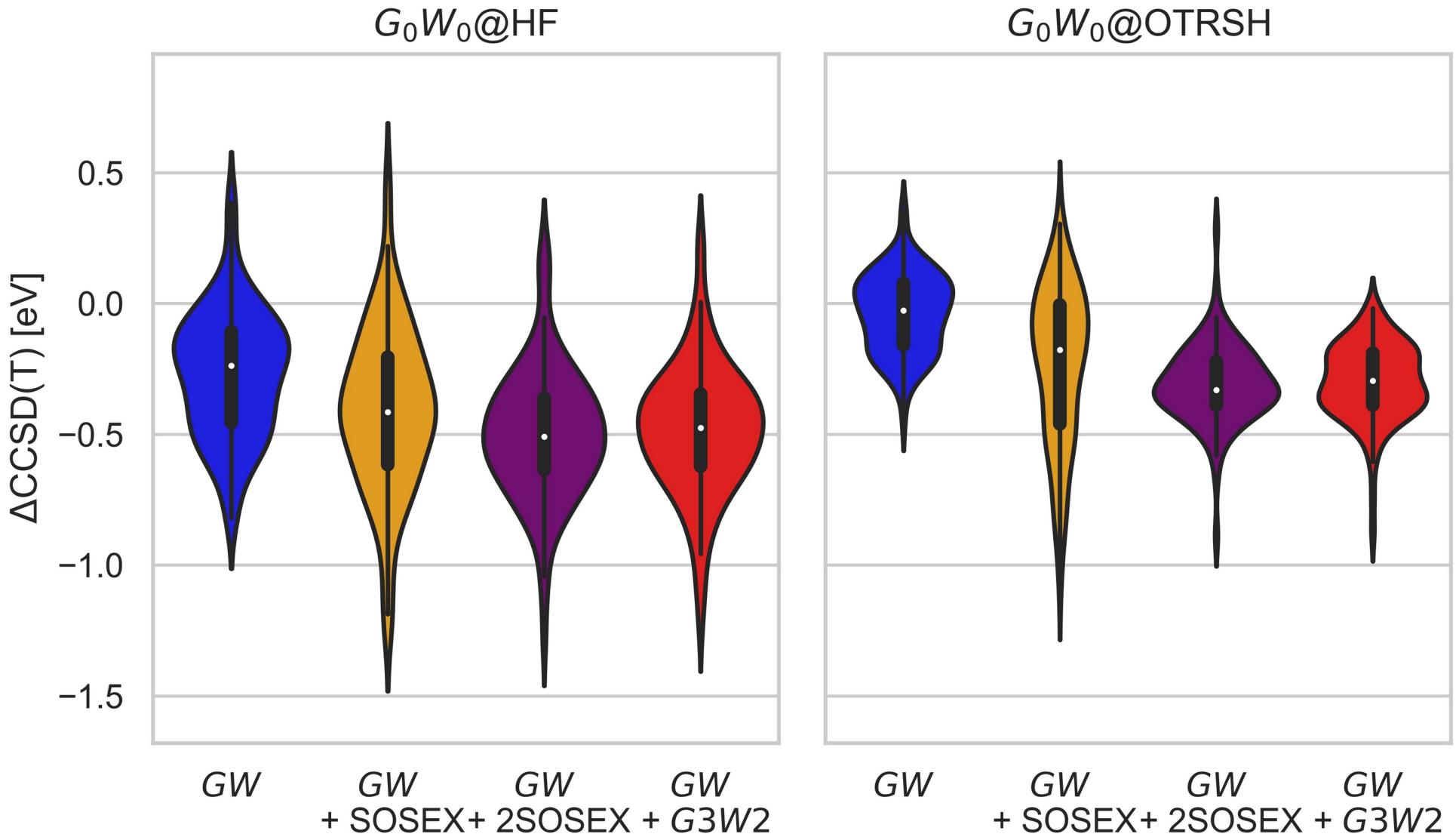
$$\Sigma_{pq}^{ovo+vvo}(\omega) = \sum_{ts} \sum_{ibc} \frac{w_t^{pi} \cdot w_t^{bc} \cdot w_s^{qc} \cdot w_s^{ib}}{(\omega - \epsilon_c - \Omega_s + 2i\eta) \cdot (\Omega_s - \epsilon_i + \epsilon_b - 3i\eta)} \times \left[-\frac{2}{\omega - \epsilon_i + \Omega_t - 2i\eta} + \frac{2}{\omega - \epsilon_b - \Omega_s - \Omega_t + 3i\eta} \right] \quad (13d)$$

$$\begin{aligned} \Sigma_{pq}^{ovo}(\omega) &= \sum_{ts} \sum_{ibk} \frac{w_t^{pi} \cdot w_t^{bk} \cdot w_s^{qk} \cdot w_s^{ib}}{\omega - \epsilon_i - \epsilon_k + \epsilon_b - 3i\eta} \\ &\times \left[\frac{2\epsilon_b - \epsilon_i - \epsilon_k + \Omega_t + \Omega_s}{(\omega - \epsilon_b - \Omega_t - \Omega_s + 3i\eta) \cdot (\Omega_s + \epsilon_b - \epsilon_i - 3i\eta) \cdot (\Omega_t + \epsilon_b - \epsilon_k - 3i\eta)} \right. \\ &\quad \left. - \frac{2}{(\Omega_t + \epsilon_b - \epsilon_k - 3i\eta) \cdot (\omega - \epsilon_k + \Omega_s - 2i\eta)} - \frac{1}{(\omega - \epsilon_i + \Omega_t - 2i\eta) \cdot (\omega - \epsilon_k + \Omega_s - 2i\eta)} \right] \end{aligned} \quad (13e)$$

$$\begin{aligned} \Sigma_{pq}^{vov}(\omega) &= \sum_{ts} \sum_{ajc} \frac{w_t^{pa} \cdot w_t^{jc} \cdot w_s^{qc} \cdot w_s^{aj}}{\omega - \epsilon_a - \epsilon_c + \epsilon_j + 3i\eta} \times \left[\frac{2\epsilon_j - \epsilon_a - \epsilon_c - \Omega_t - \Omega_s}{((\omega - \epsilon_j + \Omega_t + \Omega_s - 3i\eta) \cdot (\Omega_s - \epsilon_j + \epsilon_a - 3i\eta) \cdot (\Omega_t - \epsilon_j + \epsilon_c - 3i\eta)} \right. \\ &\quad \left. + \frac{2}{(\Omega_t - \epsilon_j + \epsilon_c - 3i\eta) \cdot (\omega - \epsilon_c - \Omega_s + 2i\eta)} - \frac{1}{(\omega - \epsilon_a - \Omega_t + 2i\eta) \cdot (\omega - \epsilon_c - \Omega_s + 2i\eta)} \right] \end{aligned} \quad (13f)$$

Beyond GW: G3W2

Performance on GW100



Getting the density-matrix from GW

Electronic density:

$$\rho(\mathbf{r}) = \sum_i f_i \varphi_i^*(\mathbf{r}) \varphi_i(\mathbf{r})$$

Density-matrix:

$$\gamma(\mathbf{r}, \mathbf{r}') = \sum_i f_i \varphi_i^*(\mathbf{r}) \varphi_i(\mathbf{r}')$$

An example:

the kinetic energy is a explicit functional of

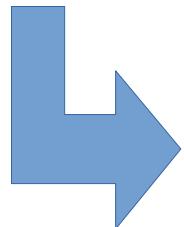
$$\gamma(\mathbf{r}, \mathbf{r}')$$

$$T = -\frac{1}{2} \sum_i f_i \iint d\mathbf{r} d\mathbf{r}' \varphi_i^*(\mathbf{r}) \delta(\mathbf{r} - \mathbf{r}') \nabla_{\mathbf{r}'}^2 \varphi_i^*(\mathbf{r}')$$

GW density matrix in ABINIT



$$\langle \mathbf{k}i | \Sigma^{GW}(\mu_F + i\omega) | \mathbf{k}j \rangle$$



$$\langle \mathbf{k}i | \gamma^{GW} | \mathbf{k}j \rangle = -\frac{1}{2\pi} \int d\omega \frac{\langle \mathbf{k}i | \Sigma^{GW}(\mu_F + i\omega) | \mathbf{k}j \rangle}{(\mu_F + i\omega - \epsilon_{\mathbf{k}i})(\mu_F + i\omega - \epsilon_{\mathbf{k}j})}$$

already available in ABINIT v9.4

Denawi, Bruneval, Torrent, Rodriguez-Mayorga PRB (2023)

Surprising facts with density-matrices 1

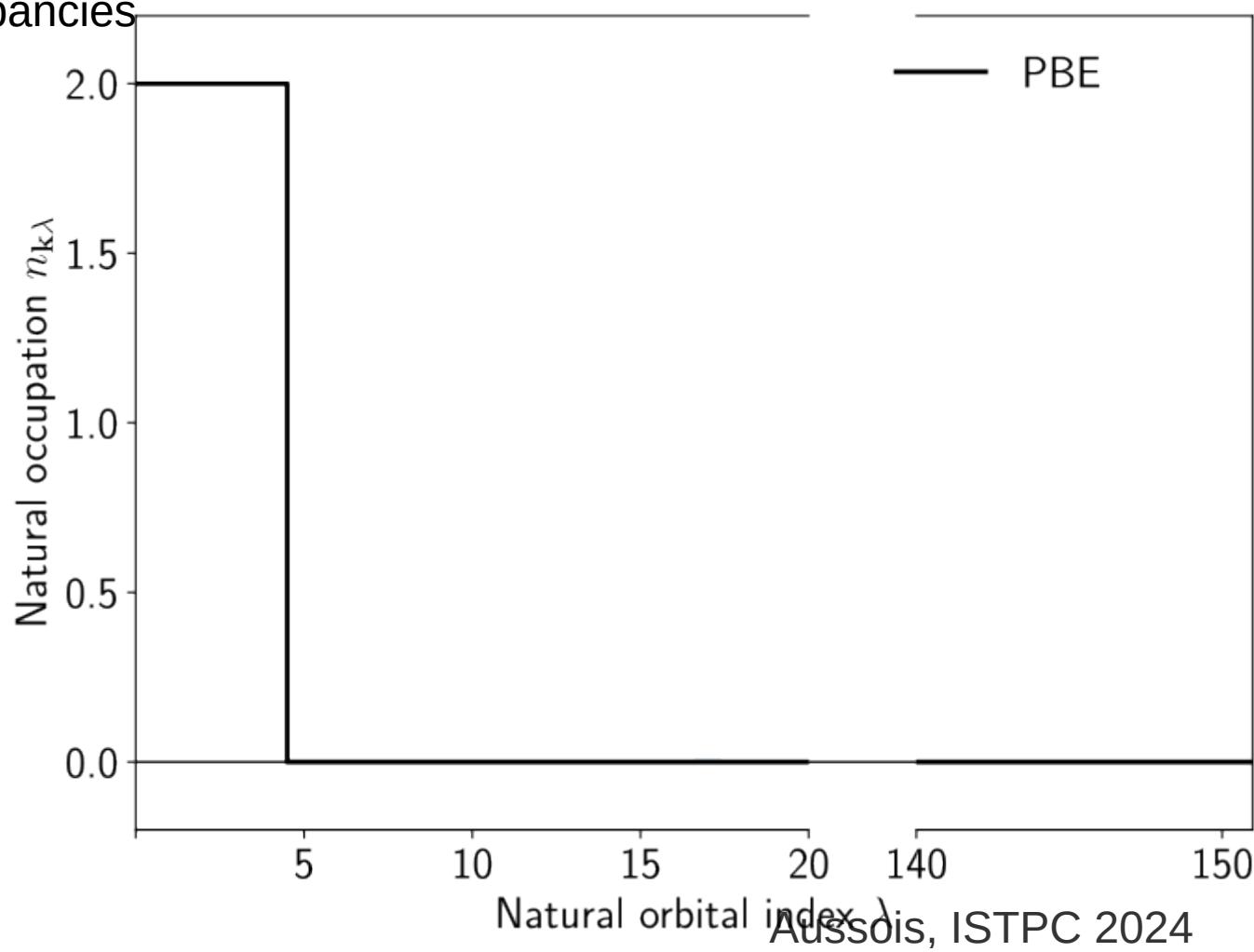
Diagonalization:

$$\sum_{j=1}^{N_b} \gamma_{\mathbf{k}ij} U_{\mathbf{k}j\lambda} = n_{\mathbf{k}\lambda} U_{\mathbf{k}i\lambda}$$

Si

Natural orbitals, natural occupancies

$$\phi_{\mathbf{k}\lambda}(\mathbf{r}) = \sum_{i=1}^{N_b} U_{\mathbf{k}i\lambda} \varphi_{\mathbf{k}i}(\mathbf{r}).$$



Surprising facts with density-matrices 1

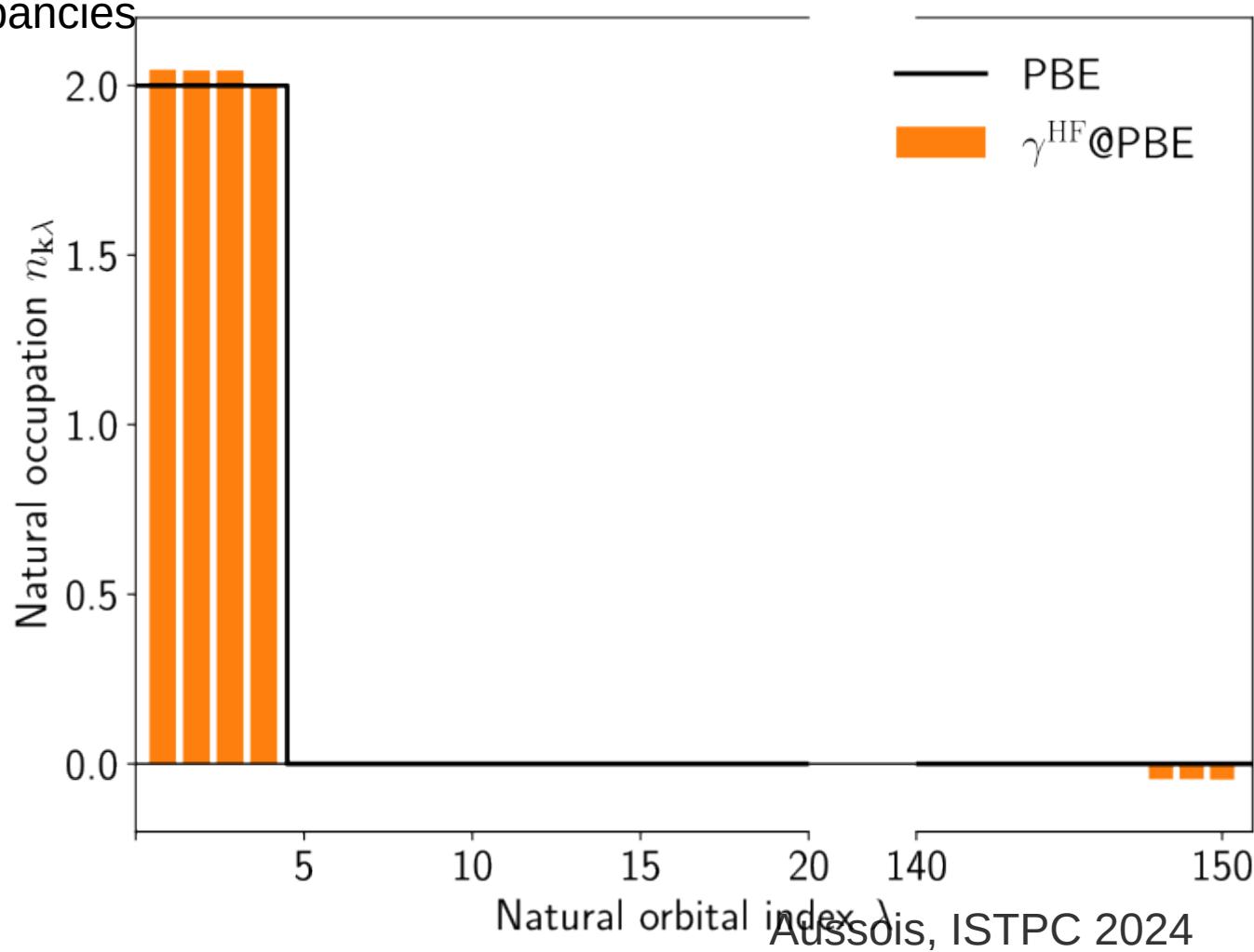
Diagonalization:

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Natural orbitals, natural occupancies

$$\phi_{\mathbf{k}\lambda}(\mathbf{r}) = \sum_{i=1}^{N_b} U_{\mathbf{k}i\lambda} \varphi_{\mathbf{k}i}(\mathbf{r}).$$



Surprising facts with density-matrices 1

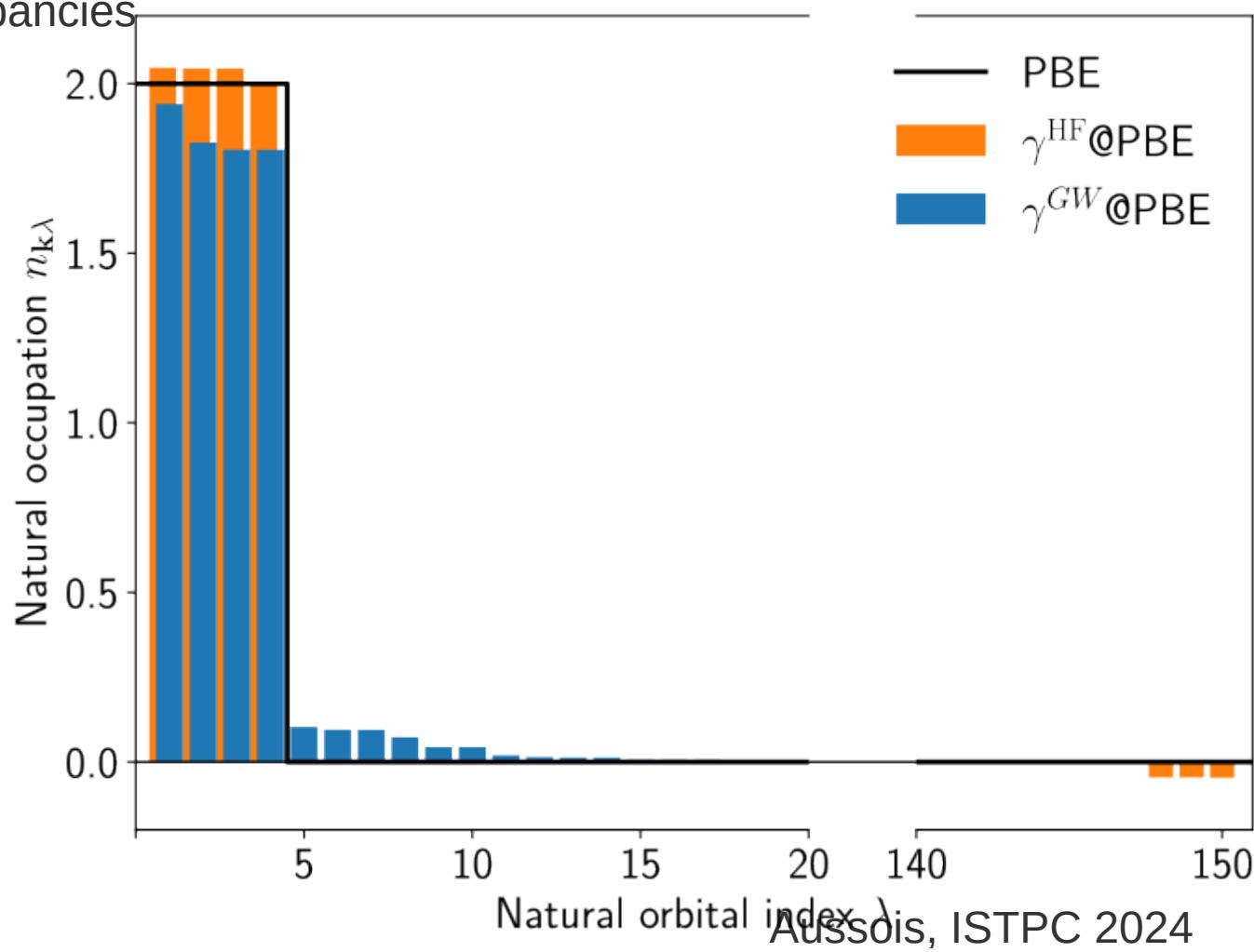
Diagonalization:

$$\sum_{j=1}^{N_b} \gamma_{\mathbf{k}ij} U_{\mathbf{k}j\lambda} = n_{\mathbf{k}\lambda} U_{\mathbf{k}i\lambda}$$

Si

Natural orbitals, natural occupancies

$$\phi_{\mathbf{k}\lambda}(\mathbf{r}) = \sum_{i=1}^{N_b} U_{\mathbf{k}i\lambda} \varphi_{\mathbf{k}i}(\mathbf{r}).$$

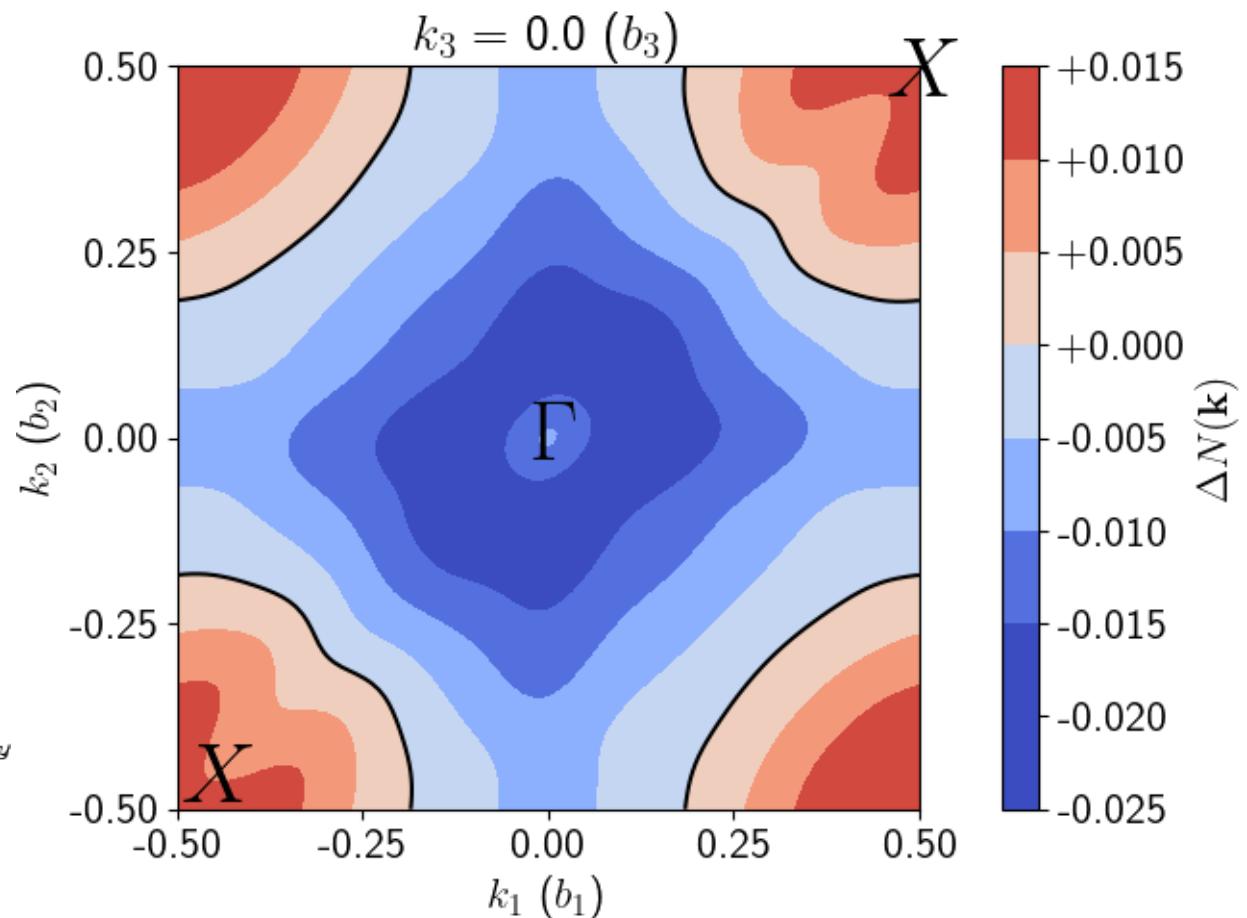
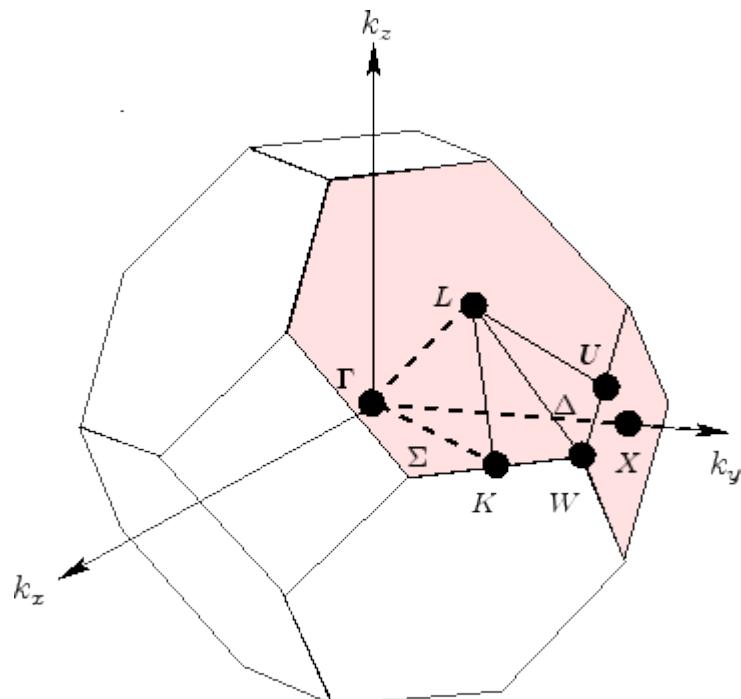


Surprising facts with density-matrices 2

Si

Electron count across the BZ

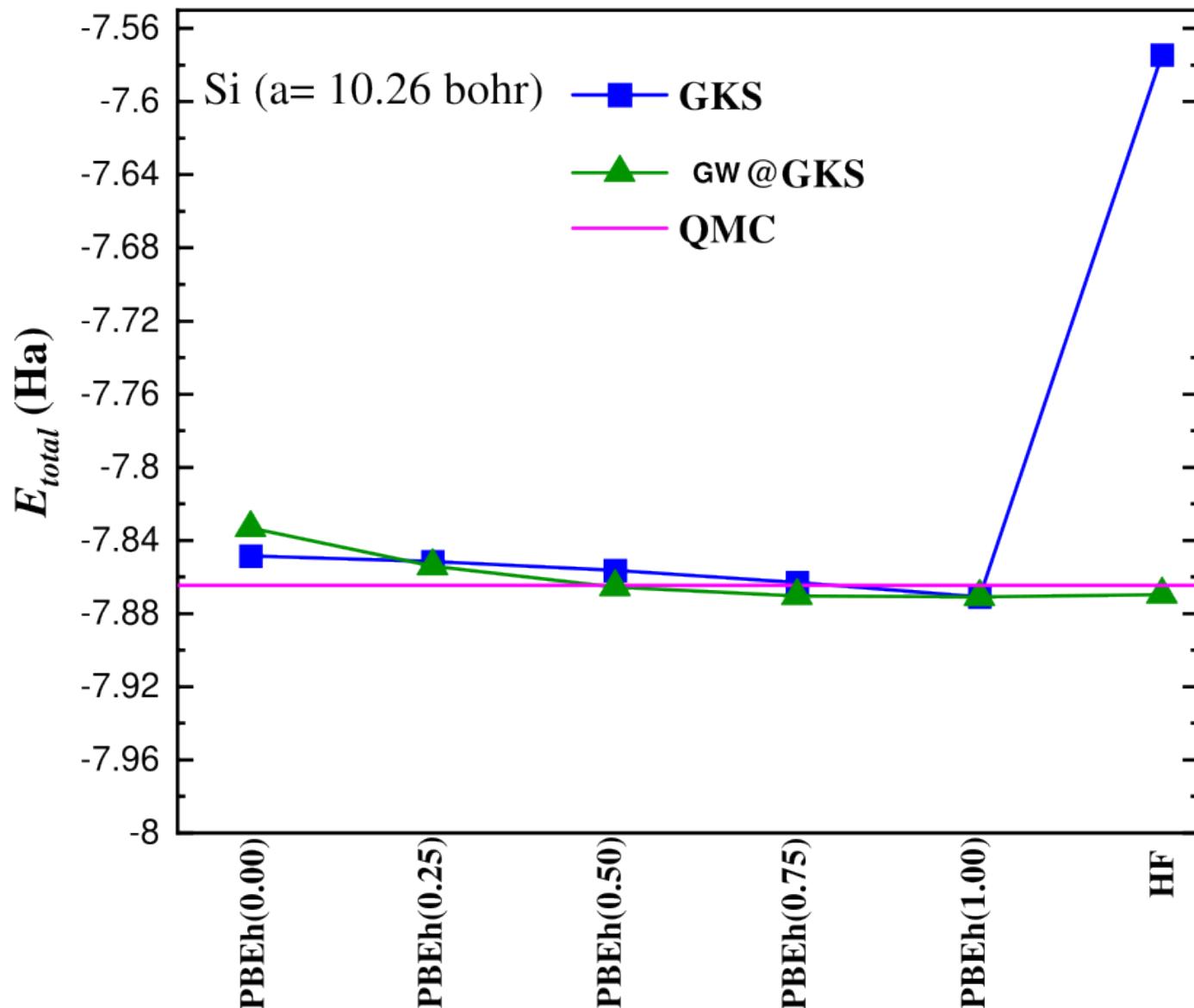
$$\sum_{\mathbf{k}} \sum_{\lambda} n_{\mathbf{k}\lambda} = N_e$$



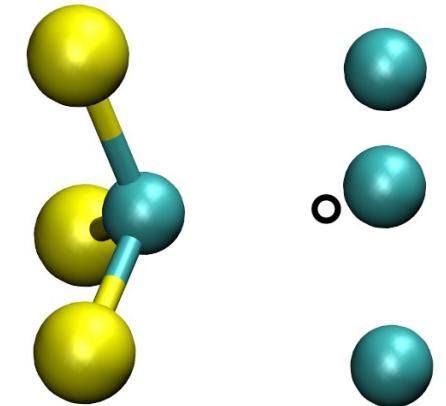
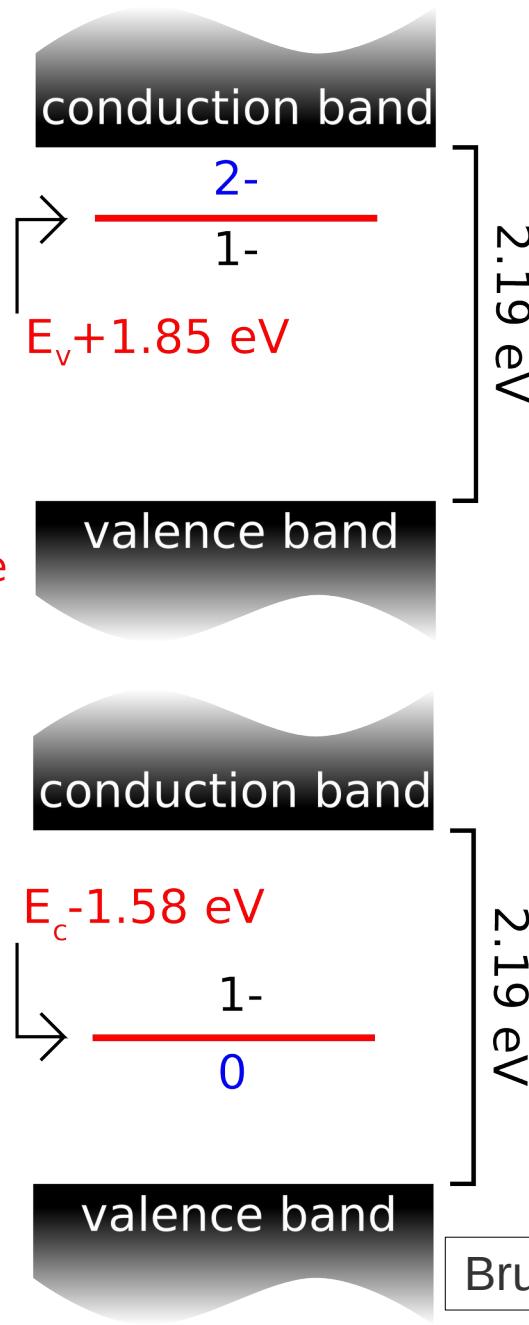
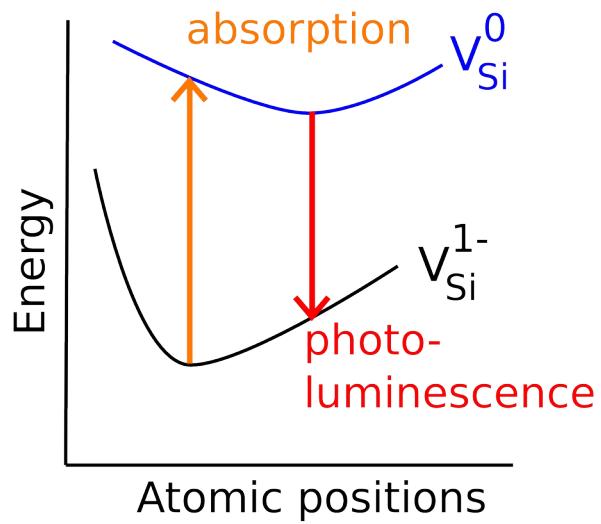
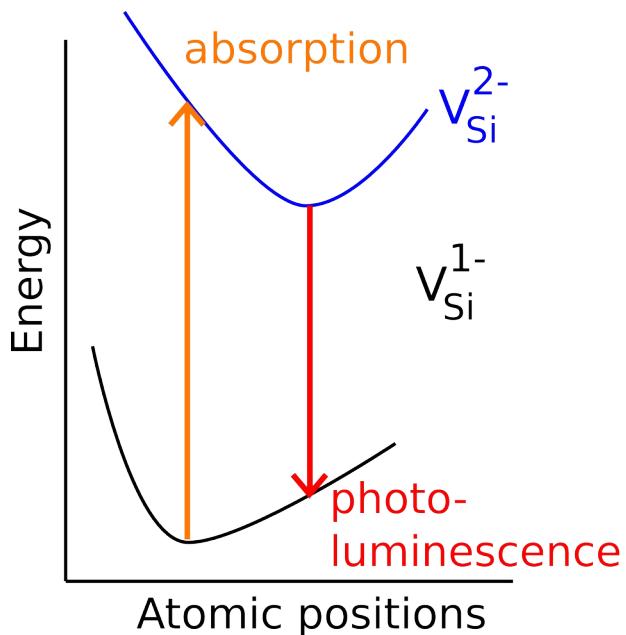
Varying number of electrons that sum up to the correct count!

Density matrix to estimate self-consistent GW energy

Denawi, Bruneval, Torrent, Rodriguez-Mayorga PRB (2023)



Photoluminescence of V_{Si}



Bruneval and Roma PRB (2011)

Summary

- The GW approximation **solves the band gap problem!**
- The calculations are extremely heavy, so that we resort to many additional technical approximations: **method named G_0W_0**
- The complexity comes from
 - Dependence upon empty states
 - Non-local operators
 - Dynamic operators that requires freq. convolutions

Reviews - Links

Reviews:

- L. Hedin, Phys. Rev. **139** A796 (1965).
 - L. Hedin and S. Lundqvist, in Solid State Physics, Vol. **23** (Academic, New York, 1969), p. 1.
 - F. Aryasetiawan and O. Gunnarsson, Rep. Prog. Phys. **61** 237 (1998).
 - W.G. Aulbur, L. Jonsson, and J.W. Wilkins, Sol. State Phys. **54** 1 (2000).
 - G. Strinati, Riv. Nuovo Cimento **11** 1 (1988).
-
- F. Bruneval and M. Gatti, “Quasiparticle Self-Consistent GW Method for the Spectral Properties of Complex Materials”, Top. Curr. Chem (2014) 347: 99–136

Codes:

- <http://www.abinit.org>
- <http://www.berkeleygw.org/>
- <https://github.com/bruneval/molgw>

Exercice: H₂ in minimal basis: GW@HF

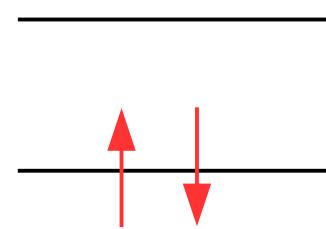
Find the location of the poles of the self-energy

Szabo-Ostlung book chapter 3 teaches how to perform HF in this example:

Basis: STO-3G $r(\text{H-H}) = 1.4 \text{ bohr}$

2 basis functions → 2 eigenstates:

LUMO anti-bonding



In eigenvector basis:
Hamiltonian

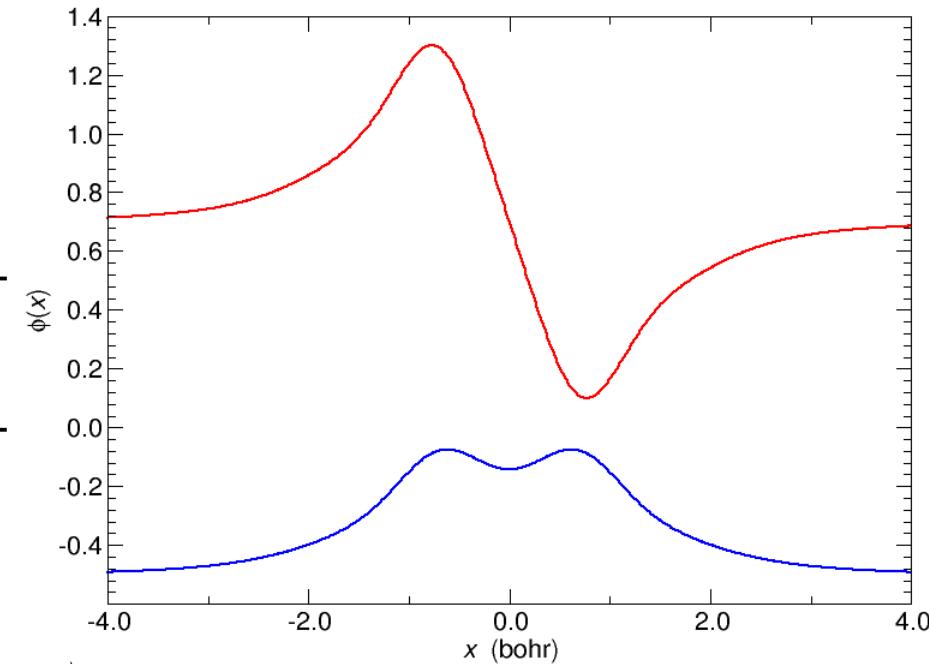
$$C^T H C = \begin{pmatrix} -0.578 & 0 \\ 0 & 0.670 \end{pmatrix}$$

Coulomb interaction:

$$(11 | \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} | 11) = 0.675$$

$$(12 | \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} | 12) = 0.181$$

$$(22 | \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|} | 22) = 0.697$$



Atomic units

Exercice: H_2 in minimal basis: GW@HF

Find the location of the poles of W

Diagonalize the RPA equation

$$\chi^{-1}(\omega) = \langle i j | \begin{bmatrix} \frac{\omega - (\epsilon_j - \epsilon_i)}{f_i - f_j} & \cdot & \cdot & \cdot \\ \cdot & \ddots & \cdot & \cdot \\ \cdot & \cdot & \ddots & \cdot \\ \cdot & \cdot & \cdot & \ddots \end{bmatrix} - \begin{bmatrix} (i j | \frac{1}{r} | k l) \end{bmatrix} \rangle$$

$$\Delta\epsilon = \epsilon_2 - \epsilon_1 = 1.248$$
$$v = (12|1/r|12) = 0.181$$

$$|12\rangle \quad |21\rangle$$

$$\begin{aligned} \langle 12 | & \begin{bmatrix} \frac{\omega - \Delta\epsilon}{2} & 0 \\ 0 & \frac{\omega + \Delta\epsilon}{-2} \end{bmatrix} - \begin{bmatrix} v & v \\ v & v \end{bmatrix} \\ \langle 21 | \end{aligned}$$

$$\Omega = \pm \sqrt{\Delta\epsilon^2 + 4v\Delta\epsilon} = \pm 1.569$$

Exercice: H_2 in minimal basis: GW@HF

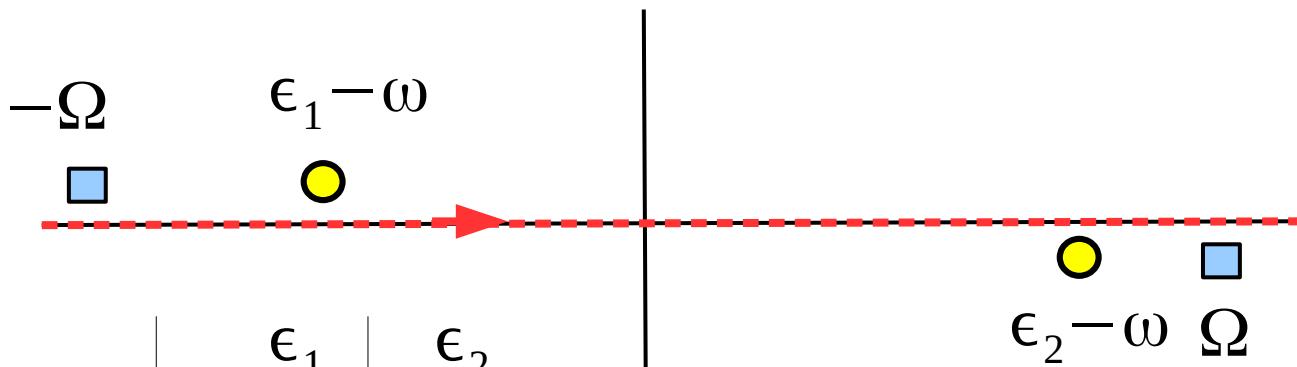
$$\Sigma_c(\omega) = \frac{i}{2\pi} \int_{-\infty}^{+\infty} d\omega' G(\omega + \omega') W_p(\omega')$$

$$G(\omega) = \sum_i \frac{\phi_i(\mathbf{r})\phi_i(\mathbf{r}')}{\omega - \epsilon_i \pm i\eta}$$

$$W_p(\omega) = \sum_s \frac{R_s(\mathbf{r})R_s(\mathbf{r}')}{\omega - \Omega_s \pm i\eta}$$

$$\Sigma_c(\omega) = \frac{i}{2\pi} \sum_{i \in \{1, 2\}} \sum_{s \in \{1 \rightarrow 2, 2 \rightarrow 1\}} \int_{-\infty}^{+\infty} d\omega' \frac{\alpha}{\omega + \omega' - \epsilon_i \pm i\eta} \times \frac{\beta}{\omega' - \Omega \pm i\eta}$$

Integration in the complex plane:



Pole table:

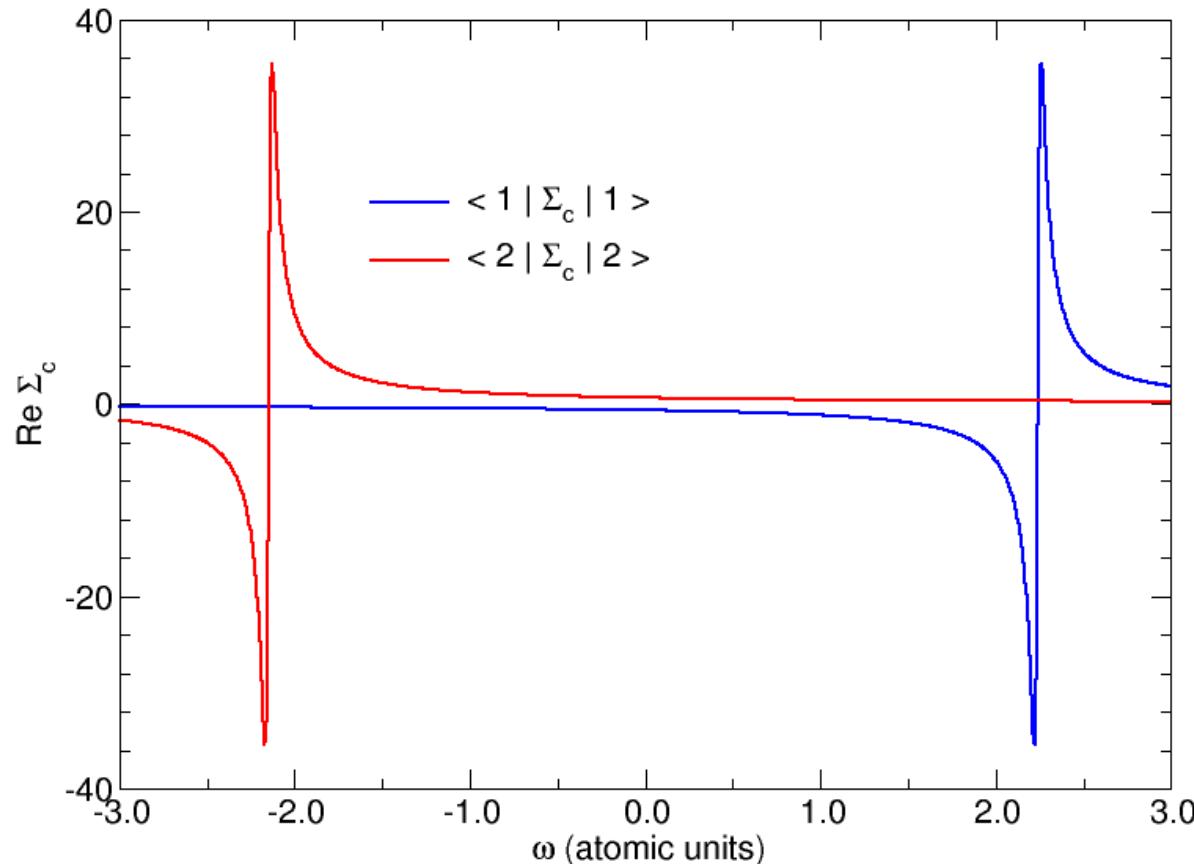
| | | |
|-----------|-----------------------|-----------------------|
| | ϵ_1 | ϵ_2 |
| $-\Omega$ | | $\epsilon_2 + \Omega$ |
| Ω | $\epsilon_1 - \Omega$ | |

Exercice: H₂ in minimal basis: GW@HF

$$\epsilon_2 + \Omega = 2.239$$

$$\epsilon_1 - \Omega = -2.147$$

Real part of
the self-energy
from MOLGW



$$\epsilon_{\text{HOMO}}^{GW} = -16.23 \text{ eV}$$

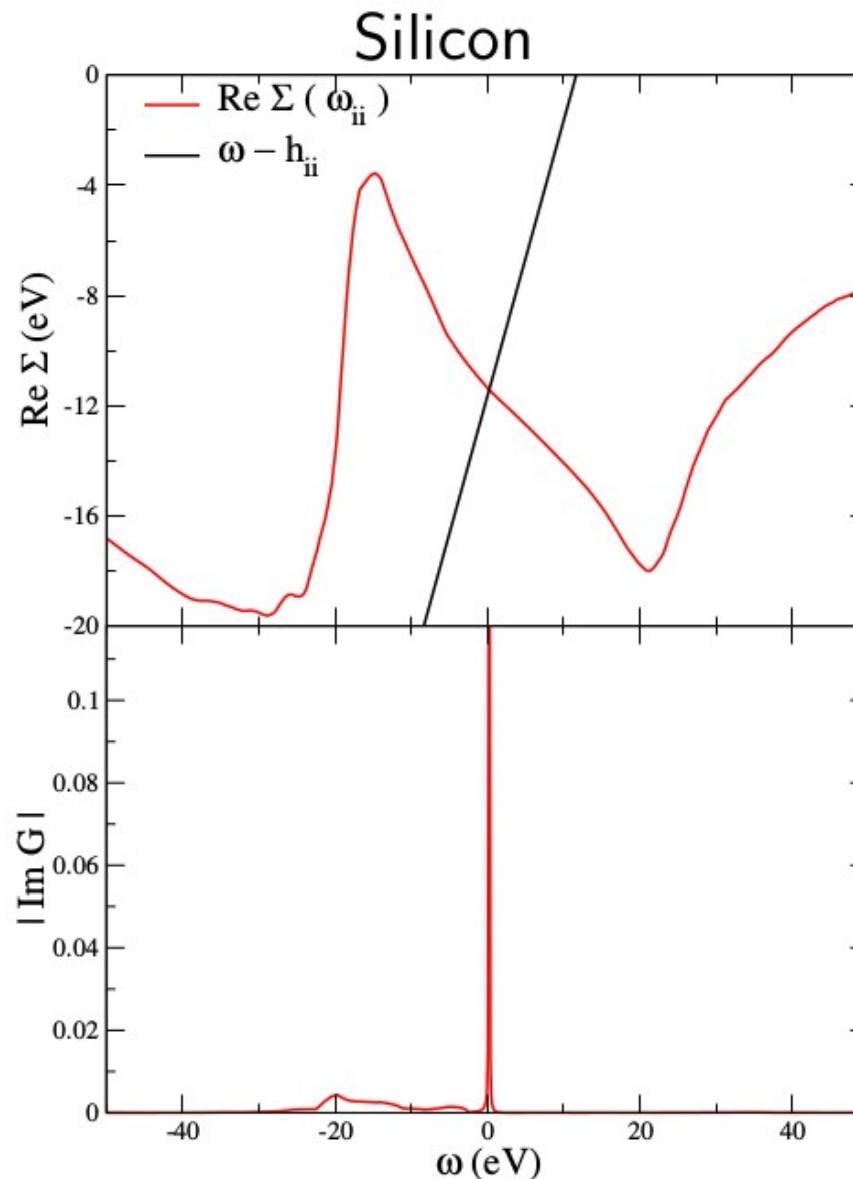
$$\epsilon_{\text{LUMO}}^{GW} = 18.74 \text{ eV}$$

Exercice: H₂ in minimal basis: GW@HF

Same conclusions hold for
a many-state case:

Bulk silicon

Plasmon frequency ~ 17 eV
Occupied states ~ -5 - 0 eV
Empty states ~ +2 - ... eV



Exercise 0: Where the spectral weight comes from?

Ex: A complex function made of single poles:

$$f(z) = \frac{A_1}{z - a_1} + \frac{A_2}{z - a_2} + \frac{A_3}{z - a_3} + \dots = \sum_i \frac{A_i}{z - a_i}$$

poles: a_i residues: A_i

$$(z - a_1) f(z) = A_1 + A_2 \frac{z - a_1}{z - a_2} + A_3 \frac{z - a_1}{z - a_3} + \dots$$

$$\lim_{z \rightarrow a_1} (z - a_1) f(z) = A_1$$

Now with G

$$\lim_{z \rightarrow a} (z - a) G(z) = \lim_{z \rightarrow a} \frac{z - a}{z - \epsilon - \Sigma(z)}$$

$\frac{0}{0}$
undetermined

$$\begin{aligned} G(z) &= G_o^{-1}(z) - \Sigma(z) \\ &= z - \epsilon - \Sigma(z) \end{aligned}$$

L'Hopital rule: $\lim_{z \rightarrow a} \frac{f(z)}{g(z)} = \lim_{z \rightarrow a} \frac{f'(z)}{g'(z)}$

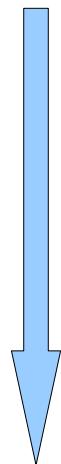
$$\begin{aligned} \lim_{z \rightarrow a} \frac{1}{1 - \Sigma(z)} &= \frac{1}{1 - \Sigma(a)} \\ &= Z(a) \end{aligned}$$

spectral weight

Exercise 1

Green's function in frequency domain

$$iG(\mathbf{r}_1 t_1, \mathbf{r}_2 t_2) = \theta(t_1 - t_2) \sum_{i \text{ virt}} \phi_i(\mathbf{r}_1) \phi_i^*(\mathbf{r}_2) e^{-i\epsilon_i(t_1 - t_2)} \\ - \theta(t_2 - t_1) \sum_{i \text{ occ}} \phi_i(\mathbf{r}_2) \phi_i^*(\mathbf{r}_1) e^{-i\epsilon_i(t_2 - t_1)}$$



$$G(\mathbf{r}_1, \mathbf{r}_2, \omega) = \int d(t_1 - t_2) e^{i\omega(t_1 - t_2)} G(\mathbf{r}_1 t_1, \mathbf{r}_2 t_2)$$

$$G(\mathbf{r}_1, \mathbf{r}_2, \omega) = \sum_i \frac{\phi_i(\mathbf{r}_1) \phi_i^*(\mathbf{r}_2)}{\omega - \epsilon_i \pm i\eta}$$

Exercise 2:

Fock exchange from Green's functions

$$\Sigma_x(1,2) = iG(1,2)v(1,2) \xrightarrow{\text{blue arrow}} \Sigma_x(\mathbf{r}_1, \mathbf{r}_2, \omega) = -\sum_{i \text{ occ}} \frac{\phi_i(\mathbf{r}_1)\phi_i^*(\mathbf{r}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

$$\Sigma_x(1,2) = iG(1,2)v(1,2)$$

$$v(1,2) = v(r_1 t_1 + \eta, r_2 t_2) \\ = \frac{1}{|r_1 - r_2|} \delta(t_1 + \eta - t_2) \quad \eta > 0 \Rightarrow t_1 - t_2 < 0$$

$$iG(1,2) = \Theta(t_1 - t_2) \sum_a \phi_a(r_1) \phi_a^*(r_2) e^{-iE_a(t_1 - t_2)} \\ - \Theta(t_2 - t_1) \sum_i \phi_i(r_1) \phi_i^*(r_2) e^{-iE_i(t_2 - t_1)}$$

$$\Sigma_x(r_1, r_2, \omega) = \int d(t_1 - t_2) e^{i\omega(t_1 - t_2)} G(r_1 t_1, r_2 t_2) \\ = -\frac{1}{|r_1 - r_2|} \sum_i \phi_i(r_1) \phi_i^*(r_2) e^{-iE_i \eta}$$

Exercise 3: let's play with Dyson equations

1) The multiple faces of the Dyson equation

$$[\omega - h_{\text{KS}}] G_{\text{KS}} = 1$$

$$\hookrightarrow [\omega - h_0 - v_{xc}] G_{\text{KS}} = 1$$

$$\hookrightarrow [G_0^{-1} - v_{xc}] G_{\text{KS}} = 1$$

$$\hookrightarrow G_{\text{KS}} = G_0 + G_0 v_{xc} G_{\text{KS}}$$

$$\uparrow \quad G_{\text{KS}} = G_0 + G_0 v_{xc} G_0 + G_0 v_{xc} G_0 v_{xc} G_0 + \dots$$

$$\uparrow \quad G_{\text{KS}}^{-1} = G_0^{-1} - v_{xc}$$

2) Combining the Dyson equations

$$\begin{aligned} G^{-1} &= G_0^{-1} - \Sigma \\ G_{\text{KS}}^{-1} &= G_0^{-1} - v_{xc} \end{aligned} \quad \left. \right\}$$

$$G^{-1} = G_{\text{KS}}^{-1} - (\Sigma - v_{xc})$$

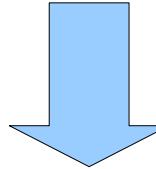
$$\uparrow \quad 1 = [G_{\text{KS}}^{-1} - (\Sigma - v_{xc})] G$$

$$\uparrow \quad 1 = [\omega - h_0 - \Sigma] G$$

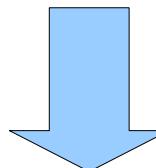
Exercise 4

Derive the standard Adler-Wiser formula (1963):

$$\chi_0(1,2) = -i G(1,2)G(2,1)$$



$$\chi_0(\mathbf{r}_1, \mathbf{r}_2, \omega) = -\frac{i}{2\pi} \int d\omega' G(\mathbf{r}_1, \mathbf{r}_2, \omega + \omega') G(\mathbf{r}_2, \mathbf{r}_1, \omega')$$



$$\begin{aligned} \chi_0(\mathbf{r}_1, \mathbf{r}_2, \omega) &= \sum_{\substack{i \text{ occ} \\ j \text{ virt}}} \phi_i(\mathbf{r}_1) \phi_i^*(\mathbf{r}_2) \phi_j(\mathbf{r}_2) \phi_j^*(\mathbf{r}_1) \\ &\quad \times \left[\frac{1}{\omega - (\epsilon_j - \epsilon_i) - i\eta} - \frac{1}{\omega - (\epsilon_i - \epsilon_j) + i\eta} \right] \end{aligned}$$

Exercice 4: solution (1/3)

Definitions:

$$G(\omega) = \int d\tau G(\tau) e^{i\omega\tau}$$

$$G(\tau) = \frac{1}{2\pi} \int d\omega G(\omega) e^{-i\omega\tau}$$

$$\int d\omega e^{i\omega\tau} = 2\pi \delta(\tau)$$

$$x(\tau) = -i G(\tau) G(-\tau)$$

Exercice 4: solution (2/3)

$$\begin{aligned}\chi(\omega) &= \int d\tau \chi(\tau) e^{i\omega\tau} = -\frac{i}{(2\pi)^2} \int d\tau \int d\omega_1 G(\omega_1) e^{-i\omega_1\tau} \int d\omega_2 G(\omega_2) e^{+i\omega_2\tau} e^{i\omega\tau} \\ &= -\frac{i}{(2\pi)^2} \int d\omega_1 \int d\omega_2 G(\omega_1) G(\omega_2) \underbrace{\int d\tau e^{+i\tau(\omega + \omega_2 - \omega_1)}}_{2\pi\delta(\omega + \omega_2 - \omega_1)} \\ &= -\frac{i}{2\pi} \int d\omega_2 G(\omega + \omega_2) G(\omega_2) \\ &= -\frac{i}{2\pi} \int d\omega_2 \sum_p \frac{\phi_p(r) \phi_p^*(r')}{\omega_p - \epsilon_p \pm iy} \times \sum_q \frac{\phi_q(r) \phi_q^*(r')}{\omega_q - \epsilon_q \pm iy}\end{aligned}$$

Exercice 4: solution (3/3)

$$\begin{aligned}
 X(\omega) &= \int d\tau X(\tau) e^{i\omega\tau} = -\frac{i}{(2\pi)^2} \int d\tau \int d\omega_1 G(\omega_1) e^{-i\omega_1\tau} \int d\omega_2 G(\omega_2) e^{+i\omega_2\tau} e^{i\omega\tau} \\
 &= -\frac{i}{(2\pi)^2} \int d\omega_1 \int d\omega_2 G(\omega_1) G(\omega_2) \underbrace{\int d\tau e^{+i\tau(\omega + \omega_2 - \omega_1)}}_{2\pi\delta(\omega + \omega_2 - \omega_1)} \\
 &= -\frac{i}{2\pi} \int d\omega_2 G(\omega + \omega_2) G(\omega_2) \\
 &= -\frac{i}{2\pi} \int d\omega_2 \sum_p \frac{\phi_p(r) \phi_p^*(r')}{\omega_p - \epsilon_p \pm i\eta} \times \sum_q \frac{\phi_q(r) \phi_q^*(r')}{\omega_q - \epsilon_q \pm i\eta} \\
 &= \sum_{ia} \frac{\phi_i(r) \phi_i^*(r') \phi_a(r') \phi_a^*(r)}{\epsilon_i - \epsilon_a - \omega + 2i\eta} \\
 &\quad + \sum_{ia} \frac{\phi_a(r) \phi_a^*(r') \phi_i(r') \phi_i^*(r)}{\epsilon_a + \epsilon_i - \epsilon_a + 2i\eta} \\
 &= \sum_{ia} \frac{\phi_i(r) \phi_i^*(r') \phi_a(r') \phi_a^*(r)}{\omega - (\epsilon_i - \epsilon_a) + 2i\eta} - \sum_{io} \frac{\phi_i(r) \phi_i^*(r') \phi_o(r') \phi_o^*(r)}{\omega - (\epsilon_i - \epsilon_o) - 2i\eta}
 \end{aligned}$$

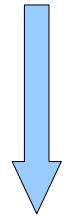
pole: $\epsilon_p - \omega + i\eta$
 pole: $\epsilon_q + i\eta$

$\epsilon_i - \epsilon_a$
 $\epsilon_q - \epsilon_i$

Exercise 5

Derive that the product in time becomes a convolution in frequency:

$$\Sigma(\mathbf{r}_1, \mathbf{r}_2, t_1 - t_2) = iG(\mathbf{r}_1, \mathbf{r}_2, t_1 - t_2)W(\mathbf{r}_2, \mathbf{r}_1, t_2 - t_1)$$



$$G(\mathbf{r}_1, \mathbf{r}_2, \omega) = \int d(t_1 - t_2) e^{i\omega(t_1 - t_2)} G(\mathbf{r}_1 t_1, \mathbf{r}_2 t_2)$$

$$G(\mathbf{r}_1, \mathbf{r}_2, t_1 - t_2) = \frac{1}{2\pi} \int d\omega e^{-i\omega(t_1 - t_2)} G(\mathbf{r}_1, \mathbf{r}_2, \omega)$$

$$\Sigma(\mathbf{r}_1, \mathbf{r}_2, \omega) = \frac{i}{2\pi} \int d\omega' G(\mathbf{r}_1, \mathbf{r}_2, \omega + \omega') W(\mathbf{r}_2, \mathbf{r}_1, \omega')$$

Exercice 6: Feynman diagram drawing

- a) Draw all the 1st order diagrams for the self-energy
- b) Draw all the 2nd order diagrams for the self-energy
- c) What is the difference between the proper and the improper self-energy
- d) How self-consistency can simplify the expansion?

Self-energy diagram drawing rules:

1. Diagrams are combinations of arrows (Green's function) and horizontal lines (Coulomb interaction).
2. Diagrams should be connected.
3. Self-energy have an entry point and an exit point (possibly the same).
4. Each intersection (=vertex) should conserve the particle numbers.
5. A proper self-energy diagram cannot be cut (by removing an arrow) into another smaller self-energy.

Exercise 6:

PT3

GW_{TDHF}

1 interacting pair

2 interacting pairsets.

1 pair in SOX

PT2

SOX

GW

1 pair

3 pairs
4 pairs
etc.

2 pairs

$GW + SOSEX$

2 pairs in SOX
3 pairs in SOX
etc.

3-rung ladder
SOX in Hartree
SOX in exchange

1 pair in H
1 pair in X

2 pairs in H₂ pairs in X etc.

$GW + \gamma^{GW}$

Perturbation theory up to third order

$$S_{pq}^{(3)}(\omega) = \sum_{l=1}^6 (AI + CI + DI)$$

$$A1 = - \sum \frac{(2V_{pkqj} - V_{pkjq})(2V_{jiab} - V_{jiba})V_{abki}}{(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_k + \epsilon_i - \epsilon_a - \epsilon_b)}$$

$$A2 = \sum \frac{(2V_{pcqb} - V_{pcbq})(2V_{jiab} - V_{jiba})V_{jica}}{(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_c)}$$

$$A3 = \sum \frac{(2V_{pcqj} - V_{pcjq})(2V_{jiab} - V_{jiba})V_{abcj}}{(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j - \epsilon_c)}$$

$$A4 = \sum \frac{(2V_{pjqc} - V_{pjcq})(2V_{jiab} - V_{jiba})V_{abci}}{(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j - \epsilon_c)}$$

$$A5 = - \sum \frac{(2V_{pbqk} - V_{pbkq})(2V_{jiab} - V_{jiba})V_{ijka}}{(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_k - \epsilon_b)}$$

$$A6 = - \sum \frac{(2V_{pkqb} - V_{pkbq})(2V_{jiab} - V_{jiba})V_{ijka}}{(\epsilon_j + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_k - \epsilon_b)}$$

$$C1 = \sum \frac{(2V_{piab} - V_{piba})V_{abcd}V_{qied}}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\omega + \epsilon_i - \epsilon_c - \epsilon_d)}$$

$$C2 = \sum \frac{(2V_{piab} - V_{piba})V_{abjk}V_{qijk}}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j + \epsilon_k - \epsilon_a - \epsilon_b)}$$

$$C3 = \sum \frac{(2V_{pijk} - V_{pikj})V_{abjk}V_{qiaj}}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j + \epsilon_k - \epsilon_a - \epsilon_b)}$$

$$C4 = \sum \frac{(2V_{pajj} - V_{paji})V_{ijbc}V_{qabc}}{(\omega + \epsilon_a - \epsilon_i - \epsilon_j)(\epsilon_i + \epsilon_j - \epsilon_b - \epsilon_c)}$$

$$C5 = \sum \frac{(2V_{pabc} - V_{pacb})V_{ijbc}V_{qaij}}{(\omega + \epsilon_a - \epsilon_i - \epsilon_j)(\epsilon_i + \epsilon_j - \epsilon_b - \epsilon_c)}$$

$$C6 = - \sum \frac{(2V_{palk} - V_{palj})V_{klkj}V_{qaij}}{(\omega + \epsilon_a - \epsilon_i - \epsilon_j)(\omega + \epsilon_a - \epsilon_k - \epsilon_l)}$$

$$D1 = \sum \left\{ \frac{V_{piab}[V_{ajic}(V_{qjcb} - 2V_{qjbc}) + V_{ajci}(V_{qjbc} - 2V_{qjcb})]}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\omega + \epsilon_j - \epsilon_b - \epsilon_c)} \right. \\ \left. + \frac{V_{piba}[V_{ajic}(4V_{qjbc} - 2V_{qjcb}) + V_{ajci}(V_{qjcb} - 2V_{qjbc})]}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\omega + \epsilon_j - \epsilon_b - \epsilon_c)} \right\}$$

$$D2 = \sum \left\{ \frac{V_{pica}[V_{abij}(4V_{qbcj} - 2V_{qbjc}) + V_{abji}(V_{qbjc} - 2V_{qbcj})]}{(\omega + \epsilon_i - \epsilon_a - \epsilon_c)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)} \right. \\ \left. + \frac{V_{piac}[V_{abij}(V_{qbjc} - 2V_{qbcj}) + V_{abji}(V_{qbcj} - 2V_{qbjc})]}{(\omega + \epsilon_i - \epsilon_a - \epsilon_c)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)} \right\}$$

$$D3 = \sum \left\{ \frac{V_{pcja}[V_{jicb}(V_{qiba} - 2V_{qiab}) + V_{jibc}(V_{qiab} - 2V_{qiba})]}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j + \epsilon_i - \epsilon_b - \epsilon_c)} \right. \\ \left. + \frac{V_{pcaj}[V_{jicb}(4V_{qiab} - 2V_{qiba}) + V_{jibc}(V_{qiba} - 2V_{qiab})]}{(\omega + \epsilon_i - \epsilon_a - \epsilon_b)(\epsilon_j + \epsilon_i - \epsilon_b - \epsilon_c)} \right\}$$

$$D4 = \sum \left\{ \frac{V_{pakj}[V_{jiab}(4V_{qikb} - 2V_{qibk}) + V_{jiba}(V_{qibk} - 2V_{qikb})]}{(\omega + \epsilon_a - \epsilon_j - \epsilon_k)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)} \right. \\ \left. + \frac{V_{pqjk}[V_{jiab}(V_{qibk} - 2V_{qikb}) + V_{jiba}(V_{qikb} - 2V_{qibk})]}{(\omega + \epsilon_a - \epsilon_j - \epsilon_k)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)} \right\}$$

$$DS = \sum \left\{ \frac{V_{pibk}[V_{jiab}(V_{qajk} - 2V_{qakj}) + V_{jiba}(V_{qakj} - 2V_{qajk})]}{(\omega + \epsilon_a - \epsilon_j - \epsilon_k)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)} \right. \\ \left. + \frac{V_{pikb}[V_{jiab}(4V_{qakj} - 2V_{qajk}) + V_{jiba}(V_{qajk} - 2V_{qakj})]}{(\omega + \epsilon_a - \epsilon_j - \epsilon_k)(\epsilon_i + \epsilon_j - \epsilon_a - \epsilon_b)} \right\}$$

$$D6 = - \sum \left\{ \frac{V_{paki}[V_{ibaj}(4V_{qbkj} - 2V_{qbjk}) + V_{ibja}(V_{qbjk} - 2V_{qbkj})]}{(\omega + \epsilon_a - \epsilon_i - \epsilon_k)(\omega + \epsilon_b - \epsilon_j - \epsilon_k)} \right. \\ \left. + \frac{V_{paik}[V_{ibaj}(V_{qbjk} - 2V_{qbkj}) + V_{ibja}(V_{qbkj} - 2V_{qbjk})]}{(\omega + \epsilon_a - \epsilon_i - \epsilon_k)(\omega + \epsilon_b - \epsilon_j - \epsilon_k)} \right\}$$

Exercice 6: effect of the other diagrams

Ionization potentials of the **GW100** benchmark (reference CCSD(T))

